# Aneurysmal Subarachnoid Haemorrhage and the Role of Cytokine and Adhesion Molecules in the Pathogenesis of Delayed Ischaemic Neurological Deficit

# Mr Yahia Al-Tamimi

MBChB (Hons) BSc MRCS

Submitted in accordance with the requirements for the degree of Doctor of Medicine.

**School of Medicine** 

**University of Leeds** 

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The candidate confirms that the work submitted is his own and that appropriate credit has been given where reference has been given to the work of others.

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This thesis is dedicated to my darling sister

Asil Al-Tamimi who died of a subarachnoid

haemorrhage on 26<sup>th</sup> August 1998 at the age

of 27 years.

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# **Table of abbreviations**

ANOVA	Analysis of variance	
ATP	Adenosine Tri-Phosphate	у
aSAH	Aneurysmal subarachnoid haemorrhage	у
BBB	Blood brain barrier	у
BOXes	Bilirubin oxidative products	у
CBF	Cerebral blood flow	у
CBV	Cerebral blood volume	у
CD	Cluster of differentiation	у
CI	Confidence interval	у
cm	Centimetre	у
CNS	Central nervous system	у
CPP	Cerebral perfusion pressure	у
CSD	Cortical spreading depolarisation	у
CSF	Cerebrospinal fluid	у
CSI	Cortical spreading ischaemia	у
СТ	Computerised tomography	у
CT-A	Computerised tomography angiogram	у
CT-P	Computerised tomography perfusion	у
DIND	Delayed ischaemic neurological deficit	у
DSA	Digital subtraction angiography	у
ECF	Extracellular fluid	у
EDTA	Ethylenediaminetetraacetic acid	
ELISA	Enzyme-linked immunosorbent assay	у
eNOS	Endothelial nitric oxide synthase	у
ET-1	Endothelin-1	у
GABA	γ-aminoburyric acid	у

GCS	Glasgow Coma Score	у
GOS	Glasgow Outcome Scale	у
HMGCoA	3-hydroxy-3-methylglutaryl coenzyme A	у
ICAM	Intercellular adhesion molecules	у
ICP	Intracranial pressure	у
IL	Interleukin	у
IL-1ra	Interleukin 1 receptor antagonist	у
iNOS	Induced nitric oxide synthase	у
MAP	Mean arterial pressure	у
MAPK	Mitogen-activated protein kinase	у
MCP-1	Monocyte chemoattractant protein-1	у
MFI	Median Fluorescence Intensity	
ml	Millilitre	
mm	Millimetre	у
MMP	Matrix metallopeptidase	у
mRNA	Messenger ribonucleic acid	у
MRS	Modified Rankin Scale	у
NF-kB	Nuclear factor-kB	у
NK	Natural killer	у
nm	Nanometre	у
NMDA	N-Methyl-D-aspartate	у
nNOS	Neuronal nitric oxide synthase	у
NO	Nitric oxide	у
NOS	Nitric oxide synthase	у
NPRI	Nicardipine prolonged-release implants	у
OR	Odds ratio	у
PE	Phycoerythrin	у
PET	Positron emission tomography	у
PMT	Photomultiplier tube	у

PtiO <sub>2</sub>	Brain tissue oxygen	У
pg	Picograms	
p53	Tumour protein 53	у
RCT	Randomised controlled trial	у
SAH	Subarachnoid haemorrhage	у
SIRS	Systemic inflammatory response syndrome	у
SPC	Sphingosylphosphorylcholine	у
SRC	Sarcoma	у
SPSS	Statistical Package for the Social Sciences	у
TBA	Transluminal balloon angioplasty	у
TBI	Traumatic brain injury	у
TCD	Transcranial doppler	у
TH	T helper cell 1	у
TLR	Toll-like receptors	у
TNF-α	Tumour necrosis factor-α	у
VEGF	Vascular endothelial growth factor	у
vWF	Von Willebrand Factor	у
WFNS	World Federation of Neurological Surgeons	у
μΙ	Microlitre	у
χ²	Chi-squared	У

# **Abstract**

### Introduction

Delayed ischaemic neurological deficit (DIND) following aneurysmal subarachnoid haemorrhage (aSAH) is a significant cause of morbidity and mortality. There is some evidence to suggest that its pathogenesis is related to inflammation and that clearing the subarachnoid space of potential pathogens via a lumbar drain may reduce the prevalence and severity of DIND.

### Aims

The aims of the current study are two-fold:

- 1. To ascertain whether lumbar drainage of cerebrospinal fluid (CSF) following aSAH can reduce the prevalence and severity of DIND.
- 2. To investigate levels of inflammatory mediators in plasma and CSF and to look for an association with aSAH, DIND and outcome.

### **Material and methods**

- 1. Prospective randomised controlled trial with randomisation into two arms: arm 1 control, no additional intervention; Arm 2 study, insertion of a lumbar drain in order to clear the visible blood load.
- 2. Prospective cohort study of patients with aSAH. Plasma and CSF samples were obtained on days 3, 5, 7 and 9 following haemorrhage and analysed for 11 mediators.

### Results

- 1. Prevalence of DIND 35% (confidence interval (CI) 26.2-45.2%) in the control versus 21% (CI 13.6-30.0%) in the study group (p=0.021). A significant improvement in early clinical outcome in favour of lumbar drainage was noted (Modified Rankin Score 0-2 37.5% in the control versus 55.2% in the study group, p=0.009). There was no difference in outcome at six months.
- 2. Raised plasma and CSF levels of most mediators when compared with non-aSAH controls. Significantly higher levels of vascular endothelial growth factor within the CSF of patients with DIND on day 5 post ictus when compared to patients without DIND. Generally higher mediator levels were noted within the CSF when compared to plasma.

### Conclusion

This provides some support for the routine use of lumbar drains following aSAH in good grade patients to reduce the prevalence of DIND and improve early clinical outcome. Both a central nervous system and systemic inflammatory response is initiated following aSAH. The former may be associated with DIND. Causality cannot be determined from this study.

# Introduction

### Introduction

The incidence of aneurysmal subarachnoid haemorrhage (aSAH) has been estimated at 6-8 per 100,000 annually, with a peak incidence in the fifth decade<sup>1</sup>. Delayed ischaemic neurological deficit (DIND) (also referred to as clinical/symptomatic vasospasm or delayed cerebral ischaemia) is a serious and poorly understood complication of aSAH, occurring in 20-40% of patients. This is characteristically defined as neurological deficit observed at least three days after aSAH, and features radiologically confirmed narrowing of the large cerebral blood vessels (radiological vasospasm). However, it has increasingly been suggested that a direct causative association between the two may be overly simplistic, despite their following a similar time course<sup>2</sup>. The variability in the reported incidence of DIND is partly due to the use of unstandardised diagnostic criteria. DIND does not necessarily imply the presence of angiographic vasospasm, although numerous studies have this (or a surrogate measure, such as flow velocities with transcranial doppler) as a prerequisite for diagnosis<sup>3</sup>. The observation that thick subarachnoid clot completely filling any cistern or fissure is an independent predictor of DIND suggests that causative compound(s) may be blood component(s), breakdown products, or be regulated/induced as a result of the presence of blood in or around the subarachnoid space<sup>4</sup>.

The aim of this introduction is to: (i) summarise the main historical events resulting in the current understanding of DIND, (ii) discuss the evidence underlying established therapies for DIND, and (iii) broadly review the

translational and clinical research involved in elucidating the pathophysiology of DIND. This will subsequently lead to the aims of the current study.

### **Historical overview**

Although ancient Egyptian writings indicated awareness of aneurysms, a causal association with subarachnoid haemorrhage was only first proposed in the late 1600s<sup>5</sup>. Almost a century later, Morgagni reported incidental posterior cerebral artery dilatations, suggesting that intracranial aneurysms may be a source of haemorrhage<sup>6</sup>. In parallel, Francesco Biumi presented the first documented report of an unruptured intracranial aneurysm<sup>7</sup>, which was followed by the first verified account of aneurysmal rupture five decades later<sup>8</sup>. The earliest nineteenth century description of DIND came from William Gull, who described a young woman suffering a stroke and her subsequent neurological deterioration on the fifth day of her illness-she was shown to have a ruptured middle cerebral artery aneurysm at autopsy<sup>9</sup>.

The first description of cerebral vasospasm was made in the 1920s, when rabbit cortical arteries were noted to contract following direct mechanical stimulus, but not in response to cervical sympathetic chain stimulation<sup>10</sup>. Current understanding of cerebrovascular abnormalities emerged following the work of Egas Moniz in developing clinical angiograms in the late 1920s<sup>11</sup>, an imaging approach which underpinned Norman Dott's attempt at direct aneurysmal surgical repair and Walter Dandy's subsequent successful surgical clip repair<sup>12</sup>. Robertson's large series of ruptured intracranial aneurysms highlighted the presence of cerebral infarction despite patent blood vessels<sup>13</sup>. In the same period, Zucker described the presence of putative circulatory vasoconstrictive agents originating from lysed

erythrocytes<sup>14</sup>, a notion supported by Jackson's observations that a meningeal reaction followed injection of blood products into the cisterna magna of dogs<sup>15</sup>, with red blood cells eliciting the greatest reaction.

Cerebral vasospasm following aSAH was first described in Reid and Johnson's angiographic studies<sup>16</sup>, although it was not until the following year that the images were published by Ecker and Riemenschneider<sup>17</sup>. This landmark study reported six patients who had suffered angiographically visible vasospasm defined as a change in vessel calibre between two identically performed angiograms at different time intervals. Angiographic vasospasm was noted to be self-limiting and greatest near the site of the aneurysm. Subsequent studies demonstrated a poorer operative prognosis, larger proportion of ischaemic lesions and worse outcome in patients with angiographic vasospasm<sup>18</sup>, which was related to reduced total or regional cerebral blood flow (CBF)<sup>19</sup>. Techniques were developed to reduce the incidence of vasospasm during aneurysm surgery, including the timing of operations. Allcock and Drake advocated delayed surgical treatment because of a higher incidence of vasospasm in patients treated within ten days of ictus<sup>20</sup>. However, no consensus was reached regarding timing of surgery until 1976, following the publication of a large case series which indicated that mortality was decreased and the risk of vasospasm reduced in patients undergoing surgery within 48 hours<sup>21</sup>. In addition, an elegant study by Weir and colleagues based on serial angiograms in almost 300 patients demonstrated the onset, peak and subsidence of vasospasm at days 3, 6-8 and 12, respectively<sup>22</sup>. Mortality was confirmed to relate to the presence and severity of vasospasm.

Although the association between the size of the blood clot and the incidence of angiographic vasospasm is historically attributed to Fisher, it was Katada shortly followed by Takemae who were the first to report this association<sup>23,24</sup>. In 1980, the Fisher classification of aSAH was published<sup>25</sup>, which was based on a series of 47 patients with aSAH. It was noted that severe angiographic vasospasm was almost invariably present in patients with subarachnoid clots larger than 5 x 3mm or layers of blood ≥1 mm in vertical cisterns and fissures. Conversely, vasospasm was extremely rare in patients without subarachnoid blood. This system was based on CT imaging performed in the 1970s with 16 mm slices. Two slices with blood within vertical cisterns implied at least a thickness of 8 mm. On three slices, this will imply at least a thickness of 32 mm. Although a widely used classification in modern practice, it's reliance on this out-dated method has led to further validation. The findings were confirmed in a study demonstrating that thick subarachnoid clot completely filling any cistern/fissure was an independent predictor of DIND<sup>4</sup>. Intraventricular haemorrhage had similar predictive value.

# Management of aSAH

Although trauma is the most common cause of SAH, aSAH accounts for approximately 85% of all cases of spontaneous SAH<sup>26</sup>. The remainder of cases are often due to non-aneurysmal 'perimesencephalic' SAH or other rare causes. Clinical features consist of a spectrum ranging from a sudden onset headache to sudden death. Apart from altered consciousness, other associated features include vomiting, signs of meningism and seizures.

Investigation is based on clinical assessment followed by computerised tomography (CT) of the brain. In approximately 3% of patients presenting with clinical features of aSAH with a normal CT scan of the brain, examination of the cerebrospinal fluid (CSF) within 12 hours of the haemorrhage can reveal metabolites of haemoglobin such as bilirubin indicating a haemorrhagic event within this time frame<sup>26</sup>. All such patients should undergo further diagnostic imaging to confirm or exclude the presence of an aneurysm. The current gold standard remains four-vessel digital subtraction angiography although the sensitivity and availability of other imaging modalities such as CT-angiogram is improving<sup>26,27</sup>.

Once diagnosis of aSAH is confirmed the aims of treatment are airway, ventilation and haemodynamic stabilisation followed by correction of reversible causes of neurological deterioration. This includes surgical evacuation of intracerebral haemorrhagic extensions of the SAH or correction of hydrocephalus. The former is seen in approximately one third of patients

although surgical evacuation is usually reserved for those haematomas that exert significant mass effect<sup>26</sup>. Patients with intraventricular and intracerebral haemorrhagic extensions are more likely to develop hydrocephalus. They may develop a gradual deterioration in conscious level following the haemorrhagic ictus or may initially present with an altered conscious level and ventriculomegaly. Approximately 20% of patients with aSAH will demonstrate ventriculomegaly on initial CT and approximately 80% of these patients will also have impaired consciousness<sup>28</sup>. Untreated however, within 24 hours around half of these patients will show spontaneous improvements in consciousness<sup>26,28</sup>. Treatment of hydrocephalus (symptomatic ventriculomegaly) usually involves continuous external ventricular drainage aimed at maintaining normal ventricular pressure.

# Management of ruptured cerebral aneurysms

Subsequent management consists of early definitive aneurysm treatment followed by supportive measures to reduce the incidence and severity of complications. Within a few hours following haemorrhage, 15% of patients demonstrate a sudden deterioration in consciousness consistent with rebleeding from the unprotected aneurysm<sup>26</sup>. Although pre-hospital re-bleeding has not been extensively studied, a recent prospective study has demonstrated that the greatest risk of re-bleeding is in the immediate few hours following haemorrhage<sup>29</sup>. For the continued course of patients surviving the first day following haemorrhage, the overall cumulative risk of re-bleeding at one month is 40% without treatment<sup>26</sup>. Re-bleeding is associated with a poorer clinical grade of haemorrhage and poorer outcome. An earlier study has demonstrated that a poor outcome was secondary to the initial haemorrhage in 32% of cases and secondary to a re-bleed in 35% of cases<sup>30</sup>. No specific risk factors for re-bleeding have been clearly demonstrated<sup>31</sup>.

Traditional aneurysm treatment consists of craniotomy and surgical clipping. Endovascular occlusion of the aneurysm using detachable coils has emerged as a less invasive alternative treatment. Although there have been numerous observational studies, a large multi-centre randomised controlled trial comparing the two forms of treatment closed recruitment following an interim analysis which revealed a benefit of endovascular treatment<sup>32</sup>. The inclusion criteria for this trial included aSAH with the intention of aneurysmal treatment by surgical or endovascular means. Other criteria included a ruptured

aneurysm that was amenable to both endovascular and surgical treatment and an aneurysm that was not already clearly appropriate for one modality of treatment. There was recruitment of 2143 patients from 42 centres who were randomised into either the endovascular group (n=1073) or the surgical group (n=1070). Most patients were in a good clinical state with a small aneurysm of the anterior circulation. The relative and absolute risk reduction in the primary outcome measure of death or dependence at one year (Modified Rankin Score (MRS) of 3-6, see appendix 1.5) of endovascular versus surgical treatment was 22.6% and 6.9% respectively. A further analysis of these results has demonstrated that this early survival advantage was maintained for 7 years<sup>33</sup>. The analysis showed no good evidence that the benefit of endovascular surgery does not apply across all sub-groups (age, World Federation of Neurological Surgeons (WFNS) grade, Fisher grade and size and location of aneurysm). However for age, WFNS grade and aneurysm location, treatment effects were heterogeneous and difficult to interpret. The risk of late re-bleeding is low in both groups, although higher in the endovascular group.

The main criticisms of this trial include an expertise bias in favour of endovascular treatment. Most endovascular centres contributing to the trial employed dedicated interventional radiologists whereas contributions to the surgical arm were mainly from centres with general neurosurgeons without a specific neurovascular interest<sup>34</sup>. Secondly, a sample bias resulted in a propensity for smaller aneurysms to be included (92% less than 10 mm, 50% less than 5 mm) and an under-representation of middle cerebral and posterior

circulation aneurysms. This bias is in favour of endovascular treatment since large aneurysms and those of the middle cerebral aneurysm are anatomically more difficult to treat with this modality. However, the size and location of these aneurysms may represent the actual size and location of aneurysms seen in Europe<sup>35</sup>. Anterior circulation aneurysms do make up 90% of those encountered. In the UK, 85% of aneurysms are less than 1 cm and 66% are less than 5 mm<sup>35</sup>. A large number of patients eligible for the trial (9559 patients) were excluded by the treating physician. In the majority of these cases, the treating surgeon felt that surgery was the most appropriate form of treatment. As a result, patients in this trial are only included after they have satisfied this inclusion criteria and thus is not a true randomisation and does not represent an intention-to-treat randomised trial.

In summary, this trial is an important step in defining the roles of endovascular and microvascular surgery in the treatment of ruptured cerebral aneurysms although optimal management for groups of patients is still to be clearly defined.

# **Investigating DIND**

The gold standard for the diagnosis of angiographic vasospasm has been accepted as arterial narrowing documented on digital subtraction angiography (DSA)<sup>36</sup>. Transcranial doppler (TCD) has been utilised as a surrogate measure of angiographic vasospasm with reasonable specificity and sensitivity but variable prediction of clinical deterioration/DIND<sup>37,38</sup>. clinical entity of DIND better correlates with outcome and does not necessarily co-exist with angiographic vasospasm. The diagnostic criteria do vary slightly throughout the literature but it is in essence a diagnosis of exclusion and consists of two components. The first is often defined as a decrease in consciousness and/or new focal neurological deficit seen at least three days post ictus following exclusion of other causes of such a neurological deterioration (commonly re-bleed, seizure, hydrocephalus, hypoxia, sepsis and electrolyte disturbances). The second component is the presence of a new infarct not present on the initial and immediate post-operative imaging. Part of the variation in the diagnostic criteria seen in the literature is due to the requirement (or not) of angiographic vasospasm (or a surrogate measure of this from TCD) in diagnosing DIND. Computerised tomography angiogram (CT-A) and computerised tomography perfusion (CT-P) are increasingly being utilised to assess angiographic vasospasm (including distal microvascular vasospasm) and brain perfusion but are yet to be established as gold standards<sup>39</sup>.

## **Management of DIND**

At present, the mainstay of DIND treatment is neurocritical care management aimed at reducing secondary brain injury, oral nimodipine, haemodynamic therapy and endovascular techniques to improve angiographic vasospasm. What follows is an overview of the evidence underlying current therapy. Although statin/magnesium/nicardipine therapy and cerebrospinal fluid (CSF) drainage are not widely used, their inclusion herein is justified by recent studies highlighting their merit.

### Haemodynamic therapy

Denny-Brown first noted that hypotension was associated with neurological deficits in patients with severe structural narrowing of cerebral blood vessels<sup>40</sup>. It was not until the 1960s and 70s that attempts at increasing systolic blood pressure with plasma expanders and vasopressors were noted to reverse ischaemia-related symptoms in cerebrovascular insufficiency<sup>41</sup>. In this regard, Kosnik and Hunt described the use of induced hypertension and hypervolaemia to reverse established DIND<sup>42</sup>. Larger series have shown that approximately two-thirds of patients with DIND improve with haemodynamic therapy, with the remaining patients either unchanged or worse despite maximal medical therapy<sup>43,44</sup>. Similar results were noted when induced hypertensive therapy was used alone<sup>45</sup>. This benefit may be seen following increases in cardiac index in addition to mean arterial pressure (MAP)<sup>46</sup>.

The physiological explanation is that cerebral vasospasm results in a shift of cerebrovascular resistance to the large vessels of the Circle of Willis, thus impairing autoregulation in the distribution of the vasospastic vessel. Consequently, CBF varies directly with systemic blood pressure and according to the Hagen-Poiseuille law, increasing perfusion pressure or decreasing viscosity increases CBF. Although haemodynamic therapy has become widely accepted in the management of DIND, there are few published controlled trials investigating its efficacy and variable administration to patients, despite its association with significant morbidity: fluid overload (10-40%), pulmonary oedema (2-34%), dilutional hyponatraemia (3%), congestive heart failure (5-20%) and myocardial infarction (2%)<sup>47</sup>. Other complications include intracranial haemorrhage (less common with early aneurysm treatment), global cerebral oedema and death.

It is unclear which component of haemodynamic therapy (hypertension, hypervolaemia or haemodilution) confers benefit. The most contentious is haemodilution, following reports of reduced brain oxygen supply despite adequate CBF<sup>48</sup>. Isovolaemic haemodilution (haematocrit target of 0.28) has been shown to increase ischaemic brain volume despite a global increase in CBF<sup>49</sup>. Unfavourable outcome was associated with lower haemoglobin concentrations over the first two weeks following haemorrhage<sup>50</sup>. Conversely, higher haemoglobin levels have been associated with a better outcome following aSAH<sup>51</sup>. Similar questions have been raised regarding the additional benefit of hypervolaemic therapy<sup>46,47,49</sup>, partly because of the increased risk of pulmonary oedema. There is an association between the

use of synthetic rescue colloids and impaired cerebrovascular parameters in poor WFNS grade patients, as well as poor outcome in all aSAH patients<sup>52</sup>. These findings are supported by other studies demonstrating that although induction of hypertension alone following aSAH increases regional CBF and brain oxygenation, additional hypervolaemic and haemodilutional therapy confers no additional benefit<sup>53,54</sup>. A systematic review of the literature concluded that there is no good evidence from controlled studies for a positive effect of triple-H or its components on CBF in aSAH patients<sup>54</sup>. Thus, there is a need for a carefully devised study utilising multimodal monitoring to investigate the effects of hypertensive therapy in hyper- and isovolaemic states without a concomitant decrease in haematocrit. The morbidity associated with such therapy needs further clarification.

Similar uncertainties are noted for the use of prophylactic haemodynamic therapy following aSAH. In a recent systematic review of 49 trials in which this therapy was administered prophylactically, only four were prospective, controlled and comparative studies<sup>55</sup>. Of these, three were not sufficiently/appropriately controlled or comparative to assess the efficacy of this therapy. However, two recent studies randomly assigned normovolaemic or hypervolaemic therapy to patients and reported no difference in the incidence of DIND between groups<sup>56,57</sup>. This may be explained by the observation that although hypervolaemic therapy results in higher daily fluid intake and cardiac filling pressures, it has no effect on net fluid balance, blood volume or CBF as assessed by <sup>133</sup>Xenon clearance<sup>57</sup>. Thus, earlier studies demonstrating improvements in CBF may have done so in initially

hypovolaemic patients given prophylactic hypervolaemic therapy soon after haemorrhage. This is almost certainly the case in studies prior to 1985, when fluid restriction was common practice<sup>57</sup>.

### Oral nimodipine

Numerous trials have investigated the use of oral nimodipine prospectively. The largest and most widely quoted of these (the British aneurysm nimodipine trial) recruited 554 patients to receive either placebo or 60 mg of nimodipine four hourly for 21 days<sup>58</sup>. There was a relative reduction in the incidence of cerebral infarction and poor outcome in the treatment group by 34 and 40%, respectively. Furthermore, a meta-analysis of seven nimodipine trials demonstrated that nimodipine almost halved the risk of neurological deficit and/or death secondary to vasospasm<sup>59</sup>. The incidence of infarction was also reduced by almost 60%, although mortality rate was largely unaffected. The suggestion that oral nimodipine is of clinical benefit in this setting was echoed by another meta-analysis and a recent Cochrane review of trials investigating several calcium antagonists<sup>60,61</sup>.

Although oral nimodipine is accepted as standard care, its precise mechanism of action remains unclear. Despite being shown to reduce the incidence of DIND and infarction in clinical trials, it has negligible effects on angiographic vasospasm; indeed, nimodipine has been shown to improve behavioural outcomes in a murine SAH model without changing blood vessel diameter<sup>62</sup>. Treatment with nimodipine (which acts on the cerebral vasculature rather than at the neuronal level) resulted in worsening outcome despite increased blood

vessel luminal diameter. This suggests that nimodipine may be neuroprotective by blocking calcium influx at a neuronal level. A possible explanation for its limited effectiveness on angiographic vasospasm may relate to its predilection for small perforating arterioles rather than large proximal vessels: nimodipine results in greater vasodilatation of isolated rat intra-cerebral penetrating arterioles compared to pial arterioles. In a rat model of focal cerebral ischaemia, nimodipine reduced infarct size and both necrotic and apoptotic cell death<sup>63</sup>. It was also shown to antagonise spreading ischaemia induced by red blood cells in rats<sup>64</sup>. Its use in aSAH patients has been associated with an increase in fibrinolytic activity<sup>65</sup>. On balance, nimodipine's success in reducing the incidence of DIND in the clinical setting compared to its failure to improve outcome following ischaemic stroke may be reflective of the window of opportunity for preventing these cellular processes from taking place between aSAH ictus and the onset of DIND<sup>62</sup>.

### **Endovascular therapy**

Endovascular therapies for vasospasm have evolved since the first report of balloon catheter usage<sup>66</sup>. For patients with severe angiographic vasospasm and DIND that is refractory to haemodynamic therapy, the use of both endovascular balloon techniques and intra-arterial infusion of pharmacological vasodilators has become widespread. Research in this field consists largely of retrospective studies assessing the safety and efficacy of these techniques, with no randomised controlled trial to assess their impact on neurological outcome. For transluminal balloon angioplasty (TBA), several case series have reported angiographic improvements as high as 100%<sup>67</sup>. Overall

neurological improvement and incidence of infarction, however, has been modest<sup>67-69</sup>. Pharmacological agents such as papaverine were initially administered with fervour in an attempt to treat previously inaccessible distal vascular segments. However, criticism of its short active half-life, deleterious side effects (increasing intracranial pressure, which may depress capillary perfusion and venous outflow at the microcirculatory level<sup>70</sup>) and modest improvement in neurological outcome has kerbed this enthusiasm. A recent phase II randomised trial suggests that prophylactic TBA prior to the onset of DIND may reduce its incidence, although this study was inadequately powered to comment on the significance of this difference<sup>71</sup>.

Intra-arterial nimodipine administration is gaining some acceptance in DIND management. Although the first descriptive studies gave mixed results about its effectiveness in treating both angiographic vasospasm and DIND, recent studies have demonstrated clinical improvements in approximately 75% of patients, although its effect on angiographic vasospasm (the primary endpoint) remained unclear<sup>72-74</sup>. A recent small, prospective study has contradicted these findings<sup>75</sup>. Although mean transit time and selective time to peak of the brain parenchyma were reduced one day after treatment, this effect was not sustained. Effects on CBF and volume were even less apparent. Half of assessable patients were clinically unchanged and 20% worsened following intervention. However, a third of patients failed to demonstrate angiographic improvements following the first treatment and were therefore excluded from further intervention despite the evidence that nimodipine may be beneficial without altering vessel diameter. In order to

counteract the problem of short duration of action, continuous intra-arterial infusion of nimodipine may represent a promising mode of delivery<sup>76,77</sup>. There is evidence to suggest that recurrent angiographic vasospasm and DIND is less frequently seen following intraarterial nimodipine when combined with TBA<sup>78</sup>. Future prospective studies to evaluate the effects of intra-arterial nimodipine should therefore be adequately powered to detect changes in clinical symptoms and outcome rather than angiographic vasospasm, and should also be carefully separated from other concurrent endovascular modalities.

### **Nicardipine**

Nicardipine prolonged-release implants (NPRIs) have been subject to investigation in this setting<sup>79,80</sup>. Consecutive patients with aSAH implanted with NPRIs were shown to have a reduced incidence of angiographic vasospasm and DIND (11% in control group vs 6% in treated group)<sup>79</sup>. This was not a randomised study and was too small to comment on clinical differences. A small double-blinded randomised controlled trial which was not powered to detect changes in clinical outcome did demonstrate a significant reduction in delayed ischaemic lesions not attributable to operative morbidity in the study group given NPRIs<sup>80</sup>. Lower incidence of poor outcome and death were also noted in the treated group. This did not result in an improved quality of life at one year<sup>81</sup>. The use of nicardipine pellets or any drug infused via implants relies on placement during surgical aneurysm treatment. With the increasing trend towards endovascular treatment of ruptured aneurysms, future studies investigating such implants need to be devised with this in

consideration. The additional morbidity associated with application of these implants in those not undergoing surgical treatment of the aneurysm will have important implications on their potential role in the future.

### Statin therapy

There has been much interest in 3-hydroxy-3-methylglutaryl coenzyme A (HMGCoA) reductase inhibitors in the management of aSAH. These compounds are potent inhibitors of cholesterol production, although evidence suggests that statin therapy can also improve endothelial function and upregulate endothelial nitric oxide synthase (eNOS) without altering serum cholesterol levels. Other beneficial properties of statins include inhibition of platelet aggregration/adhesion, anti-inflammatory effects and decreased oxidative stress. Prospective randomised studies investigating the use of statins following aSAH have given promising results<sup>82-84</sup>, with simvastatin and pravastatin reducing the incidence of DIND by 60-80%.

However, although these studies were blinded, they were inadequately powered to detect changes in clinical endpoints. The 60% incidence of DIND in the placebo group of the Lynch study is substantially higher than that documented in the literature. Less than 50% of patients completed the treatment in the Tseng study, although results were analysed as intention-to-treat. In a recent study comparing outcome before and after the introduction of statin therapy, no statistically significant difference in the incidence of DIND or poor outcome was found<sup>85</sup>. Although this study was retrospective in design and spanned a time period when endovascular aneurysm treatment was

being introduced, the study groups were similar and this represents the largest clinical investigation of statin use following aSAH to date. Other smaller studies have noted similar results<sup>86,87</sup>. Recent meta-analyses of this data have given mixed opinions regarding the benefits of statins in this setting, although there is a unified call for a prospective, randomised and controlled trial to investigate this further<sup>88-90</sup> as the detectable clinical differences may be far smaller than those noted in the current prospective studies. The results from such a trial are awaited (www.stashtrial.com).

There have been mixed reports of the effects of long-term statin use prior to haemorrhage. Evidence for its benefit comes from two retrospective reports demonstrating an association between statin therapy and a reduction in the incidence of DIND and improved outcome<sup>91,92</sup>. However, continuity of therapy following admission was not clearly discussed and the Parra study lacked complete three-month outcome data. Evidence against the beneficial effects of statins consists of two larger retrospective studies demonstrating no association with DIND and an increase in angiographic vasospasm risk in those patients receiving statin therapy<sup>93,94</sup>. Many statin users had their medication discontinued on admission in both studies, which may have impacted on the results given that the effect of pre-haemorrhage use of statins on the subsequent course of the haemorrhage and outcome remains unclear.

### Magnesium

Magnesium sulphate acts as a non-competitive antagonist of voltage-dependant calcium channels and has been shown to have a neuroprotective effect by reducing vasospasm and infarct volume after experimental SAH. Hypomagnesaemia occurs in more than half of patients with aSAH and is associated with bleed severity<sup>95</sup> and, following ictus, is predictive of DIND. Treatment of aSAH patients with a continuous infusion of magnesium sulphate was associated with a 34% reduction in DIND risk and a better outcome<sup>96</sup>. A significant benefit was noted in delayed ischaemic infarction<sup>97</sup>. A recent Cochrane review of randomised trials investigating magnesium use in addition to oral nimodipine concluded that this represents a promising intervention that requires further investigation with a larger trial. Results from such a trial are awaited<sup>98</sup>.

### **CSF** drainage and thrombolysis

Since thick subarachnoid clot completely filling any cistern or fissure has been shown to be an independent predictor of DIND, it follows that clearance of blood from the subarachnoid space may reduce the prevalence and severity of DIND. The reported benefits of CSF drainage and thrombolysis in this setting will be discussed in the first part of this thesis.

# Pathophysiology of delayed ischaemic neurological deficit

Although current therapies have improved the prognosis in patients with DIND, outcome remains poor. Interventions such as TBA and endothelin antagonists effectively target angiographic vasospasm but fail to improve outcome, lending notion to the theory that angiographic vasospasm may be a parallel end-point to the pathological process that results in ischaemic injury. Thus, the relationship between angiographic vasospasm and neurological outcome may be associative rather than causative, and neurological injury may not be entirely explained by ischaemia. However, it must be emphasised that this is a theory and that angiographic vasospasm will probably play some part in DIND. Some patients do respond to angioplasty and other patients with significant angiographic vasospasm do develop ischaemia and infarction in this distribution and their outcome is poor. New lines of research are therefore redefining our understanding of this process. What follows is a discussion of the current evidence for the pathophysiology of DIND.

### Nitric oxide

Nitric oxide (NO) is a ubiquitous, inorganic and membrane-permeant gas produced by endothelial cells, neurons, glia and macrophages by three isoforms of nitric oxide synthase (NOS)<sup>99</sup>. Cerebrovascular tone requires continuous endothelial cell NO release<sup>100</sup> which, under physiological conditions, is produced by constitutive eNOS and neuronal NOS (nNOS). Paradoxically, NO has both neuroprotective and cytotoxic effects in the central nervous system (CNS). The relatively low levels of NO produced by eNOS are neuroprotective, whereas high levels of NO produced by macrophage nNOS and induced NOS (iNOS) during ischaemia may exacerbate/contribute to injury<sup>99</sup>.

Much of the research investigating NO in aSAH has been based on animal models and has concentrated on association with angiographic vasospasm. It has been postulated that oxyhaemoglobin found in abundance in the perivascular space following aSAH, can scavenge NO and destroy nNOS-containing neurons<sup>101</sup>. Several clinical studies have reported conflicting findings of CSF nitrate (a stable NO metabolite) elevation or depression following aSAH<sup>99,100,102</sup>. Sustained overproduction of NO can lead to membrane peroxidative injury, change in blood vessel morphology and endothelial dysfunction. However, neuronal injury secondary to ischaemia can also produce NO, and so it is unclear as to whether NO is a cause or a consequence of DIND, or both<sup>100</sup>. Indeed, much of the research that has concentrated on endothelial cell dysfunction and associated mediators has yet to determine whether endothelial cell dysfunction is a true pathophysiological

factor in angiographic vasospasm and DIND or just an epiphenomenon. Although NO donors such as sodium nitroprusside have been successful in treating vasospasm in animal models, they have not consistently shown promise in clinical studies and are potentially limited by side-effects<sup>103,104</sup>. Newer modalities of NO donation should be subject to future investigation<sup>105</sup>.

### **Endothelin**

Endothelin-1 (ET-1) is a molecule produced principally by the endothelium which acts at a paracrine level to promote smooth muscle contraction, vasoconstriction. endothelial/smooth muscle cell proliferation and inflammation<sup>106</sup>. Several human studies have demonstrated elevated levels of ET-1 in plasma, CSF and microdialysate following aSAH<sup>107-109</sup>. However, there have been conflicting results as to which compartment this elevation is observed in, and its precise relationship with angiographic vasospasm. More importantly, the association between levels of ET-1 and DIND has been demonstrably weak. A randomised, double-blind, placebo-controlled trial investigated the use of clazosentan, a selective ET-A receptor antagonist, following aSAH demonstrated a significant dose-dependent reduction in the primary end-point of moderate to severe angiographic vasospasm in patients randomised to the treatment arm<sup>110</sup>. However, this did not translate into improved clinical outcome and was associated with an increased incidence of pulmonary oedema, adult respiratory distress syndrome and hypotension. Centrally assessed post-analysis of this data did demonstrate a trend towards improved outcome when DIND with mild angiographic vasospasm was excluded.

### Lipid peroxidation

Accumulation of arachidonic acid may result in alterations in vasomotor regulation and disruption of blood brain barrier (BBB) integrity<sup>111</sup>. Haemoglobin breakdown products may activate lipooxygenase and cyclooxygenase, thus promoting conversion of arachidonic acid into products such as prostaglandins and leukotrienes. Levels of lipid peroxides were significantly higher in the CSF of aSAH patients with DIND than those without and were noted prior to the development of cerebral infarction. As such, these are more likely to have been produced by the blood clot rather than the infarction *per se*<sup>112</sup>. Efflux of free fatty acids is a marker of lipid peroxidation. CSF free fatty acid levels have been noted to undergo a biphasic increase after aSAH<sup>111</sup>, with patients with DIND having higher CSF concentrations of arachidonic, palmitic and linoleic acid.

The arguments in favour of lipid peroxidation playing a role in the pathogenesis of DIND are attractive. Oxyhaemoglobin may catalyse free radical generation and lipid peroxidation, resulting in membrane perturbation and cellular dysfunction within the arterial wall. Lack of effective scavenger systems within CSF may account for the delayed nature of the deficits since systems originating in serum may suppress free radical reactions within three days of haemorrhage<sup>112</sup>. In addition, lipid peroxidation may directly stimulate smooth muscle contraction and generate an inflammatory response involving arachidonic acid metabolites<sup>111</sup>. Five multicentre randomised controlled trials have investigated the use of tirilazad, an inhibitor of lipid peroxidation, a

recent meta-analysis of which has shown that although tirilazad treatment was associated with a significant reduction in the incidence of DIND, overall outcome was unchanged 113,114.

### **Bilirubin oxidative products (BOXes)**

In terms of oxidative stress, there has also been interest in free radical oxidation of bilirubin, biliverdin and haem to produce BOXes<sup>115,116</sup>. Interestingly, the formation of bilirubin and subsequent oxidation to BOXes results in a peak concentration of the latter which coincides with the peak period of angiographic vasospasm and DIND<sup>116</sup>. Cerebrospinal fluid from aSAH patients with angiographic vasospasm (with and without DIND) features higher levels of bilirubin, oxidative stress and BOXes than that of nonvasospasm patients<sup>117</sup>. Unfortunately, the emphasis of much of this elegant work has been on in vitro studies and angiographic vasospasm. BOXes applied to the brain surface of male Sprague Dawley rats led to a dosedependent arteriolar vasospasm without any evidence of infarction<sup>118</sup>. This discrepancy was thought to be due to the single application of BOXes as opposed to prolonged exposure in the clinical setting or the possibility of other factors involved in the development of DIND and infarction<sup>116</sup>. Either way, further human studies are required to determine and compare the oxidative stress status and the level of BOXes in both DIND and non-DIND patients.

### Microcirculation and autoregulation

It has been demonstrated that regional CBF is only affected once extraparenchymal vasospasm results in >50% luminal narrowing<sup>119</sup>. Although

large cerebral vessels contribute to vascular resistance, they do not significantly affect CBF<sup>120</sup>. A major determinant of microvascular pressure is the ratio of upstream to downstream resistance<sup>121</sup>. If large arteries constrict and arterioles dilate, there will be a decrease in microvascular pressure without a change in CBF, making microvascular pressure an independently regulated variable and contradicting the concept that large vessel vasospasm contributes significantly to downstream disturbances of CBF. Although severe extraparenchymal vasospasm is associated with reduced CBF, both reduced CBF and DIND are noted even with mild vasospasm or no vasospasm at all (i.e. severe vasospasm is not a prerequisite for DIND)<sup>119,122,123</sup>. sources of evidence against large vessel vasospasm as a significant cause of ischaemia and neurological deficit include several studies demonstrating a poor correlation between the site and severity of angiographic vasospasm and the clinical/measured ischaemia 119,120. The location of cerebral infarction on CT could not be predicted by angiographic vasospasm in up to one-third of cases<sup>124</sup>, although formal angiography was not performed in all patients. Post-mortem studies have demonstrated that most infarcts following aSAH do not have the typical appearance of large territorial infarcts and are cortical in >75% of aSAH patients<sup>125</sup>. Widespread and hypothalamic lesions consistent with those secondary to diffuse microangiopathy were noted in a subset of patients. Cortical lesions were associated with fluctuating blood pressure suggesting autoregulatory dysfunction. A direct effect of blood clot around the cortex has also been implicated with cortical infarcts 126.

These observations that there may be other factors contributing to ischaemia have prompted increasing interest in cerebral microvasculature and autoregulatory function. The evidence to suggest that vasospasm can occur at a microvascular level is based largely on animal models and has given conflicting views, although a clinical study has demonstrated a strong inverse correlation of peripheral cerebral circulation time (a measure of microvascular resistance) and regional CBF regardless of the severity of angiographic vasospasm by digital subtraction angiography<sup>119</sup>.

Initial reports indicate that reduced autoregulatory responses to carbon dioxide are not due to autoregulatory capacity dysfunction, but may instead be due to maximal dilatation of peripheral arterioles in an attempt to maintain CBF when cerebral perfusion pressure (CPP) is reduced<sup>48,119</sup>. More recent positron emission tomographic (PET) studies have demonstrated a reduction in cerebral blood volume (CBV) implicating impairment of the vasodilatory capacity of distal vessels in the face of reductions in CPP<sup>127</sup>. concluded that since a reduction in CBV was noted in areas of angiographic vasospasm under hypoxic conditions that would normally increase CBV, then parenchymal vessels distal to arteries demonstrating vasospasm exhibited impaired autoregulatory dilation. This opinion has been echoed by other studies measuring autoregulation by different techniques 128-130. For example, a recent study utilised brain tissue oxygen (PtiO<sub>2</sub>) and pressure reactivity as a surrogate measure of autoregulation to demonstrate impairment of autoregulatory capacity in aSAH patients with DIND several days prior to the onset of ischaemic changes<sup>128</sup>. It is thought that perturbed cerebral vasculature autoregulatory function fails to compensate for vasospasm-induced reductions in vessel diameter at the microvascular level, thereby increasing the risk of ischaemia<sup>128</sup>. This is probably an oversimplification of a complex process, highlighted by the wide variation in CBF patterns amongst patients with DIND, which range from reduced flow to hyperaemia on PET images<sup>131</sup>.

Although current evidence points to autoregulatory dysfunction in DIND, more clarity is required regarding the extent of this dysfunction and the regions at risk. Whether this represents new autoregulatory dysfunction at the time of/immediately preceding DIND or a failure to re-establish autoregulation after the haemorrhage ictus is still unclear. New clinical modalities including real-time local CBF monitors used in conjunction with other local and global monitoring tools may help improve our understanding of microcirculation. These findings would clearly need to be considered in context with cerebral metabolism and oxygenation.

### **Microthrombosis**

There has been increasing interest in the role of platelets and the coagulation system in DIND. Platelet aggregation is thought to impede circulation and aggravate brain injury in addition to causing scattered microinfarcts<sup>132</sup>. This is supported by human post-mortem studies following aSAH which have demonstrated the presence of infarcts with the appearance of those secondary to small thomboemboli<sup>120</sup>. Other possible mechanisms of injury resulting from platelet aggregation include release of vasoactive contents from

granules and alterations in microvascular structure following release of enzymes<sup>133</sup>. While an increase in platelet consumption in the days following aSAH was shown to be an independent predictor of DIND in an Asian population<sup>134</sup>, these findings were not seen in a Caucasian population<sup>135</sup>, although both studies lacked assessment of platelet function. A recent meta-analysis of seven trials investigating anti-platelet therapy following aSAH concluded that this treatment may reduce the risk of ischaemic complications and improve outcome, although these findings were not statistically significant<sup>136</sup>.

Aneurysmal SAH has nevertheless been associated with a hypercoagulable state 134,137-139. Markers of thrombin production and fibrinolytic activity were significantly raised and correlated with grade of aSAH and long term outcome 137-139, although this correlation was less apparent in a larger study 140 and no association has been found between these markers and DIND/cerebral infarction 137,139,141. There have been conflicting reports as to whether D-dimer is an independent predictor of DIND 138,141. Although uncertainty exists regarding CSF markers of fibrinolysis since most studies had been performed in conjunction with antifibrinolytic therapy, a recent study has demonstrated an association between CSF thrombin activation and infarction secondary to DIND 142. Only the rate of blood clearance in the first three days following aSAH could predict DIND. Higher levels of thrombin activation were noted in patients with low blood clearance and in those with DIND. This raises the question as to whether the compartmentalised CNS coagulation cascade exerts its primary effect by slowing blood clearance and

allowing other factors to trigger DIND rather than by a direct thrombotic effect per se.

### **Inflammation and Complement**

There is increasing evidence to suggest that a complex inflammatory response is initiated both systemically and within the CNS following aSAH. How this relates to ischaemic neurological injury and which exact mediators and mechanisms are involved is currently unclear. A detailed review of this subject is presented in part 2 of this thesis.

### **Apoptosis**

Endothelial cell apoptosis has been implicated in breakdown of the BBB, oedema formation and secondary brain injury following aSAH<sup>143</sup>. A key event in this process is thought to involve apoptosis of neurons and cerebral endothelial cells. Neuronal cell death contributes to cytotoxic oedema while endothelial cell apoptosis is thought to result in a breakdown of the BBB, which subsequently contributes to vasogenic oedema.

Although experimental data is drawn principally from animal models (which operate on a different timescale to that seen in humans) following SAH, it has repeatedly been shown that the apoptotic apparatus is activated following SAH<sup>143-147</sup> (Table 1). While p53 is a transcription factor that has been implicated in the pathogenesis of neoplastic disease, there is evidence to suggest that it also plays a role in the apoptotic process following aSAH via caspase-dependent and independent pathways<sup>144</sup>. Both p53 and pan-

caspase inhibition attenuate apoptotic activation and, more importantly, reduce delayed (>24 h) BBB breakdown and increases in brain water content. Neurological outcome has also been shown to improve in most models. However, because of the broad mechanism of action of p53 and the complexity of the caspase-dependent and independent pathways, further information is required regarding the type and extent of inhibition required to induce these responses. Future clinical studies investigating apoptotic activation following aSAH may well underpin the development of new therapeutic strategies.

### **Spreading Depolarisation**

Cortical spreading depolarisation (CSD) was first described in rabbit cerebral cortex<sup>148</sup> and refers to the wave of neuronal depolarisation which is ignited when passive cation influx across cell membranes exceeds adenosine triphosphate (ATP)-dependant sodium and calcium pump activity, which if prolonged can result in cell death<sup>149</sup>. Since under physiological conditions pump recruitment occurs, this is an energy consuming process. As a result, CSD induces vasodilation causing an increase in CBF. However, under ischaemic conditions, CSD can exacerbate ischaemia by causing severe microvascular vasoconstriction and reduced CBF<sup>150</sup>. This cortical spreading ischaemia (CSI) describes this CSD-induced perfusion deficit<sup>149</sup>.

### Table 1-Summary of experimental evidence investigating apoptotic pathways following SAH

Abbreviations: SAH-subarachnoid haemorrhage, TUNEL- Terminal deoxynucleotidyl transferase dUTP nick end labeling, BBB-blood brain barrier, TNF-tumour necrosis factor, IL-interleukin, BA-basilar artery, Bcl-B-cell lymphoma, bax-Bcl-2 associated X-protein.

Study	Model Utilised	Apoptotic	Main findings (Effect of inhibition)	Effect on neurological scores/brain
Author		inhibition		oedema/mortality
Park et al.,	Sprague-Dawley rat	Pan-caspase	1. No change in caspase-3 and TUNEL staining in	No significant difference in mortality.
2004	SAH model.	inhibition.	hippocampus and cortex but attenuation in endothelial cells.	Improvement in BBB permeability, brain water
			2. Attenuation of vasospasm in blood vessels.	content and neurological score after 24 hours
				in treated group.
Zhou et al.,	Mongrel dog double	p53 inhibition.	1. Attenuation of angiographic and histological vasospasm. 2.	Delayed improvement in appetite and activity
2005	SAH model.		Significantly reduced expression of all apoptotic proteins. 3.	in the treated group.
			Reduced expression of TNF-α in endothelial and smooth	
			muscle cells.	
Cahill et al.,	Sprague-Dawley rat	p53 inhibition.	1. Attenuation of increased expression of all apoptotic	Improved mortality and neurological scores at
2006	SAH model.		mediators, particularly p53. 2. Attenuation of severe BA	72 hours. BBB function and brain water
			vasospasm at 24 and 72 hours.	content improved at 24 hours only, effects had
				subsided by 72 hours.
Iseda et al.,	New Zealand white	Pan-caspase	1. Reduced angiographic/histological vasospasm. 2 Reduced	Not commented upon.
2007	rabbit and Sprague-	inhibition.	CSF IL-1β. 3. Reduced caspase-1/IL-1β immunoreactivity in	
	Dawley rat SAH models.		infiltrating macrophages in the subarachnoid space.	
Gao et al.,	Sprague-Dawley rat	Pan-caspase	1. Attenuation of p53 and TUNEL staining in cerebral cells. 2.	Improvement in neurological function, brain
2008	SAH model.	inhibition	Attenuation of histological vasospasm. 3. Reduced protein	water content and BBB permeability at 24
		(tetramethylpyrazin	levels of Bcl-2, bax and caspase-3 in hippocampus and basal	hours in treated group.
		e)	cortex.	

Animal studies have demonstrated that topical brain superfusion of artificial CSF with a high concentration of haemoglobin and potassium resulted in acute, spreading decreases in CBF<sup>151</sup>, which transformed a normal spreading hyperaemic response into a CSI<sup>152</sup>. This pattern of ischaemia was similar to that of ischaemia-reperfusion seen in brain injury and was reversible by nimodipine. The similarity of these experimental conditions with aSAH has prompted the question of whether spreading depolarisation is associated with DIND. In a recent human study of aSAH, the evolution of ischaemic stroke was associated with clusters of CSD and increasingly prolonged periods of electrocorticographic depression, the latter being restricted to areas of radiologically new infarct evolution 149,153. Only patients with DIND demonstrated a statistically significant delayed increase in the number of spreading depolarisations per day. Similarly, the presence and absence of a delayed cluster of CSD had high positive and negative predictive values for DIND. Although these are preliminary findings, spreading depolarisation in this context should be the subject of further investigation.

### **Summary**

Although progress has been made in improving the management of aSAH and established DIND, morbidity and mortality associated with DIND remains significant. There is a paucity of good evidence to support even the most established therapies. Carefully devised studies are required to investigate the components of haemodynamic therapy and the continuously evolving endovascular technologies. In particular, emphasis must be placed on clinical endpoint with the aid of multimodal monitoring where appropriate. This has important implications for trial size and design since DIND is an endpoint occurring only in a third of patients.

The significant progress in our understanding of the pathophysiology of DIND has been marred by difficulties in differentiating between the effects of the initial haemorrhage and early brain injury with those of DIND and, similarly, between the causes and consequences of ischaemia. Distinguishing DIND from angiographic vasospasm is also paramount in improving our understanding of this pathological process since both are closely related spatially and chronologically and are likely to be caused by similar mechanisms. This bears on animal-based studies that have traditionally focused on angiographic vasospasm. Nevertheless, the development of new therapeutic interventions that currently lie at the translational level hold much promise for future patient prognosis.

## Aims of the current study

There are two arms to the current study:

- 1. A prospective, randomised and controlled trial (RCT) investigating the use of lumbar drainage of CSF following aSAH in reducing the prevalence and severity of DIND.
- 2. Assessment of the hypothesised inflammatory response following aSAH and the effects of lumbar CSF drainage on this inflammatory response.

Part 1: Lumbar drainage
of cerebrospinal fluid
following aneurysmal
subarachnoid

haemorrhage: A

prospective,

randomised and

controlled trial (LUMAS)

### Introduction

The reported prevalence of DIND is 20-35% although in those with a higher blood load this may be as high as 40%<sup>154-156</sup>. It is thought to result in cerebral infarcts in approximately 20% of patients<sup>157</sup> and cause 13% of all death and disability following aSAH<sup>155,158</sup>. Although the underlying pathophysiological process is not known<sup>159</sup>, the presence of blood or its breakdown products within the subarachnoid space and cisterns is clearly associated with DIND<sup>4,25,160</sup>. An association between the size of the blood clot following aSAH and the prevalence of angiographic vasospasm and DIND is historically attributed to Fisher<sup>25</sup>. This has subsequently been verified<sup>161,162</sup> and thick subarachnoid clot completely filling any cistern or fissure has been shown to be an independent predictor of DIND<sup>4</sup>.

It follows from this that attempts at clearing the subarachnoid space of blood may potentially reduce the prevalence and severity of DIND. Numerous techniques have been described to reduce the blood load including external ventricular drainage, cisternal drainage, intrathecal thrombolysis, head-motion therapy, third ventriculostomy and incision of Lillequist's membrane 163-167. Studies investigating cisternal drainage have reported mixed results 163,168-172. They are small, retrospective and often combine results with other forms of CSF drainage (lumbar and external ventricular drainage) and intrathecal thrombolysis. Trials investigating recombinant tissue-plasminogen-activator following aneurysm clipping have also given mixed results although a meta-analysis of over 600 patients has demonstrated an absolute risk reduction of 14% for DIND, 10% for poor Glasgow Outcome Scale scores and 5% for

death<sup>173</sup>. Although the overall rate of complications secondary to thrombolysis was considered low, cisternal drains and intrathecal thrombolysis are not without morbidity. Given the trend towards endovascular treatment for ruptured aneurysms there is now restricted access to the subarachnoid cisterns in most patients who traditionally would have undergone a craniotomy and clipping of the ruptured aneurysm.

There has been some evidence to suggest that drainage of CSF via the lumbar cistern may be of some benefit in reducing the prevalence of DIND<sup>156,174</sup>. A lumbar drain is less invasive than other forms of CSF drainage and is a device that is regularly used for other clinical purposes. A recent study has retrospectively analysed the effects of lumbar drainage in aSAH by comparing the practice of two vascular neurosurgeons during the period of 1994 and 2003<sup>156</sup>. One surgeon routinely placed an intra-operative lumbar drain during clipping or endovascular coiling of an aneurysm (unless contraindicated), whilst the second surgeon did not. Data was collected on 266 patients, although following adherence to strict exclusion criteria, the study included a group of 167 aSAH patients.

All patients received standardised care including early definitive treatment for the aneurysm (surgical or endovascular coiling) and optimal medical therapy. Patients presenting with radiological evidence of raised intracranial pressure and/or hydrocephalus were treated with external ventricular drainage regardless of future insertion of lumbar drainage. Patients were divided into two groups, those that had lumbar drainage (number of patients 81) and those

that did not (number of patients 86). Outcome measures included the development of clinically evident vasospasm, the need for endovascular interventions (including angioplasty and/or intra-arterial papaverine) and the development of vasospasm-related infarction. Results were in favour of lumbar drainage. There was a statistically significant difference in the incidence of clinically symptomatic vasospasm (51% in the non-lumbar drain group, 17% in the lumbar drain group), need for endovascular therapy (45% in the non-lumbar drain group, 17% in the lumbar drain group) and vasospasm-related infarction (27% in the non-lumbar drain group, 7% in the lumbar drain group). There was also a statistically significant difference between the two groups in disposition at discharge, length of in-patient stay and Glasgow outcome scores (GOS) (better in the lumbar drain group).

Although a robust retrospective study, there were certain limitations. The study had a degree of selection bias with a tendency of higher Fisher grades (those in group 3-4 and group 4) not to have lumbar drainage. Logistic regression analysis and subgroup analyses showed that following correction for this bias, results were still in favour of lumbar drainage. Other limitations of the study include the long period in which patients presented to the department (9 years) and the inherent limitations to retrospectively analysing case notes. In addition, comparing the practice of two neurosurgeons introduces another bias. Other features which could be addressed in a future study include quantification of the amount of CSF drainage (including the amount of intraoperative drainage) and the use of lamina terminalis fenestration during the operation and the implications this would have in the

outcome measures<sup>175</sup>. These factors have not been adequately addressed in this study. A prospective, randomised and controlled trial will provide a solid scientific platform upon which to investigate the use of lumbar drainage following aSAH<sup>156,175,176</sup>.

### Aims of the study

The aim of this study is to conduct a prospective, randomised and controlled trial to investigate the use of lumbar drainage of CSF following aSAH.

The following questions are to be answered:

- In aSAH patients, does lumbar drainage reduce the prevalence and severity of DIND?
- 2. Does lumbar drainage improve long-term clinical outcome following aSAH?
- 3. Does lumbar drainage affect the prevalence of chronic hydrocephalus and the need for CSF shunting following aSAH?
- 4. Can lumbar drainage be incorporated into the treatment plan for aSAH in a safe and effective manner?

### **Materials and Methods**

### **Patients**

This single-centre prospective randomised controlled trial commenced recruitment of patients with aSAH in October 2006 and closed recruitment in July 2010 as per protocol (appendix 1). Patients admitted to the neurosurgery department at Leeds General Infirmary with aSAH were assessed for suitability according to inclusion criteria (table 2). The protocol was approved by the Leeds West Research Ethics Committee (October 2006).

### **Procedure and interventions**

Written consent (or assent obtained from relatives in those unable to give informed consent) (appendices 2 and 3 respectively) was obtained and the patient was randomised to either the study group of insertion of a lumbar drain in addition to standard therapy or the control group of standard therapy alone.

Standard therapy consisted of management in a neurosurgical high dependency unit by a multidisciplinary neurovascular team, fluid replacement to maintain euvolaemia and 60 mg of oral nimodipine administered every four hours. CT angiography ± DSA was performed to confirm the presence of a cerebral aneurysm and the aim was for early aneurysm treatment. Aneurysm treatment was performed using either endovascular or surgical techniques as assessed by the treating neurovascular surgeon and the interventional radiologist. In those patients demonstrated to have multiple aneurysms,

Table 2-Inclusion criteria for recruiting patients.

Age 18 years or over.

Written informed consent or relative assent obtained.

Proven aneurysmal subarachnoid haemorrhage.

World Federation of Neurological Surgeons grade 1-3 (appendix 1.2)<sup>1</sup>.

Fisher grade 2, 3 or 4 on initial CT scan (appendix 1.3).

Recruitment prior to 96 hours post-haemorrhage<sup>2</sup>.

No contraindication to lumbar drain<sup>3</sup>.

- 1. Assessed following transfer to the recruiting centre and resuscitation (including treatment of acute symptomatic hydrocephalus thought to be contributing to a deteriorating Glasgow Coma Score (GCS) with an external ventricular drain where relevant).
- 2. If presentation was beyond four days, according to the trial hypothesis, pathways that may lead to DIND may already have been established.
- 3. This includes bleeding diathesis, large supratentorial intracerebral/subdural haematomas causing midline shift and any size of posterior fossa intracerebral haematoma. Small intraventricular haemorrhage per se was not deemed a contraindication although significant ventricular haemorrhage was excluded.

attempts at treatment were applied to all aneurysms where possible although treatment priority rested with the aneurysm responsible for the haemorrhage. If a patient was diagnosed with a DIND, hyperdynamic therapy was instituted to improve cerebral perfusion (crystalloid and colloid fluids ± inotropes to augment MAP). If deemed appropriate, further DSA and intra-arterial nimodipine ± TBA were performed regardless of the presence or absence of large vessel angiographic vasospasm.

If randomised to the study arm, the patient underwent insertion of a Medtronic<sup>R</sup> lumbar intrathecal catheter into the lumbar cistern. This was performed using an aseptic technique with local anaesthesia (or under general anaesthesia if at the same time as aneurysm treatment). A 14-gauge Tuohy needle was inserted into the lumbar cistern and lumbar catheter was inserted through this needle to the length of 20 cm and secured. The catheter was connected to a Medtronic<sup>R</sup> Becker drainage system adjusted hourly to drain 5-10 ml of CSF per hour. This remained in-situ until the CSF was visibly clear or for ten days (whichever was the sooner). Following screening and recruitment, patients were not subjected to further DIND prevention trials.

#### **Outcome measure**

The primary outcome measure was the prevalence of DIND. This was defined as a drop in consciousness (one motor score or two eye/verbal scores of the GCS) or a new focal neurological deficit at least 96 hours post haemorrhage, not present immediately after aneurysm treatment and following exclusion of other causes. These other causes included seizure,

hydrocephalus, re-bleed, hypoxia/respiratory failure, end-organ failure, sepsis and electrolyte disturbance. This diagnosis could be made within 21 days of ictus. TCD, CT-A and CT-P were utilised when required to aid management. Angiographic vasospasm was not a pre-requisite for diagnosing DIND in this cohort of non-comatosed aSAH patients with a good WFNS grade<sup>177</sup>.

Secondary outcome measures were: MRS at day 10 and at six months following haemorrhage, persisting neurological deficit at discharge in those who have had a DIND, radiologically confirmed established infarct (as assessed by CT when performed as part of clinical care only) and the prevalence of ventriculoperitoneal CSF shunting.

### Randomisation

Randomisation to treatment group was performed by randomly permuted blocks of 20 with an allocation ratio of 1:1 using an online package (http://www.randomization.com). Instructions on the next intervention were sealed in an envelope and numbered at the start of the study. The research team were blinded to any information about block size and the envelopes were prepared independently from the research team involved in recruiting patients and managing the trial. The research team opened the envelopes sequentially at the time of randomisation only.

### **Blinding**

Although treatment allocation could not be blinded, clinicians/investigators were masked to outcomes when possible. MRS at six months was obtained

by the investigators (Y.A and D.B) blinded to the treatment allocation via a structured telephone interview directly with the patient (or their primary carer if the patient was unable to communicate on the phone) as previously described<sup>178</sup>. Blinding of treatment allocation was not always possible for MRS obtained at ten days post haemorrhage but was performed by a physiotherapist involved with the patient's clinical care and verified by the investigators (Y.A and D.B). All diagnoses of DIND were made by a multidisciplinary neurovascular team (neurosurgeons, neurointensive care anaesthetists and neuroradiologists) and were prospectively and retrospectively verified (from prospectively collected clinical parameters (appendices 4 and 5) and electronically recorded blood results/picture archiving and communication system) by the investigators blinded to the treatment allocation. With the exception of a few additional clinicians, the multidisciplinary team had remained largely unchanged over the four-year study period. No disagreements occurred between prospective and retrospective assessments due to the relative ease of assessing the neurology of good grade patients, the absolute diagnostic criteria for DIND and the objective investigations required to exclude other causes of neurological deterioration. Neuroradiologists blinded to the treatment allocation reported radiologically confirmed infarcts as part of routine clinical care.

### **Statistical Analysis**

The power calculation was based on a prevalence of DIND of 40% as previously audited in the neurosurgical department at Leeds General Infirmary

and an estimated benefit of a 20% absolute reduction in DIND with lumbar drainage (based on the current evidence for lumbar drains<sup>156</sup>). For 85% power this required 105 patients in each arm. Planned interim analyses were performed following recruitment of 40 patients (to establish adverse effects only) and 100 patients. The data monitoring committee/statistical consultation advocated continuation of the trial to completion following these analyses.

Baseline data on all patients with aSAH was collected prospectively on confirmation of the aneurysmal cause of the haemorrhage. The primary analysis was performed on an intention-to-treat basis. A secondary analysis with some patients being excluded was also performed (see below). The analysis of the primary outcome was a categorical frequency comparison with the Chi-squared ( $\chi^2$ ) test. Comparison of secondary outcome data between groups was performed with several tests. All numerical data were assessed for normality using the Shapiro-Wilks test and Q-Q plots. Normal numerical data was tested with the independent t-test and data not normally distributed with the Mann-Whitney U test. Categorical frequencies were compared with the  $\chi^2$  test or Fisher's exact test when a cell size was less than 5. p<0.05 was considered statistically significant. All statistical tests were conducted with IBM Statistics for the Social Sciences (SPSS) version 18. This study was registered with ClinicalTrials.gov (NCT00842049).

### Results

The trial profile is shown in figure 1. From a total of 426 patients with aSAH, 210 were recruited and randomised to the trial. The main reasons for exclusions were poor WFNS grade and delayed presentation following ictus. The primary analysis followed intention-to-treat principles and included all 210 patients. A secondary analysis was performed that excluded those patients in the study group that could not receive lumbar drain/CSF drainage therapy due to an inability to place a functioning drain and those patients in the control and study groups that were treated for hydrocephalus with early external ventricular drainage (within 14 days of ictus). Two randomised patients that were initially diagnosed with aSAH based on CT-A were subsequently found not to be aneurysmal on formal angiogram and were therefore excluded as part of this secondary analysis.

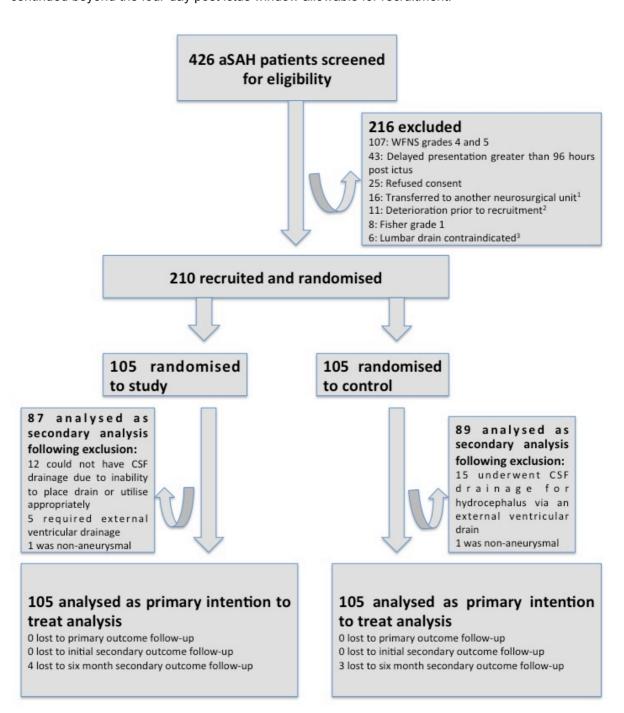
Table 3 shows baseline patient characteristics including age, gender, WFNS grade, Fisher grade, aneurysm type and treatment modality in the two groups. There is a greater prevalence of multiple aneurysms in the study group and hydrocephalus requiring external ventricular drainage in the control group.

Table 4 shows the primary intention-to-treat analysis of primary and secondary outcome measures. There was a significantly lower prevalence of DIND in the study group (prevalence of DIND in the control group 35% (confidence interval (CI) 26.2-45.2%) versus 21% (CI 13.6-30.0%) in the study group (p=0.021)). A better outcome at ten days post ictus was noted for

those in the study group. There were no significant differences noted in the other outcome measures including MRS at six months.

**Figure 1- Trial profile.** 1. Between 2006 and 2008 endovascular services at Leeds General Infirmary were occasionally unavailable and so following initial assessment and stabilisation; some patients were transferred to other neurosurgical units for their continued management.

- 2. Initially good World Federation of Neurological Surgeons (WFNS) grade with very early subsequent neurological and/or systemic deterioration prior to recruitment to the trial.
- 3. Radiologically or due to heparin therapy given during endovascular treatment, which continued beyond the four-day post ictus window allowable for recruitment.



### Table 3 (overleaf)-Baseline characteristics for patients in both arms of the trial

Abbreviations: M-male, F-female, WFNS-World Federation of Neurological Surgeons, ICA-internal cerebral artery, OA-opthalmic artery, PComA-posterior communicating artery, MCA-middle cerebral artery, ACA-anterior cerebral artery, PA-pericallosal artery, AComA-anterior communicating artery, VA-vertebral artery, BA-basilar artery, SCA-superior cerebellar artery, PICA-posterior inferior cerebellar artery, PCA-posterior cerebral artery.

Characteristic			Control Group	Study Group	Significance
Cohort size			105	105	N/A
Median age (in	terquartile rar	nge)/years	56.1 (45.0- 63.6)	53.3 (44.9- 62.2)	p=0.38
Sex (M:F)			1:3.6	1:4	p=0.74
WFNS grade	1		63.8	68.6	p=0.47
(% of group)	2		31.4	25.7	
	3		4.8	5.7	
Fisher grade	2		43.8	37.1	p=0.33
(% of group)	3		41.9	39.1	<b>-</b>
	4		12.4	10.5	
	3+4		1.9	13.3	
Aneurysm type (number	Anterior circulation	ICA	6	8	p=0.25
of patients) <sup>1</sup>	Circulation	OA	1	2	
		PComA	21	16	
		MCA	17	21	
		ACA	18	8	
		PA	2	5	
		AComA	26	25	_
	Posterior circulation	VA	0	1	
		ВА	3	10	
		SCA	1	1	
		PICA	6	4	
		PCA	3	3	
	None		1	1	
Multiple aneurysms/% of group		pup	18.1	32.6	p=0.017
Aneurysm	Coiling		86	78	p=0.45
Treatment	Clipping		13	16	<b>∃</b> .
	Both <sup>2</sup>		4	10	
	None <sup>3</sup>		2	1	
Procedural	Aneurysm ru	upture/coiling	3	3	N/A
adverse	Aneurysm rupture/clipping		2	4	
events <sup>4</sup> Ischaemia post procedure <sup>5</sup>		9	11		
Hydrocephalus requiring early external ventricular drainage			15	5	p=0.032

### Table 4-Primary intention-to-treat analysis

Abbreviations: DIND-delayed ischaemic neurological deficit, MRS-Modified Rankin Score, CSF-cerebrospinal fluid.

Outcome measure		Control	Study	Significance
		Group	Group	
		(n=105)	(n=105)	
		,	,	
Number of patients with DIND (% of group)		37 (35.2%)	%) 22 (21.0%) <b>p=0.021</b>	p=0.021
Relative risk of DINI	D for control versus	1.7 (1.1-2.6)		
Relative risk of DIND for control versus study (95% confidence interval)		1.7 (1.1-2.0)		
Number of patients diagnosed with a		13 (12.4%)	10 (9.5%)	p=0.51
DIND and a persisting	•			
deficit at discharge (% of group)				
Type of DIND (%	Focal	21 (56.8)	9 (40.9)	p=0.29
of patients with	Altered	9 (24.3)	8 (36.4)	
DIND)	consciousness	3 (24.0)	0 (30.4)	
	Both	7 (18.9)	5 (22.7)	
Number of patients	with radiologically	22/66	15/60	p=0.21
confirmed infarct/nu	•	(21%)	(14.3%)	
imaged (% of total g				
Number of patients	39 (37.5%)	58 (55.2%)	p=0.009	
day ten (% of group	day ten (% of group)			
Number of patients	83 (81.4%)	81 (80.2%)	p=0.83	
months (% of group				
Number patients de	5 (4.8%)	4 (3.8%)	P=1.00	
of group)	3 ()	7 (3.370)		
Number of patients	8 (7.6%)	6 (5.7%)	p=0.58	
CSF shunting (% of				
		1		

### Table 5-Secondary analysis with exclusions

Abbreviations: DIND-delayed ischaemic neurological deficit, MRS-Modified Rankin Score,

CSF-cerebrospinal fluid

Outcome mea	Control Group (n=89)	Study Group (n=87)	Significance	
Number of patients group)	32 (36.0%)	14 (16.1%)	p=0.003	
Relative risk of DIN study (95% confide	2.2 (1.3-3.9)			
Number of patients diagnosed with a DIND and a persisting neurological deficit at discharge (% of group)		10 (12.2%)	7 (8.0%)	p=0.47
Type of DIND	Focal	20	4	p=0.034
	Altered consciousness	6	4	
	Both	6	6	
Number of patients with radiologically confirmed infarct/number of patients imaged (% of group)		18/51 (20.2%)	10/46 (11.5%)	p=0.11
Number of patients day ten (% of group	38 (42.7%)	52 (59.8%)	p=0.02	
Number of patients months (% of group	71 (82.6%)	72 (86.7%)	p=0.45	
Number of patients (% of group)	3 (3.4%)	3 (3.3%)	p=1.00	
Number of patients requiring permanent CSF shunting (% of group)		3 (3.4%)	3 (3.4%)	p=0.98

Two patients with a lumbar drain developed meningitis and one patient developed a lumbar drain exit site infection. All cases were treated with antibiotics with no permanent morbidity. There were thirteen cases of organism growth in CSF or the lumbar drain tip that had no clinical significance. Lumbar drains could not be inserted/did not function on insertion in 9 patients. Concerns about a post-operative intracranial haematoma resulted in cessation of lumbar drainage in 3 patients. There was one case of symptomatic hydrocephalus requiring external ventricular drainage despite a patent and functioning lumbar drain in-situ. There was one case of continued low-pressure headaches several weeks after removal of the lumbar drain. This required a dural blood patch. There were no cases of neurological deterioration/re-bleed of aneurysm secondary to lumbar drain insertion.

Mean CSF drainage was 138 ml/24 hours (confidence interval 124-152 ml/24 hours) (data missing in 16 patients). The mean duration of drainage was 5.0 days (CI 4.5-5.6 days, range 1-12 days). Drain insertion occurred on day 1 post ictus in 31%, day 2 in 32%, day 3 in 26% and day 4 in 11% of patients. Drain insertion occurred prior to aneurysm treatment in 31%, on the day of aneurysm treatment in 40% (approximately half immediately prior to treatment and half several hours after treatment) and after aneurysm treatment in 29% of patients. For the first 53 patients in the lumbar drain group, the opening pressure was recorded. Mean opening pressure (95% confidence intervals) was 27.3 cmH<sub>2</sub>O (24.9-29.7 cmH<sub>2</sub>O).

Table 6 shows the prevalence of DIND in the control and study groups stratified by Fisher grade. There was a trend for a lower prevalence of DIND in Fisher grades 2, 3 and 4 although this was statistically significant in Fisher 3 patients only. Table 7 shows the prevalence of DIND in the study group stratified by the day of drain insertion relative to the haemorrhagic ictus. The relative risk of DIND for control versus study group was greater in those with drain insertion on days 3 and 4 post ictus. Approximately 94% of all patients had presented to the neurosurgery department within 48 hours of ictus.

## Table 6-Prevalence of DIND stratified by Fisher grade

Abbreviations: DIND-delayed ischaemic neurological deficit

Fisher Grade	Control Group: Number of patients with DIND/Total number in subset (% of subset)	Study Group: Number of patients with DIND/Total number in subset (% of subset)	Significance	Relative Risk of DIND for control versus study (95% confidence intervals)
2	8/46 (17.3)	3/39 (7.7)	p=0.21	2.53 (0.62-10.3)
3	22/44 (50)	9/41 (22.0)	p=0.013	3.56 (1.38-9.16)
4	6/13 (46.2)	3/11 (27.3)	p=0.42	2.29 (0.41-12.7)
3+4	1/2 (50)	7/14 (50)	p=1.00	0.50 (0.036-6.86)

# Table 7-Prevalence of DIND in the study group stratified by day of lumbar drain insertion following ictus

Abbreviations: DIND-delayed ischaemic neurological deficit

Day of insertion post ictus	Number of patients in each sub-group	Prevalence of DIND (% of sub-group)	Relative Risk of DIND for control versus study (95% confidence intervals)
1	34	26.7	1.5 (0.9-2.6)
2	31	20.0	
3	28	15.4	2.2 (1.0-4.7)
4	12	18.2	

## **Discussion**

## **Summary of findings**

This study has demonstrated that the use of lumbar drainage of CSF following aSAH significantly reduces the prevalence of DIND and improves early clinical outcome. There is no significant difference noted in the clinical outcome at six months or in the need for permanent CSF shunting although there was a non-significant decrease in radiologically confirmed infarct. The incidence of CSF infection in those with lumbar drains is less than 2%, with no permanent morbidity associated with their use. A secondary analysis designed to exclude patients that may have introduced bias into the intention-to-treat model mirrored the primary analysis in findings. Patients with DIND in the study group tended to have a higher rate of altered consciousness (either with or without a focal deficit) than the equivalent patient in the control group.

#### **CSF** blood clearance

Lumbar drains have been described as a less invasive form of CSF drainage<sup>156,174,179</sup>. In a retrospective comparison of two patient cohorts with and without lumbar drains following aSAH, the difference in the prevalence of DIND was noted to be 51% in those patients without lumbar drains and 17% with lumbar drains<sup>156</sup>. This difference is larger than that demonstrated in the current study (35% vs 21%) and may be explained by the retrospective study methodology and a selection bias between the two cohorts in the non-randomised study. Their prevalence of DIND of 51% is higher than that reported in the literature. The reported prevalence is significantly influenced

by diagnostic criteria and is generally reported to be 20-35% with a slightly higher prevalence in those with high blood loads<sup>154-156</sup>.

The hypothesis that lumbar drains confer benefit by reducing blood load has support from the current study. The trial protocol consisted of volume-driven CSF drainage with the aim of 5-10 ml CSF per hour until the CSF was visibly clear. This objective was achieved in most patients. Supporting this hypothesis is the finding that lumbar drains conferred the most benefit to patients with Fisher 3 grade aSAH. On the other hand however, there was no additional benefit to placing drains very early (i.e. within 48 hours of haemorrhage). This is not explained by the late presentation of patients to the neurosurgical centre since 94% of all patients in the study had presented within 48 hours of ictus (i.e. there is no selection bias of late lumbar drain insertion being associated with late patient presentation to the hospital which may have been a result of milder symptoms and thus a milder haemorrhage). This subgroup analysis must be interpreted with caution due to the small number of patients involved.

## Intracranial pressure control

An alternative hypothesis regarding the mechanism of benefit conferred by lumbar drainage is intracranial pressure (ICP) control. In the current study, opening lumbar cistern pressure was invariably raised in all of the patients administered with lumbar drains (when tested) and drainage resulted in immediate improvements in the severity of headaches in most patients. Although drainage of CSF was volume driven, intracranial pressure was likely to have been lowered by the volumes of CSF drained via the lumbar cistern.

This is supported by the observation that most patients with a lumbar drain in situ for more than 72 hours exhibited clinical symptoms of low intracranial pressure very distinct from the initial presenting features of the aSAH. These symptoms improved following removal of the drain. Previous studies have demonstrated elevated ICP (as measured in the ventricle) several days after aSAH regardless of the volume of blood load 180-182. Although associated with poor clinical grade, elevated ICP has been seen in 50-90% of patients with good clinical grade 180,181,183 and is associated with a poor clinical outcome<sup>180,184</sup>. Severe angiographic vasospasm has been shown to be more common with high ICP although association with DIND is weak 185. A previously reported comparison of simultaneous lumbar and ventricular pressure in this setting demonstrated that both pressure readings reflect each other closely<sup>186</sup>. Drainage of 5-20 ml of CSF via a lumbar drain has been shown to approximately halve ICP in aSAH and brain injury patients<sup>187</sup>. Additional benefits observed included an improvement in regional CBF and PtiO<sub>2</sub>. Hydrocephalus requiring treatment with external ventricular drainage was more frequently seen in the control group rather than the study group. Some patients with lumbar drainage may have had this clinical effect masked by the presence of a lumbar drain. This adds further support to the hypothesis that patients with a lumbar drain in the current study would have been likely to benefit from a reduction in ICP. It is plausible that this may have improved ischaemic thresholds by improving oxygenation and CBF and thus reducing the prevalence of DIND.

#### **Complications**

Since the current study cohort consisted of good WFNS grade patients with aSAH (grades 1-3), the benefits of lumbar drainage have been demonstrated in this population only. Although results cannot be extrapolated outside of the study cohort, there is no reason to suggest that aSAH patients of poor grade (grades 4-5) would not benefit from lumbar drainage. Patients of poor grade are more likely to have higher blood loads and a higher ICP. They are however also more likely to have an intracerebral and extensive intraventricular haemorrhage, which raises the issue of safety. Neurological deterioration that has been reported following lumbar drain insertion 156,188 is more difficult to assess in this cohort of ventilated and comatose patients. Although re-bleed of the ruptured aneurysm has been reported following insertion of an external ventricular drain or lumbar puncture/drain insertion, this risk has not been proven 179,189,190. This problem was not encountered in the current study despite the fact that approximately half the patients underwent insertion of a lumbar drain with an unprotected aneurysm. One patient reported continued low-pressure headaches requiring a blood patch. This is consistent with the reported incidence of this complication with lumbar drain use following trans-sphenoidal surgery (1.3%)<sup>191</sup>. Meningitis secondary to lumbar drainage has been shown to be less than 2% in the current study, which is half that previously described 192. No permanent morbidity was associated with infection.

#### Permanent CSF diversion

Although lumbar drainage has previously been shown to reduce the need for permanent CSF diversion (24% with lumbar drain vs 36% without lumbar drain)<sup>156</sup>, this reduction has not been consistently demonstrated<sup>174</sup>. In the current study, there was no difference in the need for permanent CSF diversion between the two groups. In contrast, cisternal CSF drainage and irrigation has been associated with a higher rate of permanent CSF diversion, with the volume of drainage proportional to this rate 168,172,193. It is unclear why lumbar drainage did not increase the occurrence of long-term hydrocephalus. A possible explanation is that the patient's natural CSF channels are encouraged rather than bypassed (which may occur with cisternal and external ventricular drains) so allowing normal pathways to re-establish following blood clearance. Acute and chronic hydrocephalus is thought to result from tentorial/ventricular obstruction and blockage of arachnoid granulations with blood respectively 194,195. Either mechanism is likely to be resisted and minimised by the negative downward pressure drawing CSF into the lumbar cistern, an advantage of lumbar drainage over supratentorial CSF drainage.

#### Clinical outcome

Although patients in the study group demonstrated improved clinical outcome ten days following ictus, this improvement was not maintained at six months (there was a trend for patients with lumbar drains to have improved six-month outcome but this was only seen in the secondary analysis and was not statistically significant). It is well recognised that clinical trials powered to detect changes in DIND occurrence often report difficulties in detecting

patient-centred 'down-stream' clinical outcome<sup>196</sup>. The effect size of a treatment on DIND does not translate into the same effect size on the clinical outcome. In addition, the MRS has been noted to lack specificity in detecting subtle yet meaningful changes in cognition and functioning.

#### Limitations of the trial

There are subtle differences in the baseline cohort characteristics between the two groups of the trial. There is no explanation as to why there are more patients with multiple aneurysms in the study group. More patients in the control arm had required external ventricular drainage for hydrocephalus. This is likely to be due to the masking of hydrocephalus in those with a lumbar drain and so obviating the need for additional supratentorial. Limitations of the study include a reliance on DIND as the primary endpoint, which can be a subjective endpoint<sup>177</sup>. This subjectivity has been minimised by using a homogenous cohort of good WFNS grade aSAH patients with very strict diagnostic criteria for DIND, objective investigations to exclude other causes of neurological deterioration and multiple verification of the diagnosis of DIND. A second limitation to the study is the reliance on imaging performed as part of routine clinical care only; although there was a trend for fewer radiologically confirmed infarcts in the lumbar drain group, this is based on the incorrect assumption that those without clinical need for imaging will not have infarcts. A third limitation to this study is the difficulties associated with blinding treatment allocation and obtaining unbiased outcome measures. Being a single-centre trial has the advantage that only few clinicians were involved during the study period and so quality and consistency of diagnoses and treatment should be high. The disadvantage is the small size of the trial not powered to detect changes in clinical outcome and the lack of information regarding reproducibility in other neurosurgical centres, particularly those in other countries where management of patients is likely to be markedly different.

Part 2: The role of cytokines in aneurysmal subarachnoid haemorrhage and delayed ischaemic neurological deficit

## Introduction

## The physiology and immunology of inflammation

The immune system is designed to defend against foreign antigens. It constitutes both cellular components such as B and T lymphocytes, monocytes, macrophages and neutrophils; and molecular components such as complement, acute-phase proteins and cytokines<sup>197</sup>. When the immune system is activated, a delicate balance between pro-inflammatory and anti-inflammatory states is maintained by the innate and adaptive immune systems<sup>198</sup>. T-cell lymphocytes, B-cell lymphocytes and natural killer (NK) cells all constitute part of the adaptive immune system. T-cell lymphocytes include helper CD4<sup>+</sup> T-cells and cytotoxic CD8<sup>+</sup> T-cells. Following antigen activation, the former can differentiate into Th<sub>1</sub> (associated with pro-inflammatory cytokines and cell mediated immunity), Th<sub>2</sub> (associated with anti-inflammatory cytokines and humoral immunity) and regulatory T-cells<sup>198</sup>.

An immune response involves both cellular and humoral components and can be subdivided into four distinct stages: 1. recognition and activation, 2. proliferation, 3. effector and 4. memory<sup>199</sup>. The first stage relies on presentation of peptide antigens on the surface of antigen-presenting cells (such as microglia, dendritic cells and monocytes/macrophages) to T-cells<sup>197</sup>. Cytokines are also actively involved, both for activation and suppression of this process. The second proliferation phase ensures that activated lymphocytes can produce expanded populations of T- and B-lymphocytes. Effector killer T-cells and B-cells can induce apoptosis and antibodies respectively, resulting in elimination of foreign antigens. The final phase of

memory constitutes the persistence of a small number of these immune cells that can respond quickly following further exposure to the same antigens<sup>197</sup>.

#### **CNS** inflammation

Our understanding of inflammation in the CNS is largely based on animal studies and the demonstration of various inflammatory mediators and cells in plasma, serum, CSF and pathological brain tissue<sup>200</sup>. These compartments may not reflect the injured brain itself. Intracerebral microdialysis catheters have been developed with increasingly larger membrane cut-offs that provide some insight into the extracellular fluid (ECF). Inflammation is a cardinal host defence response to injury, tissue ischaemia, autoimmune responses or infection<sup>201</sup>. This process often involves recruitment and invasion of immune cells and activation of mediators such as kinins, cyclooxygenase products and Inflammation may manifest as a local response or a more cvtokines. generalised systemic 'acute phase' response. Historically, the brain has been considered to be an immune privileged organ. Early pioneering work had demonstrated that allogeneic tissue grafts were not rejected when implanted into the brains of experimental animals<sup>202</sup>. This was subsequently thought to be due to the lack of connection between the lymphatic system and the CNS. The CNS was thought not to have native antigen-presenting cells<sup>197</sup>.

More recently, it has been demonstrated that rejection does occur following graft implantation into the CNS, there is a connection between CSF compartments and cervical lymphatics and resident antigen-presenting cells do exist<sup>197</sup>. In healthy CNS tissue, inflammatory mediators are expressed at very low levels and cytokine receptors are expressed constitutively in the

CNS<sup>201</sup>. In response to tissue injury or infection, early and rapid glial activation and release of inflammatory mediators is followed by slower leukocyte recruitment<sup>201</sup>. In a disease state, the presence of damaged cells and debris causes resting microglia to transform into migrating macrophages. These in turn can produce cytokines and trophic factors that can affect neighbouring cells and recruit peripheral inflammatory cells.

Cytokines are well recognised as low molecular weight pleiotropic glycoproteins that act autocrinally and paracrinally on target cells to promote and control inflammatory processes<sup>203</sup>. Much of our understanding of cytokines is derived from studies of their immunoregulatory properties that depict cytokines as operating within tightly regulated complex networks where individual elements operate to potentiate and/or modulate each other's Furthermore, functional redundancy within these networks can effects. enable different cytokines to induce similar responses through their use of a Among their numerous roles, cytokines regulate the common receptor. expression of cell adhesion molecules in microvascular endothelial cells. Cell adhesion molecules consist of three families responsible for interactions between leukocytes and endothelial cells: endothelial cells express the immunoglobulin supergene family members, intercellular adhesion molecules (ICAMs) (including ICAM-1) and P- and E-selectin, while leukocytes express L-selectin and integrins<sup>204</sup>.

In addition to the inflammatory components produced within the CNS, the BBB may also be compromised in disease states and thus allow transport

(active or passive) of inflammatory mediators into the CNS<sup>201</sup>. Inflammatory mediators may be themselves involved in the breakdown of the BBB in certain pathologies<sup>205</sup>. In ischaemic brain damage, a local inflammatory response in addition to peripheral inflammatory processes and immune alterations occur with interleukin (IL)-6 considered a key mediator in this acute phase systemic response<sup>206</sup>. To support this, peripheral white cell count has been shown to be elevated soon after ischaemic stroke and leukocyte infiltration has been detected within the ischaemic lesions and the CSF of patients with ischaemic stroke<sup>207-209</sup>. In the acute phase of ischaemia, cell death in the core is likely to be secondary to necrosis, whereas following reperfusion, penumbral neurons may undergo apoptosis<sup>210</sup>. An increasing body of evidence implicates CNS inflammation in this process<sup>210</sup>.

What follows is a brief overview of the key inflammatory mediators under investigation in the current study. The evidence for their involvement in traumatic brain injury (TBI) and ischaemic stroke will be included. Only experimental evidence for their involvement in SAH will be discussed at this stage (for clinical studies in aSAH, see next section).

## **Pro-inflammatory Mediators**

## Interleukins- $1\alpha$ and $\beta$ (IL- $1\alpha$ and $1\beta$ )

The IL-1 family is a group of cytokines considered key mediators of neural injury in the CNS following ischaemic stroke and  $TBI^{211}$ . IL-1 $\alpha$  and  $\beta$  are constitutively expressed in the brain at low concentrations but their expression can be stimulated by bacterial and viral products, other cytokines (such as tumour necrosis factor (TNF)- $\alpha$ ), cellular injury and hypoxia. They are involved in the host defence against disease and many of their actions are in the CNS resulting in systemic effects (including fever and the acute-phase response). They are considered to be largely pro-inflammatory, whilst their effects are antagonised by the endogenous IL-1 receptor antagonist (IL-1ra)<sup>200</sup>.

Although the mechanism by which IL-1 exerts CNS injury is unknown, there is some experimental data to suggest that increases in body temperature may exacerbate neuronal injury and is associated with a poor clinical outcome in stroke or head injury<sup>211,212</sup>. Experimental data would suggest that IL-1 has positive effects to reduce cell death (such as inhibition of glutamate release, inhibition of calcium influx and inhibition of γ-aminobutyric acid (GABA) transmission)<sup>213</sup>. This inhibition of excitatory and inhibitory pathways may result in excitation, inhibition and cell death. Calcium influx may also be stimulated via N-methyl-D-aspartate (NMDA)-receptor ion channels. Effects on glial cells including astrocytes are also variable. The effect of IL-1 on cultured astrocytes can change the expression of numerous genes encoding

chemokines, growth factors, adhesion molecules, matrix metallopeptidase (MMPs) and cytokines (including IL-6) which may contribute to neurotoxicity and paradoxically also promote neuronal survival $^{211}$ . IL-1 has numerous effects on the endothelium that result in expression of molecules involved in leukocyte recruitment and adhesion (including ICAM-1). This has implications for the integrity of the BBB. The overall effect depends on the temporal expression profile of these molecules. This makes the interpretation of these findings difficult in the context of IL-1-mediated effects *in-vivo*. Figure 2 is a summary of the proposed cellular actions of IL-1 $\beta$  in neuronal injury.

In experimental SAH models, IL-1 $\beta$  was activated early after SAH and a selective caspase-1 inhibitor inhibited its activation and attenuated the mortality, neurological impairment, BBB disruption and brain oedema<sup>214</sup>. S-100B protein concentration in the serum (a marker of BBB permeability) was elevated following experimental SAH<sup>215</sup>. This effect was attenuated by the neutralization of IL-1 $\beta$  activity. This protective effect was also associated with reduced MMP-9 induction. This is a proteolytic enzyme that has been implicated in the breakdown of the BBB in ischaemia and in haemorrhagic transformations in ischaemic stroke<sup>216,217</sup>.

Similarly, simvastatin treatment resulted in reduced IL-1 $\beta$  expression and ischaemic injury in a rat model of middle cerebral artery occlusion<sup>218</sup>. The transcription factor nuclear factor-kB (NF-kB) (responsible for expression of proinflammatory genes) was upregulated in both transient and permanent

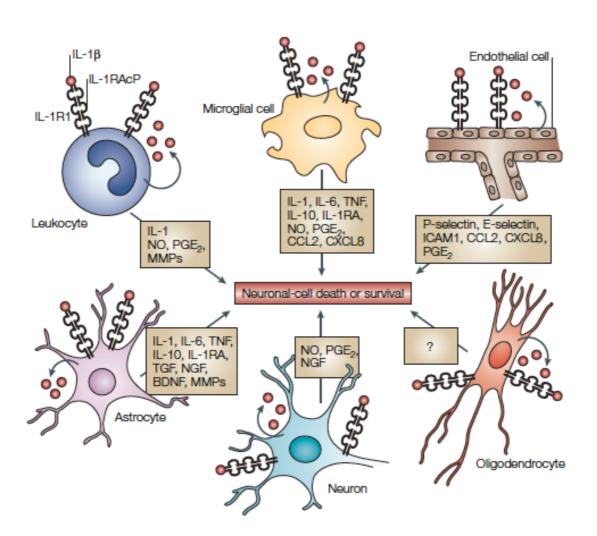
artery occlusion. Its activation was attenuated during ischaemia with simvastatin treatment.

## Interleukin-6 (IL-6)

IL-6 is produced by mononuclear phagocytes, T cells and endothelial cells<sup>197</sup>. IL-6 stimulates the growth of mature B cells and promotes the synthesis of acute phase proteins by the liver including C-reactive protein and fibrogen<sup>219</sup>. IL-6 can stimulate expression of MMPs including MMP-9. It is also involved in liberating adhesins in the process of leucocyte aggregation and adhesion to the vascular wall. It is itself synthesised as an acute phase reactant. Although considered pro-inflammatory, IL-6 has been shown to exhibit some anti-inflammatory effects that are supported by its ability to inhibit TNF- $\alpha$  synthesis, induce the nerve growth factor and IL-1ra, promote neuronal differentiation and survival and counteract NMDA-mediated toxicity<sup>220</sup>. Its constitutive expression in the healthy brain would support its regulatory role during normal physiology.

Plasma IL-6 was induced following experimental stroke in mice, peaking at four hours<sup>206</sup>. In a clinical study, plasma IL-6 levels correlated with blood volume, mass effect and GCS following intracerebral haemorrhage<sup>221</sup>. In patients with ischaemic stroke, early plasma IL-6 levels were higher than in healthy controls and correlated with stroke volume and poorer outcome<sup>222-224</sup>. This is supported by similar findings in the CSF<sup>225</sup>. In a nested case-control study, very high levels of plasma IL-6 were found to be a significant predictor of recurrent ischaemic stroke<sup>226</sup>. It is increased following TBI in

Figure 2-Summary of proposed cellular actions of interleukin-1 $\beta$  in neuronal injury. All cell types can express and release IL-1 $\beta$  physiologically and following neuronal injury. IL-1 receptor (IL-1R1) is expressed at the surface of these cells, enabling them to respond to IL-1 $\beta$  in an autocrine and paracrine fashion. After receptor binding, cells can produce a wide range of pro-inflammatory and immunoregulatory mediators that are implicated in neuronal-cell death or survival depending on the relative contribution of synergistic/antagonistic cytokines. BDNF: brain-derived neurotrophic factor; CCL2: CC-chemokine ligand 2; CXCL8: CXC-chemokine ligand 8; E-selectin: endothelial-cell selectin; ICAM1: intercellular adhesion molecule 1; IL-1RA: IL-1-receptor antagonist; IL-1RAcP: IL-1-receptor accessory protein; MMP: matrix metallopeptidase; NGF: nerve growth factor; NO: nitric oxide; PGE<sub>2</sub>: prostaglandin E<sub>2</sub>; P-selectin: platelet selectin; TGF: transforming growth factor; TNF: tumour-necrosis factor. Source: Allan et al 2005, Nature Reviews: Immunology<sup>211</sup>.



plasma, CSF, cerebral ECF and brain tissue proper<sup>200,227-229</sup>. In paediatric TBI patients, both plasma and CSF IL-6 levels were shown to correlate with the severity of the injury and outcome<sup>227</sup>. Contrary to this, following adult TBI, higher ECF IL-6 seemed to exhibit a protective role and correlated with good outcome and survival<sup>230</sup>. This supports a possible dual role for IL-6 in this setting.

## Interleukin-8 (IL-8)

IL-8 is a chemokine and thus belongs to a family of cytokines that share the ability to stimulate leukocyte motility and directed movement. It is produced by mononuclear phagocytes, T cells, platelets, endothelial cells and fibroblasts<sup>197</sup>. As with IL-6, it has an important role in mediating the acute phase response and in stimulating adhesion molecules. Activated neutrophils may contribute to brain injury by causing microvascular occlusion and the production of further cytokines, reactive oxygen and nitrogen metabolites and lipid mediators<sup>231</sup>. Anti-neutrophil antibodies and neutrophil depletion have been shown to reduce neutrophil infiltration and infarct size in the ischaemic rat brain<sup>232,233</sup>. IL-8 is considered an important chemokine in post-ischaemic leukocyte accumulation and activation<sup>234</sup>. In an experimental ischaemia model, an IL-8 receptor antagonist reduced neutrophil infiltration but had no effect on tissue damage during permanent ischaemia<sup>234</sup>. During transient ischaemia however, tissue damage was reduced and neurological function was improved<sup>234,235</sup>. This suggests that these benefits are conferred during reperfusion. IL-8 levels were not changed, indicating blockade of downstream factors.

Increased CSF concentration of IL-8 has been reported in humans following TBI and correlates with a fatal outcome<sup>228</sup>. In patients with ischaemic stroke, intracellular leukocyte IL-8 concentrations, plasma IL-8 levels and IL-8 mRNA expressing blood mononuclear cells were noted to be higher than in controls<sup>236-238</sup>. Data from a rabbit basilar SAH model suggests that IL-8 expression within cerebral vessels may also be associated with angiographic vasospasm<sup>239</sup>.

#### Interleukin-15

IL-15 is a pleiotropic cytokine which is constitutively expressed by a large variety of cell types and tissues including monocytes/macrophages, dendritic cells, keratinocytes and epidermal skin cells<sup>240</sup>. It enhances the phagocytic activity of monocytes and macrophages and induces the production of proinflammatory factors such as IL-8 and monocyte chemoattractant protein-1 (MCP-1)<sup>240</sup>. It is implicated in various inflammatory conditions such as coeliac disease, inflammatory bowel disease, systemic sclerosis and rheumatoid arthritis. The pathogenic role of IL-15 in multiple sclerosis has been extensively investigated. IL-15 mRNA is up-regulated in blood mononuclear cells from patients in the secondary progressive phase of the disease and in active plaques<sup>241,242</sup>. Serum and CSF levels of the mediator have been shown to be elevated in patients with multiple sclerosis, with a suggestion that systemic production was the source of CSF IL-15<sup>243</sup>. There is limited evidence for its involvement in cerebral ischaemia. In the ischaemia/hypoxia model of the immature rat brain, IL-15 was initially produced by blood cells

penetrating the injured brain but was later also synthesised by reactive astrocytes forming dense astrogliosis around necrotic centres<sup>244</sup>.

#### Interleukin-17

IL-17 is a homodimer activated by CD4+ and CD8+ activated memory T cells<sup>245</sup>. It plays an important role in the maturation of haematopoietic progenitor cells and induces production of proinflammatory mediators. It can induce stromal cells such as fibroblasts, endothelial cells and epithelia, to produce IL-6, IL-8, granulocyte colony-stimulating factor and ICAM-1<sup>246</sup>. In both *in-vitro* and *in-vivo* models, IL-17 has also been implicated in the disruption of BBB tight junctions<sup>247</sup>.

Its putative role in ischaemia is unclear. Following neuronal injury, activated microglia accumulate and secrete pro-inflammatory cytokines such as IL-23 via Toll-like receptors (TLRs)<sup>248</sup>. TLRs are a family of transmembrane receptors able to recognise pathogen-associated molecular patterns and are essential in the microglial innate immune response. This is evidence suggesting that a TLR2, IL-23 and IL-17 axis does exist which leads to neuronal apoptosis<sup>248</sup>. This pathway is activated in animal models of ischaemia/reperfusion, with IL-23 functioning in the immediate stage of ischaemia/reperfusion injury and IL-17 (being a downstream factor) more important in the delayed phase<sup>248,249</sup>. Both exogenous IL-17 addition and microglia release of IL-17 lead to apoptosis in an *in-vitro* oxygen-glucosedeprivation reperfusion system<sup>248</sup>. Both animal and human studies suggest that IL-17 expression is elevated in the ischaemic brain. Neuronal injury

during oxygen-glucose deprivation may be mediated by IL-17 via the IL-17 receptor<sup>250,251</sup>.

#### Interleukin-18

IL-18 is a pro-inflammatory cytokine, formerly known as interferon- $\gamma$  inducing factor and is a member of the IL-1 family. IL-18 and IL-1 act through related receptor complexes to trigger common signalling pathways<sup>252</sup>. This cytokine is produced constitutively in many different cell types including macrophages, endothelial cells, vascular smooth muscle cells, dendritic cells and Kupffer cells. Increased expression of IL-18 mRNA and protein has been reported during Wallerian degeneration of the rat nervous system following a nerve crush injury<sup>253</sup>. The role of IL-18 following brain injury and ischaemia is unclear, although it could contribute to exacerbating hypoxic brain injury. Upregulation of the intracerebral IL-18 gene occurs following TBI in mice<sup>254,255</sup>. IL-18 has been shown to induce intracellular expression of IL-1α, a precursor of IL-1β and IL-6 from mixed glia in the mouse. There is some evidence to suggest that IL-18 is modulated and can be inhibited by TNF-α, alluding to a possible dual function for the latter<sup>254</sup>.

In ischaemia, there are conflicting reports as to whether regulation is actually induced in animal models, with some evidence for its late induction<sup>256,257</sup>. Mice lacking IL-18 were not protected in the early phase after ischaemia<sup>256</sup>. In neonatal hypoxic ischaemia, IL-18 showed an early increase, peaking at 14 days with attenuation of brain injury seen in IL-18 deficient neonatal mice and rats<sup>252,258</sup>. This protective effect was not noted in IL-1 $\alpha$  and IL-1 $\beta$  deficient

mice, suggesting the importance of IL-18 in neonatal brain. In a nested case-control study, plasma IL-18 levels were not a predictor of recurrent ischaemic stroke<sup>226</sup>. Contrary to this, plasma IL-18 was noted to be an independent predictor of 90-day major adverse clinical outcome in patients with ischaemic stroke<sup>259</sup>. Furthermore, IL-18 functional promoter polymorphisms are associated with an increased risk of ischaemic stroke<sup>260</sup>.

#### MCP-1

MCP-1 is a member of the cysteine-cysteine chemokine gene family characterised by its chemoattractant properties for monocytes, memory T-cells and natural killer cells  $in\text{-}vitro^{261}$ . Monocytes, smooth muscle cells, fibroblasts and vascular endothelial cells produce it in response to stimuli such as IL-1, TNF- $\alpha$ , interferon- $\gamma$  and lipopolysaccaride<sup>262</sup>.

MCP-1 is expressed in experimental models of ischaemia, with gene knockout animals lacking MCP-1 sustaining smaller infarct volumes and reduced monocyte and polymorphonuclear neutrophil infiltration compared to wild-type $^{263-268}$ . The relatively late change to cellular infiltration is unlikely to explain this protective effect. An early reduction in IL-1 $\beta$  within ischaemic tissue was noted and may explain this protective effect $^{265}$ . Similarly, overexpression of MCP-1 caused larger infarcts and greater chemoattraction of monocytes and macrophages into the ischaemic area $^{269}$ . MCP-1 seemed to be necessary in recruiting blood-borne cells to the site of injury but did not seem to be involved in the microglia activation and migration that occurs during ischaemic injury $^{266}$ .

In clinical studies, MCP-1 was elevated 24 hours following ischaemic stroke in the CSF but the evidence for plasma elevation is conflicting<sup>224,270</sup>. Plasma MCP-1 was an independent predictor of poor outcome as several early time points following ischaemic symptoms<sup>224</sup>. Neuroblasts derived from the neural progenitors in the subventricular zone have been shown to migrate physiologically and during focal ischaemia<sup>268</sup>. There is evidence to suggest that MCP-1 may be involved in attracting neuroblasts from the subventricular zone to the infarct<sup>268</sup>.

In canine and rat models of SAH, MCP-1 was upregulated in vasospastic cerebral arteries and cerebral cortex<sup>271-273</sup>. Sphingolipid sphingosylphosphorylcholine (SPC) is released by platelets and engages in a wide range of intracellular signalling pathways in different cell types<sup>274</sup>. There has been a suggestion that SPC has a vasoconstrictor effect and proinflammatory function in the cerebral vasculature. SPC increased the release of MCP-1 in cultured vascular smooth muscle cells, implicating the latter in SPC-mediated pro-inflammatory effects<sup>274</sup>.

#### Tumour necrosis factor-α

The role of TNF- $\alpha$  in immunoactivation elicited in the brain has been subject to extensive investigation but is still unclear. Following TBI, TNF- $\alpha$  has been detected in human CSF and plasma and is up-regulated in cerebral tissue in experimental models<sup>275</sup>. TNF- $\alpha$  has been associated with activation of ICAM-1, BBB dysfunction and intrathecal infiltration of activated leukocytes following

TBI<sup>275</sup>. There is evidence largely from experimental knockout models that in addition to being a mediator of injury, TNF- $\alpha$  may confer protection<sup>275</sup>. Although there was no difference in the post-traumatic pathophysiology of knockout versus wild type animals (including intracerebral neutrophil infiltration and BBB permeability), increased mortality in certain knockout models would suggest a protective role for TNF- $\alpha$  following TBI<sup>275</sup>.

TNF- $\alpha$  up-regulation was detected in brain autopsies after acute stroke<sup>276</sup>. CSF and plasma demonstrated significantly higher TNF- $\alpha$  levels in patients with ischaemic stroke within 24 hours of onset when compared with control<sup>277</sup>. In a nested case-control study, very high levels of plasma TNF- $\alpha$  were found to be a significant predictor of recurrent ischaemic stroke<sup>226</sup>. TNF- $\alpha$  is also thought to release MMP-9 $^{217}$ . TNF- $\alpha$  appears to have different effects based its intrinsic concentration relative contribution on and the of synergistic/antagonistic cytokines.

## Vascular endothelial growth factor (VEGF)

VEGF is an endothelial mitogen expressed in neuronal and vascular tissues in the brain. VEGF may induce angiogenesis and increased vascular permeability. It binds to a receptor tyrosine kinase to activate Sarcoma (Src) tyrosine kinase and mitogen-activated protein kinase (MAPK) pathways. It has also been shown to stimulate neurogenesis<sup>278</sup>. It is upregulated after experimental TBI and hypoxia, resulting in an increase in angiogenesis and vessel homeostasis<sup>278,279</sup>. This has implications for the integrity of the BBB.

Conversely, blockade of its receptor has been shown to aggravate experimental brain trauma, suggesting a potentially protective role<sup>280</sup>.

It has been implicated in the pathogenesis of aSAH. MAPK pathway can be activated by various stimulants including VEGF<sup>281</sup>. MAPK and VEGF are activated in the cerebral arteries and, to a lesser extent, in the cerebral cortex following experimental SAH<sup>282</sup>. The resulting alterations in the BBB, enhanced brain oedema and death were attenuated by inhibition of the Srcfamily tyrosine kinase, in addition to reducing VEGF expression. This would implicate Src as both a downstream factor to VEGF receptor activation leading to MAPK, and an upstream factor involved in the signalling pathways that lead to VEGF activation.

## **Anti-inflammatory mediators**

#### Interleukin-4

IL-4 is a Th<sub>2</sub>-derived anti-inflammatory cytokine<sup>283,284</sup>. IL-4 downregulates the release of pro-inflammatory cytokines from activated monocytes. How IL-4 is involved in the regulation of immune responses in acute cerebrovascular disease is not known. The number of blood mononuclear cells producing IL-4 and plasma levels of IL-4 were not significantly different in acute stroke and neurological deterioration<sup>283,284</sup>. In a mixed cohort of patients with TBI, aSAH and intracerebral haemorrhage, plasma IL-4 levels taken from the internal jugular vein were higher in patients that did not survive compared with those that survived the injury<sup>285</sup>. Levels were inversely proportional to arterio-jugular venous differences in oxygen content. Local CNS evaluation of this

mediator and its evaluation specifically in the context of aSAH have not been previously documented.

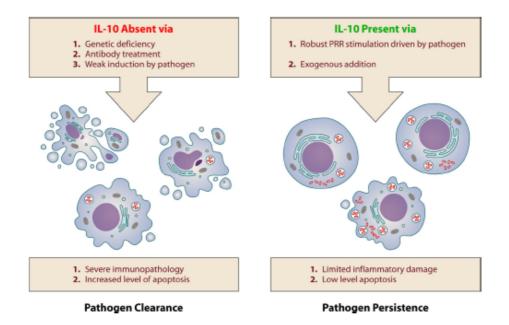
#### Interleukin-10

In-vitro post-ischaemic microglial cells are thought to produce reactive oxygen species and cytotoxic cytokines such as TNF-α and IL-1β<sup>286</sup>. In addition to this, they are thought to produce anti-inflammatory cytokines such as IL-10. IL-10 is also produced by T helper cells, macrophages, monocytes and B cells. Its main target cell is the macrophage. It is anti-inflammatory because it inhibits the production of cytokines from Th<sub>1</sub> cells, the expression of cyclo-oxygenase-2 and the production of TNF- $\alpha^{287}$ . There is some evidence to suggest that its neuroprotective properties are conferred by blocking caspase-3 activity and reducing pro-inflammatory cytokine production<sup>288,289</sup>. IL-6 and IL-10 negatively correlate with each other in normal subjects, indicating a balance between the pro-inflammatory and anti-inflammatory molecules<sup>287</sup>.

*In-vivo*, over-expression of IL-10 markedly protected cortical tissue from ischaemia in transgenic mice<sup>290</sup>. A similar neuroprotective effect was noted following spirochetal brain infection in mice<sup>291</sup>. IL-10 deficiency resulted in early death from subarachnoid/intraparenchymal haemorrhage following infection. This was also associated with apoptosis of brain microvascular endothelial cells and increased systemic production of TNF- $\alpha$ . Conversely, post-ischaemic gene transfer of IL-10 into the rat focal and global ischaemia model attenuated brain infarction and hippocampal damage<sup>292</sup>.

It is unclear what happens to IL-10 levels following brain trauma and ischaemia in humans. Intracerebral haemorrhage has been shown to be associated with elevated plasma IL-10 levels (taken within 48 hours of haemorrhage)<sup>221</sup>. There are conflicting reports in stroke patients of both increased and decreased plasma IL-10 levels and number of IL-10 secreting cells<sup>222,223,284,287,293</sup>. Similarly, there have been mixed reports at to whether IL-10 levels are associated with poor outcome following ischaemic stroke<sup>223,287,293,294</sup>. Elderly patients with a history of stroke were shown to have a significantly lower plasma IL-10<sup>295</sup>. The risk of fatal stroke during the prospective study period was higher in those with low or intermediate baseline IL-10 production levels. IL-10-1082 G/A, promoter polymorphism is associated with lower IL-10 production and ischaemic stroke in a south Indian population<sup>296</sup>. This would suggest that following ischaemic stroke, there may be an anti-inflammatory cascade that combats the disease process and a genetic predisposition resulting in a weaker response maybe detrimental to outcome. This is supported by the finding that in acute ischaemic brain injury, IL-10 was an independent predictor of stroke-associated infection<sup>297</sup>. This suggests that a blood-borne anti-inflammatory response is initiated following ischaemic stroke that decreases microbial resistance in the immune system. This has been noted in other disease states<sup>298</sup>. IL-10 dampens TNF-αmediated inflammation during bacterial infection. The presence or absence of IL-10 in infected tissue dictates the strength of the immune response but also the amount of apoptosis incurred due to inflammation (figure 3).

Figure 3-The balance between apoptosis and pathogen persistence. A heavily inflamed apoptotic environment is not conducive to pathogen survival. Some pathogens induce interleukin (IL-10) via pattern recognition receptors to subvert the development of acute inflammation. An abundance of IL-10 decreases activation-induced inflammation and apoptosis allowing pathogen persistence intracellularly. Conversely, if IL-10 is not induced, robust inflammation develops leading to high levels of apoptosis and parenchymal damage. Source: Cyktor et al., 2011, Infection and Immunity<sup>298</sup>.



## Inflammation following aSAH

## Cytokines in aSAH

There is increasing evidence to suggest that a complex inflammatory response is initiated both systemically and within the CNS following aSAH. How this relates to ischaemic neurological injury and which exact mediators and mechanisms are involved is currently unclear. Numerous clinical studies have investigated the association between aSAH, DIND and cytokine production<sup>219,299-312</sup>. The most widely investigated mediators include interleukin (IL)-1α, IL-1β, TNF-α, IL-6 and IL-8 (Table 8). Although these mediators are generally detected in higher than normal concentrations in CSF following aSAH, there is some evidence to suggest that increases in CSF IL-6 associated with radiological vasospasm may be specifically and DIND<sup>219,306,311,313</sup>. CSF IL-6 levels were significantly higher on days 4 and 5 following haemorrhage. These changes often preceded clinical signs of DIND, thus giving IL-6 predictive power for the onset of DIND, with a significant correlation between IL-6 levels and DIND on day 7<sup>219,306</sup>. Serum and CSF concentrations of MCP-1 were significantly higher following aSAH than in controls undergoing surgery for an unruptured aneurysm<sup>262</sup>. Serum and CSF concentrations of MCP-1 were associated with poor outcome and angiographic vasospasm respectively.

In recent years, microdialysis has become an established method for biochemical surveillance of patients in the neurointensive care setting.

Catheters with a high molecular weight cut-off have been developed to allow

## Table 8-Summary of clinical studies investigating inflammatory mediators following aSAH. \* indicates significance at p=0.05

Abbreviations: aSAH-aneurysmal subarachnoid haemorrhage, IL-interleukin, CD-cluster of differentiation, CSF-cerebrospinal fluid, DIND-delayed ischaemic neurological deficit, TNF-tumour necrosis factor, IL-1ra-interleukin-1 receptor agonist.

Study	No of	Mediators	Compartment	Key findings (* statistical significance)	Association with DIND (*statistical
	patients	investigated	(plasma/CSF)		significance)
Mathiesen et al.,	8 aSAH	IL-6, IL-2,	Plasma & CSF	↑CSF IL-6*	Levels peaked on day 6. Higher in
1993		CD-8			DIND. Not paralleled by plasma levels.
Kikuchi et al.,	7 aSAH	IL-1α&β, IL-6,	Plasma & CSF	CSF and plasma IL-6 & IL-8 detected. CSF level	None
1995		IL-8, TNF-α		> plasma level	
Mathiesen et al.,	22 aSAH	IL-1ra, TNF-α	CSF	Both were higher than normal controls.	IL-1ra was increased during DIND
1997	10 Controls			Increased in those with eventual poor outcome.	
Osuka et al.,	9 aSAH	IL-6, IL-8, IL-	Plasma & CSF	↑CSF IL-6/IL-8*, > plasma level. IL-1β no	↑CSF IL-6 & IL-8 in those with DIND*
1998	9 Controls	1β		change.	
Gaetani et al.,	31 aSAH	IL-6, IL-8	CSF	↑IL-6/IL-8* for those operated within 72 hours.	↑IL-6 in those with DIND*
1998	10 Controls				
Kwon et al.,	19 aSAH	IL-1β, TNF-α,	CSF	Only levels within 24 hours investigated. IL-1β	↑ IL-1β & TNF-α in those with DIND
2001	12 Controls	IL-6		& TNF-α higher compared to controls*.	↑IL-6 in those with DIND*
Fassbender et	35 aSAH	IL-1β, TNF-α,	Plasma & CSF	↑CSF IL-1β, TNF-α & IL-6*. Peaking at days 5	Not commented upon.
al., 2001	20 Controls	IL-6		to 9. Paralleled by plasma levels in TNF-α only.	
Schoch et al.,	64 aSAH	IL-6	CSF	↑ CSF IL-6 overall*, individual variability evident.	IL-6 on days 4/5 predicted DIND.
2007					

measurement of cytokines and other macromolecules from the extracellular space of the brain. Serial measurements of IL-6 in plasma, CSF and the extracellular fluid (ECF) using microdialysis following aSAH demonstrated that the highest concentration was found in the CSF followed by the ECF and plasma respectively<sup>313</sup>. All compartments demonstrated significantly higher levels than normal controls<sup>312,313</sup>. Both ECF and CSF IL-6 were predictive of DIND<sup>312</sup>. For those patients with elevated ICP, IL-6 increased in the ECF and decreased in the CSF after four days post-ictus<sup>313</sup>. During this time, plasma IL-6 and other systemic markers of inflammation were elevated. This state was also associated with a poor outcome. Although the results are conflicting, overall evidence favours intrathecal cytokine production, such that increases in CSF/ECF are not paralleled in plasma, highlighting the compartmentalisation of this process. How the CSF and ECF levels are related is unclear. Although high cut-off membranes have the potential to expand microdialysis to the study of protein chemistry, there are numerous difficulties with their use and in the interpretation of the results obtained<sup>314</sup>.

## Adhesion molecules and aSAH

Several studies have demonstrated an association between soluble adhesion molecule levels and markers of endothelial cell activation following aSAH and DIND<sup>315-320</sup>. Von Willebrand Factor (vWF) is a blood glycoprotein involved in haemostasis and is one such marker of endothelial cell activation. Levels of vWF measured within 72 hours of haemorrhage were slightly raised and weakly associated with subsequent DIND<sup>315,316</sup>, which was thought to be an

acute response reflecting haemorrhage severity and thus subsequent delayed complications. Serial measurements also demonstrated that soluble P-selectin increased significantly in patients with DIND (in contrast to those without, where levels decreased)<sup>315,316,320</sup>. Although an association between ICAM-1 and poor neurological outcome has been made<sup>317,319</sup>, this has not been consistently associated with DIND<sup>315,316,320</sup>.

## **Genetic predisposition**

Genetic predisposition to inflammation following aSAH has been subject to some investigation. Although polymorphisms of IL-1 $\beta$  (-511) genotype were not associated with aSAH per se, carrying a T/T genotype was associated with a poor aSAH grade and poor 6-month outcome<sup>321</sup>. There are mixed reports as to whether IL-6 gene polymorphisms are associated with both ruptured and unruptured intracranial aneurysms<sup>322,323</sup>. Patients with aSAH exhibiting the TNF- $\alpha$  non-wild type allele resulting in a lower serum TNF- $\alpha$  concentration had a higher risk of poor outcome<sup>324</sup>.

To test the hypothesis that DIND occurs in some people and not in others because of the variation in the inflammatory response that occurs between individuals, the relationship between immune activation and DIND following aSAH was investigated<sup>325</sup>. Northern hybridization was utilised to evaluate the expression of IL-1 $\beta$  and TNF- $\alpha$  in cultured monocytes from patients with aSAH. Activation indices for TNF- $\alpha$  were high in all cases and showed individual variation for IL-1 $\beta$ . This variation correlated significantly with

angiographic vasospasm of the anterior cerebral artery only. Those with DIND showed significantly higher activation indices than those without.

## Cellular infiltration and systemic inflammation in aSAH

Based on both animal and clinical studies, a three-step inflammatory process has been suggested to occur following aSAH, which may be associated with DIND. The three-step model begins with an unidentified signal within the subarachnoid space that initiates a cascade of molecular events leading to an upregulation of ICAM-1<sup>204</sup>. Leukocytes then interact with endothelial selectins via their sialylated carbohydrates, resulting in their tethering and rolling along the endothelium. Leukocyte arrest is initiated when an integrin interacts with endothelial ICAM-1. Subsequently, leukocytes migrate through the vessel wall and are thought to mediate ischaemic brain injury, although endothelial cell activation has also been implicated in this process<sup>326</sup>.

Early studies correlated DIND with a raised systemic temperature following aSAH<sup>327,328</sup>. A fever curve beginning on the fifth day following haemorrhage was noted in 88% of patients with DIND versus 18% without<sup>327</sup>. Patients with DIND have also been noted to have a higher peripheral white cell count<sup>329-332</sup>, with a peak leukocyte count greater than 15 X 10<sup>9</sup>/L increasing the odds of DIND three-fold<sup>333</sup>. There have been several studies demonstrating the presence of circulating immune complexes and complement activation in patients with angiographic vasospasm and DIND<sup>334,335</sup>. Immune complex deposits and cellular infiltration have been demonstrated in the arterial media of cerebral blood vessels following aSAH<sup>325</sup>. Following aSAH, an increase in

lymphocyte sub-populations within the CSF occurs in those with DIND, particularly intrathecal suppressor/cytotoxic/NK-cells<sup>336</sup>.

There is evidence to suggest that early activation of the systemic inflammatory response syndrome (SIRS) occurs following aSAH in most patients<sup>337,338</sup>. SIRS represents a collection of clinical findings originally described in association with sepsis that is thought to arise as a result of cytokine or circulating catecholamine release, which promotes immune activation<sup>339</sup>. SIRS has been associated with poor clinical grade, thick cisternal blood, large aneurysm size, surgical aneurysm treatment and hypertension<sup>337</sup>, and the magnitude of this response is an independent predictor of DIND and poor outcome but not of angiographic vasospasm. However, the interpretation of this study is limited by its retrospective analysis of prospectively collected data, its investigation of a complex, multifactorial physiological response and lack of standardisation of corticosteroid regimens.

The complement cascade is a principal effector of erythrocyte haemolysis and activator of inflammatory mediators. Early studies demonstrated an association between circulating/CSF immune complexes and complement components, and the development of angiographic vasospasm and DIND<sup>340-342</sup>. Plasma C3a and C5a were shown to be elevated following aSAH when compared with normal controls, while early C3a levels were independently associated with outcome<sup>343</sup>.

## Summary

Both systemic and compartmentalised inflammatory/immune responses following aSAH have been associated with DIND, although causality is less clear. Investigating the systemic component is complicated by numerous confounding causative factors and endpoints that are difficult to quantify. Does this systemic inflammatory response truly contribute to DIND or is this an epiphenomenon related only to the severity of the aSAH as measured by clinical grade and blood volume load? A large prospective study is required to determine this and account for confounding factors during regression Similarly, investigating the CNS inflammatory response is modelling. complicated by that observed following ischaemia in ischaemia/reperfusion models<sup>133</sup>. Is CNS inflammation a cause or a consequence of DIND? Measurement of inflammatory mediators in blood, CSF and brain ECF is technically difficult and is subject to variability between patients and their courses following aSAH, regardless of the development of DIND. Clinical studies utilising various anti-inflammatory agents such as cyclosporin and methylprednisolone have unsurprisingly been disappointing<sup>344</sup>, highlighting that inflammation following aSAH is pleiotropic and multifaceted. New studies utilising specific interleukin antagonists and antibodies to block leukocyteendothelial interactions are awaited.

## Aims of the study

The aim of the current study is to answer the following questions:

- 1. Do plasma and CSF cytokine levels change following aSAH?
- 2. If so, do plasma and CSF levels correlate with each other and to what extent is this response compartmentalised within the central nervous system?
- 3. Are there any changes associated with DIND?
- 4. If so, what is the time course for these changes in relation to ictus of haemorrhage and do these markers hold predictive characteristics?

# Materials and methods

#### **Patients**

Patients with aSAH recruited into the lumbar drain trial were eligible for this secondary study. Ethical approval was obtained by the Leeds (West) Research Ethics Committee. Control non-aSAH patients were recruited after obtaining written informed consent as part of a separate ethical approval obtained by the Bradford Research Ethics Committee.

#### **Procedure and Interventions**

Patients that present with aSAH were assessed for eligibility for inclusion into the lumbar drain trial (see above). As part of the consent/assent process, permission was obtained to collect and store plasma and CSF. These were collected at certain time-points following the presumed time of ictus (taken as time=0). Once aneurysmal pathology had been confirmed and consent/assent was obtained, the first blood and CSF samples were collected. Samples of plasma and CSF were collected simultaneously and at 9am where possible. In the event of randomisation into the control group, only plasma was obtained (due to lack of accessible CSF).

Venous blood was taken from the antecubital fossa and drawn into EDTA containers. CSF was removed from the lumbar drain using an aseptic technique. The first 2 ml of CSF drawn from the drain was discarded, as this would represent the dead space volume of the lumbar drain. Subsequently

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drawn CSF was utilised for analysis. Both blood and CSF samples were

immediately placed on ice and centrifuged at 3000 rpm for 15 minutes (at

room temperature). The supernatant was removed and stored at -80°C until

further analysis.

Fixed time points for sampling were at days 5, 7 and 9 following ictus where

possible. Due to the variability associated with when patients present to our

unit in relation to time of ictus, the first sample was taken at a variable time

point defined as within 96 hours of ictus and within 12 hours following

intervention (endovascular or surgical). Additional time points that were

collected include at the time of onset of DIND. Occasionally patients were

discharged from the neurosurgery department before day 9 or lumbar

drainage was stopped prior to retrieval of all samples. As a minimal

requirement, at least the initial sample and days 5 and 7 were required to be

included in this study.

There were four cohorts of aSAH patients. For each arm of the trial, some

patients developed DIND and others did not. Therefore the cohorts of aSAH

patients are:

Group 1: Lumbar drainage with DIND

Group 2: Lumbar drainage without DIND

Group 3: Control with DIND

Group 4: Control without DIND

Both CSF and plasma were available for groups 1 and 2, whereas only plasma was available for groups 3 and 4.

A control group of normal subjects (non-aSAH) were also sampled (group 5). This group consisted of patients undergoing elective surgery requiring spinal anaesthesia (hip and knee replacements).

#### **Exclusions**

Patients with aSAH that developed clinical and biochemical signs of sepsis/infection were excluded from the analysis. Patients in group 5 that were undergoing elective joint replacement due to rheumatoid arthritis were also excluded from the analysis.

#### Molecular analysis

#### **Assay format**

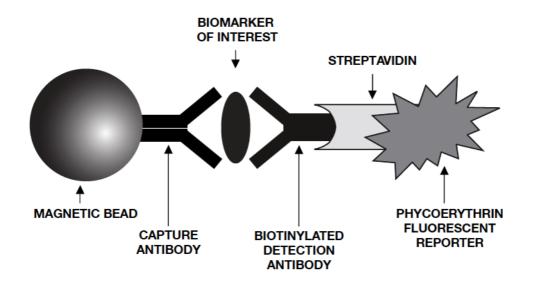
Analysis was performed using the Bio-Plex® suspension array system manufactured by Biorad® as previously described <sup>345</sup>. This system is built around the three core elements:

- 1. Fluorescently dyed microspheres (also called beads), each with a distinct spectral address. This allows simultaneous detection of more than 100 different types of molecules in a single well of a 96-well microplate.
- 2. A dedicated flow cytometer with two lasers and associated optics to measure the different molecules bound to the surface of the beads.

3. A high-speed digital signal processor that efficiently manages the fluorescence data.

The assays are immunoassays formatted on magnetic beads similar to a sandwich enzyme-linked immunosorbent assay (ELISA) (Figure 4). Capture antibodies directed against the desired biomarker are covalently couples to the beads, which react with

Figure 4-Bio-Plex sandwich immunoassay



the sample containing the biomarker of interest. A series of washes removes the unbound protein and a biotinylated detection antibody is added to create a sandwhich complex. Streptavidin-phycoerythrin (PE) conjugate is added to form the final detection complex (the latter serving as a fluorescent indicator).

#### Data acquisition and analysis

The data was acquired with a Luminex-100 cytometer. The assay suspension was drawn into the reader and a red (635 nm) laser illuminates the fluorescent dyes within each bead to provide a bead classification. This identifies the target analyte. Simultaneously, a green (532 nm) laser excites the PE part of the conjugate to generate a reporter signal that is detected by a photomultiplier tube (PMT). Bio-Plex Manager TM software presents data as Median Fluorescence Intensity (MFI) as well as concentration (picograms (pg)/ml). The concentration of analyte bound to each bead is proportional to the MFI. A calibration curve was used to obtain the concentration in pg/ml.

# Plate preparation

The plate layout was planned and labelled. Assay reagents were equilibrated to room temperature. The samples were removed from the freezer and allowed to thaw. The wash station was primed and the system was calibrated. The 96-well filter plate was washed via vacuum filtration using a vacuum manifold.

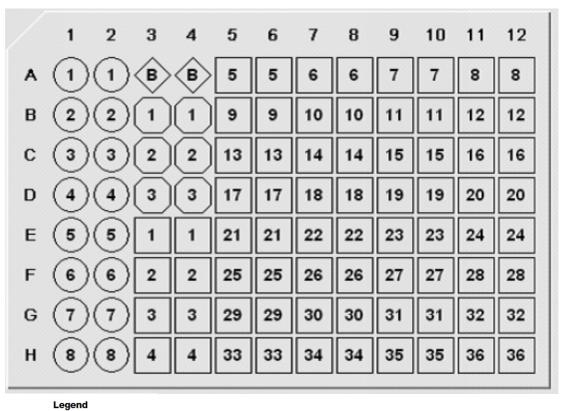
Figure 5 shows a typical sample plate layout. In the 96-well filter, columns 1 and 2 are assigned to the calibration curve standards. Two wells were assigned as blanks, which consisted of standard diluent (serum-based matrix that mimics the matrix in 1:4 diluted samples). The Bio-Plex Manager<sup>TM</sup> software automatically subtracted the assay blank FI value from the standard and sample FI values.

# **Standards preparation**

A single vial of standards was reconstituted in 500  $\mu$ l of the standard diluent. This was vortexed and incubated on ice for 30 minutes. An eight point standard dilution series was prepared and blanked. The lyophilized standard was gently tapped to ensure the pellet is at the bottom of the vial. 500  $\mu$ l of the standard diluent were used to reconstitute the standard. This was gently vortexed for 1-3 seconds and incubated on ice for 30 minutes.

The following dilution series produced an eight-point standard curve with a four-fold dilution between each point. New pipette tips were used for every volume transfer. Nine 1.5 ml tubes were labelled S1 through to S8 and Blank. Diluent was added to tube S1 (72  $\mu$ l) and tubes S2-8 (150  $\mu$ l). Figure 6 demonstrates the steps in the serial dilution. The reconstituted standards were gently vortexed for 1-3 seconds prior to removal of any volume. 128  $\mu$ l of the standard were added to S1. 50  $\mu$ l were transferred from S1 to S2. This was continued for the remaining tubes as illustrated in Figure 6. Samples were vortexed throughout each step of the serial dilutions.

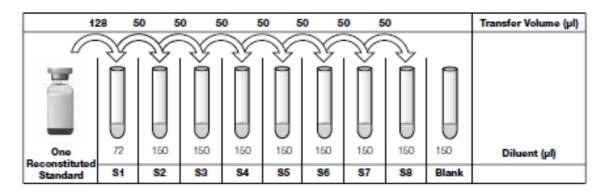
Figure 5-Plate formatting



S Standards
B Blank
X Samples

C Controls

Figure 6-Preparing a fourfold dilution series with a single reconstituted standard



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# Sample preparation

Once the samples were thawed, they were centrifuged at 1000 x g for 15 minutes and the plasma and CSF transferred to a clean polypropylene tube. Dilution with Bio-plex sample diluent by the order of 1:4 was performed by adding 1 volume of sample to 3 volumes of sample diluent. The first analysis consisted of five wells dedicated to CSF samples in order to confirm that this dilution was appropriate for this biological fluid.

#### **Preparation of coupled beads**

The coupled beads were prepared in assay buffer whilst being protected from light. The volume of coupled beads was calculated as follows:

- 1. Each well required 50  $\mu$ l of 1x coupled beads.
- 2. For 96 wells, this required 4800 µl total volume.
- 3. As 20% excess was included to ensure enough volume: 960 μl
- 4. Total volume of 1x coupled beads: 5760 μl
- 5. Volume of 20x coupled beads stock:  $5760/20 = 288 \mu l$
- 6. Volume of assay buffer required:  $5760 288 = 5472 \mu l$

The assay buffer was placed into a 15 ml universal tube. The coupled beads were vortexed at mid speed for 30 seconds. The required volume of 20x stocked coupled beads was pipetted into the polypropylene tube with the assay buffer. The beads were protected from light with aluminium foil while

they were allowed to equilibrate at room temperature for 20 minutes prior to use to prevent their photobleaching.

#### Wash and incubation

All buffers, diluted standards, diluted coupled beads and samples were brought to room temperature prior to the assay run. Repeated washes and incubations on shaker procedures were required. This consisted of:

- 1. The filter assay plate was placed on a calibrated vacuum apparatus and the buffer was removed by vacuum filtration. 100  $\mu$ l of wash buffer was added to each well and the contents aspirated by vacuum filtration. The bottom of the filter plate was thoroughly blotted with a clean paper towel between each vacuum step to prevent cross contamination and plate leakage.
- 2. The plate was covered with a new sheet of sealing tape and placed on a microplate shaker and covered with aluminium foil to prevent photobleaching. It was shaken at room temperature at 1100 rpm for 30 seconds and then at 300 rpm for the incubation time.

#### Addition of coupled beads, standards and samples

The filter plate was prewetted with 100  $\mu$ l assay buffer and the liquid was removed by vacuum filtration. The bottom of the filter plate was thoroughly blotted on a clean paper towel. The diluted coupled beads were vortexed for 30 seconds at medium speed and the beads poured into a reagent reservoir. 50  $\mu$ l were added to each well using a multichannel pipette. The wells were subsequently washed twice as described above.

The diluted standards, blanks, samples and controls were gently vortexed for 1-3 seconds and 50  $\mu$ l of diluted standard, control and sample were added to each well. The plate is subsequently incubated on shaker at room temperature for 30 minutes.

# Preparation and addition of detection antibodies

The volume of detection antibodies was determined as follows:

- 1. Each well required 25  $\mu$ l detection antibodies (1x). For 96 wells this was a total volume of 2400  $\mu$ l.
- 2. A 25% excess to ensure enough volume was also included: 600  $\mu$ l leaving a total volume of 1x detection antibodies: 3000  $\mu$ l.
- 3. Volume of 20x detection antibodies stock: 150  $\mu$ l.
- 4. Volume of detection antibody diluent required: 2850 μl.

This volume of detection antibody diluent was added to a 15 ml tube. The detection antibodies were vortexed for 15-20 seconds at medium speed followed by a 30 second spin to collect the entire volume at the bottom of the vial. For each detection antibody, the required volume (150  $\mu$ l) was pipetted into a 15 ml polypropylene tube. Each well of the assay required 1.25  $\mu$ l of 20x detection antibody adjusted to a final volume of 25  $\mu$ l.

After incubating the samples, the sealing tape was slowly removed and discarded. The plate was washed three times as described above. The diluted detection antibodies were vortexed for 1-3 seconds and poured into a reagent reservoir. 25 µl were added to each well using a multichannel pipette.

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The plate was covered with a new sheet of sealing tape and the wells sealed.

The plate was incubated on shaker at room temperature for 30 minutes.

# Preparation and addition of Streptavidin-PE

The volume of streptavidin-PE and assay buffer were calculated as follows:

1. Each well required 50  $\mu$ l of 1x streptavidin-PE: 4800  $\mu$ l

2. 25% excess to ensure enough volume: 1200 μl

3. Total volume of 1x streptavidin-PE required: 6000 μl

4. Volume of 100x streptavidin-PE required: 60 μl

5. Volume of assay buffer required: 5940 μl

This assay buffer was added to a 15 ml tube. The streptavidin-PE tube was vortexed for 15-20 seconds at medium speed followed by a 30 second spin to collect the entire volume at the bottom of the vial. The required volume of stock streptavidin-PE was pipetted into a 15 ml polypropylene tube containing the assay buffer in order to dilute the streptavidin-PE to a 1x concentration.

After detection antibody incubation, this was slowly removed and the sealing tape discarded. The plate was washed three times and the diluted streptavidin-PE was vortexed at medium speed for 3-5 seconds. This was poured into a reagent reservoir and 50  $\mu$ l were added to each well using a multichannel pipette. Incubation on shaker was performed at room temperature for 10 minutes.

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After the streptavidin-PE incubation step, the wells were washed three times.

125µl assay buffer was added to each well and the plate covered with a new

sheet of sealing tape. The plate was shaken at room temperature at 1100

rpm for 30 seconds.

Plate reading and data acquisition

A protocol file specifying the analytes used in the reading, the plate wells to

be read, the sample information, the values of the standards and controls,

bead region number and instrument settings was prepared in advance using

the Bio-Plex Manager 6.0 software as shown in table 10. The wells were

defined as shown in figure 5.

To enter the standards, the highest concentration of each analyte was entered

into the top row (labelled S1) of the table. A dilution factor of 4 was entered

and the concentration for each standard point was populated in the table by

the software. The assay plate was shaken at 1100 rpm for 30 seconds and

the sealing tape is removed prior to placing the plate in the plate reader. The

protocol was subsequently run.

Specifications of assay

The manufacturer's specification list is as follows:

Sensitivity ≈ 10 pg/ml (based on detectable signal >2 SD above background).

Precision: Inter-assay CV: <30%; intra-assay CV: <20%

Cross-reactivity: Negligible

Table 10-Cytokine assay bead regions

Abbreviations: IL-interleukin, MCP-monocyte chemotactic protein, TNF-tumour necrosis factor, VEGF-vascular endothelial growth factor.

Cytokine	Bead Region	Group
IL-1β	39	1
IL-4	52	1
IL-6	19	1
IL-8	54	1
IL-10	56	1
IL-15	73	1
IL-17	76	1
MCP-1	53	1
TNF-α	36	1
VEGF	45	1
IL-1α	63	2
IL-18	42	2

#### Statistical analysis

All analyses were performed in duplicate with mean values analysed. The spread of data for aSAH patients was investigated in order to highlight and exclude extreme values greater than 2 standard deviations from the mean. All day 9 CSF samples were removed from the analysis due to a very small sample size (n<5). All mediator data was tested for normality with the Shapiro-Wilks test and Q-Q plots. Time serial analyses were performed using one-way analysis of variance (ANOVA) with Games-Howell *post hoc* analysis at a significance level of 0.05. Comparison of DIND and non-DIND data was performed with the independent t-test and Mann-Whitney-*U* test for parametric and non-parametric data respectively. A further sub-group analysis of the distribution of when DIND was diagnosed was not possible due to small sample sizes. Independent t-tests were conducted with Levene's test for equality of variances. Binary logistic regression analysis was performed with MRS at day 10 and 6 months post ictus as the outcome variable (MRS 3-

6 considered as poor outcome). All mediators were forced into the model. Any mediators identified as significant predictors were placed into a second model as a second step following age, WFNS and Fisher grade placement. p < 0.05 was considered statistically significant. All statistical tests were performed with IBM SPSS version 18 (and StataCorp STATA for the Games-Howell analysis).

# **Results**

# **Cohort demographics**

Table 11 demonstrates the clinical characteristics of this cohort of aSAH patients.

**Table 11-Cohort characteristics** 

Abbreviations: aSAH-aneurysmal subarachnoid haemorrhage, WFNS-World Federation of Neurological Surgeons.

		Patients with aSAH	Control patients
Number of patients		43	12
Median age/years		58 (48-63)	65 (52-68)
(interquartile range)			
Male : female		1:2.9	1:2
WFNS grade	1	32	N/A
	2	10	N/A
	3	1	N/A
Fisher grade	2	10	N/A
	3	26	N/A
	4	1	N/A
	3 + 4	6	N/A
Aneurysm treatment	Coiling	27	N/A
	Clipping	11	N/A
	Both	5	N/A

#### **Plasma**

# Time serial analysis and control comparison

Figure 7 demonstrates box plots of the plasma mediator levels at each time-point following aSAH. Compared to controls, significantly higher levels were noted in the plasma for IL-6, IL-8 and IL-15 at days 3, 5 and 7. No other significant differences were noted.

#### Comparison of DIND and non-DIND

Figure 8 demonstrates mediator levels at each time-point for DIND and non-DIND patients. IL-10 was significantly lower in patients with DIND at day 3 (p=0.031). There was a trend for lower mediator levels in DIND patients in IL-1 $\beta$ , IL-10, IL-15 and TNF- $\alpha$  at all time-points although this did not reach statistical significance.

# Comparison of DIND samples on the day of clinical symptoms with non-DIND patients

Figure 9 demonstrates a comparison of plasma mediator levels of DIND patients (on the day of commencement of DIND) with mediators taken from non-DIND patients at other time points. The analysis did not show any significant differences between DIND levels and non-DIND patients at all time-points. There was a tendency for day 9 plasma samples to show elevated mediator levels for all patients with a larger spread of data. Day 9 sample numbers were small for this analysis (n=4).

#### Logistic regression analysis

With ten-day MRS placed as the outcome variable, the regression analysis for mediators at day 3 revealed IL-18 to be a significant predictor of poor outcome (odds ratio (OR) 0.91, CI 0.83-0.99, p=0.031). This significance was lost in the second model when age, WFNS grade and Fisher grade were accounted for (OR 0.96, CI 0.92-1.004, p=0.074). At day 5, IL-1 $\alpha$  was a significant predictor of poor outcome (OR 113, CI 1.1-11888, p=0.047). This

significance was lost in the second model (OR 5.9, CI 0.34-101, p=0.22). At day 7, IL-1 $\alpha$  (OR 65.9, CI 1.1-4103, p=0.047), IL-18 (OR 0.93, CI 0.88-0.996, p=0.037), IL-4 (OR 0.03, CI 0.001-0.80, p=0.036) and IL-6 (OR 1.24, CI 1.03-1.49, p=0.021) were significant predictors of poor outcome. This significance was lost in the second model.

Figure 7: Box plots demonstrating median plasma mediator concentrations. Interquartile range and extreme values are highlighted (o and \* denote greater than two and three lengths of the box respectively, values greater than 3 standard deviations have been excluded). Units are pg/ml. Values are given at days 3, 5, 7 and 9-post haemorrhage. Control values are also shown. Comparisons are between each time-point and control values; \* denotes p<0.05, \*\* denotes p<0.01, \*\*\* denotes p<0.001. Abbreviations: IL-interleukin, MCP-monocyte chemotactic protein, TNF-tumour necrosis factor, VEGF-vascular endothelial growth factor.

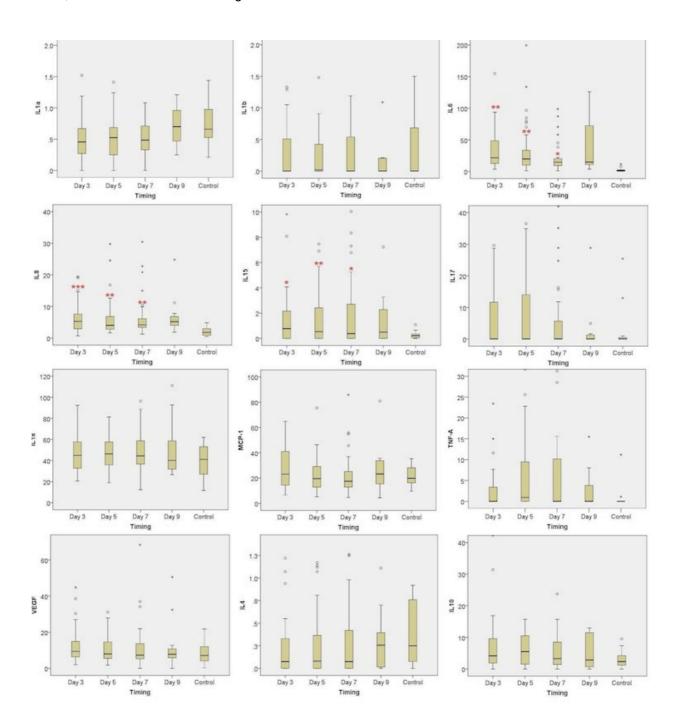


Figure 8-Box plots demonstrating median plasma mediator concentrations. Interquartile range and extreme values are highlighted (o and \* denote greater than two and three lengths of the box respectively, values greater than 3 standard deviations have been excluded). Units are pg/ml. Values are given at days 3, 5, 7 and 9-post haemorrhage for both DIND and non-DIND patients. Control values are also shown. Comparisons are between DIND and non-DIND; \* denotes p<0.05, \*\* denotes p<0.01, \*\*\* denotes p<0.001. Abbreviations: IL-interleukin, MCP-monocyte chemotactic protein, TNF-tumour necrosis factor, VEGF-vascular endothelial growth factor, DIND-delayed ischaemic neurological deficit.

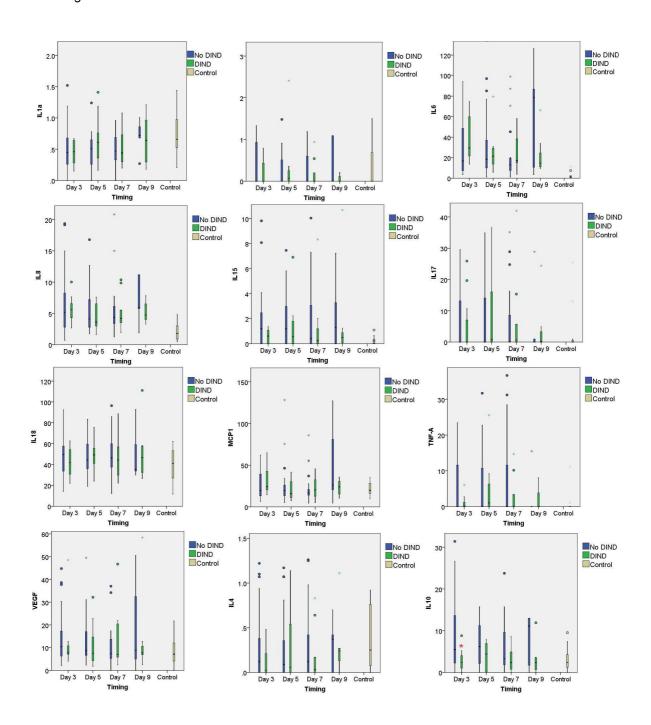
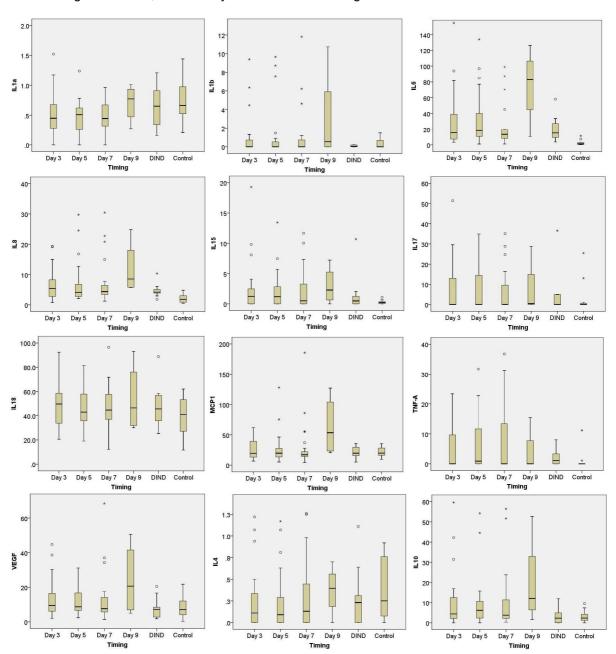


Figure 9-Box plots demonstrating median plasma mediator concentrations. Interquartile range and extreme values are highlighted (o and \* denote greater than two and three lengths of the box respectively, values greater than 3 standard deviations have been excluded). Units are pg/ml. Values are given at days 3, 5, 7 and 9-post haemorrhage for non-DIND patients and values on the day of commencement of symptoms in those with DIND. Control values are also shown. Abbreviations: IL-interleukin, MCP-monocyte chemotactic protein, TNF-tumour necrosis factor, VEGF-vascular endothelial growth factor, DIND-delayed ischaemic neurological deficit.



With six-month MRS placed as the outcome variable, no significant predictors of outcome could be found from the mediators.

#### **CSF**

# Time serial analysis and control comparison

Figure 10 demonstrates box plots of the CSF mediator levels at each time-point following aSAH in addition to control values. There is a significant increase in CSF IL-1 $\alpha$ , IL-1 $\beta$ , IL-4, IL-6, IL-8 and TNF- $\alpha$  levels between day 3 and day 5. Levels of IL-18, IL-1 $\beta$ , IL-4, IL-6, IL-8, IL-15, IL-17, MCP-1, TNF- $\alpha$  and VEGF were significantly higher than controls on days 3, 5 and 7. IL-10 was significantly higher than controls on days 5 and 7 only.

# **Comparison of DIND and non-DIND**

Figure 11 demonstrates mediator levels at each time-point for DIND and non-DIND patients. Although there was a trend of higher CSF levels in DIND patients at all time-points for IL-1 $\beta$ , IL-4, IL-17, TNF- $\alpha$  and VEGF, this was only statistically significant for VEGF on day 5 (p=0.033).

# Comparison of DIND samples on the day of clinical symptoms with non-DIND patients

Figure 12 demonstrates a comparison of CSF mediator levels of DIND patients (on the day of commencement of DIND) with mediators taken from non-DIND patients at other time points. DIND values were significantly higher

Figure 10-Box plots demonstrating median CSF mediator concentrations. Interquartile range and extreme values are highlighted (o and \* denote greater than two and three lengths of the box respectively, values greater than 3 standard deviations have been excluded). Units are pg/ml. Values are given at days 3, 5, 7 and 9 post-haemorrhage. Control values are also shown. Comparisons are between each time-point; \* denotes p<0.05, \*\* denotes p<0.01, \*\*\* denotes p<0.001. Comparisons between each time-point and control values are shown in blue; \* denotes p<0.05, \*\* denotes p<0.01, \*\*\* denotes p<0.001. Abbreviations: IL-interleukin, MCP-monocyte chemotactic protein, TNF-tumour necrosis factor, VEGF-vascular endothelial growth factor.

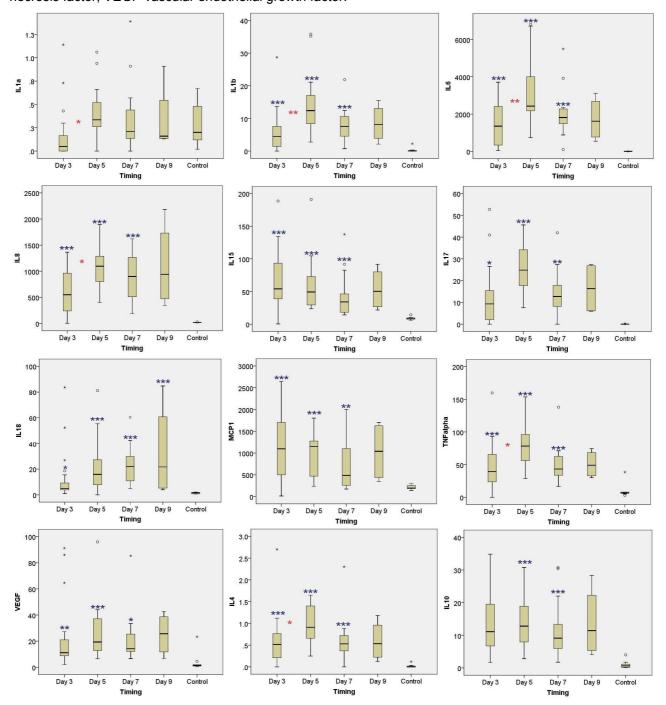


Figure 11-Box plots demonstrating median CSF mediator concentrations. Interquartile range and extreme values are highlighted (o and \* denote greater than two and three lengths of the box respectively, values greater than 3 standard deviations have been excluded). Units are pg/ml. Values are given at days 3, 5, 7 and 9-post haemorrhage for both DIND and non-DIND patients. Control values are also shown. Comparisons are between DIND and non-DIND; \* denotes p<0.05, \*\* denotes p<0.01, \*\*\* denotes p<0.001. Abbreviations: IL-interleukin, MCP-monocyte chemotactic protein, TNF-tumour necrosis factor, VEGF-vascular endothelial growth factor, DIND-delayed ischaemic neurological deficit.

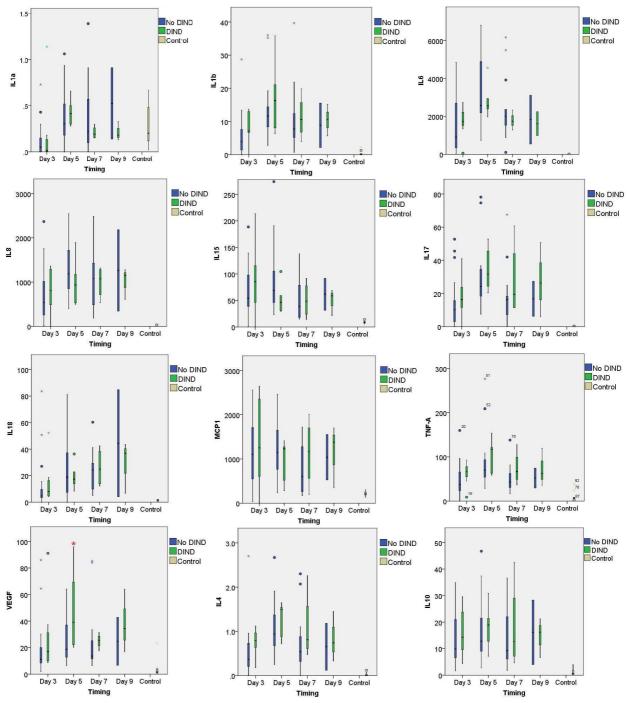
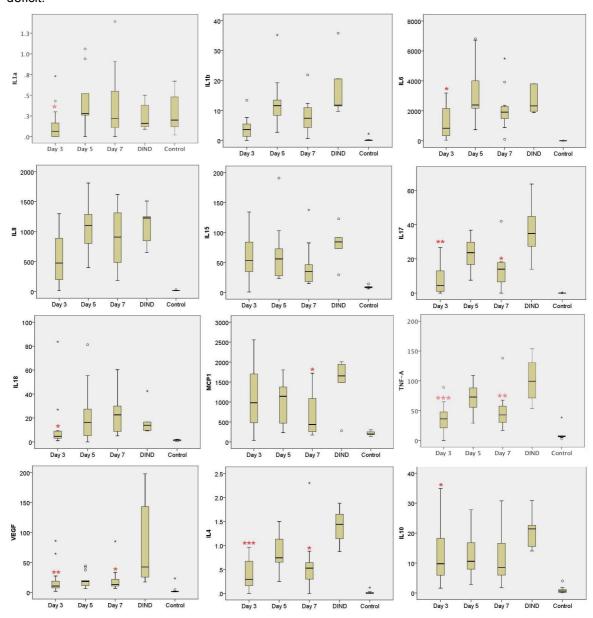


Figure 12-Box plots demonstrating median CSF mediator concentrations. Interquartile range and extreme values are highlighted (o and \* denote greater than two and three lengths of the box respectively, values greater than 3 standard deviations have been excluded). Units are pg/ml. Values are given at days 3, 5, 7 and 9 post-haemorrhage for non-DIND patients and values on the day of commencement of symptoms in those with DIND. Control values are also shown. Comparisons are between DIND values and non-DIND values at all time-points; \* denotes p<0.05, \*\* denotes p<0.01, \*\*\* denotes p<0.001. Abbreviations: IL-interleukin, MCP-monocyte chemotactic protein, TNF-tumour necrosis factor, VEGF-vascular endothelial growth factor, DIND-delayed ischaemic neurological deficit.



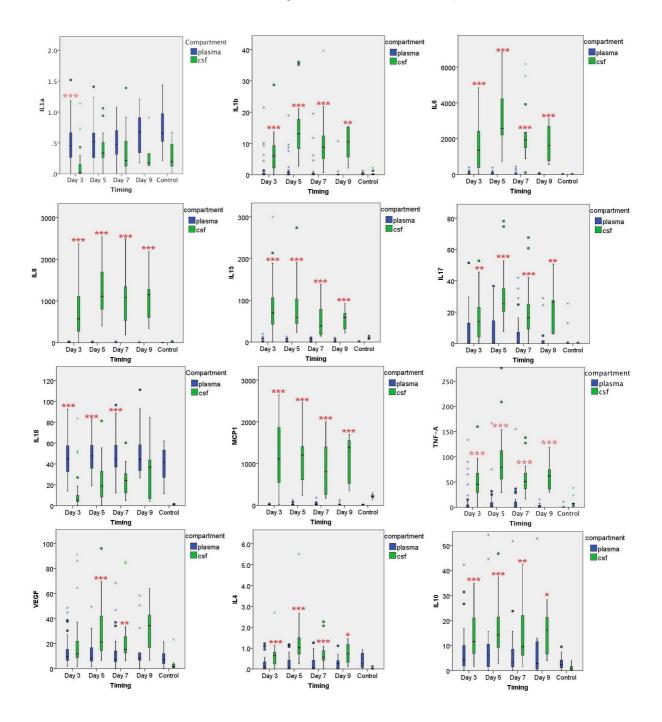
# Logistic regression analysis

No significant predictors of 10-day or 6-month MRS were found amongst the mediators in CSF.

# **Comparison of plasma and CSF levels**

Figure 13 demonstrates box plots comparing plasma and CSF levels of each mediator at all time-points and in controls. All levels were significantly higher in the CSF apart from IL-1 $\alpha$  and IL-18, which were higher in the plasma. No markers demonstrated changes in the plasma that were mirrored by CSF levels.

Figure 13-Box plots demonstrating median plasma and CSF mediator concentrations. Interquartile range and extreme values are highlighted (o and \* denote greater than two and three lengths of the box respectively, values greater than 3 standard deviations have been excluded). Units are pg/ml. Values are given at days 3, 5, 7 and 9 post-haemorrhage. Control values are also shown. Comparisons are between plasma and CSF at all time-points; \* denotes p<0.05, \*\* denotes p<0.01, \*\*\* denotes p<0.001. Abbreviations: IL-interleukin, MCP-monocyte chemotactic protein, TNF-tumour necrosis factor, VEGF-vascular endothelial growth factor, CSF-cerebrospinal fluid.



# **Discussion**

# **Key Findings**

Plasma levels of IL-6, IL-8 and IL-15 were greater in aSAH when compared to controls within the first seven days of ictus. CSF levels of all mediators except for IL-1 $\alpha$  were higher than controls throughout the course of aSAH. For aSAH patients, no specific trends could be identified in the plasma during the course of aSAH. For CSF, however, IL-1 $\alpha$ , IL-1 $\beta$ , IL-4, IL-6, IL-8 and TNF- $\alpha$  increased in the early stages following aSAH (between days 3 and 5). Although patients with DIND did show a trend for lower plasma mediator levels of IL-1 $\beta$ , IL-10, IL-15 and TNF- $\alpha$ , this reached statistical significance at day 3 for IL-10 only. Conversely, CSF levels of IL-1 $\beta$ , IL-4, IL-17, TNF- $\alpha$  and VEGF tended to be higher in patients with DIND at all time points although this reached significant values on day 5 for VEGF only. Although no specific trends in plasma could be identified on the day of commencement of DIND, CSF levels were significantly higher than non-DIND patients for most mediators.

# Systemic and CNS inflammatory response following aSAH

Plasma levels of IL-6 and IL-8 were higher in aSAH patients than in controls. This supports the role of both mediators being produced systemically and promoting an acute phase response<sup>219</sup>. A more substantial finding however is that CSF levels of IL-6 and IL-8 have been shown to be raised and compared to non-aSAH controls, shown to be anywhere between 1.5-10000-fold higher<sup>219,299-302,305,307</sup>. This is in agreement with the current study that demonstrated 680-fold and 50-fold higher CSF IL-6 and IL-8 levels

respectively in aSAH patients than when compared with non-aSAH controls. Absolute concentrations and the large variation and spread of the data are also similar  $^{219,299,305}$ . As noted in the current study, CSF IL-6 and IL-8 levels have been shown to increase and peak around day 5 post ictus, with a subsequent decline to lower, albeit pathological levels by day  $14^{219,303,305}$ . The relatively slower peak at day 5, supports the known mechanisms of action for IL-6. In the CNS following ischaemia, early release of IL-1 $\beta$  and TNF- $\alpha$  results in a second and more persistent inflammatory response mediated by IL-6 and IL-8.  $\beta$ -adrenergic stimulation may be involved in the production of IL-6 in this setting  $^{346}$ . Both IL-6 and IL-8 are important in leukocyte aggregation and adhesion which is thought to play a role in the periadventitial space around the microvasculature surrounded by the spilled blood in the subarachnoid space  $^{204}$ .

As has been seen in the present study, the concentration of IL-1ß in the CSF soon after aSAH was shown to be greater than in non-aSAH controls 302. It is unclear from the literature what the absolute values are following aSAH, with a range of 2-80 pg/ml being reported<sup>347</sup>. The time-course demonstrates a peak at day 5 post ictus followed by a steady decline to lower levels by day 10 time-course post ictus, although this has not been consistently demonstrated<sup>299,305</sup>. In the current study, there is a similar time-course observed with absolute values lying within those reported in the literature. IL-1α increased in the CSF early after aSAH but this difference was not significant. Levels were never significantly different from controls, with a trend for lower plasma values in aSAH patients. IL-1α was one of only two investigated mediators that was found more abundantly in the plasma than in CSF. This lack of association with aSAH and DIND has some support from another study that could not detect IL-1 $\alpha$  in the CSF following aSAH<sup>301</sup>.

Levels of CSF TNF-α in control subjects in the current study are 40-fold higher than that described in the literature<sup>299</sup>. This may be explained by the different types of controls utilised. In the literature, control subjects often constitute patients undergoing lumbar puncture for suspected aSAH or meningitis without a subsequent positive diagnosis. In the current study, control subjects are patients undergoing an elective joint replacement (knee or hip) requiring spinal anaesthesia. These patients did not present with any acute pathology and were screened for any systemic inflammatory response. Patients with inflammatory arthropathy were not included. TNF-α has been shown to be 5-30 fold higher in the CSF of aSAH when compared to control, peaking at around day 7<sup>299,302,304</sup>. This increase may be related to those with an unfavourable outcome<sup>304</sup>. In the current study, TNF-α peaked slightly earlier on day 5, with absolute values considerably higher than those previously documented<sup>299</sup>. The global increase in TNF-α in both controls and CSF may suggest a fundamental assay difference for this mediator even though multiplex analysis were used for both studies.

MCP-1 has been shown to be elevated in the plasma and CSF following aSAH in comparison with non-aSAH controls and this difference noted to be more marked in the CSF<sup>262,300,307</sup>. In the current study, this effect was noted in the CSF only. As is seen in the present study, control values were higher in

plasma than in CSF<sup>262</sup>. The level of MCP-1 was shown to be proportional to the grade of the patient and the weaker response in plasma was thought to represent a weaker systemic inflammatory response in good grade patients. The lack of difference between plasma levels in aSAH patients and controls in the current study may be a result of the good grade cohort being investigated.

# Systemic and CNS inflammatory response associated with DIND

CSF IL-6 and IL-8 levels have been shown to be higher in patients with  $\mathsf{DIND}^{219,299,300,302,305,306,311}$  and associated with a poor outcome at three months following haemorrhage<sup>310</sup>. This increase was as early as day 1 post ictus and sustained throughout the course of aSAH. CSF IL-6 levels were also higher in patients with increased flow velocities on TCD examination<sup>299</sup>. This cohort exhibited a greater peak of IL-6 concentration. CSF IL-6 levels were elevated 0-3 days post-haemorrhage in those of poor clinical grade with subsequent DIND<sup>306</sup>. According to binary logistic regression, there was a statistically significant effect on days 4 and 5 for the prediction of DIND and day 7 for cerebral ischaemia related to DIND<sup>219</sup>. A cut-off point of 2000 pg/ml on day 4 came with an eleven-fold relative risk of DIND. An alternative cut-off point has been described as 400 pg/ml on day 3311. This has not been demonstrated in the current study. Although median levels were higher in DIND patients at day 3, this was not a significant or sustained effect. This was also noted for CSF IL-6 levels taken at the time of commencement of DIND. Half of patients with DIND and half without DIND had a CSF IL-6 level of >2000 pg/ml in the current study. A possible explanation for this is the

smaller number in the current study unable to confirm the significance of this change at day 3. It is interesting to note that in the study by Schoch and colleagues, no association was found between DIND/delayed cerebral ischaemia and WFNS grade, Fisher grade and length of stay in the critical care unit. This may highlight fundamental differences between this study and the current study in relation to the aSAH cohort and diagnostic criteria for DIND. It was noted in their study that there was a disproportionate weighting towards poor grade patients; the opposite effect was noted in the current study, which was limited to good grade aSAH patients only.

Blockade of IL-8 effects has been shown to be beneficial following transient ischaemia but not permanent ischaemia<sup>235,348</sup>. This would suggest that IL-8 (or a downstream factor) confers damage during the re-perfusion phase of ischaemia. Leukocyte accumulation may have an important role in this. This is especially relevant for patients with new onset DIND who have not yet established an infarct and are likely to exhibit focal compromises to cerebral blood flow that are initially dynamic and subject to fluctuation at the microvascular level.

CSF IL-1β levels have been shown to be higher in patients with increased flow velocities on TCD examination, with a greater peak concentration at day 5 post ictus<sup>299</sup>. Although a very similar trend was seen in the current study, the difference was not statistically significant for patients with DIND. This is likely to be due to the smaller numbers in the current study and the inherent differences between angiographic vasospasm and DIND. A higher trend in

DIND was noted at all time-points, particularly at day 3. This is supported by the observation that CSF IL-1 $\beta$  taken at admission was three-fold higher in those with subsequent DIND and subsequent samples taken between days 8 and 15 were higher in those with DIND<sup>302,306</sup>. Given the diverse set of circumstances that can result in IL-1 $\alpha$  and  $\beta$  release and the diversity of their subsequent response, it is difficult to predict exactly what role they have in aSAH and the subsequent clinical course. There is a lack of consensus in the literature as to the correlation of IL-1 $\beta$  levels and the occurrence of DIND (table 8). This is likely to be due to the temporal expression profile of these molecules and the potentially conflicting pro- and anti-inflammatory effects they may have depending on the relative concentration and contribution of other existing cytokines. This of course needs to be interpreted in the context of anatomical location; between the systemic compartment and the CNS and also temporal differences within the CNS.

CSF TNF- $\alpha$  was higher in patients with increased flow velocities on TCD examination, peaking at day 7 and subsiding at a slower rate in this subset of patients<sup>299</sup>. CSF TNF- $\alpha$  taken at admission was double in those with subsequent DIND<sup>302</sup>. These findings are reflected in the current study that has demonstrated a higher trend in DIND patients at all time-points and a peak at day 5 that is sustained until day 9 in those with DIND.

VEGF was the only mediator in the current study to be significantly higher in patients with DIND (only on day 5). Although it has been implicated in BBB disorders and is upregulated following experimental TBI and hypoxia<sup>278,279</sup>,

this is the first demonstration in humans of an association with DIND. It is difficult to interpret this finding given that it was elevated in the CSF in all aSAH patients when compared with controls and tended to be even higher in those with DIND at all measured time-points. It is not possible to determine whether this response is a cause or consequence of ischaemia or simply epiphenomena. A possible mechanism of how VEGF can cause or contribute to DIND lies in its ability to disrupt the BBB. Cerebral oedema (even in a focal/microvascular capacity) may be the starting point which compromises cerebral blood flow and triggers the secondary insults resulting in DIND. Alternatively, DIND is triggered by some another unknown mechanism and the subsequent rise of VEGF triggers/worsens cerebral oedema and starts the cycle of secondary brain injury. Src-family tyrosine kinase and MAPK are important pathways associated with VEGF<sup>281,282</sup>

CSF and not plasma levels of MCP-1 have been shown to correlate with angiographic vasospasm in aSAH patients<sup>262</sup>. In a subgroup of good grade patients with and without vasospasm, this correlation was no longer present. Although DIND and not angiographic vasospasm was investigated in the current study, this association was not seen. This may in part be explained by the good grade cohort in this study and by the different pathological processes that are likely to be taking place in angiographic vasospasm and DIND.

Regression analysis in the current study did not highlight any mediators that were independent predictors of early and long-term outcome in this cohort.

# Compartmentalisation of inflammation following aSAH

The overall consensus from the literature is that the mediators found abundantly in the CSF following aSAH are not as prominent in the plasma, suggesting intra-thecal production<sup>299,305,308</sup>. There has been some evidence implicating raised IL-6 values in the peripheral and central venous system with DIND<sup>349</sup>. In agreement with previous studies IL-1β, IL-6 and IL-8 were lower in the plasma in the current study compared to simultaneous CSF samples although in the case of IL-6 and IL-8, plasma levels were still significantly higher than non-aSAH controls<sup>299,303,305,308</sup>. It is not possible to determine whether this represents two separate sites of mediator production in a combined systemic/CNS inflammatory cascade, or production at one of these sites with subsequent migration. The existence of SIRS in aSAH would support the former whereas the overwhelmingly larger mediator concentrations seen in the CSF would support the latter. In the case of IL-1\u00e3, another study has shown this to be completely undetected in the plasma<sup>305</sup>. TNF-α was significantly higher in the CSF in the current study and although this does have some support<sup>308</sup>, others have shown no difference between the two concentrations<sup>299</sup>.

Mediator concentrations within the ECF are also uncertain following aSAH. Although studied in a mixed cohort of aSAH, ischaemic stroke and TBI patients, microdialysis catheters placed during the first 72 hours following haemorrhage demonstrated very high IL-1β concentrations (approximately 20 pg/ml) in the first 6 hours, followed by a steady decline to less than 4 pg/ml<sup>309</sup>. These findings closely precede the CSF findings in the current study (where

the first measurement is at 72 hours) and other CSF findings<sup>299</sup>. ECF IL-6 levels did also rise but in a slightly delayed fashion when compared with IL-1β (6-12 hours)<sup>309</sup>. Absolute values are also comparable with CSF<sup>299</sup>. This would suggest that IL-6 levels do fluctuate as early as 12 hours post-ictus: activity that would have been missed in the current study due to the first sample being taken at 72 hours. In a study of aSAH exclusively, IL-6 was elevated in all three compartments in all patients<sup>312</sup>. This was followed by a systemic inflammatory response (as measured by CRP) between days 7-9 only in those with DIND. IL-6 was higher in those with symptomatic DIND particularly in the CSF and ECF (no associated with plasma levels).

ECF IL-10 values were not subject to any significant fluctuations within the first 72 hours following haemorrhage, with a median concentration of 10-12 pg/ml<sup>309</sup>. This is supported by the current study demonstrating median concentrations in the CSF between 9-12 pg/ml betweens days 3-9. Plasma levels of IL-10 were also not subject to fluctuation and were found to be approximately one-third of CSF levels in magnitude.

The chemokine IL-8 demonstrated a peak value (approximately 4000 pg/ml) on initial assessment in the ECF at 6 hours post haemorrhage and underwent a steady decline to 500 pg/ml at 72 hours<sup>350</sup>. The 72 hour levels reflect those found in the current study within the CSF at that time. Plasma levels were found to be approximately 10-fold less than CSF levels and not subject to these fluctuations. This would support the early rise and fall of IL-8 within the CNS.

Whereas the median concentration of VEGF in the ECF following aSAH and other intracranial pathologies was relatively constant for the first 36 hours, our results would suggest that absolute values were approximately four-fold greater in the CSF and plasma<sup>350</sup>.

## Limitations of the study

Single samples taken every 48 hours only capture a limited picture of the true inflammatory profile. The changes taking place in the 7-10 days following aSAH are likely to impact heavily on mediator levels regardless of the presence or absence of DIND or the initial severity of the haemorrhage. In order to assess this more thoroughly, more frequent samples need to be taken (every 4-6 hours) in order to construct a better impression of molecular events.

Although cytokines are measured together using Multiplex technology, the analysis in the current study has kept those mediators separate. Cytokine profiling is a complex process and cytokines are likely to modulate and potentiate each other. Each cytokine is likely to behave in a completely different way depending on its concentration, site of action and the temporal expression of other mediators. Improved data modelling is required to aid interpretation of this complex dataset (particularly if more frequent samples are taken). Using the Games-Howell test for post-hoc analysis helps adjust p-values for multiple comparisons and is particularly useful for unequal group sizes with unequal variances and for small sample sizes per cell. It is based

on Welch's correction to degree of freedom with the t-test and uses the studentized range statistic.

The current study relied upon CSF obtained from a lumbar drain. This device is likely to stimulate its own local inflammatory reaction with time. An ideal control for this (not available in the current study) would be CSF obtained from a single lumbar puncture at days 3, 5, 7 and 9 in a patient randomised to the control arm of the lumbar drain trial (and so without a lumbar drain).

## Summary

Although definite conclusions cannot be drawn from the current study regarding the role of inflammation following aSAH, it is clear that an inflammatory response is initiated following the haemorrhage, likely to peak at day 5-7 post ictus and localised within the CNS relative to the systemic compartment. VEGF may have a particular association with incipient DIND but any further conclusions about the chronology of events are not possible from the current study. This mediator should be subject to further investigation in a larger study extracting it from the ECF, CSF and plasma simultaneously.

## Final conclusions and future direction

The prevalence of DIND following aSAH has been reduced with the use of lumbar drainage of CSF post ictus. This is likely to be due to a combination of blood clearance and control of ICP although the former is a more likely explanation for this. Patient recovery was quicker with lumbar drains but long-term outcome was no different. Despite this, there is reasonable support from this trial for the routine use of lumbar drains in all good grade aSAH patients. Similar results from a second trial (ideally incorporating poor grade aSAH patients) would give strong support for the routine use of lumbar drains. Larger patient numbers and better outcome measures would need to be incorporated in order to detect any possible subtle differences in long-term outcome.

Cytokines appear to be important mediators involved in DIND. Whether their effects are the cause or consequence of ischaemia or a response that is seen concurrently in patients with DIND but not directly related to it, is unclear. In fact, all the inflammatory mediators that did show non-significant trends following aSAH and associations with DIND were not conclusively shown to be causative mediators. VEGF is highlighted from the current study as a new target for a future investigation. This should extend to include sampling the ECF with microdialysis technology and involve multiple sampling throughout a 24-hour period in order to ascertain if there are frequent fluctuations in mediator levels. Snap-shots every 24-48 hours will not suffice. Advanced modelling techniques will need to be applied in order to interpret such large amounts of data correctly and accurate correlation to clinical parameters needs to be made. Single mediator analyses are unlikely to yield meaningful

results since cytokines are likely to function as dynamic signalling networks. The same mediators may simultaneously be able to stimulate both a proinflammatory and anti-inflammatory state depending on its concentration and
interaction with other mediators. In the CNS, these conflicting responses may
be occurring simultaneously in different parts of the brain anatomically and
physiologically in different compartments. In fact, the terms pro-inflammatory
and anti-inflammatory are likely to be over-simplifications. As opposed to
focusing on single mediators, multiplex technology allows multiple mediators
to be measure in duplicate or triplicate. This could potentially allow mediator
results to be combined in order to help elucidate what the overall inflammatory
status was at the time and place of sampling. This may be a more
constructive way of interpreting this complex data.

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# Statement of individual contribution

Yahia Al-Tamimi (YA) was the priniciple investigator and conductor of this research. Deepti Bhargava (DB) acted as a research assistant in the conduct of the trial during the period 2008-2010. The diagnosis of DIND was made prospectively by the multidisciplinary team caring for the patient: this included a vascular neurosurgeon (of which there are four), several neurointensivists and a neuroradiologist. The two investigators involved in the management of the trial (YA and DB) did not make this diagnosis. Neurological examination in this setting was performed by a number of clinicians completely independent of the trial and repeated several times daily (in addition to standard hourly nursing observations). Only after an established diagnosis did the investigators make a prospective independent assessment of neurology to confirm this diagnosis (there were no instances of disagreement at this stage). Retrospective verification at the end of the trial by the investigators was performed with prospectively collected clinical data (without any reference to treatment allocation), blood results and radiological images. No disagreements did occur between this retrospective assessment and the prospective assessments. The Modified Rankin Score at day 10 was performed by YA/DB following a short discussion with the physiotherapist involved with the care of a particular patient. This was subsequently verified by the investigators (there were no instances of disagreement between these two assessments). For those few patients discharged before day 10, an assessment of the MRS was performed over the phone in a similar fashion to

the six-month MRS. The six-month MRS was obtained by YA and DB. In order to minimise bias, the investigator was blinded to treatment allocation and where possible, the task was performed by the investigator who was not directly involved in recruiting patients.

The data monitoring committee consisted of two vascular neurosurgeons not involved with the trial (Mr A Tyagi and Mr N Phillips, Leeds Teaching Hospital) and the trial statistician (Mr R Feltbower). The trial statistician performed the interim analysis. The aims of the interim analyses at 40 patients and 100 patients were to highlight any safety issues and to demonstrate obvious benefit/detriment of trial intervention respectively.

The second part of this study (multiplex analysis) was performed by YA and Dr Uma Ekbote. YA collected all the samples and acted a laboratory assistant to Dr Ekbote who led the analysis.

# **Appendices**

# **Appendix One**

Lumbar drainage of cerebrospinal fluid following aneurysmal subarachnoid haemorrhage: A prospective, randomised and controlled trial (LUMAS)

# **Trial Protocol**

**Chief Investigator:** 

Mr Yahia Al-Tamimi

**Senior Investigator** 

**Mr Stuart Ross** 

#### Introduction

Aneurysmal subarachnoid haemorrhage affects between six and eight per 100,000 population per year. Despite modern intervention the mortality and morbidity rate remains high both from the initial haemorrhage and from the associated complications of subarachnoid haemorrhage. The two major neurological complications (excluding re-haemorrhage) seen in patients with subarachnoid haemorrhage are cerebral vasospasm and hydrocephalus.

### **Cerebral Vasospasm**

Cerebral vasospasm is characterised by delayed neurological deficit and/or impairment of the level of consciousness occurring after the third or fourth day post-haemorrhage. The period in which cerebral vasospasm may occur extends from the third to the twenty-first day post-bleed, but is most commonly seen between days three and fourteen. Although seen in up to 70% of angiograms, clinically apparent cerebral vasospasm occurs in around 30% of patients in large series. Of these, as many as 50% will develop a permanent cerebral infarction with 15-20% of patients suffering severe disability or death. The cause of cerebral vasospasm is unknown, but many workers believe that the presence of a cerebrospinal fluid (CSF borne spasmodic agent derived from the breakdown products of subarachnoid blood is responsible). Several candidate agents have been suggested including catecholamines, serotonin, prostaglandins and oxyhaemoglobin released during red-cell lysis.

A number of factors predicting the likelihood of developing cerebral vasospasm have been identified. Of these the volume of blood seen on initial CT scan has the greatest predictive value.

The diagnosis of cerebral vasospasm is made by clinical evaluation in conjunction with supportive investigations including CT scan and, where available, trans-cranial Doppler ultrasonography of the large intracranial vessels. However the investigation of choice to demonstrate vasospasm is cerebral angiography.

Early recognition of incipient vasospasm enables aggressive treatment to be given which consists of hypervolaemia, hypertension and haemodilution (HHH). This three-pronged approach is aimed at providing adequate cerebral perfusion. Supplementary oxygen and control of sodium balance (patients with cerebral vasospasm are prone to centrally-mediated natriuresis) are important adjuncts to HHH therapy.

A recent retrospective study has suggested that continuous drainage of CSF via a lumbar drain has a significant impact on patients with cerebral vasospasm, reducing the incidence and severity of the condition and improving overall outcome. The suggested mechanism by which this benefit is achieved is removal of the presumed CSF borne spasmogen. This research group compared the practice of two vascular neurosurgeons during the period of 1994 and 2003. One surgeon routinely placed an intra-operative

lumbar drain during clipping or endovascular coiling of an aneurysm (unless contraindicated), whilst the second surgeon did not. Data was collected on 167 patients.

All patients received standardised care and were placed into two groups, those that had lumbar drainage (number of patients 81) and those that did not (control group, number of patients 86). Outcome measures included the development of clinically evident vasospasm, the need for endovascular interventions (including angioplasty and/or intra-arterial papaverine) and the development of vasospasm-related infarction. Results were in favour of lumbar drainage. There was a statistically significant reduction in the incidence of clinically symptomatic vasospasm (from 51% to 17%), need for endovascular therapy (from 45% to 17%) and vasospasm-related infarction (from 27% to 7%). There was also a statistically significant improvement in disposition at discharge, length of in-patient stay and Glasgow outcome scores.

Although a robust retrospective study, there were certain limitations. The study had a degree of selection bias with a tendency of higher Fisher grades (appendix 1.3) not to have lumbar drainage. Logistic regression analysis and subgroup analyses showed that following correction for this bias, results were still in favour of lumbar drainage. Other limitations of the study include the long period in which patients presented to the department (9 years) and the inherent limitations to retrospectively analysing case notes. Other features that could be addressed in a future study include quantification of the amount

of CSF drainage (including the amount intraoperative drainage) and the use of lamina terminalis fenestration during the operation and the implications this would have in the outcome measures. These factors have not been adequately addressed in this study.

# **Study Aims**

The aim of this study is to determine whether continuous lumbar drainage of CSF reduces the incidence and severity of clinical vasospasm and leads to improved long-term outcome. Lumbar drainage of CSF is a cheap, safe procedure performed under local anaesthesia and is routinely used in patients undergoing surgery for intracranial aneurysms to facilitate surgery. There are a number of contraindications to lumbar drain insertion, which are outlined in the exclusion criteria for this study.

#### **Study Design and Methodology**

This study is a prospective, randomised, case-controlled study in which patients will receive standard therapy or standard therapy plus lumbar drainage following initial CT scan.

Written informed consent will be sought prior to recruitment into the study.

Written assent from the patient's relatives will be sought in those cases where confusion prevents written informed consent being obtained from the patient.

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Patients with CT evident subarachnoid blood corresponding to Fisher Grades

2, 3 and 4 (appendix 1.3) will be randomised to standard therapy or standard therapy with the addition of continuous lumbar drainage of CSF at 5-10 mL

per hour until the CSF shows no evidence of xanthochromia or day 10 post-

insertion. Patients will be entered into the study as soon as possible following

haemorrhage, but not after 96 hours post-haemorrhage day (i.e. prior to the

onset of vasospasm).

Patients may withdraw from the study at any time and will continue with

routine management.

Should the lumbar drain fall out prematurely the patient will be asked whether

they wish to continue in the study and, if so, the lumbar drain will be

reinserted and management will continue as before.

Patients showing signs of lumbar drain infection will have the drain removed,

a sample of CSF and the drain tip sent to the microbiology laboratory and

appropriate antibiotic therapy instituted. Appendix one demonstrates the flow

diagram for patient recruitment.

Inclusion / Exclusion Criteria

Inclusion criteria

Aneurysmal subarachnoid haemorrhage.

Recruitment prior to 96 hours post-haemorrhage.

Written informed consent or relative assent given.

WFNS grade 1-3 (Appendix 1.2).

Fisher grade 2, 3 and 4 (without space occupying haematoma) on initial CT scan (Appendix 1.3).

No significant intraventricular haemorrhage, space occupying haematoma or other contra-indication to lumbar puncture.

#### **Exclusion criteria**

Non-aneurysmal subarachnoid haemorrhage.

Delayed presentation / recruitment (after 96 hours post-haemorrhage)

Written informed consent or relative assent denied or unobtainable.

WFNS grade 4 or 5 (Appendix 1.2).

Fisher grade 1 on initial CT scan (Appendix 1.3).

Intraventricular haematoma obstructing ventricular outflow.

Intracranial haematoma with mass effect.

Bleeding diathesis.

#### **Outcome Measures**

#### **Primary Outcome Measure**

Development of clinical vasospasm (new delayed neurological deficit and / or impairment of consciousness without other cause). This is defined as a drop of one motor point or two eye/verbral points of the Glasgow Coma Score and/or a new focal neurological deficit, seen at least 96 hours post haemorrhage.

### **Secondary Outcome Measures**

Modified Rankin score at day 10 and six months post-discharge.

Development of completed stroke clinically and radiologically (when computerised tomography is performed as part of routine clinical care).

CSF Infection.

Prevalence of cerebrospinal fluid shunting.

# **Special Note on the Trial Protocol**

If a patient has presented greater than four days following the initial haemorrhage, any neurological deficit present may be a delayed ischaemic neurological deficit and thus must be excluded from the trial. Should the lumbar drain fall out prematurely the patient will be asked whether they wish to continue in the study and, if so, the lumbar drain will be re-inserted and management will continue as before. Patients showing signs of lumbar drain infection will have the drain removed, a sample of CSF and the drain tip sent to the microbiology laboratory and appropriate antibiotic therapy instituted.

In those patients that present with or develop raised intracranial pressure secondary to hydrocephalus, if indicated, an external ventricular drain would be inserted regardless of trial status. If subsequently randomised to the study arm of the trial, a lumbar drain would be inserted and cerebrospinal fluid drainage gradually weaned off the external ventricular drain into the lumbar drain. The external ventricular drain can subsequently be removed. Those patients with an external ventricular drain that are subsequently randomised

to the control arm of the trial shall be analysed as a separate group of controls.

Those patients that develop raised cerebrospinal fluid pressure whilst a lumbar drain is in situ would have their drain readjusted to be pressure dependant and not volume dependant (i.e. the pursuit of cerebrospinal fluid drainage of 5-10 ml/hour would no longer be appropriate and the drain would be sent to maintain normal ventricular pressure (15 cm/water above zygoma)).

Although primarily a clinical study with clinical outcome measures, transcranial Doppler may be used to aid diagnosis of radiological vasospasm. This will act as a guide to therapy only. Increase flow velocity is not a prerequisite for the diagnosis of delayed ischaemic neurological deficit.

#### **Statistical Analysis**

The neurosurgical unit at Leeds General Infirmary treated 313 patients with acute subarachnoid haemorrhage in the three years 1997-2000. Of these 243 patients would have been suitable for this study on the basis of their admission clinical status.

The power calculation based on the hypothesis that lumbar drainage will result in a twenty percent reduction in the incidence of vasospasm requires

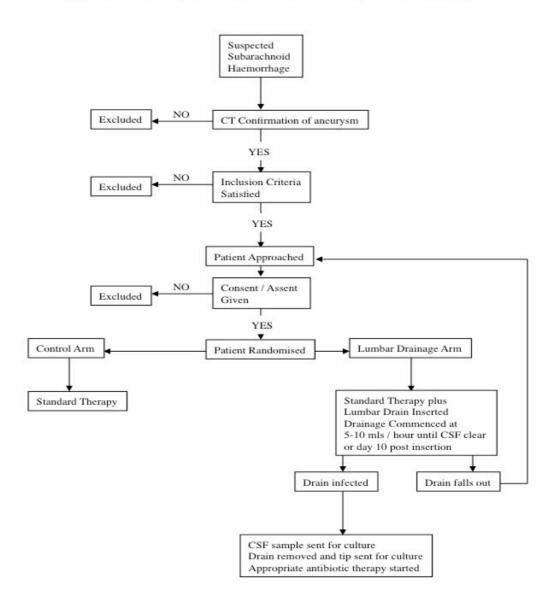
the recruitment of 105 patients to each arm of the study to achieve 85% power (appendix 1.4).

### Conclusion

Aneurysmal subarachnoid haemorrhage remains an important cause of mortality and morbidity in neurosurgical practice. Cerebral infarction secondary to the onset of cerebral vasospasm is the main cause of mortality and morbidity in those patients who survive the initial haemorrhage. Cerebral vasospasm is thought to result from CSF borne blood breakdown products. Recent retrospective studies have suggested that continuous lumbar drainage of CSF reduces the incidence and severity of cerebral vasospasm and results in improved outcome. No prospective randomised study has been performed to address this question.

# Appendix 1.1-Flow diagram of patient recruitment

# Appendix 1. Flow Diagram of Lumbar Drain Study



Appendix 1.2 – World Federation of Neurosurgeons Grading of Subarachnoid Haemorrhage

WFNS Grade	Glasgow Coma Score	Motor Deficit
1	15	None
2	13-14	None
3	13-14	Present
4	7-12	None / Present
5	3-6	None / Present

# Appendix 1.3 – Fisher classification of Subarachnoid Haemorrhage

Fisher	Description of CT appearance
Grade	
1	No blood detected
2	Diffuse deposition of subarachnoid blood, no clots, no layers of
	blood greater than 1mm
3	Localised clots and/or vertical layers of blood 1mm or greater in
	thickness
4	Diffuse or no subarachnoid blood, but intracerebral or
	intraventricular clots are present
3+4	Both dense subarachnoid blood and
	intracerebral/intraventricular haemorrhages of 5 ml or more
	(greater than 2cm)

# Appendix 1.4-Power calculation for the trial

Incidend DIND	ce of	30 %	25 %	20 %	15 %	10 %
Absolut Change		-10 %	-15 %	-20 %	-25%	-30%
Power	80 %	375	165	90	60	40
	85 %	430	190	105	65	45
	90 %	500	215	120	73	50

# Appendix 1.5-Modified Rankin Score

Score	Description		
0	No symptoms at all		
1	No significant disability despite symptoms; able to carry out all usual duties activities		
	·		
2	Slight disability; unable to carry out all previous		
	activities, but able to look after own affairs without		
	assistance		
3	Moderate disability; requiring some help, but able to		
	walk without assistance		
4	Moderately severe disability; unable to walk without		
	assistance and unable to attend to own bodily needs		
	without assistance		
5	Severe disability; bedridden, incontinent and		
	requiring constant nursing care and attention		
6	Dead		

# **Appendix Two**

# **Consent Form**

# Spinal Fluid Drainage in Subarachnoid Bleed

Patient Identification number	[	]	
I have read the attached Patient Information S	Sheet		
I have had the opportunity to ask questions ar	nd have rec	eived answers to my satisfa	action
I understand that I may not gain any personal	benefit from	n participation in this study	
The additional risk to me above that covered i	n the conse	ent for my operation has bee	en
explained to my satisfaction			
I understand that all information relating to my	case will b	e stored within a secure da	tabase
and that if presented or published all personal	l details will	be withheld	
I understand that I may withdraw my consent	at any time	without affecting my routine	<b>e</b>
treatment or legal rights			
I understand that that cerebrospinal fluid and	venous bloo	od that is removed as part o	of this
study may be stored anonymously for further l	biochemica	I analysis and disposed of	
accordingly			
Signed		Date	

I confirm that I have explained the purpose of the study and am satisfied that the patient has an adequate understanding of the study

Signed Date

# The Leeds Teaching Hospitals NHS Trust

#### **Patient Information Sheet**

### Spinal Fluid Drainage in Subarachnoid Bleed

You are being invited to take part in a **RESEARCH STUDY**. Before you decide, it is important for you to understand why the research is being done and what it will involve. Please take the time to read the following information carefully and discuss it with friends, relatives and your GP if you wish. Ask us if there is anything that is not clear, or if you would like more information. Take time to decide whether or not you wish to take part.

Thank you for reading this.

# **Background and Purpose of the Study**

We are trying to find out whether drainage of spinal fluid results in better outcome for patients with subarachnoid haemorrhage. There is evidence to show from a previous study that inserting a spinal drain following subarachnoid haemorrhage has reduced the complication rate following this type of haemorrhage. The main complication is a process called vasospasm which is serious and poorly understood. It is associated with neurological deterioration and disability. There is evidence that spinal drainage may reduce the chance of this occurring. However, this has yet to be proven with a detailed and effective study like this one we are performing.

# Why have I been chosen?

We hope to include all suitable patients with subarachnoid haemorrhage in the Neurosurgery Department in Leeds.

# Do I have to take part?

Participation is entirely voluntary, you may withdraw from the study at any time without having to give reasons and your routine hospital care and legal rights will not be affected. If you decide to take part you will be given this information sheet to keep and will be asked to sign a consent form.

# What will happen to me if I take part?

If you decide to take part, we will place you into one of two groups (called group 1 or group 2). If placed in group 1, you will be given normal therapy and treatment. Normal treatment consists of keeping you on a special ward where there are many nurses available to look after you. We will place a small plastic tube into your vein (called a cannula) in order to give you fluid therapy directly into your blood. This will ensure you receive adequate amounts of water and salt. We will take regular blood tests (possibly daily) to keep a close eye on salt levels in your blood. You will be given tablets every 4 hours to help your brain cope with this haemorrhage. We may feel the need to place further small tubes (similar to the cannula in your vein) in your neck and wrist to help monitor you closely.

If we decided to place you in group 2, you will receive all the standard therapy described above in addition to a spinal drainage tube which we place in your lower back. This will be attached to a bag to collect spinal fluid. This may stay in your back for 1-2 weeks. This procedure is carried out under local anaesthetic and although application of this local anaesthetic may be slightly uncomfortable, the procedure should be painless. You will be

asked to turn to your side with your legs curled up. Your lower back will be cleaned with disinfectant and a small needle will be used to inject some local anaesthetic. The procedure should take no longer than twenty to thirty minutes. Once the drain is inserted, you will be allowed to move as your condition allows.

The way we decide which group you will be placed in is on a random basis. This is like tossing a coin to decide the group. If it lands on heads, you will be placed in group 1 and if it lands on tails, you will be placed in group 2. Another way to understand this is to think of a roulette wheel. If the ball lands on red, you are put in group 1 and if the ball lands on black, you are put in group 2. This means there is an equal chance that you will be placed in either group 1 or group 2. This method ensures that the study is scientifically accurate.

# Are there any benefits from taking part?

There may be no benefit to you from participation in this study. We are investigating whether or not spinal drainage does improve the patient's outcome following subarachnoid haemorrhage.

#### Are there any risks?

There is a very small risk of infection in the spinal fluid (we estimate this to be less than one percent). Although this complication is not often seen, we treat it very seriously. We will continually assess for signs of infection including inspection of the drain site and sending spinal fluid samples to the laboratory to look for infection. We collect this from the draining bag and so it will not require any further needles or injections. If there is any sign of infection we will remove the drain and treat appropriately (with antibiotics if necessary).

# Will my taking part in this study be kept confidential?

All information collected in the study is confidential. You will not be able to be identified at any time including during presentation or publication of the study results.

#### What will happen to the results of the study?

We hope to publish the results in a medical journal. You will not be able to be identified from published results.

#### Who is organizing and funding the research?

The Neurosurgical Department at Leeds General Infirmary.

#### Who has reviewed the study?

The study has been reviewed by the Leeds (West) Research Ethics Committee.

#### **Contact for further information**

Mr Yahia Al-Tamimi (Lead Investigator)

Neurosurgery Dept, Leeds General Infirmary

Mr Stuart Ross (Consultant Neurosurgeon)

Neurosurgery Dept, Leeds General Infirmary

Thank you for your participation

Number:

## **Appendix Three**

#### **Assent Form**

### Spinal Fluid Drainage in Subarachnoid Bleed

Patient Identification number	[	1	
I have read the attached Relative Information	Sheet		
Thave read the attached relative information	Chicat		
I have had the opportunity to ask questions a	ind have rec	eived answers to m	y satisfaction
I understand that the patient (my relative) ma	ıy not gain ar	ny personal benefit	from participation
in this study			
The additional risk to the patient above that c	covered in the	e consent for his/he	r operation has
been explained to my satisfaction			
l understand that all information relating to th	e patient's ca	ase will be stored w	ithin a secure
database and that if presented or published a			
I understand that I may withdraw my assent o	on behalf of t	the patient at any tir	me without
affecting the patient's routine treatment or legal rights			
,			

I understand that cerebrospinal fluid and venous blood that is removed from the patient as part of this study may be stored anonymously for further biochemical analysis and disposed of accordingly

Signed Date

I confirm that I have explained the purpose of the study and am satisfied that the relative has an adequate understanding of the study and is able to give informed assent on behalf of the patient

Signed Date

#### **Relative Information Sheet**

#### The Leeds Teaching Hospitals NHS Trust

#### Spinal Fluid Drainage in Subarachnoid Bleed

Your relative (the patient) is being invited to take part in a **RESEARCH STUDY**. In view of the patient's impaired ability to provide consent for this, you are being asked on their behalf to give permission for the patient to take part in this study. Before you decide, it is important for you to understand why the research is being done and what it will involve. Please take the time to read the following information carefully and discuss it with friends, relatives and the patient's GP if you wish. Ask us if there is anything that is not clear, or if you would like more information. Take time to decide whether or not you wish the patient to take part.

Thank you for reading this.

#### **Background and Purpose of the Study**

We are trying to find out whether drainage of spinal fluid results in better outcome for patients with subarachnoid haemorrhage. There is evidence to show from a previous study that inserting a spinal drain following subarachnoid haemorrhage has reduced the complication rate following this type of haemorrhage. The main complication is a process called vasospasm which is serious and poorly understood. It is associated with neurological deterioration and disability. There is evidence that spinal drainage may reduce the chance of this occurring. However, this has yet to be proven with a detailed randomised study like this one we are performing.

#### Why has the patient been chosen?

We hope to include all suitable patients with subarachnoid haemorrhage in the Neurosurgery Department in Leeds.

#### Does the patient have to take part?

Participation is entirely voluntary, the patient may withdraw from the study at any time without having to give reasons and his/her routine hospital care and legal rights will not be affected. If unable to make this decision, you can withdraw the patient from the study acting in his/her best interest. If you decide to allow the patient to take part you will be given this information sheet to keep and will be asked to sign a consent form.

#### What will happen to the patient if he/she takes part

If the patient takes part, we will place him/her place into one of two groups (called group 1 or group 2). If placed in group 1, he/she will be given normal therapy and treatment. Normal

treatment consists of keeping the patient on a special ward where there are many nurses available to look after him/her. We will place a small plastic tube into his/her vein (called a cannula) in order to give fluid therapy directly into the blood. This will ensure that adequate amounts of water and salt are received. We will take regular blood tests (possibly daily) to keep a close eye on salt levels in the blood. The patient will be given tablets every 4 hours to help their brain cope with this haemorrhage. We may feel the need to place further small tubes (similar to the cannula in the vein) in his/her neck and wrist to help monitor more closely.

If we decided to place the patient in group 2, he/she will receive all the standard therapy described above in addition to a spinal drainage tube which we place in the lower back. This will be attached to a bag to collect spinal fluid. This may stay in the back for 1-2 weeks. This procedure is carried out under local anaesthetic and although application of this local anaesthetic may be slightly uncomfortable, the procedure should be painless. The patient will be turned to the side with their legs curled up. The lower back will be cleaned with disinfectant and a small needle will be used to inject some local anaesthetic. The procedure should take no longer than twenty to thirty minutes. Once the drain is inserted, the patient will be allowed to move as their condition allows.

The way we decide which group the patient will be placed in is on a random basis. This is like tossing a coin to decide the group. If it lands on heads, he/she will be placed in group 1 and if it lands on tails, he/she will be placed in group 2. Another way to understand this is to think of a roulette wheel. If the ball lands on red, the patient is put in group 1 and if the ball lands on black, he/she will be put in group 2. This means there is an equal chance of being placed in either group 1 or group 2. This method ensures that the study is scientifically accurate.

#### Are there any benefits from taking part?

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There may be no benefit to the patient from participation in this study. We are investigating whether or not spinal drainage does improve the patient's outcome following subarachnoid

haemorrhage.

Are there any risks?

There is a very small risk of infection in the spinal fluid (we estimate this to be less than one

percent). Although this complication is not often seen, we treat it very seriously. We will

continually assess for signs of infection including inspection of the drain site and sending

spinal fluid samples to the laboratory to look for infection. We collect this from the draining

bag and so it will not require any further needles or injections. If there is any sign of infection

we will remove the drain and treat appropriately (with antibiotics if necessary).

Will the patient's taking part in this study be kept confidential?

All information collected in the study is confidential. The patient will not be able to be

identified at any time including during presentation or publication of the study results.

What will happen to the results of the study?

We hope to publish the results in a medical journal. The patient will not be able to be

identified from published results.

Who is organizing and funding the research?

The Neurosurgical Department at Leeds General Infirmary.

Who has reviewed the study?

The study has been reviewed by the Leeds (West) Research Ethics Committee. This committee has given their approval for this study.

#### **Contact for further information**

Mr Yahia Al-Tamimi (Lead Investigator)	
Neurosurgery Dept, Leeds General Infirmary	
Mr Stuart Ross (Consultant Neurosurgeon)	
Neurosurgery Dept, Leeds General Infirmary	
Thank you for your participation	
Date:	Number:

# **Appendix Four**

#### Registration

# Booklet for any Subarachnoid Haemorrhage patient eligible to enter the Lumbar Drain Trial

Nam	e of	Patient	 	 	 	 	
Hosi	oital	Number	 	 	 	 	 • • •

When to use this document: on admission of all SAH patient regardless of inclusion into trial)

## **Demographics**

Name Hospital Number Sex					DOB	ssion Date ess and Post	t code		
Date of Bleed: Date of Admission:	:								
Referring Hospital									
Admitting Ward:	ITU			HDU		War	rd		
<u>Diagnosis</u>									
CT: blood in the fol	llowing	region	ıs:						
Supracallosal					Prepoi	ntine			
Interhemispheric					Third	Ventricle			
Convexity					Fourth	ı Ventricle			
Sylvian					Lateral Ventricles				
Basal Cistern					Posterior Fossa				
Perimesencephalic					Other				
Is there ventriculo	megaly	?			Yes		No		
Haematoma prese	nt?			Yes		No			
If so, where?					Sylvia	n			
					Subfro	ontal			
					Poster	ior Fossa			
					Subdu	ral haemato	ma		
mm									
GCS when bleed:		E		M		V			
GCS at admission:		E		M		V			
WFNS grade:	1		2		3	4		5	

Fisher grade:		1	2		3		4	
3+4								
<b>Hunt and Hess</b> :	0		1	2		3		4
5								
<b>Investigation</b>								
CT-Angiogram:	Date	/	/					
Aneurysm:	R	L				R	L	
Pericallosal			Anterior Co	mmunica	iting			
Carotid Bifurcation			Posterior Co	ommunic	ating			
Opthalmic			Cavernous					
M1			M2					
PICA			BasilarTip					
Basilar Trunk			Other					
None								
Formal Angiogram	ı: Date	/	/					
Aneurysm:	R	L				R	L	
Pericallosal			Anterior Co	mmunica	iting			
Carotid Bifurcation			Posterior Co	ommunic	ating			
Opthalmic			Cavernous					
M1			M2					
PICA			BasilarTip					
Basilar Trunk			Other					
None								
Unruptured aneur	ysm?		Yes		No			
Prior sentinel blee	eds?		Yes		No			

**Presenting features** 

Headache	Glucose	Lactate
Meningism	Na	FiO <sub>2</sub>
Altered	K	PO <sub>2</sub>
consciousness		
Vomiting	Urea	PCO <sub>2</sub>
Diplopia	Creatinine	рН
Confusion	Lactate	Pulse
Motor Deficit	Albumin	BP
Dysphasia	Hb	Resp Rate
Temperature	Wcc	Pupil reactivity-L
	Platelets	Pupil size-L
	APTT	Pupil reactivity-R
	PT	Pupil size-R
	INR	

## Past Medical History

DM Other	HTN IHD	MI	PVD	
Pre	vious Intracranial h	aemorrhage	Stroke	
Family His	tory of aneurysmal	bleed	Smoker Y	N
<b>Examinat</b>	ion Findings	L	R	
Cranial No	erve deficits:			
Upper Lin	nb			
Tone				
Power	Shoulder Ab	duction		
	Shoulder Adduction	n		ı
	<b>Elbow Flexion</b>			ı
	<b>Elbow Extension</b>			•
	Wrist Flexion			
	Wrist Extension			
	Grip			
Sensation				i
Reflexes	Biceps			i
	Triceps			i
_	Supinator			ı
Co-ordinat	tion/Gait (impaired <sub>/</sub>	'normal)		

Lower Lim	ıb			L		R	
Tone							
Power		Hip Flexion					
	Hip E	xtension					
	Knee	Flexion					
	Knee	Extension					
		e Flexion					
		Extension					
	Toe E	Extension					
Sensation							
Reflexes	Knee						
	Ankle						
		it (impaired	/norn	nal)			
<b>Treatment</b>	<u>[</u>						
Coiling		Date/.					
Clipping EVD		Date/. Date/.	-				
Intra-opera	itive ri	inture?		Yes	No		
Temporary		=	Yes	No	_		
Clip 1: Time	•		100	Clip 2: Ti		)	
<b>P</b>	- (	,			(	,	
Post op con	nplicat	tion					
•	•						
Post op neu	ırologi	ical deterior	ation?	Yes	No		
Anaesthetic	c Prob	lems					
Randomis	ation 1	to Lumbar I	Orain '	<u>Trial</u>			
Randomise	d to lu	mbar drain	trial?	Yes	No		
If NO, why	not?						
****		•		_			
If YES, rand	lomise	ed to:		/			
If drain inc	ortod:	Ononing nr	occuro				
ii ui alli ills	er teu:	Opening pre					
		CSF colour	ssuit				
		Coloul				• • • • • • • • • • • • • • • • • • • •	

Date Removed	/
Delayed Neurological Deficit? Yes Shunt Dependant? Yes Date of discharge from high dependen	No /es No ncy//
Date neurosurgical input discontinue	d/
Date of discharge as neurosurgical in	put//
Place of discharge	
<u>Notes</u>	

# **Appendix Five**

#### **Daily Progress**

# Booklet for Subarachnoid Haemorrhage patient included in the Lumbar Drain Trial

Nam	e of	Patient	t	 	 	 	 •
Hos	oital	Numbe	er	 	 	 	 

Randomised to: Lumbar Drain Control Arm

When to use this document: to collect information on a daily basis. Day 0 is day of haemorrhage. Day 1 is the following day etc. This is regardless of date of admission.

# Daily progress sheet: Day 1

Glasgow Coma Sco	ore				<b>Motor Examination</b>			
Best	M	V	E	Normal X4				
Worst	M	V	E	Weak arm	LR			
					Weak leg			
LR Cranial Nerves			T	D	Oak are CNC Defect			
Pupil size (mm) L			ь	R	Other CNS Defect			
Pupil reactive? (tic	k fo	r yes)			Describe defect			
Orientation Full Partial None Can't assess					Speech Fluent Dysphasic None Intubated/trach			
MAP):	er ( /BIF 	of hou PAP P(	urs (  CO <sub>2</sub> .	of this support (m Wall CPAP pH oxes and write nu	ax 24 hours): None umber of hours at this			
0-60 60-80 80-100 100-120 120-140 >140  Inotrope use? Y N Which one? How much?  CVP 0-4 4-8 8-12 12-16 16-20 >20  Infection Screen:  Max reproducible tempCRPWCCNeutLymp								
<b>CSF EVD</b> : WCC		RBC		PM (%) Gr	am stain0rg			
Volume/24 hours								

Volu	ume/24 hours	Colour	
Fluid intake:	N. Saline ml	Gelofusin	ml
Voluvenn	nl		
	NG/Oral ml	Other:	Туре
Volu	umeml		
	Magnesium/24 h	Statin? Y	N Which
one?	·		
Fluid Output:	Urine/24 hours	L Oth	ier L
Other results:			
	Urea Creatinine  Ty Urine osmolalit		
h	drine damoiane	y 01111	C Na. 5pot 21
Glucose			Magnesium
	APTTPTINR		
Drain			wound
	ings		
TCD			