# Understanding evolving urban air pollution sources with emissions measurement applications

Samuel J. Cliff

Doctor of Philosophy

University of York

Chemistry

October, 2023

## Abstract

Air pollution continues to be a critical issue of concern for the environment and human health. The human response to improve air quality requires accurate data in the form of up-to-date emissions inventories. This thesis describes the measurement and analysis of two unique data sets characterising real-world emissions of air pollutants that address uncertainties in sources of emerging importance. Specifically, an urban eddy covariance application measured long-term (2020-2023)  $NO_x$  and  $CO_2$  fluxes from a tall tower in central London. The measurements showed the first transition from road transport-dominated to commercial-heating-dominated NO<sub>x</sub> emissions in a megacity. It was calculated that the heat and power generation sector now contributes 76  $\pm$  17 % of NO<sub>x</sub> emissions in the central London area. Despite this, European emissions inventories were determined to overestimate commercial NO<sub>x</sub> emissions by an average of 53 % due to outdated emissions factors. The observations showed that the heat and power generation sector will require some policy consideration if World Health Organisation guidelines are to be achieved in densely populated urban environments. However, very low concentrations of NO<sub>2</sub> are possible provided the correct infrastructure pathways are provided as part of urban decarbonisation. The use of a mobile laboratory facilitated the application of advanced mass spectrometric instrumentation in the field and the discovery of a large source of NMVOCs missing from international road transport emissions inventories. The non-fuel-related source was attributed to screenwash application and was greater than the total of all VOCs emitted from vehicle exhausts and their associated evaporative fuel losses. In contrast to predictions, vehicle VOC emissions may increase given a predicted growth in total vehicle kilometers driven and the missing source being independent of the vehicle energy/propulsion system. Overall, improvements to current emissions inventories are recommended, and it is hoped that the findings will positively influence future air quality policy strategies.

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## Acknowledgements

The completion of my Doctor of Philosophy programme took support from a number of parties. I would like to thank the National Environmental Research Council (NERC) for funding this research via the Panorama Doctoral Training Partnership and the Integrated Research Observation System for Clean Air (OSCA). I thank my supervisors James Lee, Sarah Moller and Marvin Shaw for the opportunity to undertake this PhD and for their guidance during my time at the Wolfson Atmospheric Chemistry Laboratories (WACL). I would like to additionally thank Ally Lewis for his constant stream of ideas, and Will Drysdale for the hours of flux-related chat he has endured with me. These last three years have been made very enjoyable by the rest of my colleagues at WACL and the wider Chemistry Department at the University of York. Finally, I would like to thank my friends and family, in particular Rhianna Evans, for their support and encouragement in everything that I do.

## Author's declaration

I declare that this thesis is a presentation of original work and I am the sole author. This work has not previously been presented for an award at this, or any other, University. All sources are acknowledged as References. Chapters 2 and 4 are based on peer-reviewed publications of which I am the lead author. Chapter 3 has been prepared for submission to Atmospheric Chemistry and Physics. Details of these publications are:

Cliff, S. J., Drysdale, W., Lee, J. D., Helfter, C., Nemitz, E., Metzger, S., and Barlow, J. F. Pandemic restrictions in 2020 highlight the significance of nonroad NO<sub>x</sub> sources in central London, *Atmospheric Chemistry and Physics*, 23, 2315-2330, 2023. DOI: https://doi.org/10.5194/acp-23-2315-2023.

Cliff, S. J., Drysdale, W., Lewis, A. C., Moller, S. J., Helfter, C., Nemitz, E., Metzger, S., Liddard, R., Barlow, J.F., Beevers, S., Carslaw, D., and Lee, J. D. Commercial space heating is the largest source of NO<sub>x</sub> in central London. *Prepared for Atmospheric Chemistry and Physics*.

Cliff, S. J., Lewis, A. C., Shaw, M. D., Lee, J. D., Flynn, M., Andrews, S. J., Hopkins, J. R., Purvis, R. M., and Yeoman, A. M. Unreported VOC emissions from road transport including from electric vehicles, *Environmental Science* & *Technology*, 57, 8026-8034, 2023. DOI: https://doi.org/10.1021/acs. est.3c00845.

## Chapter 1

## Introduction

## **1.1** The atmosphere

The earth's dry atmosphere, which excludes highly variable water vapour levels, is approximately 78.08% nitrogen, 20.95% oxygen, and 0.93% argon by volume. The remaining 0.04% corresponds to a mix of compounds known as trace gases. Although trace gases exist in small amounts in the atmosphere, they play an essential role in sustaining life on Earth and directly or indirectly influence all atmospheric processes. The bulk of the trace gas volume can be seen in Figure 1.1 and is mostly made up of carbon dioxide  $(CO_2)$ , with additional contributions from the noble gases Ne, He, Kr, and  $H_2$ , as well as  $CH_4$ .<sup>[1]</sup> A final component of the atmospheric trace gases are gaseous air pollutants which are typically another 1-6 orders of magnitude smaller in relative volume. An air pollutant is defined as a contamination of the indoor or outdoor environment by any chemical, physical or biological agent that modifies the natural characteristics of the atmosphere. As such, the definition is not limited to the gaseous phase and includes suspensions of both liquid and solid particulate matter. Air pollution has a high spatial and temporal variability around the globe and is thought to be the largest environmental risk to human health.<sup>[2]</sup>



Figure 1.1: The approximate composition by volume of different gases in the atmosphere. Air pollutants are expressed as a range due to their short atmospheric lifetimes and high variability.

## **1.2** A brief history of air pollution

Air pollution has been a topic of concern since the early ages of human civilisation with references in writings dating back as far as ancient Greece and the Roman Empire.<sup>[3]</sup> These early references describe potential threats to human health around the use of fire and its release of smoke and other pollutants into the air. As human civilisation advanced, and the mass combustion of coal facilitated the Industrial Revolution, there was a large increase in the emission of pollutants to the atmosphere (see Fig. 1.2).<sup>[4]</sup> This led to the accumulation of emissions around population centres and thus widespread air pollution in major cities around the world. In the early 20th century, the invention of the automobile and subsequent use of leaded gaso-line produced a new era of air pollution through the formation of smog.<sup>[5]</sup> This was particularly evident in developed cities such as London and Los Angeles where the use of the automobile was greatest and the meteorology

favoured smog formation.<sup>[6]</sup> Present-day estimates assign the 1952 smog episodes in London to the premature mortality of 12000 people.<sup>[7]</sup> In response, the UK introduced the first major air pollution reduction measures in the form of the Clean Air Act of 1956.<sup>[8]</sup> The US closely followed suit introducing its own clean air legislation in 1963.<sup>[9]</sup> This legislation ordered the reduction of emissions of pollutants from different applications and thus began efforts to reduce the impact of poor air quality. Global knowledge and awareness about the impacts of air pollution on human health and the environment rapidly increased in the following decades. Emissions standards for specific polluting applications became widespread in developed countries' legislation and many industrial activities were moved out of dense population centres.



Figure 1.2: Historical global emissions of four gaseous air pollutants (CO, NMVOCs,  $NO_x$  and  $SO_2$ ) from 1750-2014. Data was adapted from Hoesly et al. [4].

Despite now international efforts to reduce air pollution, it remains a

global problem. The burning of fossil fuels, industrial processes, and transportation continue to release large amounts of pollutants into the atmosphere. In the present day, it is estimated that 99% of the global population breathes air that exceeds the World Health Organisation's (WHO) guidelines and that approximately 4.5 million premature deaths occur each year as a result of outdoor air pollution exposure.<sup>[10]</sup>

## **1.3** Where is the science at now?

The overarching goal surrounding air pollution is to reduce the negative environmental and public health effects that arise from it. This can be most effectively done using a feedback loop of accurate information on the different factors at play. A DPSIR (Drivers-Pressures-State-Impact-Responses) framework (see Figure 1.3) is a simple breakdown to describe this loop within which areas of air pollution science can be contextualised by their importance to society.

Essential human activities demand energy, transport, food and materials. Each of these leads to the production of air pollution and so can be thought of as the **drivers**. The individual air pollutants themselves are the **pressures** in the system since they lead to negative **impacts** on the environment and human health. This is via the alteration of the atmospheric **state** of the system, namely in the concentrations of the pollutants. A consequence of the negative impacts is the human **response** which aims to minimise the damage. This is typically through regulatory action and the implementation of air quality policy. Improving our knowledge of each segment and our understanding of the interlinking variables is essential for successfully reducing the negative impacts of air pollution. The following sections discuss each segment in more detail based on current understanding in the literature.



Figure 1.3: DPSIR framework for the air pollution global issue

### 1.3.1 Pressures: Major air pollutants

The World Health Organisation (WHO) lists five pollutants of major public health concern: particulate matter (PM), carbon monoxide (CO), nitrogen dioxide (NO<sub>2</sub>), ozone (O<sub>3</sub>), and sulfur dioxide (SO<sub>2</sub>).<sup>[11]</sup> PM is typically broken down into different size brackets (PM<sub>10</sub>, PM<sub>2.5</sub> and PM<sub>0.1</sub>) which account for all particles up to a given diameter in microns. NO<sub>2</sub> is a component of the nitrogen oxides class herein referred to as NO<sub>x</sub> (or NO + NO<sub>2</sub>). While NO<sub>x</sub>, CO, SO<sub>2</sub>, and primary PM are all directly emitted from natural and anthropogenic sources, secondary PM and O<sub>3</sub> are produced via secondary chemical reactions in the atmosphere. The chemistry of formation of O<sub>3</sub> and secondary PM is summarised in Figure 1.4 and described in further detail below.

Tropospheric  $O_3$ , not to be confused with that in the stratospheric  $O_3$  layer, is formed photochemically via the reaction of Volatile Organic Compounds (VOCs, also referred to as non-methane (NM) VOCs) with NO<sub>x</sub> in



Figure 1.4: Chemistry overview for the chemical formation of secondary air pollutants in the atmosphere. Taken from Kroll et al. [12].

the presence of sunlight. The chemical reactions are described in Reactions 1.1 - 1.4 where R herein represents a hydrocarbon (or VOC) or H.<sup>[13]</sup> Initially, a VOC is oxidised by the hydroxyl radical (OH) to produce a peroxy radical (RO<sub>2</sub>). The RO<sub>2</sub> species then oxidises NO to NO<sub>2</sub>. NO<sub>2</sub> is photolysed back to NO and atomic oxygen (O) by sunlight < 420 nm.<sup>[14]</sup> The single oxygen atom then reacts with O<sub>2</sub> to form O<sub>3</sub>.

$$VOC + OH \rightarrow RO_2 + H_2O$$
 (1.1)

$$RO_2 + NO \rightarrow NO_2 + RO$$
 (1.2)

$$NO_2 + h\nu \rightarrow NO + O$$
 (1.3)

$$O + O_2 + M \to O_3 + M \tag{1.4}$$

 $O_3$  responses to  $NO_x$  and VOC chemistry are highly non-linear. The rate of  $O_3$  production depends on the relative balance of VOCs and  $NO_x$  in the local environment and most locations worldwide fit into two different regimes. Each regime is described by the dominant radical termination pathway in Reactions 1.5-1.6 and named after the species in which reduced concentrations would reduce the level of  $O_3$  formation.

$$RO_2 + RO_2 + M \rightarrow R_2O_2 + O_2 + M \tag{1.5}$$

$$OH + NO_2 + M \to HNO_3 + M \tag{1.6}$$

A NO<sub>x</sub>-limited regime occurs when peroxides (Reaction 1.5) represent the dominant radical sink. Here, the rate of O<sub>3</sub> formation is determined by Reaction 1.2 which increases with increasing NO<sub>x</sub> concentration. A VOClimited (or NO<sub>x</sub>-saturated) regime occurs when nitric acid (Reaction 1.6) represents the dominant radical sink. Here, the rate of O<sub>3</sub> formation is determined by Reaction 1.1 which increases with increasing VOC concentration. It has recently been suggested that a third aerosol-inhibited regime has been identified where reactive uptake of hydroperoxyl radicals onto aerosol particles dominates.<sup>[15]</sup>

Secondary PM is formed via complex chemical reactions of volatile compounds in the atmosphere to produce both secondary organic and inorganic aerosol (SOA and SIA). SOA is formed via the multi-generational oxidation of VOCs and semi-volatile VOCs (SVOCs) via hydroxyl and nitrate radicals,  $O_3$  and chlorine atoms. These oxidation products are lower in volatility and can either condense to form new particles or are reactively uptaken onto existing particles.<sup>[16]</sup> SIA mainly consists of sulfate, nitrate, and ammonium (also known as SNA) compounds occurring as ammonium sulfate ((NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>) and ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>).<sup>[17]</sup> These are formed via the neutralisation of sulfuric acid and nitric acid with ammonia (NH<sub>3</sub>) via complex gas phase, aqueous phase, and heterogeneous chemistry.

Since  $NH_3$  and VOCs are key precursors to the formation of  $O_3$  and secondary PM, in the context of emissions they are commonly also considered as pollutants of concern.

#### **1.3.2** Impacts: Health and environmental effects

Short and long-term exposure to air pollution has been linked to a range of negative health effects. Air pollution mainly gains access to the body via inhalation and the respiratory tract. Once in the body, there are various mechanisms that result in disease formation including inflammation, oxidative stress, immunosuppression, and mutagenicity in cells.<sup>[18–21]</sup> Since the cardiovascular system is responsible for the method of air pollution ingestion, it suffers the highest burden of negative impacts.

The damage to cellular tissues by gaseous air pollutants largely depends on their oxidizing capacity, concentration and water solubility. SO<sub>2</sub> is highly soluble and tends to damage the upper airways.<sup>[23]</sup> NO<sub>2</sub> and O<sub>3</sub> are less soluble and therefore able to penetrate deeper into the lungs.<sup>[24]</sup> CO is nonirritating and easily passes into the bloodstream. Its toxicity results from out-competing O<sub>2</sub> in binding to haemoglobin and the resulting hypoxia.<sup>[25]</sup> The damage resulting from particulate matter depends on both composition and particle size. Toxic elements and compounds within the particle can directly harm organ tissues and cause inflammation and oxidative stress.<sup>[26]</sup> Smaller particles are able to penetrate deeper into the body and hence have greater toxicity.

Short-term effects are associated with COPD (Chronic Obstructive Pulmonary Disease), cough, shortness of breath, wheezing, asthma, respiratory disease, and high rates of hospitalization.<sup>[27]</sup> Long-term effects are associated with diseases affecting almost every organ in the body. A selection of the vast range of medical conditions associated with air pollution exposure

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Figure 1.5: Medical conditions associated with air pollution exposure for each organ system. Taken from Schraufnagel et al. [22].

is presented in Figure 1.5 by organ system. All of the diseases directly affect society via reduced life expectancy, loss of population productivity and cost to health services. The number of premature deaths associated with outdoor air pollution is currently estimated at 4.5 million<sup>[10]</sup> and is thought to rise to 6-9 million by 2060 in the absence of more stringent policies.<sup>[28]</sup> The annual number of lost working days, which affects labour productivity, is currently around 1.2 billion (2015 estimate) and is projected to reach 3.7 billion by 2060.<sup>[28]</sup> Finally, global welfare costs are currently \$3.3 trillion (2015 estimate) and predicted to rise to \$20-27 trillion by 2060.<sup>[28]</sup>

In addition, emerging research has assigned  $PM_{2.5}$  as a key vector for the dissemination of antibiotic-resistant bacteria and genes.<sup>[29]</sup> As a severe global issue, antibiotic resistance is thought to result in millions of further premature deaths worldwide every year.<sup>[30]</sup>

Outside of direct human health impacts, air pollution damages the environment. Tropospheric  $O_3$  damages plants and trees by entering leaf stomata and oxidizing plant tissue during respiration. This can cause stomata to close and block  $CO_2$  transfer, reducing photosynthesis.<sup>[31]</sup> It is also thought to reduce the survivability of seedlings and increase plant susceptibility to disease, pests, and other environmental stresses. Estimates for 2030 have global relative yield losses of 11-16% for wheat, 6.5-16.5% for soybean, 4.7-5.7% for rice, and 3.2-5.2% for maize as a result of air pollution exposure.<sup>[32]</sup> NO<sub>x</sub> and SO<sub>2</sub> react with water and O<sub>2</sub> to form acidic compounds in the atmosphere. The deposition of these compounds to the surface is known as acid rain which causes deforestation and water body acidification.<sup>[33]</sup>

Finally, it should be noted that air pollution and climate change are closely related. Air pollutants and greenhouse gases (GHGs) have the same major sources with most air pollutants having either a direct or indirect role in the retention of heat in the atmosphere. A discussion of the potentially catastrophic health and environmental impacts associated with climate change is out of the scope of this thesis. However, a consideration of the health and environmental impacts of air pollution would not be complete without at least their mention.

#### **1.3.3 Drivers: Emissions sources**

Emissions of air pollutants come from a range of different sectors but the majority are centered around the use of fossil fuels and their combustion. An emissions inventory is a database that lists, by source, the amount of air pollutants emitted into the atmosphere over a given time period, typically a year. They play a critical role in collating information on the emissions of air pollutants and are used for informed decision-making on the best solutions to reduce the impact of air pollution. They can additionally be used for emissions reporting to track progress towards regulatory emissions targets. Emissions inventories are generally constructed bottom-up using emissions factors and corresponding activity numbers from the emissions drivers. Global emissions inventories include the Community Emissions Data System (CEDS) and the Emissions Database for Global Atmospheric Research (EDGAR).<sup>[4,34]</sup> The UK has the annually commissioned National Atmospheric Emissions Inventory (NAEI) as well as other, more local inventories such as the London Atmospheric Emissions Inventory (LAEI).<sup>[35,36]</sup> A summary of the key sectors responsible for UK emissions of each air pollutant for the past 30 years is presented in Figure 1.6, and briefly discussed below.

Anthropogenic  $NO_x$ ,  $SO_2$  and CO are produced as by-products of the high-temperature combustion of fossil fuels. The majority of  $NO_x$  emissions arise from what is known as thermal  $NO_x$  which is the combination of nitrogen and oxygen atoms that are present in the air fueling the combustion.<sup>[37]</sup> SO<sub>2</sub> and smaller amounts of  $NO_x$  are produced by the oxidation of atomic sulfur and nitrogen contained within the fuel source itself during combustion. CO is a product of incomplete combustion when insuffi-



cient O<sub>2</sub> is present to fully oxidise carbonaceous material into CO<sub>2</sub>. VOCs are released into the atmosphere via the volatilisation of chemicals present within fuels and other chemical products. This is either via evaporation at ambient or combustion temperatures or during the use of the chemical product. Key sectors for the combustion of fossil fuels are the energy (both heat and power), transport and industrial sectors. Uncontrolled emissions of each typically depend on the type of fossil fuel burned, the temperature of combustion, and the air-to-fuel ratio. Ammonia is released into the atmosphere via vaporisation from livestock urea decomposition and manure, and the decomposition of nitrogen-based synthetic fertilisers.<sup>[38]</sup> As such, the agricultural sector dominates ammonia emissions. It is also emitted as a byproduct of exhaust treatment systems (selective catalytic reduction and three-way catalytic converters, see Section 1.3.5) for the control of  $NO_x$ , particularly in the road transport sector.<sup>[39]</sup> Primary PM has a much more complex range of sources. It is directly released from the combustion of fossil fuels and biomass (mainly solid fuels). However, it is also released from many industrial processes that produce dust, the demolition sector and road dust resuspension.<sup>[40]</sup>

In addition to dominant anthropogenic sources, natural sources of each pollutant exist.  $NO_x$  is directly emitted from soil as a result of microbial activity and is formed during lightning strikes.<sup>[41]</sup> SO<sub>2</sub> emissions arise as a result of volcanic activity and from activity in marine ecosystems.<sup>[42,43]</sup> CO,  $NO_x$ , SO<sub>2</sub> and PM are all released from natural biomass burning (i.e. fires started via stimuli such as lightning).<sup>[44]</sup> Natural PM is introduced to the atmosphere from sea salt and dust suspension via the wind.<sup>[45]</sup> Finally, natural ammonia comes from wildlife and the decomposition of organic matter.<sup>[46]</sup>

#### **1.3.4** State: Atmospheric concentrations

The negative impacts of air pollution are directly related to the concentration the environment or an individual is exposed to. Atmospheric concentrations are not only driven by emissions but also by atmospheric transport and air pollutant lifetimes. Complex interactions between meteorology and chemistry drive each of these, and whilst they cannot be controlled, it is important to understand how they influence concentrations for the estimation of population exposure and the areas or times of greatest concern.

The dispersion of an air pollutant away from the emission source directly influences its concentration. Wind speed and direction are key factors here since higher wind speeds can spread a pollutant out over a larger area reducing concentrations, whereas lower wind speeds can lead to the stagnation and accumulation of the pollutant. Similarly, the planetary boundary layer height (PBLH) influences dispersion by often representing the volume in which a pollutant can be diluted. The planetary boundary layer (PBL) is the lowest part of the atmosphere and is defined as the part that directly feels the effect of the earth's surface; that is the area in which turbulence created by wind shear over the rough surface and thermal heating from the earth dominates atmospheric transport.<sup>[47]</sup> The boundary layer is contained by a capping inversion which restricts vertical transport to the free troposphere. As such, pollutants released from the surface are mostly contained within this height. The development of the daytime PBL is closely related to the level of solar radiation reaching the surface with greater heating leading to a greater PBLH and increased dilution of pollutants.<sup>[48]</sup> Therefore, PBLH is typically higher in summer than in winter which results in higher winter concentrations for the same emissions and chemistry. The rate of vertical transport of a pollutant is determined by **atmospheric stability**.<sup>[49]</sup> Stable atmospheric conditions are associated with poor air quality since vertical transport and thus dispersion are restricted. Conversely, unstable atmospheric conditions have unrestricted vertical transport, a high level of dispersion and lower pollutant concentrations. Atmospheric stability is often influenced by weather fronts and **atmospheric pressure systems**. The anticyclonic behaviour observed in a high-pressure system is associated with a stable atmosphere, poor dispersion and stagnant air. Conversely, cyclonic behaviour is associated with an unstable atmosphere, high winds and rainy conditions.<sup>[50]</sup> Finally, from a dispersion point of view, topography plays an important role. Cities within valleys or surrounded by mountainous regions have reduced dispersion of pollution irrespective of wind conditions due to the physical topographic barriers. A good example here is Mexico City which sits in a mountain-rimmed basin and regularly experiences high air pollutant concentrations.<sup>[51]</sup>

An air pollutant's lifetime is determined by its rate of loss from the atmosphere. Air pollutant sinks can be broken down into the chemical transformation into something else and/or the deposition of the pollutant to the earth's surface. Gas phase pollutants (i.e.  $O_3$ , CO, SO<sub>2</sub> and NO<sub>x</sub>) typically have a dominant chemical sink.  $O_3$  is mostly lost via photolysis followed by the reaction of O(<sup>1</sup>D) with H<sub>2</sub>O in Reaction 1.7 - 1.8.<sup>[52]</sup> Additional loss occurs via reactions with HO<sub>2</sub> radicals and OH in Reaction 1.9 - 1.10.

$$O_3 + h\nu \to O(^1D) + O_2 \tag{1.7}$$

$$O(^{1}D) + H_{2}O \to 2OH \tag{1.8}$$

$$HO_2 + O_3 \to OH + 2O_2 \tag{1.9}$$

$$OH + O_3 \to HO_2 + O_2 \tag{1.10}$$

CO is mainly lost via oxidation by OH to form carbon dioxide in Reaction 1.11.<sup>[53]</sup> Although this reaction is relatively slow and thus CO has a greater

lifetime and background concentration compared to other pollutants.

$$CO + OH \rightarrow CO_2 + H$$
 (1.11)

 $SO_2$  and  $NO_x$  chemical loss usually occurs via conversion to a highly soluble acid (H<sub>2</sub>SO<sub>4</sub> or HNO<sub>3</sub>), followed by wet deposition as acid rain, or the formation of new particles as discussed in Section 1.3.1.<sup>[54]</sup>

$$SO_2 + OH \rightarrow HSO_3$$
 (1.12)

$$HSO_3 + O_2 \to HO_2 + SO_3 \tag{1.13}$$

$$SO_3 + H_2O \to H_2SO_4 \tag{1.14}$$

$$OH + NO_2 + M \to HNO_3 \tag{1.15}$$

The kinetics of these reactions are influenced by the level of solar radiation, temperature and humidity. This results in pollutant lifetimes with high spatial and temporal variability.

PM, and indeed each of the gaseous pollutants, can be removed from the atmosphere via deposition. Wet deposition occurs when the pollutants mix with water in the atmosphere before being washed out through rain, snow and fog. Dry deposition is the direct uptake of air pollutants to the surface, usually by sedimentation mechanisms.<sup>[55]</sup>

#### **1.3.5** Responses: Emissions control

Human responses to reduce air pollutant emissions have the ultimate goal of reducing atmospheric concentrations down to "safe" levels, such that the negative impacts are minimised. The WHO publishes recommended guidelines for air pollutant concentrations for short-term (24-hour) and longterm (annual) exposure (presented in Table 1.1).<sup>[11]</sup> These are continuously reviewed as new evidence supporting the health and environmental effects becomes available. Developed nations typically have legally binding targets for pollutant concentrations based on achieving these guidelines, although usually via intermediate targets. Since meteorological processes cannot be controlled, the human response to improve air quality falls under the reduction of emissions. As such, these targets are often in conjunction with national emissions ceilings for pollutants that will help achieve them (e.g. the National Emissions Ceilings Directive for EU nations).<sup>[56]</sup> The SIMPLEST way to reduce air pollutant emissions is to reduce the activity that produces them. However, this is often not EASY since all of the activities are embedded in human society and increase with an ever-increasing population. An alternative option would be to change the fuel type driving the activity to one that is cleaner. This could be a partial emissions control (i.e. the recent legislation to lower the maximum percentage of sulphur from 3.5% to 0.5% for all ships operating worldwide),<sup>[57]</sup> or a full emissions control (i.e. replacement of a coal-fired power station with a wind farm). The third option is the integration of technologies that reduce emissions at the source chemically or by other means.

Some of the recent trends in emissions can be attributed to the gradual phase-out of coal as a source of energy, to be replaced by gas, biomass and increasingly renewable sources.<sup>[35]</sup> The transition to cleaner fuels is expected to play an important role in reducing air pollution in the coming decades as a result of global pledges to reduce greenhouse gas emissions.<sup>[58]</sup> However, the implementation of emissions standards for polluting applications and the subsequent development of emissions control technologies have likely been the most effective measures in the past for reducing emissions. Key regulatory examples include standards in the Euro classes, China classes, and the US Tier system for the road transport sector,<sup>[59–61]</sup> the International Maritime Organization control of SO<sub>2</sub> emissions

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Pollutant ( $\mu g \ m^{-3}$ )	Averaging time	WHO AQG level
DM	Annual	5
P 101 <sub>2.5</sub>	24-hour	15
	Annual	15
PM <sub>10</sub>	24-hour	45
	Annual	60
03	24-hour	100
NO	Annual	10
$NO_2$	24-hour	25
50	Annual	40
502	24-hour	4
	Annual	-
CO	24-hour	4000

Table 1.1: WHO guidelines for each of the six criteria air pollutants

from shipping,<sup>[57]</sup> and Ecodesign directives for control of emissions from boiler applications.<sup>[62]</sup> These regulations continuously evolve to include increasingly stringent standards. This forces the continuous improvement of emissions control technologies and the use of more effective control systems. A brief summary of these technologies is given below.

The prevalence of air pollutant emissions from the road transport sector and the proximity of their release to human populations means that a significant proportion of regulatory action has been dedicated to it. VOC, CO and NO<sub>x</sub> emissions from gasoline-powered vehicles have shown large reductions in recent decades as a result of the **3-way catalytic converter**. First introduced in the 1970s in response to clean air legislation targeting photochemical smog, the 3-way catalytic converter uses simple redox (Reactions 1.16 - 1.19) reactions to both oxidise CO and VOCs to CO<sub>2</sub> and H<sub>2</sub>O, and reduce NO<sub>x</sub> to molecular nitrogen.<sup>[63]</sup>

$$2CO + O_2 \rightarrow 2CO_2 \tag{1.16}$$

$$NO_2 + CO \to NO + CO_2 \tag{1.17}$$

$$(8n+2m)NO + 4H_mC_n \to (4n+m)N_2 + 2mH_2O + 4nCO_2$$
(1.18)

$$2NO + 2CO \rightarrow N_2 + 2CO_2 \tag{1.19}$$

The catalysts typically use a combination of platinum, palladium and rhodium metals coated onto a ceramic honeycomb structure to catalyse Reactions 1.16 - 1.19 from the engine-out exhaust gas. In addition, **carbon canister** technology has been used on all gasoline vehicles for the past few decades which reduces the evaporative VOC emissions from the fuel tank. Upon heating (either ambient or operationally induced), gaseous vapours are absorbed into activated charcoal, before being redirected into the engine for combustion during operation.

Catalytic removal of pollutants from diesel exhaust is slightly different to those in gasoline exhaust. Platinum-based oxidation catalysts are still used for reducing VOC and CO emissions, although the nature of diesel fuel itself, which is less volatile than gasoline, means these emissions are not as prevalent. However, the lower exhaust temperature and different air-fuel ratios make  $NO_x$  reduction challenging.<sup>[63]</sup> Gasoline engines are fuel-rich and operate near a stoichiometric ratio. This is within a range in which Reactions 1.17 - 1.19 proceed efficiently. Diesel engines operate under fuellean conditions which are ineffective for the reduction of  $NO_x$ . Therefore, additional technology is required with the two common options being **lean**  $NO_x$  traps (LNT) and selective catalytic reduction (SCR) systems.

An LNT works by chemically trapping  $NO_x$  during fuel-lean (normal) operation.  $NO_2$  is adsorbed onto an alkaline metal (M) to form a stable nitrate (Reaction 1.21).<sup>[64]</sup> This is via the oxidation of all NO to  $NO_2$  with a platinum catalyst (Reaction 1.20). Once the adsorbing material is saturated, the engine is temporarily switched to fuel-rich conditions which cause the decomposition of the metal nitrate, the regeneration of  $NO_2$ , and facilitates the efficient reduction of  $NO_x$  via Reaction 1.17 - 1.19 in the presence of rhodium.<sup>[64]</sup>

$$2NO + O_2 \rightarrow 2NO_2 \tag{1.20}$$

$$2NO_2 + MCO_3 + 0.5O_2 \to M(NO_3)_2 + CO_2$$
(1.21)

$$M(NO_3)_2 + 2CO \rightarrow MCO_3 + NO_2 + NO + CO_2 \tag{1.22}$$

Selective Catalytic Reduction (SCR) chemically reduces the  $NO_x$  molecule into molecular nitrogen and water vapour using ammonia.<sup>[65]</sup> The ammonia is usually supplied via urea injection into the hot exhaust upstream of the SCR catalyst which decomposes by Reaction 1.23.<sup>[63]</sup> Ammonia then proceeds to reduce NO<sub>x</sub> in Reactions 1.24 and 1.25 via a catalyst (typically vanadium oxide supported on titanium oxide, Fe-exchanged zeolites, or Cuexchanged zeolites).<sup>[66]</sup>

$$(NH_2)_2CO + H_2O \to 2NH_3 + CO_2$$
 (1.23)

$$4NH_3 + 4NO + O_2 \to 4N_2 + 6H_2O \tag{1.24}$$

$$4NH_3 + 2NO + 2NO_2 \to 4N_2 + 6H_2O \tag{1.25}$$

**Diesel particulate filters (DPF)** have been used to reduce particles from diesel vehicles since around 2010. In simple terms, a DPF is a porous substrate that traps engine-out particulates but allows gas to pass through. In doing so, issues arise with the build-up of pressure that degrades engine performance. Therefore, a process of regeneration occurs in which PM (denoted as [C] in Reaction 1.27) is oxidised to  $CO_2$  at temperatures higher than that of normal engine operation.<sup>[67]</sup> The high temperatures are usually achieved by increasing fuel combustion in the engine.<sup>[68]</sup>

$$2NO + O_2 \rightarrow 2NO_2 \tag{1.26}$$

$$[C] + 2NO_2 \to CO_2 + 2NO \tag{1.27}$$

Flue gas desulfurisation (FGD) has been the major influence on reducing SO<sub>2</sub> emissions from power plants. The process of wet scrubbing typically utilizes an alkaline-based slurry of lime to scrub gases.<sup>[69]</sup> A shower of lime slurry is then sprayed into a flue gas scrubber, where the SO<sub>2</sub> is absorbed into the spray and becomes a wet calcium sulfite (see Reaction 1.28).

$$SO_2 + CaCO_3 \rightarrow CaSO_3 + CO_2$$
 (1.28)

Flue gas recirculation (FGR) is commonly used to reduce  $NO_x$  emissions from boiler applications. As discussed in Section 1.3.3,  $NO_x$  is formed during high-temperature combustion via the combination of  $N_2$  and  $O_2$  in the air. FGR works by recirculating exhaust gases into the combustion chamber as a way of diluting the oxygen content present. In doing so,  $NO_x$  formation is limited by the concentration of oxygen and reduced flame temperatures.<sup>[70]</sup>

In some locations with the resources to do so, policies that speed up the transition to cleaner technologies are becoming increasingly common. In particular, the implementation of low emissions zones incentivises the use of up-to-date emissions control technology in the road transport sector by charging the use of non-compliant vehicles within the specified area.<sup>[71]</sup> Although difficult, attempts to reduce activity have seen some success. For example, the number of vehicles on the road can be reduced via congestion charging zones which are similar to a low emissions zone, except they charge any vehicle to enter.<sup>[72]</sup> This can also be done via the incentivisation of public transport or green methods of travel (e.g. walking or cycling).<sup>[73]</sup> Additionally, the improvement in the energy efficiency of buildings has reduced energy use per unit floor area. However, the number of buildings is ever increasing and so total energy use has in fact risen.<sup>[74]</sup>

## **1.4** How does this research contribute?

The 2020s mark a critical decade for the control of emissions. Decisions made over the next few years will determine the pathways followed in response to the Paris Agreement and international pledges to achieve net zero greenhouse gas emissions. Greenhouse gas and air pollutant emission sources are closely related meaning these pathways will have a significant impact on air quality. It is a common opinion in air pollution research that the adoption of a pathway which does not also maximise air quality improvements would be a missed opportunity. Strategies aimed at reducing the impact of air pollution can only be as good as the data that informs it. This is typically data related to the atmospheric state of the system in combination with the magnitude of the different emissions drivers. Long-term national concentration measurements are required for the identification of the main pollutants of concern in each region/location. In the UK, these air quality monitoring sites make up what is known as the Automatic Rural and Urban Network (AURN). In total, this constitutes 174 sites distributed across different pollution environments in the UK; their locations are presented in Figure 1.7. Once the pollutant and location of concern are identified, the emissions inventories discussed in Section 1.3.3 play a critical role in providing information on which emission sources should be targeted. The monitoring network can then be used to track the effectiveness of the chosen intervention measures.

National monitoring networks are limited by the number of monitoring locations and the complexity of the instrumentation used for measurements. Speciated measurements of PM and VOCs provide important source apportionment information. However, the cost and amount of skilled labour required to perform these measurements is highly impractical on a national scale. Additionally, while reductions in concentrations are what is ultimately desired, they are heavily influenced by meteorology (see Section 1.3.4). Therefore, the meteorological factors at play can make their study in response to emissions reduction strategies challenging to interpret (although this has become easier with the recent development of meteorological normalisation machine learning methods).<sup>[76]</sup>

Emissions inventories are often produced using laboratory-derived emission factors and activity estimates. These emission factors may not be equivalent to those in the real world (e.g. the diesel-gate scandal in 2015) and


Figure 1.7: AURN monitoring site locations in the UK. Taken from [75].

emissions sources are continuously evolving.<sup>[77]</sup> As emissions from the dominant sources are reduced, previously minor sources increase in their relative importance. However, by nature of being minor in the past, these other sources have usually received less attention and are prone to larger uncertainties. As such, the science on which the inventory is based can be inaccurate or become outdated. It is therefore essential to continuously verify and update emissions inventories to ensure they are sufficiently accurate to identify the most critical sources for policy intervention.

The direct measurement of emissions produces an output that facilitates simple inventory verification. Additionally, the success of policy intervention measures at reducing emissions can be quantified by emissions measurements with reduced interference from meteorology. This thesis presents two unique emissions measurement applications for the study of evolving urban air pollution sources. The aims of the research were to a) assess the accuracy of emissions inventories and b) quantify the success of some air quality policies introduced in the UK, with the overall aim of improving the quality of the data informing subsequent air pollution decisionmaking.

Eddy covariance (EC) is a measurement technique that directly calculates vertical turbulent fluxes (or emissions) in the PBL. It is regularly used for measuring the biospheric exchange of CO<sub>2</sub>, CH<sub>4</sub>, H<sub>2</sub>O and energy between the earth's surface and the atmosphere to improve understanding of the global carbon budget.<sup>[78]</sup> A number of flux measurement networks are now established as an alternate source of information to traditional concentration monitoring (e.g. FLUXNET, AmeriFLux, ICOS).<sup>[79–81]</sup> Recently, the use of EC has transitioned to the urban environment and to air pollutant exchange.<sup>[82–84]</sup> However, the number of studies is limited due to the extra challenges this environment brings. Urban EC measurements require sampling atop a tower that is much larger than the surrounding buildings and instrumentation that can acquire air pollution concentration data at a very fast time resolution while maintaining high precision. In the first part of this thesis, long-term measurements of  $NO_x$  and  $CO_2$  fluxes from a tall tower in central London are presented. The measurements are of particular air quality interest as they are the only measurements of their type in a megacity in the world, and are situated within an area that has seen local government take an international lead in traffic air quality policy. Assessment of the success of these policies at reducing road transport emissions and the characterisation of increasingly important sources in their absence was carried out here.

An additional area of developing air pollutant research is the use of mobile laboratories for assessing pollutant emissions and/or concentrations at a greater spatial extent.<sup>[85,86]</sup> Here, field measurements can be made in locations not covered by national monitoring, and utilise more specialist instrumentation to measure a greater range of compounds. The second part of this thesis presents emissions measurements made in the field with a mobile laboratory that facilitates the detection of compounds not routinely monitored in the UK by the AURN. Emissions factors of speciated VOCs from road transport were identified as an area of emissions inventory uncertainty and verified here in the real world. This represents the application of an emissions measurement in a more targeted approach to a specific air pollution source.

### **1.5** Thesis outline

This thesis is presented in the journal-style format using three articles as stand-alone chapters, with details of each given in the thesis's declaration. The contents of the chapters are faithful to the accepted and published articles, however, minor formatting changes have been made to section headings, figures and captions, citations and reference styles, and the inclusion of supplementary material for the sake of completeness and consistency. Chapter 2 focuses on the first year (Sept. 2020 - Sept. 2021) of the longterm flux measurements in central London. This year was heavily impacted by restrictions associated with the coronavirus pandemic and represented a unique opportunity to study emissions scenarios under reduced activity. It was found that NO<sub>x</sub> emissions had reduced by 73 % since 2017. Novel analysis techniques were developed to source apportion this reduction which determined that traffic NO<sub>x</sub> emissions reduced by 73 - 100 % since 2017. This was a result of COVID-19 restrictions, the Ultra Low Emissions Zone and the natural fleet transition to cleaner vehicles. The findings from this work gave the first indication that the heat and power generation sector would be important for future urban NO<sub>x</sub> emissions.

Chapter 3 studies the following two years (Sept. 2021 - Sept. 2023) of flux measurements in central London. It was shown that  $NO_x$  emissions did not recover post-lifting of the pandemic restrictions. Use of  $NO_x/CO_2$  emission ratios assigned 76 ± 17 % of  $NO_x$  emissions for the 2021-2023 period to the heat and power generation sector, the majority of which is made up of natural gas combustion in the commercial sector for space heating. Measurements were compared to the UK's emissions inventories which, despite this change in the dominant source, actually suggest an overestimation of commercial heating  $NO_x$  emissions of 53 %. This was assigned to outdated emission factors that did not account for recent legislation regulating  $NO_x$ emissions from gas-fired boilers. Future emissions scenarios are then discussed including the role of net zero pledges in the possible achievement of WHO air quality guidelines for  $NO_2$ .

Chapter 4 presents emissions factor measurements of VOC emissions from road transport. The measurements were conducted with a mobile laboratory which facilitated the use of advanced mass spectrometric instrumentation in a complex field environment. It was shown that international road transport emissions inventories are missing a large source of alcoholbased VOCs. This was assigned to the use of screenwash (or windshield washer fluid) through verification with industry sales statistics and laboratory headspace analysis. The implications that this source missing in emissions inventories may have on future policy and atmospheric chemistry are discussed.

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# Chapter 2

# Pandemic restrictions in 2020 highlight the significance of non-road $NO_x$ sources in central London



### 2.1 Abstract

Fluxes of nitrogen oxides  $(NO_x = NO + NO_2)$  and carbon dioxide  $(CO_2)$  were measured using eddy covariance at the British Telecommunications (BT) Tower in central London during the coronavirus pandemic. Comparing fluxes to those measured in 2017 prior to the pandemic restrictions and the introduction of the Ultra-Low Emissions Zone (ULEZ) highlighted a 73 % reduction in NO<sub>x</sub> emissions between the two periods but only a 20 % reduction in CO<sub>2</sub> emissions and a 32 % reduction in traffic load. Use of a footprint model and the London Atmospheric Emissions Inventory (LAEI) identified transport and heat and power generation to be the two dominant sources of NO<sub>x</sub> and CO<sub>2</sub> but with significantly different relative contributions for each species. Application of external constraints on NO<sub>x</sub> and CO<sub>2</sub> emissions allowed the reductions in the different sources to be untangled identifying that transport NO<sub>x</sub> emissions had reduced by > 73 % since 2017. This was attributed in part to the success of air quality policy in central London, but crucially due to the substantial reduction in congestion that resulted from pandemic reduced mobility. Spatial mapping of the fluxes suggests that central London was dominated by point source heat and power generation emissions during the period of reduced mobility. This will have important implications on future air quality policy for NO<sub>2</sub> which until now, has been primarily focused on the emissions from diesel exhausts.

### 2.2 Introduction

Air pollution is thought to be the world's largest environmental risk to human health causing an estimated 7 million premature deaths every year.<sup>[1]</sup> One species of pollutants of particular concern, especially in the UK, is  $NO_x$ . Formed as a by-product of high temperature combustion,  $NO_x$  is commonly emitted from the tailpipe exhaust of internal combustion engine vehicles

and through the use of fossil fuels to generate heat and energy in the residential, commercial and industrial sectors. The major component of NO<sub>x</sub> is nitrogen dioxide (NO<sub>2</sub>); direct exposure to which is known to contribute to respiratory infections such as bronchitis and pneumonia.<sup>[2]</sup> Indirectly, NO<sub>x</sub> is a key component to the photochemical formation of ozone and fine particulate matter (PM<sub>2.5</sub>). Exposure to ozone and PM<sub>2.5</sub> has additional adverse effects on the respiratory and cardiovascular systems.<sup>[3]</sup> Consequentially, NO<sub>2</sub> and PM<sub>2.5</sub> were estimated to cost the UK's National Health Service (NHS) and social care £1.6bn between 2017 and 2025, rising to £5.6bn if diseases with less robust evidence for an association are included.<sup>[4]</sup> This has become particularly relevant since the start of the coronavirus pandemic whereby long-term exposure to air pollution has been associated with the severity of COVID-19 cases.<sup>[5]</sup>

In 2008, countries in the EU were set legally binding limits for NO<sub>2</sub> concentrations in line with the World Health Organisation (WHO) recommendations. These are 40  $\mu$ g m<sup>-3</sup> for the annual mean with no more than 18 exceedances of the 200  $\mu$ g m<sup>-3</sup> hourly limit every year.<sup>[6]</sup> This target was expected to be met by 2010. In 2021, the WHO reduced the recommended annual mean limit by 75 % to 10  $\mu$ g m<sup>-3</sup>.<sup>[7]</sup>

London is a megacity in the UK with extensive NO<sub>2</sub> air quality issues. Almost all roadside locations exceeded the European Limit Value for NO<sub>2</sub> every year between 2010 and 2016.<sup>[8]</sup> Being in a highly developed position with significant resources, it has acted as a testing bed for policy intervention to try and curb emissions and achieve these air quality targets. These have been focused largely on traffic pollution and congestion charging and have the primary goal of reducing NO<sub>x</sub> concentrations via reduced road transport emissions, either through reduced traffic numbers or through reduced average emission per vehicle per unit distance. Most notable is the introduction of the world's first ultra-low emissions zone (ULEZ), launched on 8th April 2019 with the zone spatially shown in Figure 2.1 a). This oper-

ates 24 hours a day, 364 days a year (excludes Christmas Day) and requires a daily payment if the vehicle driven inside the zone does not meet the most stringent emissions standards (currently Euro III for motorbikes, Euro IV for petrol cars and Euro VI for diesel cars and larger vehicles), in addition to the congestion charging payment within the same area. The ULEZ was expanded on 25th October 2021 up to the north and south circular roads in an 18-fold increase in size. In addition to policy, the coronavirus pandemic had significant implications on NO<sub>x</sub> emissions in the UK through reduced mobility. During 2020 and 2021 the UK staged three lockdowns with "stay at home" orders. Full details on the timings and the severity of lockdown restrictions in London can be found in Figure 2.2.



Figure 2.1: a) The average footprint climatology for the Sept 2020-Sept 2021 time period, with the 30, 60 and 90 % contribution contours and the location of the 24 ATC sites and the BT Tower site overlaid. b) Spatial boundary of the ULEZ (red) and ULEZ expansion (blue) with the same footprint contribution contours presented in a) overlaid as black lines, and the BT Tower site marked as a black dot. Maps produced from Google Maps (© Google Maps 2022) accessed using an API in R.

Assessment of the impact of policy intervention and other external stim-

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Figure 2.2: A timeline of COVID restrictions in England from the start of the COVID-19 pandemic until January 2022. Coloured bars represent the weekly change in Google Mobility across the UK.

uli like the coronavirus pandemic on NO<sub>x</sub> emissions is crucial for the future design and implementation of air quality policy in the UK. Eddy-covariance is a technique used to quantify the surface-atmosphere exchange of an atmospheric pollutant. The calculated flux coupled with a footprint model provides information on surface emissions, allowing for changes to be studied and for direct comparison to the emissions inventories used in policy development. Whilst most frequently used for measuring carbon dioxide exchange with ecosystems from stationary towers,<sup>[9–11]</sup> the technique has been extended to the urban canopy for both greenhouse gases and air pollutants,<sup>[12-15]</sup> as well as to airborne measurements for the assessment of fluxes at a much greater spatial extent.<sup>[16–18]</sup> Recently, Lamprecht et al. [19] have used long term air pollutant emissions measurements to understand how COVID-19 restrictions have impacted different sources of NO<sub>x</sub> in the small European city of Innsbruck, Austria. We undertake a similar analysis, but for the megacity of London. This offers the perspective of a different location where not only are emissions much higher, but contributions from different sources can vary significantly due to the nature of the activity required to support both greater population size and density. With the number of megacities consistently increasing, and expected to reach 43 in 2030 (up from 31 in 2018), improving our understanding of the air pollutant sources in them is as critical as ever.<sup>[20]</sup>

Here we present the first year of data from the long-term  $NO_x$  flux measurement programme at the BT Tower (London, UK). As the only long-term measurements of  $NO_x$  emissions from a megacity in the world this is a highly unique and potentially informative data-set. The  $NO_x$  emissions measurements are combined with additional  $CO_2$  emissions measurements, a footprint model and the London Atmospheric Emissions Inventory (LAEI), and compared to previous measurements in 2017 to source apportion changes in emissions due to the coronavirus pandemic and the ULEZ. Resulting policy implications are inferred.

### 2.3 Experimental

#### 2.3.1 Measurement Site

Instruments for measuring fluxes of urban air pollutants and greenhouse gases are situated in a small lab atop the BT Tower located in central London, UK (51°31'17.4"N, 0°8'20.04"W). The measurement height is 190 m above street level, with a mean building height of  $8.8 \pm 3.0$  m in the 10 km radius surrounding the tower.<sup>[13]</sup> The gas inlet and ultrasonic anemometer are attached to a solid mast that extends 3 m above the top of the tower. Air is pumped down a 45 m Teflon tube (3/8" OD) in a turbulent flow of 20-25 L min<sup>-1</sup> to the gas instruments, which are situated in a small air conditioned room inside the tower on the 35th floor.

#### 2.3.2 NO<sub>x</sub> measurements

Long-term measurements of NO and NO<sub>2</sub> fluxes began in September 2020 with data presented here up to September 2021. Data is compared to previous measurements made by Drysdale et al. [21] from March-August 2017. Both chemical species were measured using a dual channel chemiluminescence analyser (Air Quality Design Inc., Boulder Colorado, USA; 5 Hz) as described previously by Drysdale et al. [21] and Squires et al. [22]. The number of photons measured by the photomultiplier tube was converted into a part per trillion (ppt) mixing ratio using a five point calibration curve produced through dilutions of a 5 ppm NO in N<sub>2</sub> calibration standard (BOC Ltd., UK; traceable to the scale of the UK National Physical Laboratory, NPL) into NO<sub>x</sub> free air (generated from an external Sofnofil and activated charcoal trap). NO<sub>2</sub> was calculated by conversion of NO<sub>2</sub> into NO using a photolytic blue light converter (BLC). Here, both NO and NO<sub>2</sub> were measured, from which NO<sub>2</sub> can be quantified by subtracting the NO mixing ratio and applying a correction factor for the conversion efficiency of the BLC.

The instrument was calibrated every 37 hours in addition to an hourly zero measurement to subtract the temperature dependent background signal of each channel. The uncertainty of the NO measurement is given as  $\pm$  3%, resulting from uncertainties in the sample mass flow controller, calibration gas mass flow controller and calibration gas certification. The uncertainty for the NO<sub>2</sub> measurement is given as  $\pm$  4.7% due to the additional uncertainty in the conversion efficiency calculation, determined in the laboratory via variation in repeated tests. The precision for each channel is calculated as 53 ppt and 184 ppt for NO and NO<sub>2</sub> respectively from the standard deviation in all the hourly zeros during the measurement period.

#### 2.3.3 CO<sub>2</sub> measurements

Long-term measurements of  $CO_2$  have been ongoing at the BT Tower since 2011 as part of UKCEH's National Capability programme. Dry mass fractions (1 $\sigma$  precision < 300 ppb) were measured initially using a cavity ringdown spectrometer (Model 1301-f, Picarro Inc., Santa Clara, California, USA; 10 Hz) as described by Helfter et al. [14]. Unfortunately, instrumental failure means data is not available between February and June 2021, after which a closed path infrared gas analyser (Li-7000, LI-COR Environmental, Lincoln, Nebraska, USA; 10 Hz) took over the CO<sub>2</sub> flux measurement.

#### 2.3.4 Meteorological measurements

Meteorological measurements were made at the BT Tower as described by Lane et al. [23]. Wind speed, wind direction and sonic temperature were measured using a ultrasonic anemometer (Gill R3-50, Gill Instruments, Lymington, UK; 20 Hz), along with pressure and relative humidity measurements using a weather station (WXT520, Vaisala Corp. Helsinki, Finland; 1 Hz). For ease of processing, each chemical species is logged separately at it's maximum measurement frequency into a file with the sonic anemometer data averaged to the same measurement frequency.

#### 2.3.5 Flux Calculations

The flux, F, is defined in this context as the vertical transport of a chemical species per unit area per unit time. Hourly fluxes were calculated using eddy covariance theory as described by Eq. (2.1), where F is equal to the covariance between the instantaneous change in vertical wind speed, w', and the instantaneous change in species concentration, c', averaged over the hour.

$$F = \overline{w'c'} \tag{2.1}$$

Eddy-covariance calculations were performed using the modular software packages in eddy4R adopting the same processing settings described in Drysdale et al. [21] as adapted from Squires et al. [22] This was to allow a direct comparison to be made to the previous measurements made in 2017. The lag time correction was determined by maximisation of the crosscovariance between the pollutant concentration and the vertical wind component with an additional application of a high-pass filter which improves the precision of the determined lag time by an order of magnitude.<sup>[22,24]</sup> This resulted in median lag times of 7.2 s for NO, 7.6 s for NO<sub>2</sub> and 21 s for CO<sub>2</sub>. Data was filtered such that the friction velocity (u<sup>\*</sup>) is > 0.2 to ensure sufficiently developed turbulence and using eddy4R's quality control flagging scheme. The QA/QC process is described in detail by [25, 26]. Data is flagged as either valid or invalid based on the combination of individual flags for input data validation, homogeneity and stationarity, and development of turbulence.

#### 2.3.6 Flux uncertainties

#### 2.3.6.1 NO<sub>x</sub> chemistry

Eddy covariance has traditionally only been used for relatively unreactive greenhouse gases like CO<sub>2</sub> with long atmospheric lifetimes. Attempting the calculation of NO<sub>x</sub> fluxes is potentially problematic due to the greater reactivity and hence shorter lifetime of the species. If the loss rates of the reactive species is of a similar timescale to the vertical transport to the measurement height, the measured flux would be an underestimate and would not be representative of those emitted at the ground. In the case of NO<sub>x</sub>, the major loss route to the atmosphere is via the reaction between NO<sub>2</sub> and OH. The rate constant for this simple association reaction can be calculated for the BT Tower specific conditions from Eq. 2.2 using mean values of temperature (T, 289 K) and pressure (P, 989 hPa).<sup>[27]</sup> This is derived from the low-pressure limiting rate constant ( $k_{0}(T)$ ) and the high-pressure limiting rate constant ( $k_{\infty}(T)$ ) using location specific total gas concentrations ([M]). n and m are simple exponents for the given reaction, in this case 3 and 0 respectively.

$$k_f(T, [M]) = \left\{ \frac{k_{\infty}(T) k_0(T) [M]}{k_{\infty}(T) + k_0(T) [M]} \right\} 0.6^{\left\{ 1 + \left[ log_{10}\left( \frac{k_0(T) [M]}{k_{\infty}(T)} \right) \right]^2 \right\}^{-1}}$$
(2.2)

Where:

$$k_0(T) = k_0^{298} \left(\frac{298}{T}\right)^n \tag{2.3}$$

a > -1

$$k_{\infty}(T) = k_{\infty}^{298} \left(\frac{298}{T}\right)^{m}$$
(2.4)

$$[M] = \frac{PA_v}{RT} \tag{2.5}$$

Assuming a simple first order loss rate, the level of  $NO_x$  loss to the atmosphere can then be estimated from Eq. 2.6 using the previously determined

rate constant  $(k_f(T, [M]) = 1.973 \times 10^{-11})$ , the concentration of OH ([OH]) and the transport time to the measurement height (t).

$$\frac{[NO_x]}{[NO_x]_0} = e^{-k[OH]t}$$
(2.6)

Since [OH] is not routinely measured in London, a typical midday summers value  $2 \times 10^6$  is used from London measurements in 2012 which would represent the maximum loss rate observed throughout the year.<sup>[28]</sup> Barlow et al. [29] estimate a typical transport time of < 10 minutes for the BT Tower, although under stable conditions this could increase to 20-50 minutes. This results in a loss of 2%, increasing up to 11% for a 50 minute transport time. The 11% loss represents the maximum loss observed at the BT Tower since it occurs under the most stable conditions during peak OH concentrations for London in Summer. In reality, this level of loss will not be observed in the data since stable conditions are filtered out in the QA/QC process and the majority of the OH concentration present throughout the year is less than that used in this calculation. Since it is much more likely to be at or below the 2% threshold, we consider NO<sub>x</sub> reactivity to be a minor uncertainty in the flux calculations and a correction is not applied.

#### 2.3.6.2 Vertical flux divergence

Another source of uncertainty is the size of the measurement height relative to the boundary layer. At 191 m, the sample inlet and sonic anemometer is often an appreciable portion of the boundary layer and can extend above the constant flux layer. On occasion, this results in concentration enhancements below the measurement height and an underestimation of the surface flux through vertical flux divergence. The impact of storage and vertical flux divergence at the BT Tower has been discussed previously by Helfter et al. [14] and Drysdale et al. [21], and in the absence of concentration and wind measurements at different heights up the tower, remains to be a notable

source of uncertainty in the measurement. Helfter et al. [14] speculates that venting after the onset of turbulence would capture some, if not most of the material stored below the measurement height. Drysdale et al. [21] demonstrates a correction for vertical flux divergence as a function of effective measurement height and effective entrainment height. The correction was typically around 20% for 2017, but is not applied to the data due to uncertainties in the boundary layer height data. Since this work studies relative magnitudes between two periods, the impact of VFD will likely cancel out, provided the meteorology is similar. Figure 2.3 shows that boundary layer height was on average 8% lower in 2020/21 compared to the 2017 measurement period. As such, a comparison between the VFD correction presented in Drysdale et al. [21] for both periods was conducted (see Figure 2.4. The lower boundary layer height in 2020/21 meant the correction was slightly higher at 24 %. A discussion on the impact this had on the results of this paper is given in Section 2.4.

#### 2.3.6.3 High/low frequency loss

Due to the height of the measurement and the large eddy size above the urban roughness layer, the high frequency contributions to the fluxes are expected to be small. Drysdale et al. [21] calculated high frequency loss for NO and NO<sub>2</sub> at the BT Tower via co-spectra relative to temperature measured at 20 Hz. Correction factors above 1 Hz were shown to be of the order of 2 - 3 %. Losses due to low frequency can occur due to an insufficient length of averaging period. Previous studies at the BT Tower for 30 minute flux averaging periods have calculated losses due to high-pass filtering to be < 5%.<sup>[30,31]</sup> Since a 60 minute averaging period is used here, loss will be even lower. Both of these errors are considered minor and as a result no correction has been applied.

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Figure 2.3: Boundary layer height comparison for 2017 and 2020/21 measurement periods



Figure 2.4: Diurnal profiles comparing uncorrected and vertical flux divergence corrected NO<sub>x</sub> flux for the 2017 and 2020/21 measurement periods.

#### 2.3.7 Footprint Modelling

A parameterised version of the backwards Lagrangian stochastic particle dispersion model implemented in eddy4R was used to estimate the footprint for each hourly flux measurement at the BT Tower. The model is described by Kljun et al. [32] and has been parameterised for a range of meteorological conditions and receptor heights to reduce the computational expense of running it. The original model aims to produce a cross-wind integrated footprint function as a function of its along-wind distance, which has now been further extended into two dimensions using a Gaussian distribution driven by the standard deviation in the cross-wind component.<sup>[33,34]</sup> Meteorology statistics from the eddy covariance calculations are used in combination with modelled boundary layer height from ERA5,<sup>[35]</sup> and a surface roughness length of 1.1 m to produce a weighted matrix of 100 m x 100 m grid cells. Each output weighted matrix was then scaled and aligned

to an appropriate coordinate reference system to allow each matrix to be plotted onto a map.

#### 2.3.8 Traffic Data

Hourly traffic loads surrounding the BT Tower were calculated by summing the traffic load from each of the 24 Automatic Traffic Counters (ATCs) within the flux footprint, as shown in Figure 2.1. This gave an indication of the magnitude of traffic load for both measurement periods and allowed relative changes to be studied between the two years. In addition, daily vehicle length breakdown was examined from which vehicles were separated into three length classes: < 5.2 m, indicating the number of passenger cars, 5.2 m-12 m, indicating the number of vans and rigid lorries and > 12 m, indicating the number of buses and articulated lorries. As the LAEI estimates that almost all lorry emissions in central London are due to the rigid class, the >12 m class is assumed to solely be made up from buses. Data was provided by the Operational Analysis Department, Transport for London (TFL) via a freedom of information request.<sup>[36]</sup>

#### 2.3.9 Emissions inventories

The London Atmospheric Emissions Inventory (LAEI) is a spatially disaggregated 1 km<sup>2</sup> gridded map of the annual emissions of various air pollutants for the London area up to the M25 motorway ring road.<sup>[37]</sup> Annual emissions are estimated using emission factors and activity factors for the different sources. For example, emissions from domestic combustion in (tonnes/year) would be calculated as Gas Consumption (GW.h/year) × Emission Factor (t poll/GW.h). The inventory is produced roughly every 3 years by TFL and the Greater London Authority (GLA). At the time of writing, there was no inventory estimates for the pandemic affected years of 2020 and 2021. We therefore use the most recent version produced in 2016,

which relates to a 'normal' year unaffected by lockdowns, to understand how emissions have changed since the 2017 measurements.

### 2.4 **Results and Discussion**

Of the 8760 hours in the year, 7034 hours of  $NO_x$  fluxes were calculated. Data loss was largely due to instrument or sample pump failure. Of these 7034 hours, a further 3621 were removed by the quality control flagging to leave 3413 hours of  $NO_x$  fluxes to be analysed. This data is displayed in Figure 2.5 along with measured CO<sub>2</sub> flux, traffic load around the tower and the UK's restrictions stringency index as calculated by the Oxford COVID-19 Government Response Tracker.<sup>[38]</sup> Traffic load around the tower was strongly anti-correlated with stringency index as expected. However, there was no obvious correlation of  $NO_x$  flux with traffic load. In fact,  $NO_x$ flux displays an anti-correlation with traffic flow and stringency index from April to August. This is likely due to a reduction in heat and power generation emissions due to the warmer weather, which is a first indication that traffic may not be the dominant source of NO<sub>x</sub> flux during this period. Traffic loads had not recovered to pre-pandemic levels either (Figure 2.6) despite the fact all lockdown restrictions were fully removed on 21 June 2021, hinting at a more long-term change in behaviour. This is not unexpected as the stringency index remained at 40 %, mainly due to self-isolation requirements and international travel restrictions which were still present at this stage. In the absense of a long term time series from which a number of studies have used boosted regression models to predict normal emission scenarios, we compare data to that previously measured from March - August 2017 by Drysdale et al. [21]. This is an ideal time period for comparison since the measurement footprint was very similar (see Figure 2.7) and meteorological conditions meant minimal bias was expected between the years (see Sec. 2.3.6.2). Average diurnal NO<sub>x</sub> fluxes were down 73 % (3.45 vs

12.88 mg m<sup>-2</sup> h<sup>-1</sup>). However, only a corresponding 20 % reduction in CO<sub>2</sub> flux (2455 vs 3062 mg m<sup>-2</sup> h<sup>-1</sup>) and 32 % reduction in traffic load (16540 vs 24405 vehicles day<sup>-1</sup>) around the measurement site was observed. These changes can be clearly seen in the diurnal profiles in Figure 2.5. These % changes were calculated after application of the different vertical flux divergence corrections discussed in Section 2.3.6.2, and exhibited a negligible variation of < 1 %.

#### 2.4.1 Calculation of inventory estimated emissions

Estimated emissions from the London Atmospheric Emissions Inventory (LAEI) for the measurement footprint were calculated to aid understanding of these observations. The hourly footprint weighted matrix output from eddy4R was used to select the relevant areas of the LAEI. The theoretical contribution to the flux was extracted from each footprint grid cell and scaled for hour of day, day of week and month of year for each emissions sector using a set of anthropogenic scaling factors described by Drysdale et al. [21]. An excellent agreement between the diurnal profiles and measurement footprint (shown in Figure 2.7) for the 2017 and 2020/21 measurement periods was seen. This gave us confidence that any changes in emissions were not to do with sampling in different times of year or sampling in different areas of central London. The source breakdown of the 2017 inventory generated time series for both  $CO_2$  and  $NO_x$  is shown in Figure 2.8. Emissions of both species are almost entirely made up from combustion of fossil fuels to generate heat and power in the domestic, commercial and industrial sectors and the transport sector, which is dominated by various forms of road transport. However, each species has a significantly different relative contribution from each sector. 75 % of CO<sub>2</sub> emissions are estimated to arise from heat and power generation but only 42 % of NO<sub>x</sub> emissions from the same source.





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Figure 2.6: Daily average traffic load from 01/01/2017 - 01/09/2021. The date of the introduction of the ULEZ is marked as a vertical red line.



Figure 2.7: Comparison of a) the diurnal profile of the inventory generated time series and b) the 30, 60 and 90 % footprint contribution contours for the 2017 data set in black and the 2020/21 data set in red. Map in b) produced from Google Maps (© Google Maps 2021) accessed using an API in R.
## 2.4.2 Source apportionment of emissions reduction

The inventory breakdown for each species and the different percentage reductions in measured emissions since 2017 were used to disentangle changes in emissions of each sector. This was done simultaneously using a number of assumptions.

Table 2.1: A summary of the data used in the formation of simultaneous Eqs. (2.7) and (2.8).

	NO <sub>x</sub>	$CO_2$
% measured reduction in emissions since 2017	73 %	20 %
% contribution from heat and power generation	0-50 % ( $\alpha$ )	75 %
% contribution from transport	50-100 % (β)	25 %
% reduction in heat and power generation emissions	x	x
% reduction in transport emissions	y'	у

Helfter et al. [14] highlight the excellent agreement of CO<sub>2</sub> emissions measured at the BT Tower to those estimated by the LAEI and thus the source contributions of 75 % from heat and power generation and 25 % from transport for CO<sub>2</sub> are taken as accurate here. On the other hand, previous observations have shown a significant underestimation of NO<sub>x</sub> emissions in central London.<sup>[16,21]</sup> This is most likely due to an underrepresentation of road transport NO<sub>x</sub> emissions in line with a poor representation of diesel vehicle emissions and/or congestion. Therefore, rather than using the inventory predicted 42:58 split for heat and power generation:transport the relative contributions were varied. Labelled as  $\alpha : \beta$ , different scenarios between 50:50 and 0:100 (where  $\alpha + \beta = 100\%$ ) were chosen to represent all possible levels of NO<sub>x</sub> underestimation. The percentage reduction in heat and power generation emissions for both species is labelled as *x* with the assumption that any reduction in this sector's emissions would have the same reduction in measured flux for both species. With minimal legislation for

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Figure 2.8: Inventory estimated breakdown of emissions for  $CO_2$  (left) and  $NO_x$  (right) in Tonnes for March through August of 2017 as determined from the inventory emissions extraction for our hourly measurement footprint. LGV = Light Goods Vehicles, HGV = Heavy Goods Vehicles, NRMM = Non-Road Mobile Machinery.

the sector introduced between 2017 and 2020/21 and a failure to address  $NO_x$  emissions from boilers in the UK's Clean Air Strategy, this assumption is considered reasonable.<sup>[40]</sup> However, this is is likely to be untrue for transport. Policy implemented between the two measurement periods specifically targeted  $NO_x$  emissions and  $NO_x$  emissions are disproportionately higher in higher traffic loads due to the ineffectiveness of exhaust treatment systems in that environment. Additionally, the modernisation of the vehicle fleet will have introduced more vehicles with lower  $NO_x/CO_2$  emission ratios. Therefore, the relative change in the emissions of  $NO_x$  and  $CO_2$  from traffic sources may not have been the same, and different values are given here as y and y'. This information is all summarised in Table 2.1 with the two independent constraints displayed in Eq.'s (2.7) for  $CO_2$  and (2.8) for  $NO_x$ :

$$\delta CO_2 = 0.75x + 0.25y_{[32,100]} = 20\%$$
(2.7)

$$\delta NO_x = \alpha x + \beta y'_{[73,100]} = 73\%$$
(2.8)

The different scenarios are visualised in Figure 2.9 to aid understanding of the possible solutions. Two bounding conditions drawn as hashed lines are applied to constrain the solutions. These are as follows: a) A reduction in transport emissions greater than 100 % is not possible and b) CO<sub>2</sub> emissions from transport must have decreased by at least 32 % in line with the 32 % reduction in traffic load. In reality, CO<sub>2</sub> emissions will have decreased by greater that 32% as a result of the fleet modernisation which has lead to a decrease in the average CO<sub>2</sub> emissions per new vehicle registration.<sup>[41]</sup>

Highlighted in green are all the resulting possible solutions where crucially, to achieve the observed reductions in NO<sub>x</sub> flux, there must have been a 73-100 % reduction in transport NO<sub>x</sub> emissions with transport contributing > 70 % to total NO<sub>x</sub> emissions. This transport contribution percentage

demonstrates the underestimation in the inventory of transport emissions in agreement with Karl et al. [15] and Drysdale et al. [21]. However, the most interesting observation is that a 73-100 % decrease in transport  $NO_x$  emissions is seen for only a 32 % decrease in traffic load since 2017.



% cont. of tranpsort to total NO<sub>x</sub> emissions in 2017 ( $\beta$ )  $\begin{array}{c} 50 \\ 60 \\ 80 \\ -100 \end{array}$ 

Figure 2.9: A plot showing the external constraints on NO<sub>x</sub> and CO<sub>2</sub> emissions. Eq. (2.7) is shown as the solid black line and Eq. (2.8) as the coloured solid lines, with each colour reflecting a different value of  $\beta$ . The two horizontal hashed lines represent the two discussed constraints, and the vertical hashed line shows the constraint the minimum of 32 % reduction in traffic CO<sub>2</sub> emissions has on the NO<sub>x</sub> scenarios. All possible solutions when the constraints are applied are highlighted by the shaded green area.

When compared to concentrations, we found that  $NO_x$  concentrations at Marylebone Road, a kerbside monitoring site within the flux footprint, had declined by 62 % between the two periods (248 vs 95 µg m<sup>-3</sup>). This

is increased to 69 % (214 vs 67  $\mu$ g m<sup>-3</sup>) when looking at the roadside increment concentration (roadside - urban background) as determined from Marylebone Road and London North Kensington monitoring sites. Whilst this was slightly lower in magnitude than the measured change in flux, the concentration data will have been heavily influenced by meteorology and so some disagreement was expected. There are a number of plausible explanations for the large decrease in NO<sub>x</sub> fluxes. The introduction of the ULEZ is thought to have resulted in a pre-pandemic 35 % reduction in NO<sub>x</sub> emissions from road transport in central London;<sup>[42]</sup> this is likely to be an upper estimate for our measurements due to the fact a significant proportion of our flux footprint being situated outside of the ULEZ zone. With average traffic loads between April and November 2019 after the ULEZ was introduced only down 1.8 % on 2018 levels for the same period, the vast majority of the reduction is due to a clean-up of the fleet which reduces the emissions per vehicle per unit distance. The remaining emissions are further reduced by 32 % due there being 32 % less vehicles on the roads surrounding the BT tower during the pandemic. This leaves 16-41 % of unaccounted for emissions reduction. A small portion of this unaccounted for reduction may be due to a 40 % reduction in the number of buses on the roads surrounding the BT Tower during 2020/21. The >12 m class of the vehicle length breakdown in Figure 2.10 represents the bus classification. With buses making up 17 % of the total NO<sub>x</sub> emissions from road transport, the decrease in relative proportion between 2017 and 2020/21 could result in a maximum of 7 % extra reduction in  $NO_x$  emissions. It is likely to be less than 7 % due to the small increase in the relative proportion of the 5.2 m-12 m class.

## 2.4.3 Flux correlations with traffic load

Examining how the  $NO_x$  flux correlated with traffic load for both measurement time periods gives further insight into the unaccounted emissions re-

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Figure 2.10: Pie chart for the 2017 and 2020/21 measurement periods displaying the distribution of vehicle length classes measured by the 24 ATC's surrounding the BT Tower.

duction. Figure 2.11 generally shows significantly enhanced NO<sub>x</sub> emissions in 2017 above 25000 vehicles  $hr^{-1}$ . With road transport being the dominant source during these measurements it is highlighting what is thought to be the effect of congestion on NO<sub>x</sub> emissions.

During periods of high congestion, increased emissions are expected due to increased length in journey time, a greater number of accelerations in the stop start nature of traffic and reduced effectiveness of exhaust gas  $NO_x$ treatment systems in diesel vehicles at low engine temperatures.<sup>[43]</sup> The effect of congestion on  $NO_x$  emissions is highly dependent on several variables including the fleet composition, type of exhaust treatment system and the actual level of congestion.<sup>[44]</sup> It is thought that for individual roads, excess emissions from congestion can be anything up to 75 % greater than non-congested roads.<sup>[45]</sup> Therefore, it is thought that reducing the peak traffic load below 25000 vehicles  $hr^{-1}$  has had a large impact on traffic  $NO_x$ emissions, more than accounting for the remaining emissions reduction.

Chapter 2. Pandemic restrictions in 2020 highlight the significance of non-road NO<sub>x</sub> sources in central London



Figure 2.11: Comparison of the measured NO<sub>x</sub> flux with hourly traffic load (sum of the 24 surrounding ATCs) for March through August 2017 (red) and Sept 2020 - Sept 2021 (blue). The data is split by wind direction: North  $(315^{\circ}-45^{\circ})$ , east  $(45^{\circ}-135^{\circ})$ , south  $(135^{\circ}-225^{\circ})$  and west  $(225^{\circ}-315^{\circ})$ . In the top left of each facet is the Spearman correlation coefficient for each year for the corresponding wind direction.

## 2.4.4 Spatial Mapping

This change in emissions is clearly seen in the spatial mapping of the NO<sub>x</sub> fluxes in Figure 2.12. Drysdale et al. [21] assigned the heightened emissions to the northeast of the BT Tower in Figure 2.12 a) to Euston station, including not only the train station, but also the large Euston bus station, taxi ranks and busy roads feeding the station. In addition, the high fluxes measured to the southwest are assigned to highly congested streets such as Oxford Street, Regent Street and Piccadilly. Both areas are associated with high traffic volumes and congestion and support the notion that road transport emissions dominate in central London in 2017. However, these areas have almost an order of magnitude smaller emissions and are barely visible for 2020/21 when shown on the same scale. This adds additional support to the conclusion that reduced traffic load and thus congestion in 2020/21 have been a major cause of the reduced NO<sub>x</sub> flux.

The spatial map for 2020/21 in Figure 2.12 c) on its own scale identified a shift in the dominant NO<sub>x</sub> emissions source between 2017 and 2020/21. Whilst Euston station and the previously congested southwesterly area are still noticeable, the major stand out area is to the east. Depicted as a white box is the outline of the University of London point source as documented by the National Atmospheric Emissions Inventory, a similar inventory to the LAEI but for the whole of the UK.<sup>[46]</sup> The University of London is the largest university in the UK and its Bloomsbury Campus appears directly under the heightened emissions area. This site is made up of much of the University College London (UCL) central administration, the UCL hospital and Bloomsbury Heat and Power, a number of combined heat and power (CHP) sites to power the university. CHP systems simultaneously generate heat and electrical power from a single source of energy. By capturing and utilising the heat that is generated as a by product of the electricity generation process, efficiency is increased which can reduce carbon emissions

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Figure 2.12:  $NO_x$  flux surfaces as a function of along-wind distance to the footprint maximum contribution and wind direction, as derived by Drysdale et al. [21], for a) March through August 2017 and b) September 2020 to September 2021, displayed on the same scale for ease of visual comparison. Also shown in c) September 2020 to September 2021 on it's own scale with the border for the University of London overlaid in white. The location of the BT Tower site is displayed as a red dot in each spatial map. Maps are produced using Google Maps (© Google Maps 2022) accessed using an API in R.

by up to 30 % compared to conventional separate generation.<sup>[47]</sup> However, the requirement for CHP to be in urban areas risks an increase in air pollution. Indeed it has been shown that CHP can "substantially" impact air quality due to  $NO_x$ , the highest criteria judged by Environment Protection UK and the Institute of Air Quality Management.<sup>[48]</sup> Here, the heat and power generation source stands out and dominates over transport but is only seen due to the drastic reduction in transport  $NO_x$  emissions during the period of pandemic reduced mobility. The Spearman Correlation coefficients presented in Figure 2.11 give further evidence that the dominant source between the two periods has changed. Correlations between  $NO_x$  flux and traffic load are reduced in 2020/21, in particular in the Easterly direction. Here, the lowest correlation is observed and high  $NO_x$  fluxes are seen even at low traffic loads. These observations are in agreement with the spatial mapping interpretation in that heat and power generation is the dominant source from this direction.

## 2.5 Conclusions

Eddy covariance emissions measurements at the unique BT Tower site in central London provide an opportunity to study the evolution of air pollutant emissions in a megacity and the part that policy and other external stimuli play in improving air quality. Here, the direct emissions measurements have shown that reducing congestion could be an even more effective way of reducing  $NO_x$  emissions from road transport than the ULEZ. However, this is not the direction in which the UK is heading. With much cheaper mileage, the continued uptake of electric vehicles is predicted to increase congestion. Reducing the number of vehicles on the road by improving infrastructure for other greener methods of travel such as cycling would not only achieve reduced congestion but give additional benefits to health further reducing costs of treatment at health services.<sup>[49]</sup> A more tar-

geted approach to simultaneously reduce congestion as well as emissions per vehicle per unit distance is therefore recommended to other cities looking to implement policies to tackle high traffic  $NO_x$  emissions.

The observation that NO<sub>x</sub> emissions in central London during this continuing period of reduced mobility were thought to be dominated by heat and power generation is an important one. This is a transition which was expected to occur in the coming years but was brought forward in time by the pandemic, providing a glimpse into future air quality. As of 2020, there were 2659 CHP sites in the UK with additional widespread usage in Europe.<sup>[47]</sup> Due to their increased efficiency and the push towards NetZero economies, they are expected to increase in popularity. Despite this period of drastically reduced transport emissions, all air quality monitoring sites (urban background, urban traffic and curbside) in London far exceeded the new WHO NO<sub>2</sub> air quality target. To achieve these targets it is therefore clear that legislation is required to reduce NO<sub>x</sub> emissions from heat and power generation. The heat and power generation source has been somewhat neglected due to the prominence of issues with diesel vehicle emissions. But with the planned use of hydrogen combustion in decarbonisation, which currently has major uncertainties due to a lack of experimental data, now is the critical time to start thinking about policy intervention for this sector.<sup>[50]</sup> This makes the lack of acknowledgement for gas combustion in boilers in the UK clean air strategy highly disappointing. This is the first indication from a megacity which shows heat and power emissions will need to be regulated to achieve the new air quality NO<sub>x</sub> targets. As more and more of the world's population is expected to live in urban areas, it is essential that compliance with WHO targets is achieved to minimise health and economic impacts. The conclusions derived from this work will therefore be of interest to other nations, especially with air quality improvements being increasingly sought in the developing world.

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# Chapter 3

# Commercial space heating is the largest source of $NO_x$ in central London



## 3.1 Abstract

While road transport NO<sub>x</sub> emissions have steadily reduced due to improved exhaust after-treatment technology, ambient concentrations of NO<sub>2</sub> continue to exceed the World Health Organisation's (WHO) guideline values in many cities globally. The megacity of London has taken an international lead in mobility interventions through the use of low emissions zones with transport NO<sub>x</sub> emissions reducing by > 73% over the period 2017 - 2021 in the central area. Using long-term air pollution flux measurements made from a 190 m communications tower in central London, we show that the largest source of NO<sub>x</sub> emissions in central London has transitioned from road vehicles to commercial space heating. Observations and supporting consumption/mobility data indicate that natural gas combustion in boilers was responsible for  $76 \pm 17$  % of NO<sub>x</sub> emissions in the measurement footprint (average years 2021-2023). Additionally, we calculate that UK and European emissions inventories actually overestimate commercial NO<sub>x</sub> emissions by 53% by reason of outdated emission factors and thus propose an updated NO<sub>x</sub>: CO<sub>2</sub> emission ratio of  $0.85 \times 10^{-3}$  for the source. Since around 1990 road transport has dominated air quality policy thinking on NO<sub>2</sub>. However, in densely populated urban environments that are reliant on natural gas, building heating may now be an effective sector to prioritise for future NO<sub>x</sub> emissions intervention, should further lowering of ambient NO<sub>2</sub> be a policy objective. With system-wide changes in the heat and power sector expected in the coming decades as a result of net zero pledges, we project that very low future urban concentrations of NO<sub>2</sub> are technically possible. The trajectory for urban NO<sub>2</sub> will however depend in large part on choices made around urban buildings and their associated infrastructure and whether combustion or electrification pathways are delivered as part of urban decarbonisation.

## 3.2 Introduction

Nitrogen oxides (or  $NO_x$ ) are one of the six criteria air pollutants that have a range of direct and indirect negative effects on human health.<sup>[1]</sup> In the urban environment,  $NO_x$  are formed via high-temperature combustion. The majority of the production occurs in what is commonly referred to as thermal  $NO_x$ .<sup>[2]</sup> This is when molecular nitrogen in the air is oxidised via the Zel'dovich mechanism in Eq. 3.1 - 3.3.<sup>[3]</sup>

$$N_2 + O \to NO + N \tag{3.1}$$

$$N + O_2 \to NO + O \tag{3.2}$$

$$N + OH \rightarrow NO + H$$
 (3.3)

The reaction is strongly influenced by temperature and the air-fuel ratio giving different combustion sources different NO<sub>x</sub> emissions rates. There are additional smaller fuel and prompt NO<sub>x</sub> sources (related to nitrogen within the combusted fuel). Typically, developed urban environments contain two main sources of NO<sub>x</sub>: road transport and heat and power generation. Road transport emissions vary by fuel type and are dominated by diesel vehicles. Heat and power generation emissions can be broken down into the domestic and commercial sectors. Here, emissions arise from the combustion of fuel (most commonly natural gas) in a boiler to heat water for supply to a central heating system and hot water taps. The difference between the two is the type of building that they heat and their size. Domestic boilers operate in residential homes and are small (typically < 50 kW). Commercial boilers heat commercial premises and are much larger (up to 50 MW) since they are required to heat larger spaces. In the past, it was generally assumed that the larger the boiler, the higher the operating

temperature, and thus the greater the  $NO_x$  emissions. However, 'ultra-low'  $NO_x$  burner technology for larger gas combustion appliances has been applied for at least a decade.<sup>[4]</sup>

Particularly in European countries, the fraction of diesel vehicles in the fleet has meant that road transport has been the dominant source of  $NO_x$  to urban areas for the past few decades.<sup>[5]</sup> As such, the sector has received the greatest amount of policy intervention. There have been continual increases in Euro Standard stringency for  $NO_x$  emissions and the number of traffic pollution and congestion charging zones is rising.<sup>[6,7]</sup> Heat and power generation emissions become more important the greater the population density. With an increasing percentage of the population expected to live in urban areas and an increasing global population, population density is expected to increase.<sup>[8]</sup> With this, and the progressing transition to electrically powered transport, it would be anticipated that urban  $NO_x$  emissions will at some point no longer be dominated by road transport. One question arising, however, is whether the reductions in transport emissions alone would be sufficient to achieve "safe" levels of  $NO_2$ , or whether emissions from other sectors would also require further abatement.

Eddy covariance is used in this study to directly quantify  $NO_x$  fluxes from the city centre; it is a measurement technique used for the top-down quantification of emissions. While its application for air pollutants in urban environments is challenging, an increasing number of studies are being reported.<sup>[9,10]</sup> Here, we build on previous research and present multi-year  $NO_x$  emissions measurements made in a megacity. Central London is an ideal location for the study of evolving urban  $NO_x$  sources due to a) the high population density resulting in historically high  $NO_x$  emissions and b) the proactive approach the local government has taken in reducing emissions from some sectors. London has had a congestion charging zone in place since 2003 and more recently implemented, then twice expanded, an ultra-low emissions zone (ULEZ). It has been previously reported that in 2021 road transport NO<sub>x</sub> emissions in the city centre had reduced by > 73 % since 2017, albeit influenced by COVID-19 lockdowns.<sup>[11]</sup> Here, we present evidence that road transport emissions have not returned to pre-pandemic levels and now represent a minor source in the city centre. Urban NO<sub>x</sub> emissions are now dominated by the heating sector.

## 3.3 Experimental

### 3.3.1 Measurement Site

Instruments for measuring fluxes of urban air pollutants and greenhouse gases are situated in a small lab atop the British Telecommunications Tower (BT Tower) located in central London, UK ( $51^{\circ}31'17.4$ "N,  $0^{\circ}8'20.04$ "W). The measurement height is 190 m above street level, with a mean building height of  $8.8 \pm 3.0$  m in the 10 km radius surrounding the tower.<sup>[12]</sup> The gas inlet and ultrasonic anemometer are attached to a mast that extends 3 m above the top of the tower. Air is pumped down a 45 m Teflon tube (3/8" OD) in a turbulent flow of 20-25 L min<sup>-1</sup> to the gas instruments, which are situated in a small air-conditioned room inside the tower on the 35th floor.

### 3.3.2 Instrumentation

Concentrations of nitrogen oxides (NO and  $NO_2$ ) were measured using a dual-channel chemiluminescence analyser (Air Quality Design Inc., Boulder Colorado, USA; 5 Hz). The number of photons measured by the photomultiplier tube was converted into a part per trillion (ppt) mixing ratio using a five-point calibration curve produced through dilutions of a 5 ppm NO in N<sub>2</sub> calibration standard (BOC Ltd., UK; traceable to the scale of the UK National Physical Laboratory, NPL) into  $NO_x$  free air.  $NO_2$  was calculated by conversion of  $NO_2$  into NO using a photolytic blue light converter (BLC). Here, both NO and  $NO_2$  were measured, from which  $NO_2$  can be

quantified by subtracting the NO mixing ratio and applying a correction factor for the conversion efficiency of the BLC. The instrument was calibrated every 37 hours in addition to an hourly zero measurement to subtract the temperature-dependent background signal of each channel.

Long-term measurements of  $CO_2$  have been ongoing at the BT Tower since 2011 as part of UKCEH's National Capability program. Dry mass fractions were measured using a cavity ringdown spectrometer (Model 1301-f, Picarro Inc., Santa Clara, California, USA; 1 Hz) as described by Helfter et al. [13].

Meteorological measurements were made at the BT Tower as described by Lane et al. [14]. Wind speed, wind direction and sonic temperature were measured using an ultrasonic anemometer (Gill R3-50, Gill Instruments, Lymington, UK; 20 Hz), along with pressure and relative humidity measurements using a weather station (WXT520, Vaisala Corp. Helsinki, Finland; 1 Hz).

## 3.3.3 Flux Calculations

Fluxes were calculated via continuous wavelet transformation as described by the convolution of a time series, x(t), with the complex conjugate of a wavelet function,  $\psi_{a,b}^*(t)$ 

$$W(a,b) = \int_{-\infty}^{\infty} x(t) \cdot \psi_{a,b}^{*}(t) dt$$
(3.4)

where W(a, b) is a matrix of the wavelet coefficients. The wavelet function can be written as

$$\psi_{a,b}^{*}(t) = \frac{1}{\sqrt{a}} \cdot \psi_0\left(\frac{t-b}{a}\right)$$
(3.5)

which features a mother wavelet,  $\psi_0$ , scaled by parameter a and translated by parameter b. The scale parameter acts to either dilate or compress the mother wavelet. The translation parameter temporally shifts the wavelet

throughout the time series. There are a number of different mother wavelets that could be used. In the field of atmospheric turbulence, the Morlet wavelet has been found to be appropriate and has been extensively used in the literature for calculating turbulent fluxes.<sup>[15]</sup> As such, we use the Morlet wavelet defined as:

$$\psi_0 = \pi^{-1/4} \cdot e^{-i\omega_0 \eta} \cdot e^{-\eta^2/2} \tag{3.6}$$

where  $\omega_0$  is the non-dimensional frequency given here as 6 for sufficient accuracy as previously done in the literature, and  $\eta = \frac{t-b}{a}$ .<sup>[16]</sup> For two simultaneously recorded time series x(t) and y(t), the covariance for a given averaging interval can be calculated from

$$\overline{x'y'} = \frac{\delta t}{C_{\delta}} \cdot \frac{\delta j}{N} \cdot \sum_{n=0}^{N-1} \sum_{j=0}^{J} \frac{\left[T_x(a,b) \cdot T_y^*(a,b)\right]}{a(j)}$$
(3.7)

using parameters

$$b_n = n\delta t \tag{3.8}$$

$$a_j = a_0 \cdot 2^{j\delta j} \tag{3.9}$$

Time domain scales are increased linearly at an increment of  $\delta t = 0.2s$  which is the resolution between measurement data points and frequency domain scales are discretised using exponential scales of fractional powers of two where j is an integer and v the number of voices per octave. The main factor in determining which v is used is computational power. In this work, a value of 8, or  $\delta j$  of 0.125 is used after consideration of the resources available.

CWT was performed across 24-hourly data files with a wavelet maximum scale of 1 hour. Fluxes were averaged to 1-minute resolution using a 5minute rolling averaging window. No cone of influence filter was applied as



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Figure 3.1: An example wavelet cross spectrum for 24 hours of  $NO_x$  data from the BT Tower. Yellow colours represent areas of high emission compared to blue colours of low emission. Shaded in black is the wavelet cone of influence.

previously discussed in the literature;<sup>[17]</sup> although performing CWT across 24-hourly data files places the greater uncertainty at night when minimal flux is measured (see Figure 3.1). All parameters used in the CWT processing are summarised in Table 3.1. CWT was chosen as the method of flux calculation to reduce previously reported high NO<sub>x</sub> flux data loss at the BT Tower due to non-stationarity.<sup>[11]</sup> CWT does not require stationarity as an assumption and thus removes this issue.<sup>[16]</sup>

In addition, fluxes were corrected for both high-frequency loss and vertical flux divergence. High-frequency loss resulting from the long sampling line, closed-path instrumentation and insufficient instrument response time was corrected by matching normalized co-spectra of wNO, wNO<sub>2</sub> and wCO<sub>2</sub> to those of wT (see example spectra in Figure 3.2). Corrections were of the order of 2 % for NO, 6 % for NO<sub>2</sub> and 16 % for CO<sub>2</sub>. Vertical flux divergence resulting from non-uniform turbulence properties in the boundary layer was accounted for using the correction presented in Drysdale et al. [18]. This assumes linear divergence of the vertical flux as a function of ef-

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						centra	al Lond	on

Parameter	Value	
$\delta t$	0.2 s	
Zero padding	True	
$\delta j$	0.125	
$J_{max}$	110	
Mother wavelet	Morlet	
COI filter	No filter	
Wavelet maximum scale	60 minutes	
Averaging period	1 minute	
Averaging window	5 minutes	

Table 3.1: Continuous wavelet transformation parameters used in eddy4R

fective measurement height and effective entrainment height. Here, hourly ERA5 modelled boundary layer height was used in addition to the measurement height of 190 m to produce an hourly correction.<sup>[19]</sup> This resulted in an average correction of 30 % per data point, although this was weighted to the nighttime data points when fluxes and boundary layer height were lowest. Fluxes were filtered such that sufficient turbulence was developed (u\* > 0.2), flux was greater than the limit of detection, and the measurement height was less than the entrainment height. Other potential uncertainties, particularly with reactive species such as NO<sub>x</sub>, arise from chemical loss during transport to the measurement height. Previously conducted tracer experiments and calculations for the BT Tower site have estimated this as a typical 2 % loss rate, increasing up to a maximum of 11 % during stable atmospheric conditions.<sup>[11,20]</sup> No correction for chemical loss is applied here.

Fluxes were calculated using the eddy4R ecosystem within Docker.<sup>[21]</sup> Containers were run on the LOTUS Cluster within JASMIN, the UK's National Environmental Research Council's (NERC) supercomputer.





Figure 3.2: Normalised cospectra and their cumulative flux contribution by frequency for NO, NO<sub>2</sub>, CO<sub>2</sub> and heat flux. Frequency is of  $log_{10}$  units.

## 3.3.4 Footprint Modelling

A parameterised version of the backward Lagrangian stochastic particle dispersion model implemented in eddy4R was used to estimate the footprint for each hourly flux measurement at the BT Tower. The model is described by Kljun et al. [22] and has been parameterised for a range of meteorological conditions and receptor heights to reduce the computational expense of running it. The original model aims to produce a cross-wind integrated footprint function as a function of its along-wind distance, which has now been further extended into two dimensions using a Gaussian distribution driven by the standard deviation in the cross-wind component.<sup>[23,24]</sup> Meteorology statistics from the eddy covariance calculations are used in combination with modelled boundary layer height from ERA5,<sup>[19]</sup> and a surface roughness length of 1.1 m to produce a weighted matrix of 100 m x 100 m grid cells. Each output weighted matrix was then scaled and aligned to the World Geodetic coordinate reference system.

#### 3.3.5 Emissions inventories

The UK's annual National Atmospheric Emissions Inventory (NAEI) defines greenhouse gas and air pollutant emissions spatially (1 km x 1 km) by emissions source and sector.<sup>[25]</sup> The city of London has the additional London Atmospheric Emissions Inventory (LAEI) which largely uses the same methodology as the NAEI but with additional London-specific information.<sup>[26]</sup> The LAEI was produced for 2013, 2016 and 2019, and provides recent projections for emissions in 2025 and 2030. In these analyses, we study the LAEI for our specific measurement footprint. This is done by extracting the spatial inventory values using the footprint-weighted grid cells.

## 3.3.6 Additional data on emissions drivers

## 3.3.6.1 Traffic

Hourly traffic loads surrounding the BT Tower were calculated by summing the traffic load from each of the 24 Automatic Traffic Counters (ATCs) within the flux footprint. Data was provided by the Operational Analysis Department, Transport for London (TFL) via a freedom of information request.<sup>[27]</sup>

## 3.3.6.2 Natural gas usage

Natural gas demand for the North Thames (NT) local distribution zone (LDZ) (Demand, actual daily metered, LDZ (NT), D+1) which London resides in was collated from.<sup>[28]</sup> This data was available at a maximum temporal resolution of 1 day. Data for the diel use of natural gas in heating systems and their operating hours is scarce. However, access to utility meters within University College London, which is a key site within the measurement footprint, has been provided by their sustainability team. Data for a number of office buildings for the whole of 2022 was averaged and used in this study.

## 3.3.6.3 Building types

The 3DStock model was used to identify building use sectors within the BT Tower footprint. 3DStock is a method for modelling all buildings – domestic, non-domestic and mixed-use – in a locality, in three dimensions and located geographically.<sup>[29]</sup> The model was developed and is maintained by University College London.

## 3.3.6.4 Large heat sources

Consumption data for the production of heat for large buildings in central London was collated as part of the Decentralised Energy Master planning program (DEMaP) for the Mayor of London and used in this study.<sup>[30]</sup>

## 3.4 **Results and Discussion**

## 3.4.1 Long-term flux time series

Three years of continuous NO<sub>x</sub> flux data (in addition to two shorter term campaigns discussed by Lee et al. [12] and Drysdale et al. [18]) has now been collated from the BT Tower site. At the time of writing, this is the only long-term flux data set for  $NO_x$  in a megacity to our knowledge. The first year of flux data (Sept. 2020 - Sept. 2021) was heavily influenced by COVID-19 restrictions and has already been discussed in the literature.<sup>[11]</sup> Presented here are the subsequent two years of data (Sept. 2021 - Sept 2023) measured after the date on which all restrictions in the UK were lifted. Unlike the previous studies at the BT Tower, fluxes calculated here utilised wavelet-based processing rather than traditional eddy covariance methodology. CWT is typically only used in the calculation of airborne fluxes due to the requirement for higher time resolution outputs. The computational demand of the calculations means its use for tower-based studies is uncommon. However, CWT methodology negates the requirement for a stationarity filter,<sup>[16]</sup> for which NO<sub>x</sub> fluxes at the BT Tower regularly fail.<sup>[11]</sup> Indeed, the transition from traditional to wavelet-based processing resulted in a 29 % increase (82 vs 53 %) in data coverage whilst maintaining an excellent agreement between the two methods (See Figure 3.3).

## 3.4.2 Temporal trends

In central London,  $NO_x$  and  $CO_2$  share the same two major sources; these are road transport and fossil fuel combustion for space heating. The LAEI estimates that these two sectors make up > 91 % and > 95 % of  $NO_x$  and  $CO_2$  emissions respectively. Comparing the emissions of both species is



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Figure 3.3: Traditional hourly eddy covariance flux compared with hourly averaged wavelet flux for  $NO_x$  and  $CO_2$ . The solid line represents the 1:1 ratio between the two methods and the dashed line the linear regression. Regression statistics are detailed in the bottom right of each facet.

often complementary and can provide insight into which sectors are dominant. Monthly and diel trends for  $NO_x$  and  $CO_2$  flux are presented in Figure 3.4 along with the road transport (traffic flow) and energy consumption (natural gas combustion) data for the measurement footprint area in central London. Monthly variability of  $NO_x$  and  $CO_2$  flux track each other closely. A similar winter maximum and summer minimum are seen in natural gas usage. Here, ambient temperature is the main driver with reduced combustion required to heat buildings during warmer months. This is in contrast to traffic flow which remains high throughout the year. The observations for  $CO_2$  are unsurprising; it is well established that the heat and power generation sector dominates  $CO_2$  emissions in European cities. The LAEI currently attributes around 80 % of  $CO_2$  within our flux measurement footprint to the heat and power sector. By contrast,  $NO_x$  emissions in urban environments have been overwhelmingly dominated by road transport emissions for the past few decades. This has been demonstrated as recently as 2017 for cen-

tral London.<sup>[18,31]</sup>

#### 3.4.3 Emission ratios

An emission ratio of pollutant-to- $CO_2$  is a useful metric in source apportionment, particularly here for  $NO_x$  since both species have the same two major sources. Due to the nature of the combustion processes that fuel these sources, in which differing temperature ranges/fuel types are present, the emitted  $NO_x/CO_2$  ratio is distinct for each. The measured flux ratio at any given time therefore corresponds to the combination of the ratios of each given source, and their relative contributions. Therefore, the  $NO_x/CO_2$  ratio diel profile can be used to generate some insight into which source dominates and at what times.

The  $NO_x/CO_2$  emission ratios for each of the sources were taken from the LAEI and are discussed below. Emissions of CO<sub>2</sub> typically have a low uncertainty due to generally accurate national greenhouse gas emissions reporting. This has resulted in well-established emission factors from combustion applications and fuel activity statistics.<sup>[32]</sup> Emissions inventory estimates have been shown to agree well with flux measurements in London previously (Helfter et al. [13]) and later in this study (see Section 3.4.6). Although often unquantified, NO<sub>x</sub> emissions have a higher uncertainty due to the variable role of different emissions control technologies. This level of uncertainty varies for different sectors. Traffic NO<sub>x</sub> emissions have received extensive attention in recent years due to previous inventory inaccuracies arising from the underrepresentation of diesel vehicle emissions under real-world driving conditions. Many real-world remote sensing measurements have been conducted which help verify and improve the emissions inventories.<sup>[33,34]</sup> For London specifically, the Breathe London campaign (2018-2019) reported  $NO_x/CO_2$  emission factors for road transport in good agreement with those in the LAEI.<sup>[35]</sup> Emission factors for 2017 also



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Figure 3.4: Median average monthly and diel profiles for  $NO_x$  flux,  $CO_2$  flux, traffic flow and natural gas usage (2021-2023). The gas usage monthly profile uses data from the North Thames LDZ which includes central London consumption. Gas usage data has a maximum resolution of 24 hours, so the gas usage diel profile from UCL building utility meters (2022) discussed in Section 3.3.6.2, available at 1-hour resolution, is used as a representative commercial daily profile of consumption. Shaded regions represent the median absolute deviation of the measurements.

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Figure 3.5: Median average  $NO_x/CO_2$  emission ratio diel profiles at the BT tower in 2017 and 2021-23. The shaded region represents the median absolute deviation. Horizontal coloured lines are the sector emission ratios described in Table 3.2.
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Year	Traffic	Residential	Commercial
2017	$4.2 \times 10^{-3}$	0.25x10 <sup>-3</sup>	1.3x10 <sup>-3</sup>
2021-23	1.6x10 <sup>-3</sup>	0.25x10 <sup>-3</sup>	0.84x10 <sup>-3</sup>

Table 3.2:  $NO_x/CO_2$  emission ratios for different source sectors and year.

agree well with those estimated from flux measurements made in Innsbruck during the same year; which at the time was a European city with a similar fleet fuel-type composition as London.<sup>[36]</sup> The ULEZ expansion since the Breathe London measurements will have reduced the emission factor further and is more likely now representative of that estimated in the 2025 LAEI. Domestic combustion emission factors have received some real-world verification in London and boiler age is considered in their calculation.<sup>[26,37]</sup> They also agree well with those estimated by Karl et al. [36]. On the other hand, commercial combustion has received little attention and has no realworld verification. Emissions factors used in the construction of the UK's emissions inventories (both the NAEI and the LAEI) are taken from European EMEP/EEA guidance based in turn on somewhat outdated reference materials (Italian Ministry for the Environment, 2005).<sup>[4]</sup> In the LAEI, an emission factor of  $1.3 \times 10^{-3}$  is given. However, this does not account for recent legislation in the UK which limits NO<sub>x</sub> emissions from commercial boilers. The Ecodesign Directive (No 813/2013) limited all new natural gas boilers  $\leq 400$  kW to a NO<sub>x</sub> emission level of 56 mg kW<sup>-1</sup> (an emission ratio corresponding to approximately  $0.23 \times 10^{-3}$ ) from September 2018.<sup>[38]</sup> Similarly, the 2018 Medium Combustion Plant (MCP) Directive limited plants  $\geq$  1 MW and  $\leq$  50 MW to 100 mg  $NM^{-3}$  of gas (an emission ratio corresponding to approximately  $0.041 \times 10^{-3}$ ).<sup>[39]</sup>

The distribution of large boilers surrounding the BT Tower is shown in Figure 3.6. Fractions of total commercial heating (number of boilers multiplied by their size) for each boiler size group referred to in the legislation were extracted using the footprint model. The majority (71.4 %) are covered

by the Ecodesign Directive, with a smaller number by the MCP Directive (12.1 %) and some compliant with no Directive (16.5 %). We therefore use this distribution, the new Directive limits and an estimated boiler lifetime of 10 years (or fleet turnover of 50 % since 2018, to estimate an updated commercial combustion  $NO_x/CO_2$  emission ratio of  $0.85 \times 10^{-3}$ , or an overestimation of 53 %. All emissions ratios used in the following analysis are presented in Table 3.2, and highlighted in relation to the measured data in Figure 3.5.



Figure 3.6: A map of large boilers in the area surrounding the BT Tower coloured by building type and sized based on fuel consumption in mWh yr<sup>-1</sup>. Data obtained from London heat source data as collated during the Decentralised Energy Master Planning program (DEMaP) for the Mayor of London.

A useful example to initially discuss is the  $NO_x/CO_2$  flux diel profile in Figure 3.5 for 2017 flux data. The measured ratio is high during the day and close to a ratio indicative of 100 % of  $NO_x$  emissions coming from traffic. Even at night, the ratio remains high due to the relatively high background traffic counts that central London experiences. This is as expected

and corroborates previous measurements which assign traffic as the overwhelmingly dominant source in central London.<sup>[18,31]</sup>

The situation changes however by the years 2021-23 (note the different y-axis scales). At night, the measured ratio indicates a dominant residential heating sector for  $NO_x$ . Despite traffic emissions having a lower ratio in 2021, that ratio is still around  $6 \times$  that of residential combustion and thus highly distinguishable. Given that traffic flow remains relatively high during these hours, this suggests traffic has become a more minor NO<sub>x</sub> source relative to heating. This is further supported by the diel profile now tracking typical commercial heating schedules as seen in Figure 3.4. Seasonal average emission ratios of  $0.74 \times 10^{-3}$ ,  $0.86 \times 10^{-3}$ ,  $0.77 \times 10^{-3}$ ,  $0.64 \times 10^{-3}$  for spring, summer, autumn and winter respectively are consistent with natural gas usage driving the measured ratio. A higher proportion of gas usage in the cooler seasons results in more emissions from the heating sector and a lower measured ratio. These observations, including the monthly trends, are plausible given that emissions of NO<sub>x</sub> from road transport within the measurement footprint are estimated to have reduced by 73 - 100 % since 2017.<sup>[11]</sup> Although these figures relate to measurements made during periods of COVID-19 restrictions, there has been a minimal increase in flux (see Figure 3.7) after restrictions were lifted in 2021. As such, it is suggestive that the reduction of traffic NO<sub>x</sub> emissions may derive from some combination of the ULEZ effects, natural fleet turnover to better-performing vehicles, and a permanent change in commuting behaviour post-COVID-19.

The measured ratio during the day can be explained by a dominant commercial heating source with small contributions from traffic and residential combustion. However, the low emission ratio measured at night means that this commercial source must also have low activity during these hours. In general, heat use in buildings is expected to track building occupancy, although data availability in this area is scarce. Commercially occupied buildings, in particular offices and shops (as opposed to health facilities and some

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Figure 3.7: Diel comparison of  $NO_x$  flux for the different measurement years. The dates for each profile are one full year of data from the start date of September 2020.

forms of hospitality) have very low or no occupancy at night and high occupancy during typical working hours. As such, they would fit the profile described. Indeed, this is supported by the diel gas usage in UCL office buildings presented in Figure 3.4, and energy modelling in Johnston et al. [40]. A high density of commercial boilers is present in the measurement footprint (see Figure 3.6).

#### 3.4.4 Building distribution by sector

The 3DStock building model was used to quantify the dominant building types within the measurement footprint. 3DStock is described in Section 3.3.6.3 and provides building use classification for floor space within each unique property reference number. Data from 3DStock are presented in Figure 3.8. To obtain a distribution representative of the measurement footprint, buildings were weighted by their location within the footprintweighted contribution grid cells. This ensured that geographic areas sampled a greater proportion of the time were weighted appropriately. It was

calculated that 80 % of the weighted building floor space within the footprint was commercial-dominated with 20 % attributed to pure domestic. Table 3.3 presents the commercial building use activity distribution by floor space from this analysis. Offices dominate commercial buildings within the footprint at 62 %, with additional contributions by unclassified commercial buildings and shops at 18 % and 14 % respectively. Therefore, building heat schedules for the majority of building floor space are proposed to follow a diel variation similar to that seen in the measured ratio. This supports a dominant commercial heating source of NO<sub>x</sub>.

Table 3.3: CaRB2 classification distribution of commercial building floorspace weighted by location within the footprint.

Building use category	Percentage of commercial floor space in footprint (%)
Education	0.75
Factory	0.57
Health	1.02
Hospitality	1.84
Office	61.97
Other	0.97
Shop	14.29
Unclassified commercial	18.26
Warehouse	0.33

### 3.4.5 Quantification of the dominant source of NO<sub>x</sub> emissions

The measured ratio between 10:00 and 14:00 (which is when peak NO<sub>x</sub> emissions occur) can be simultaneously solved to calculate the relative contribution of different sectors to NO<sub>x</sub> emissions, provided only two sectors are considered. An overall heating sector emissions ratio (commercial + domestic) of  $0.73 \times 10^{-3}$  was calculated for these hours using the 20:80 split of



Figure 3.8: Building type distribution for CaRB2 classification in the area surrounding the BT Tower. Data was obtained from the London Building Stock Model (LBSM). Unclassified is unclassified commercial premises.

domestic to commercial floor space within the footprint (see Section 3.4.4) and the domestic and commercial emission ratios. This split agrees well with activity factors for domestic and commercial gas usage in the LAEI (23:77). We note that during the day this is likely to be a lower bound since domestic combustion can be higher in the morning and evening. From this, and the road transport emission ratio, we estimate that  $76 \pm 17\%$  of NO<sub>x</sub> emissions occurring during the day now arise from gas combustion within boilers for hot water and space heating. Here, the uncertainty is given as the standard error based on the monthly variation in the measured ratio. This is a substantial change in the dominant source from only five years previous and, as far as we know, the first observations of such in a city globally. The cause of this is a combination of effects that include active traffic management policies in central London and an ever-improving vehicle fleet with lower tailpipe NO<sub>x</sub> emissions.

#### 3.4.6 Emissions inventory comparison

In Section 3.4.3, we estimated that NO<sub>x</sub> emissions for commercial combustion are likely overestimated by 53 % in the LAEI emissions inventory due to outdated emission factors. This is further verified when comparing measured vs inventory bulk annual emissions. Figure 3.9 compares emissions for 2022 vs. the different LAEI years. Here, measured values are calculated from the average measured flux in a day multiplied by the number of days in a year, to deal with small amounts of data loss. While top-down flux estimated  $CO_2$  agrees well with the inventory bottom-up estimate, there appears to be an overestimation in the inventory NO<sub>x</sub> emissions. The 2025 LAEI attributes an even larger proportion (78 %) of NO<sub>x</sub> to the heating sector than seen in these measurements. If the updated commercial emission factor proposed here is used, a much better agreement for total NO<sub>x</sub> emissions is achieved between measurements and inventory. The commercial

proportion is brought down to 70% which is well within the uncertainty of these measurements.



Figure 3.9: Comparison of measured and LAEI estimated annual emissions for  $NO_x$  and  $CO_2$  for the measurement footprint. The LAEI emissions are split by sector and the measured data is for 2022.

The wider national impact of the apparent inventory  $NO_x$  overestimate from commercial buildings is however likely small. Commercial combustion is a relatively minor source when considering the whole of the UK (around 2 % of total UK  $NO_x$  emissions), where traffic still substantially dominates. Since  $NO_x$  from commercial heating will be lower than is currently reported, this may help modestly in supporting the UK in achieving international emissions ceilings set under CLRTAP. However, in city centres where commercial combustion becomes more of an important source, these effects are more significant, particularly when inventories are used to support the modelling of future  $NO_2$  concentrations. Nevertheless, concentration modelling typically uses background concentration measurement sites to calibrate the dispersion model,<sup>[41]</sup> so inventory inaccuracies may already to a degree be accounted for. Since the LAEI/NAEI follow EMEP/EEA guidance, this will likely impact most European inventories that report commer-

cial heating NO<sub>x</sub>.

#### 3.4.7 A look into the future

Despite the large reductions in road transport  $NO_x$  emissions, all air quality sites in central London still exceed the 2021 WHO Air Quality Guidelines for NO<sub>2</sub> in 2022, although most do now meet national limit values which are higher. Time series concentration data for the two urban background monitoring sites within the BT Tower measurement footprint in London are shown in Figure 3.10. Current NO<sub>2</sub> concentrations at the sites are  $2.5 \times$ that of the 2021 WHO guideline. Looking to the future, it is anticipated that the commercial heating sector will see a further drop in NO<sub>x</sub> emissions as the boiler fleet transitions to one that fully complies with the 2018 Ecodesign and MCP Directives. Assuming a full boiler fleet transition to the new regulations by 2028 (due to the boiler lifetime of 10 years), a further 35 % reduction in commercial combustion NO<sub>x</sub> from 2018 levels is expected. The magnitude of this reduction is shown as a red arrow with the time period highlighted as a green box, where total  $NO_x$  emissions are reduced by 21 % due to commercial combustion only making up 61 % of the emissions. Meeting 2018 Ecodesign and MCP Directives for all heating in the footprint would be insufficient to achieve 2021 WHO air quality guidelines for NO<sub>2</sub>, including if road transport  $NO_x$  emissions were reduced to zero (a further 6.3  $\mu g m^{-3}$ ).

The UK Government has committed to overall Net-Zero greenhouse gas emissions by 2050, and this has profound long-term implications for the road transport and the heating sectors in which both will transition away from fossil fuel combustion as their primary energy source. The UK Climate Change Committee has a number of projections for how each sector may be decarbonised.<sup>[42]</sup> Figure 3.11 shows the balanced projection for the non-residential buildings sector as an example. While reductions would be





Figure 3.10: AURN NO<sub>2</sub> concentration data for the two urban background sites within the BT Tower footprint. The cross displays the average concentration of the two sites in 2022 which acts as a baseline scenario. The red arrow represents the reduction in concentration that would solely occur from full compliance with 2018 boiler emission directives, and the green box highlights the time period in which this is expected to occur. The dashed line highlights the baseline concentration used for the 2035 and 2050 projections. Other shapes are a result of emissions changes from CCC scenarios as described in the text, with the blue arrow spanning their magnitude.



Chapter 3. Commercial space heating is the largest source of NO<sub>x</sub> in central London

Figure 3.11: The UK's Climate Change Committee (CCC)  $CO_2$  abatement pathways for non-residential buildings to achieve net zero carbon emissions by 2050. Data is taken from The Climate Change Committee [42].

expected in heat demand due to improved energy efficiency of buildings and behaviour change, there would still be  $NO_x$  emissions occurring should "low-carbon" fuels be combusted. The relevant sectors here where combustion might be retained include building scale heat, low-carbon district heating schemes and residual point-of-use boilers. Combustion of Hydrogen, solid biomass or bio-methane for heating may be acceptable from a carbon budget standpoint (provided they are produced in a low-carbon way), however, all would lead to continued emissions of  $NO_x$  in urban centres unless abated. Therefore, the degree to which combustion is used to heat buildings compared to full electrification (direct or via heat pumps) will play a defining role in setting future urban  $NO_2$  concentrations.

Future concentrations at the London urban background sites based on CCC scenarios are estimated for 2035 and 2050 in Figure 3.10. These projections all assume that the urban background concentrations of  $NO_2$  will decrease linearly with emissions of  $NO_x$  in the central area, and that there is a full compliance with the 2018 boiler regulations by 2035 (dashed line).

They have been calculated based on the relative proportions of the heating and transport sectors calculated in this study, and changes in fuel source detailed in the CCC scenario relative to the 2020 baseline. For residential and non-residential buildings,  $NO_x$  emissions are scaled linearly with projected H<sub>2</sub> and natural gas usage in the sector, assuming no further changes are made to boiler  $NO_x$  emissions regulations post-2018, or the requirement for after-treatment systems (e.g. SCR) on H<sub>2</sub> of bio-gas fueled boilers. Similarly, for the road transport sector, emissions changes have been calculated based on reductions in petroleum demand.

Very low urban concentrations (below 2021 WHO guidelines) for NO<sub>2</sub> are possible for 2035 and beyond, even in the net zero "headwind" scenarios where there is a higher uptake of  $H_2$  boilers. This is largely dependent however on the successful delivery of a very high proportion of space heating from heat pumps, a key assumption in all scenarios. Should some substantial fraction of heating be retained instead using combustion appliances, either fossil fuels or low carbon fuels, then the attainment of 2021 WHO guidelines for NO<sub>2</sub> (for these selected locations) seems unlikely, even with a full electric road transport fleet.

#### 3.5 Conclusions

This work presents a unique long-term  $NO_x$  and  $CO_2$  flux data set in a large modern megacity. Long-term measurements have shown that a transition from road transport-dominated to commercial-heating-dominated  $NO_x$  emissions occurred in central London after 2020. This is likely a result of policies implemented at the city level that focused on traffic pollution and congestion charging, and natural technological evolution towards cleaner vehicles. It is notable however that should the revised 2021 WHO guidelines for annual average concentrations of  $NO_2$  be an aspiration, then much of London continues to experience concentrations above that recommendation. This

research indicates that in the central area of London 76 ± 17 % of NO<sub>x</sub> emissions arise from building heating and that road transport is no longer the largest source. Assuming a full replacement of all older commercial boilers that do not meet the 2018 Ecodesign and MCP Directive limits, NO<sub>2</sub> concentrations in two representative background locations in the central area would be 21 % lower than in 2023. However, this would still be in excess of the 2021 WHO recommended annual average concentration of 10  $\mu$ g m<sup>-3</sup>.

Pathways and timelines to lower NO<sub>2</sub> concentrations in London in the 2030s and beyond will now depend on a successful transition to cleaner forms of building heating. The type of technology replacing natural gas boilers in the transition to net zero greenhouse gas emissions will play a central role in this. Using projections from the UK Committee on Climate Change, under all scenarios future central London NO<sub>2</sub> concentrations below 10  $\mu$ g m<sup>-3</sup> do appear feasible, although this is critically dependent on a high fraction of heating (assumed in all CCC scenarios) being delivered by heat pumps. The rate at which heat pumps replace gas boilers in London may become a controlling factor in further lowering NO<sub>2</sub> in the city centre. Recent reports suggest the UK is behind on most net zero targets, including the installation of heat pumps for building heat.<sup>[43]</sup> Should instead some fraction of central London space heating be supplied using combustion sources, for example burning hydrogen, bio-methane of solid biomass, all processes that emit NO<sub>x</sub>, then central London NO<sub>2</sub> would inevitably be higher.

Finally, this research highlights that  $NO_x$  emission factors applied to commercial combustion within the UK's emissions inventories, taken from EMEP/EEA guidance, may need updating. A value 35 % lower than the guidebook reference, or a  $NO_x$ :  $CO_2$  emission ratio of  $0.85 \times 10^{-3}$ , is suggested from this work based on top-down measurement. However, this should be further verified using appliance-level real-world verification, as has been recently undertaken with domestic boilers.

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### Chapter 4

# Unreported VOC emissions from road transport including from electric vehicles



#### 4.1 Abstract

There are widespread policy assumptions that the phase-out of gasoline and diesel internal combustion engines will over time lead to much reduced emissions of Volatile Organic Compounds (VOCs) from road transport and related fuels. However, the use of real-world emissions measurements from a new mobile air quality monitoring station demonstrated a large underestimation of alcohol-based species in road transport emissions inventories. Scaling of industry sales statistics enabled the discrepancy to be attributed to the use of ancillary solvent products such as screenwash and deicer which are not included in internationally applied vehicle emission methodologies. A fleet average non-fuel non-exhaust VOC emission factor of  $58 \pm 39$  mg veh<sup>-1</sup> km<sup>-1</sup> was calculated for the missing source, which is greater than the total of all VOCs emitted from vehicle exhausts and their associated evaporative fuel losses. These emissions are independent of the vehicle energy/propulsion system and therefore applicable to all road vehicle types including those with battery-electric powertrains. In contrast to predictions, vehicle VOC emissions may actually increase given a predicted growth in total vehicle kilometers driven in a future electrified fleet and will undergo a complete VOC respeciation due to the source change.

**Synopsis**: Measurements show that screenwash application dominates vehicular VOC emissions and is missing from road transport inventories.

### 4.2 Introduction

Road traffic has long been an important source of air pollution to urban environments both directly, and indirectly, contributing to five classes of major air pollutants (NO<sub>x</sub>, particulate matter (PM), O<sub>3</sub>, VOCs and CO). However, with increasingly stringent emissions legislation and continued uptake

of air pollution abatement strategies, the dominant sources are changing.<sup>[1]</sup> A wealth of research has been dedicated to understanding non-exhaust PM (e.g. brake and tyre wear), since these are sources that will be present despite fleet electrification.<sup>[2]</sup> However, very little consideration has been given to non-exhaust VOC emissions.

There have been large decreases in both emissions and some concentrations of VOCs since the mid-1990s in Europe and North America following the universal implementation of the three-way catalytic converter (exhaust control) and the carbon canister (evaporative control).<sup>[3]</sup> Light duty vehicle regulated emissions standards for NMHC + NO<sub>x</sub> have decreased by 97% in the US (Tier 1-3) and by 80-85% in the EU (Euro 1-6),<sup>[4]</sup> with further improvement planned.

VOC emissions are unusual compared to other gaseous air pollutants because they are a summed group of thousands of different compounds rather than a single chemical. Different VOC species play different roles in atmospheric chemistry depending on their reactivity and functionality. For example, short chain alkenes have a low secondary organic aerosol formation potential, but a high ozone formation potential resulting from their high reactivity with the hydroxyl radical. Aromatic species exhibit different properties being both precursors to ozone and particulate matter.<sup>[5]</sup> To fully understand atmospheric and potential health implications of VOCs, it is not sufficient to solely monitor the change in total VOC burden to the atmosphere, but also to accurately determine the change in composition.

Typically, only a small number of VOC species are monitored routinely, and many oxygenated VOCs are not measured at all despite comprising an increasing fraction of emissions.<sup>[1]</sup> In the UK only 4 of the 10 most abundant VOCs are now being measured by national air quality monitoring networks.<sup>[6]</sup> Current observations in Europe focus on those VOCs that are distinctive of fossil fuels and combustion and have convincedly tracked the downward trends in concentrations related to gasoline vehicles (Figure 4.1).





Figure 4.1: Time series benzene, m/p-xylene, toluene and trimethylbenzene at the Marylebone road, central London air quality monitoring station.

Policy projections for the future of VOC emissions in high income countries show downward trends in VOC emissions as older vehicles leave the fleet to be replaced in the medium to long term by electric vehicles. It appears intuitive that vehicles without fuels and combustion will be VOC-free in terms of their operating emissions.

The United Kingdom has a detailed National Atmospheric Emissions Inventory (NAEI) for VOCs which is constructed bottom up, often using industry supplied sales statistics for solvent containing products. It is speciated into more than 600 different individual VOCs. A curious observation from that inventory is that there are industry reported classes of solventcontaining products, labelled as 'car care', that appear to give rise to a larger mass of emissions than that from fuel evaporation and tailpipe exhaust.<sup>[7]</sup>

A large fraction of this is thought to be the application of screenwash which contains VOCs in the form of alcohol content for their antifreeze properties. Screenwash has in the past received some attention and consideration, in particular in the US, as an important source of air pollution. California, for example, limits their summer formula to 1% VOC content with only certain areas allowed to sell winter blends up to 25% VOC content.<sup>[8]</sup> This group of products, at least in the UK NAEI, appears to contribute 6% of all UK VOC emissions. However, only a very small number of countries, namely the Netherlands and parts of Scandinavia, produce inventories with this degree of speciation and product granularity.<sup>[9]</sup> Moreover, the presence of this apparently large VOC source has never been experimentally verified in the field. Here we utilise a new mobile measurement platform to calculate realworld road transport VOC emission factors via a roadside increment-type analysis in Manchester, UK. This methodology has previously been used for studies of non-exhaust particulate matter emissions,<sup>[10]</sup> and accommodated the quantification of non-fuel related VOC emissions at the roadside. We compare measurements to inventory estimated emissions and outline the potential implications of the findings on future emissions scenarios, policy, and atmospheric chemistry.

#### 4.3 Materials and Methods

#### 4.3.1 Measurement locations

The locations of the two measurement sites are shown in Figure 4.2. The roadside site was situated on Upper Brook Street (53°27'59.9"N, 2°13'44.9"W), which is a key arterial road for transport into and out of Central Manchester and at the location of the roadside site consisted of four lanes. The Manchester Air Quality Supersite (MAQS) is located at the Firs Botanical Gardens (53°26'38.9"N, 2°12'51.1"W) on the University of Manchester Fallowfield

Campus, and is representative of urban background air. Two, three-week measurement periods took place during the Observation System for Clean Air project (OSCA) in July 2021 and February 2022.



Figure 4.2: Left: Locations of the roadside and urban background measurement sites (black dots) and Upper Brook Street (red line) in Manchester, UK. Map reproduced with permission in R using data from © Open-StreetMap contributors, available under the Open Database License. Right: A schematic of the WASP instrumentation configuration during OSCA. At the top is a side profile with each piece of kit numbered and labeled below. Below is a back profile of the black instrument rack **10**.

#### 4.3.2 Instrumentation

The WACL Air Sampling Platform (WASP) was deployed as the roadside measurement site. The WASP has been previously described in detail,<sup>[11]</sup> with an updated suite of instrumentation presented in Figure 4.2. The Manchester Air Quality Supersite (MAQS) used as the urban background site carries out long-term measurements of gases, aerosols, and meteorol-

ogy.<sup>[12–14]</sup> The instrumentation used to measure each atmospheric species is described below.

#### 4.3.2.1 VOCs

At the roadside, a Voice 200 Ultra Selected-Ion Flow Tube Mass Spectrometer (SIFT-MS) (Syft Technologies Ltd., New Zealand) was used to quantify VOC mixing ratios. The theory of operation is described in detail elsewhere in the literature,<sup>[15]</sup> with the instrument being operated using a flow tube pressure of 460 mTorr. Sampling from an in-house built palladium alumina-based zero air generator assessed the instrument background for five minutes of each hour. The five-minute average background mixing ratio was subtracted from the ambient mixing ratio measurements of the corresponding hour. Sensitivities for the compounds detected by SIFT-MS were determined every 3 days from automated multi-point calibrations performed using an in-house developed dilution unit. This used a 1 ppm gravimetrically prepared standard of different VOCs in ultrahigh purity nitrogen (National Physics Laboratory, UK) diluted with ambient humidity zero air.

At the background MAQS, VOCs were measured using Thermal Desorption-Gas Chromatography coupled with Flame Ionisation Detection (TD-GC-FID) (Agilent Technologies Inc., USA). Air was drawn down a 2 m 1/4" Silonite (Entech Instruments Inc., USA) coated heated stainless steel tube at 20-30 slpm using a MB-158 metal bellows compressor (Senior Aerospace BWT., UK) and back pressure regulated to maintain a 25 sccm sampling flow through a custom built pre-concentration unit. Calibration gas was provided from a working standard cylinder comprising a sample of NMVOCs (material number: 177664-AL-HC, BOC Special Gases) diluted to 1.2 ppb per component in purified nitrogen (cylinder number: D035781, Air Liquide S.A., France), linked to an NPL30 primary calibration standard (National Physical Laboratory, UK). VOC free blanks were created from compressed air, through a bed of 1 % Pt on Alumina beads at 375 °C. Air, cal-

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ibration and blanks were de-humidified with a -40 °C water trap and 500 mL samples were pre-concentrated on a multi-bed sorbent trap at around -120 °C, flow controlled by a downstream MC mass flow controller (Alicat Scientific Inc., USA). After removal of CO<sub>2</sub> and other permanent gases at -80 °C, the preconcentration trap was desorbed and refocused before final desorption in a H<sub>2</sub> carrier gas flow onto a VF-WAX-MS GC column (60 m, 0.25 mm I.D. 150  $\mu$ m film, Agilent Technologies Inc., USA). Analytes not retained on the WAX column (C2-C6 aliphatics) were diverted by Deans Switch and separated on a PLOT column (A1<sub>2</sub>O<sub>3</sub>/Na<sub>2</sub>SO<sub>4</sub> 50 m, 0.32 mm I.D. 5  $\mu$ m film, Agilent Technologies Inc., USA). Oxygenates, > C6 aliphatic, and aromatics were not diverted and analytes eluting from each column were detected by flame ionisation detectors.

#### 4.3.2.2 Nitrogen Oxides

 $NO_x$  (NO + NO<sub>2</sub>) was measured at the roadside using the Iterative CAvity enhanced Differential optical absorption spectroscopy system (ICAD) (Enviro Technology Services Ltd., UK).<sup>[16]</sup> Urban background NO<sub>x</sub> was calculated via the sum of two separate measurements of NO and NO<sub>2</sub>. NO was measured using a Thermo 42i- (Thermo Fisher Scientific Inc., USA), and NO<sub>2</sub> using a T500U Cavity Attenuated Phase Shift (CAPS) analyser (Teledyne API., USA).

#### 4.3.2.3 Carbon Dioxide

An Ultra-portable Greenhouse Gas Analyser (UGGA) (Los Gatos Research Inc., USA) was used to quantify mixing ratios of  $CH_4$ ,  $CO_2$  and  $H_2O$  (1 Hz data acquisition) at the roadside. The instrument utilises Off-Axis Integrated-Cavity Output Spectroscopy (OA-ICOS) to directly quantify mixing ratios of the three species.<sup>[17,18]</sup> The instrument was linearly calibrated using a three-point calibration curve, using standards traceable to the WMO scale.

Table 4.1: A list of the compounds measured by the SIFT-MS during the OSCA experiments, their corresponding product ion and molar mass, a flag to indicate the calibration status, all grouped by the reagent ion used.

Reagent Ion	Compound	Product ion	Molar Mass g mol <sup>-1</sup>	Calibrated?
H <sub>3</sub> O+	Methanol	CH <sub>5</sub> O <sup>+</sup>	33	Y
	Ethanol	$C_2H_7O^+$	47	Y
	Acetonitrile	$CH_3CN \cdot H^+$	42	Y
	Acetaldehyde	$C_2H_4O{\cdot}H^+$	45	Ν
	Nitrous acid	$H_2NO_2^+$	48	Ν
	Nonane	$C_9H_2O{\cdot}H_3O^+$	147	Y
	Decane	$C_{10}H_{22}\cdot H_{3}O^{+}$	161	Y
	Formaldehyde	$CH_3O^+$	31	Ν
	Benzene	$C_{6}H_{6}^{+}$	78	Y
	Toluene	$C_7H_8^+$	92	Y
	M-xylene	$C_8 H_{10}^+$	106	Y
	1,2,4-trimethlybenzene	$C_9H_{12}^+$	120	Y
	Acetone	$C_3H_6O\cdot NO^+$	88	Y
NO <sup>+</sup>	Butadiene	$C_{4}H_{6}^{+}$	54	Y
	Isoprene	$C_5H_8^+$	68	Y
	3-buten-2-one	$C_4H_6O\cdot NO^+$	100	Y
	Butanone	$C_4H_8O\cdot NO^+$	102	Y
	Acetic acid	$\rm CH_3 \rm COOH {\cdot} \rm NO^+$	90	Ν
	Methyl tert-butyl ether	$C_4H_9^+$	57	Ν
	Octane	$C_8H_{17}^+$	113	Y
0 <sub>2</sub> +	Isoprene	$C_5H_7^+$	67	Y
	Acetylene	$C_{2}H_{2}^{+}$	26	Ν
	Propane	$C_{2}H_{4}^{+}$	28	Ν
	Nitrogen dioxide	NO <sub>2</sub> <sup>+</sup>	46	Ν

 $CO_2$  was measured at the supersite using a Multi-gas Carbon Emissions Analyser (MGCEA) (Los Gatos Research Inc., USA), capable of simultaneous measurements of  $CO_2$ ,  $CH_4$ , CO, and  $H_2O$ . The MGCEA operates using the same measurement principles as the UGGA.

#### 4.3.2.4 Traffic Data

To gather insight into the type and number of vehicles traveling by the measurement site, traffic counts, vehicle type, and hourly average speed data were gathered by a Vivacity traffic camera. The traffic sensor uses machine learning algorithms to enable accurate detection, classification, and analysis of different transport modes and traffic movement. Vehicle type was broken down into the following categories: buses and coaches, cars and vans, cars with a trailer, Heavy Goods Vehicles (HGVs), motorcycles and rigids. The camera is owned by Transport for Greater Manchester (TfGM), and the data was provided by Manchester-i, a data solution that collects, hosts, and exposes city open data to a broad set of researchers and end-users operating / interested in urban-related disciplines. Across the two periods, emissions from a total of 754519 vehicles were measured.

#### 4.3.3 Emission Factor Calculation

#### 4.3.3.1 Real World Emission Factors

Fleet average emission factors for different VOC species were calculated from hourly speed-dependent emission factors of a tracer species, the incremental concentrations of the tracer species and VOCs at the roadside in comparison with an urban background site, and an assumption that the emitted tracer and VOC species were transported and diluted in the same way in the atmosphere, as has been done previously in the literature.<sup>[10,19,20]</sup> In this study, both CO<sub>2</sub> and NO<sub>x</sub> were used as tracers. The following three steps show how this was done.

1) The roadside increment of a tracer species ( $\Delta C_T$ ) with well-known emission factors was calculated. This was done using Eq. 4.1, where the roadside concentration ( $C_{TRoadside}$ ) was measured by the WASP, and the background ( $C_{TBackground}$ ) was measured at the MAQS urban background location.

$$\Delta C_T = C_{TRoadside} - C_{TBackground} \tag{4.1}$$

2) Hourly emission factors for the tracer species were calculated and combined with the roadside increment in part a) to calculate a correction factor for the dilution of the emissions between the two sites. Hourly tracer species speed-dependent emission factors  $(EF_T)$  were obtained from the Department for Environment, Food, and Rural Affairs (Defra) Emission Factor Toolkit (V11.0).<sup>[21]</sup> Due to the nearby location of the TfGM Vivacity traffic camera, an hourly detailed breakdown of traffic counts (*n*) and type (*i*) was able to be used as an input, along with hourly average speed, the Urban (not London) road-type setting and the appropriate year of measurement (2021 or 2022 depending on the measurement campaign period). The hourly dilution correction (dT) was then calculated from Eq. 4.2 using the tracer emission factor for each vehicle type and the dry roadside increment concentration.

$$dT = \frac{\sum_{i} EF_{T,i} \times n_i}{\Delta C_T} \tag{4.2}$$

3) The fleet average emission factor for a species x (EF<sub>*fleet,x*</sub>), was then calculated from the roadside increment of that species ( $\Delta C_x$ ), the dilution factor of the tracer species and the total number of vehicles on the road during the hour ( $n_{tot}$ ) in Eq. 4.3.

$$EF_{fleet,x} = \frac{\Delta C_x \times dT}{n_{tot}}$$
(4.3)

#### 4.3.3.2 Inventory Estimated Emission Factors

Inventory estimated emissions were calculated using the international reference methods of COPERT (Calculation Of air Pollutant Emissions from Road Transport) following UK-specific guidance presented in the "Methodology for the UK's Road Transport Emissions Inventory" report.<sup>[22]</sup> The UK road transport emissions inventory in turn follows the methodology outlined in the EMEP/EEA "Air Pollutant Emission Inventory Guidebook",<sup>[23]</sup> which uses emission factors in COPERT. A full description of the data used for the COPERT calculations is given below.

To calculate emissions in COPERT, various data inputs are required. These were taken from the Ricardo handbook, or, if unavailable or Manchester specific, sourced elsewhere.<sup>[22]</sup> The data used is described below.

- Year: 2021 was used for the summer experiments and 2022 was used for the winter experiments.
- Environmental information (min temperature, max temperature and humidity): obtained from weather data archives for the different measurement periods. All months are filled with the same values so that the annual emissions represent conditions during the desired month. Summer: 12 °C, 20 °C and 80 %. Winter: 2 °C, 8 °C and 87 %.
- Trip length: 10 km, as described in the Ricardo handbook.
- Fuel advanced specifications = 2009 fuel year (the most recent).
- Reid Vapour Pressure = Summer: 70 kPa, Winter: 90 kPa, as described in the Ricardo handbook.

Stock configuration and activity data was obtained from the nearby traffic camera but required some additional processing for input into COPERT. COPERT requires a high level of granularity in the stock configuration and activity input. Vehicles should be broken down into the various vehicle

technologies by vehicle category (e.g. passenger car, HGV, bus etc.), Euro Standard, fuel type and size. Unfortunately, the traffic camera data available to us only breaks down the traffic into vehicle category. In order to further break this down into the required granularity, each vehicle category counts was multiplied by the 2021 or 2022 corresponding UK average Euro Standard, fuel type and vehicle size fractions taken from the NAEI fleet composition projections (2019),<sup>[24]</sup> as shown in Eq. 4.4.

$$n_{c,e,f,s} = n_c \times e_c \times f_c \times s_c \tag{4.4}$$

Where:

- *n<sub>c,e,f,s</sub>* is the number of vehicles of category c, Euro Standard e, fuel type f and size s.
- $n_c$  is the number of vehicles of category c, measured at the roadside site
- $e_c$  is the fraction of vehicles of category c that are of Euro Standard e
- $f_c$  is the fraction of vehicles of category c that are of fuel type f
- $s_c$  is the fraction of vehicles of category c that are of size s

Here, the assumption that the traffic measured in Manchester is representative of the whole UK fleet is made. In addition to fleet breakdown and vehicle counts, mean annual activity and lifetime cumulative mileage is required. Mean annual activity for the UK is  $\sim 12000$  km and the average age of a car is  $\sim 8.4$  year old. Therefore, lifetime cumulative mileage is given as 100000 km (the product of the two). Lifetime cumulative activity is largely required for degradation parameters, and it was found that it has minimal impact on the emissions outputted by COPERT. Mean activity is used for the calculation of annual bulk emissions. However, the actual magnitude is not important as we divide through by it later during conversion to an

EF. Finally, circulation activity was divided into a 100 % share for urban driving to represent our Manchester site, using urban peak/off peak traffic speeds obtained from the nearby traffic camera ( $\sim 40/50$  km h<sup>-1</sup>).

All other data was kept as standard in the COPERT database. This includes fuel evaporation data such as fuel tank size, carbon canister size and % fuel injection. In the NAEI handbook, it is assumed "that all pre-Euro 1 cars would be with carburettor and that all Euro 1 onward cars would use fuel injection, but with fuel return systems, hence, having high emission factors". There is no input for % fuel return/returnless fuel systems in this version of COPERT so this impact can not be studied here.

COPERT outputs hot, cold and evaporative bulk NMVOC emissions for each vehicle technology. Evaporative emissions consist of a number of sources that we do not want to include in this analysis. As mentioned earlier, diurnal and hot soak emissions occur when the engine is turned off and so are not present at the roadside site. Therefore, rather than use the bulk evaporative emissions, the EFs in COPERT used for running emissions calculation are used instead. A fleet average running evaporative emissions factor is calculated using Eq. 4.5:

$$EF_{r,fa} = \frac{\sum_{x} EF_{r,x} \times n_x}{n_{total} \times 10 \ km} \tag{4.5}$$

Where:

- $EF_{r,fa}$  is the fleet average running emissions factor in g vh<sup>-1</sup> km<sup>-1</sup>
- $EF_{r,x}$  is the running emissions factor for vehicles of technology x in g trip<sup>-1</sup>
- $n_x$  is the number of vehicles of technology x
- $n_{total}$  is the total number of vehicles measured
- 10 km is the average trip length and thus division by it converts the emissions factor from g trip<sup>-1</sup> to g km<sup>-1</sup>.

Exhaust emissions are calculated using Eq. 4.6:

$$EF_{e,fa} = \frac{E_{a,hot} + E_{a,cold}}{\sum_{x} n_x \times d_{a,x}}$$
(4.6)

Where:

- $EF_{e,fa}$  is the fleet average exhaust emission factor in g vh<sup>-1</sup> km<sup>-1</sup>
- $E_{a,hot}$  is the annual hot NMVOC emissions in g
- $E_{a,cold}$  is the annual cold NMVOC emissions in g
- $n_x$  is the number of vehicles of technology x
- $d_{a,x}$  is the annual distance travelled per vehicle of technology x in km

The evaporative and exhaust NMVOC EFs are separately speciated based on their corresponding speciation fractions in COPERT, also displayed in the EMEP/EEA guidebook, in Eq. 4.7:

$$EF_{x,i} = EF_i \times s_x \tag{4.7}$$

Where:

- *EF<sub>x,i</sub>* is the emission factor for species *x* of emission type i (exhaust or evaporative) in g vh<sup>-1</sup> km<sup>-1</sup>
- $EF_i$  is the emission factor for emission type i in g vh<sup>-1</sup> km<sup>-1</sup>
- $s_{x,i}$  is the percentage fraction of species x in emissions of type i

It should be noted that in COPERT ethanol is not included as a fraction in either exhaust or evaporative emissions. However, it can be calculated as the remaining fraction once all other species have been subtracted from 100 %. The 6 % given for evaporative emissions and 0 % given for exhaust emissions is consistent with that given in the EMISIA/EEA handbook.

Finally, the total EF for a NMVOC species is calculated from the sum of the speciated exhaust and evaporative EFs in Eq. 4.8:

$$EF_x = \sum_i EF_{x,i} \tag{4.8}$$

Where:

- $EF_x$  is the emission factor for species x in g vh<sup>-1</sup> km<sup>-1</sup>
- $EF_{x,i}$  is as above

### 4.4 Screenwash composition

The alcohol composition of 10 different screenwash products ordered online (available UK market, October 2022) were determined by gas chromatography time of flight mass spectrometry. A headspace analysis was conducted, with the results shown in Table 4.2.

### 4.5 **Results and discussion**

#### 4.5.1 Roadside vs background atmospheric concentrations

Ethanol and methanol were consistently the most abundant VOCs measured at both the roadside and the urban background site (Figure 4.3, see Table 4.1 for the full list of VOC species measured at the roadside). Throughout the day, concentrations an order of magnitude higher than the aromatic species were observed as is in agreement with previous measurements made in London, UK.<sup>[25]</sup> All species showed a positive roadside increment apart from methanol in the summer; methanol at the urban background site was greater than at the roadside due to the influence of biogenic emissions from the botanical gardens where the MAQS is located in. There was a notable

 Table 4.2: The headspace composition of 10 different screenwash products

 as determined in the laboratory.

Product	Methanol %	Ethanol %
1	25.1	3.41
2*	4.95	17.5
3*	4.54	7.75
4	4.53	0.00
5	4.50	8.12
6	4.11	18.3
7	0.00	0.00
8*	1.95	7.29
9*	4.58	22.8
10	0.00	22.5
Average	5.42	10.8

\*Diluted, 'ready to use' blends
contrast in diurnal shape between the seasons due to the impact of meteorology in the summer. Summer diurnals were driven by boundary layer height where a decrease in concentration after the morning rush hour is observed in line with increasing boundary layer height, before rising again in the evening as the boundary layer height begins to fall. Roadside winter diurnal profiles for all VOCs presented consistently tracked traffic flow (Figure 4.4), and the tracer species (NO<sub>x</sub> and CO<sub>2</sub>), with a peak in the morning and evening in line with rush hour increases in traffic. The urban background concentrations remained low and stable with minimum influence from traffic.

#### 4.5.2 Emission factors

Emission factors were calculated using both  $NO_x$  and  $CO_2$  as the tracer species due to their high degree of emissions regulation and therefore relatively well-known emission factors. Calculations using both enabled a useful comparison to be made as most previous roadside increment-type analyses have used NO<sub>x</sub> only as a tracer.<sup>[19,20]</sup> However, the accuracy of NO<sub>x</sub> emission factors in emissions inventories has been questioned as a result of the diesel-gate scandal and the ineffectiveness of exhaust treatment systems under real-world driving conditions, especially in urban areas.<sup>[10]</sup> Dilution factors were reasonably consistent with those measured previously in London and can be seen diurnally in Figure 4.5, with summer dilution factors being 21% and 24% larger than winter for CO<sub>2</sub> and NO<sub>x</sub> respectively.<sup>[10]</sup> Figure 4.6 shows that in general, there is a good level of agreement between the two tracer methods; using NO<sub>x</sub> as a tracer gave VOC emission factors that were on average 5% lower in summer and 16% lower in winter. This is due to a likely underestimation of  $NO_x$  in the emissions used in the calculations with the temperature-related performance of NO<sub>x</sub> emissions control technologies explaining the seasonal variability. For the remainder of this





Figure 4.3: Median average diurnal profiles for six VOC species (benzene, ethanol, m-xylene, methanol, toluene, and trimethylbenzene) and both tracer species ( $CO_2$  and  $NO_x$ ) for the summer and winter at both measurement sites.



Figure 4.4: Median average diurnal profiles for traffic counts at the roadside site during the summer and winter measurement periods.

analysis, only the  $CO_2$  emission factors will be discussed.

The aromatic species had emission factors in the range of 1-6 mg vh<sup>-1</sup> km<sup>-1</sup> and were similar across the seasons as a result of the competition between increased fuel evaporative emissions in the summer and increased cold exhaust emissions in the winter. The measured emission factors agreed well with COPERT-derived values with small discrepancies between the species arising due to variability in the speciation of VOC emissions from road transport in the inventory, itself influenced by fuel blends. Winter aromatic emission factors were slightly overestimated in COPERT due to greater cold exhaust emissions, all of which would not be captured at the roadside site. Nevertheless, the general agreement between in-field measured emission and COPERT for aromatics is very encouraging, but perhaps not surprising given the long intensive focus that regulations have had on VOCs of this type and exhaust emissions.

Emission factors for ethanol and methanol were much higher at  $68 \pm 42$ and  $18 \pm 7$  mg vh<sup>-1</sup> km<sup>-1</sup> respectively for winter, and  $24 \pm 19$  and  $12 \pm 10$  mg vh<sup>-1</sup> km<sup>-1</sup> for summer. Since a summer methanol emission factor



Figure 4.5: Diurnal profiles of the dilution factors measured for  $NO_x$  and  $CO_2$  during the summer and winter measurement periods.

Table 4.3: Measured summer VOC emission factors calculated using  $CO_2$  and  $NO_x$  as tracer species, listed as median and upper and lower quartiles.

	Measured emission factor (mg vh <sup><math>-1</math></sup> km <sup><math>-1</math></sup> )							
Species	CO <sub>2</sub>			NO <sub>x</sub>				
	median	Q1	Q3	median	Q1	Q3		
trimethlybenzene	3.367	2.564	5.401	3.307	2.471	5.002		
benzene	0.5527	0.2633	0.8936	0.4344	0.2282	0.7525		
ethanol	23.69	11.82	38.57	21.24	12.14	41.45		
m-xylene	5.406	3.759	7.500	6.069	3.335	10.10		
toluene	2.636	1.979	4.096	2.653	1.740	4.129		

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Figure 4.6: Box plots of measured VOC emission factors at the Manchester roadside using  $CO_2$  and  $NO_x$  as tracer species, compared to COPERT calculated exhaust and evaporative emission factors as bars, faceted by season. In the top right of each facet is an expanded view of the aromatic emission factors to improve clarity.

	Measured emission factor (mg vh <sup>-1</sup> km <sup>-1</sup> )						
Species	CO <sub>2</sub>			NO <sub>x</sub>			
	median	Q1	Q3	median	Q1	Q3	
1,2,4-trimethlybenzene	3.121	2.552	3.899	2.780	2.060	4.077	
benzene	1.895	1.483	2.402	1.663	1.112	2.642	
ethanol	68.08	40.78	96.19	57.11	34.75	101.7	
m-xylene	6.075	4.792	8.543	5.695	4.195	8.451	
methanol	18.22	14.37	23.21	14.45	10.55	27.51	
toluene	3.287	1.959	4.667	2.755	1.946	4.139	

Table 4.4: Measured winter VOC emission factors calculated using  $CO_2$  and  $NO_x$  as tracer species, listed as median and upper and lower quartiles.

was unavailable from the roadside increment methodology due to the urban background interference, an estimate was calculated from the average speciation of screenwash blends on the market in the UK and the summer ethanol emission factor. Since the composition of major sources such as screenwash are not well-known, and variable between products, we conducted laboratory headspace analysis of a range of products to evaluate the relative speciation between ethanol and methanol. This is shown in Table 4.2, indicating that an apportionment of 67:33 ethanol: methanol would be reasonable at this time. This is, within error, in agreement with the relative proportions of the ethanol and methanol emission factors measured for summer in this study. (We note that whilst methanol is now regulated and limited as an additive in Europe, online retailers appear to sell materials that continue to contain high methanol content). Assuming the speciation at the measurement site was the same as that measured in the lab and applying it to the ethanol emission factor gave the summer methanol emission factor of  $12 \pm 10$  mg vh<sup>-1</sup> km<sup>-1</sup>. The emissions of the two alcohol species were substantially underestimated by the COPERT methodology.

	COPERT emission factor / mg vh <sup>-1</sup> km <sup>-1</sup>						
Species	Summer			Winter			
	Total	Exhaust	Evaporative	Total	Exhaust	Evaporative	
trimethlybenzene	2.059	1.882	0.1771	4.831	4.708	0.1233	
benzene	1.884	1.627	0.2576	4.249	4.069	0.1794	
ethanol	1.932	0.000	1.932	1.345	0.000	1.345	
m-xylene	3.635	1.574	2.061	5.374	3.939	1.435	
methanol	0.000	0.000	0.000	0.000	0.000	0.000	
toluene	4.601	3.184	1.417	8.951	7.964	0.9866	

Table 4.5: COPERT calculated total, exhaust and evaporative emission factors for the studied VOC species, separated by season.

The discrepancy can be rationalised and the VOC budget closed by also including an emission of non-fuel, non-exhaust (NFNE) VOC deriving from what are classified as 'car care' solvents in the NAEI.<sup>[24]</sup> A large fraction of this is thought to be screenwash leading to a release of ~35 kT of VOCs in 2018. Dividing through by the total number of vehicle kilometers traveled in 2018 in the UK (537 billion km, DfT Road Transport Statistics) gives an estimated emission factor based on solvent inventories for screenwash of 64 mg vh<sup>-1</sup> km<sup>-1</sup>; a figure that agrees remarkably well with the seasonal average of the combined ethanol and methanol median emission factors measured in this study ( $60 \pm 39$  mg vh<sup>-1</sup> km<sup>-1</sup>). The increased winter emission factor is then explained by increased screenwash usage in wetter and dirtier conditions.

#### 4.5.3 Implications

#### 4.5.3.1 For future emissions and policy

A large source of vehicle emissions not captured by international emissions methodologies such as COPERT is surprising but reflects that the historical focus has been overwhelmingly on fuel-related exhaust and evaporative emissions. It is a measure of the success of abatement technologies that

these are now so reduced that other NFNE sources become visible. Realworld observations of NFNE are, in practice, in good agreement with separate industry solvent use statistics, so to a degree have been 'hiding in plain sight'. An important feature of car care product emissions is that they are independent of fuel type, meaning the emissions are applicable to all vehicles including those powered by battery electric powertrains. Therefore, we use this information to propose the need for a direct VOC emission factor for electric vehicles in international methodologies that are used to quantify the impacts of road transport on air quality. Subtracting the COPERTestimated ethanol and methanol emissions (arising from exhaust and evaporative losses of ethanol-blended fuel use in the UK) from the measured sum of the alcohol emission factors gives the NFNE-related emission factor. We propose a value of  $58 \pm 39$  mg vh<sup>-1</sup> km<sup>-1</sup> for UK vehicles which assumes all emissions can be apportioned as a combination of ethanol and methanol with an apportionment of 67:33 ethanol: methanol as derived from the laboratory headspace analysis of screenwash blends.

A NFNE emission factor of 58 mg vh<sup>-1</sup> km<sup>-1</sup> is 1.8 x greater than total exhaust VOC emissions in the UK (32 mg vh<sup>-1</sup> km<sup>-1</sup>). Looking to the future, NFNE emissions from solvent products may actually increase, should overall vehicle mileage increase, as is indicated in some projections of future electrified transport fleets. Annual vehicle kilometers driven in the UK have steadily increased over the last three decades and are predicted to increase by up to 51% on 2015 levels by 2050.<sup>[26]</sup> This is a consequence of increased population and an anticipated reduction in the cost of travel in electric vehicles. Assuming that NFNE emissions are simply proportional to total vehicle distance traveled, and that fuel-related emissions are inversely proportional to the % of electric vehicles in the UK fleet, future road transport emissions can be estimated. Figure 4.7 shows this projection; because of fleet electrification there is initially a fall in total road transport VOC emissions but due to increasing vehicle km and the related scaling

in NFNE emissions, road transport VOC emissions begin to increase after 2045. NFNE emissions would represent  $\sim 40$  kT of VOC emissions in 2050 which is around 6% of the UK's 2030 National Emission Ceilings Directive (NECD) ceiling. Although only UK figures are presented here, this is a transition of global significance. The main driver for the rate of fleet electrification is transport decarbonisation and the policy to ban new sales of petrol and diesel vehicles. Current ICE ban commitments are highlighted in Figure 4.7 and span all the major continents, with further pledges, particularly in Asia, expected soon.

#### 4.5.3.2 For atmospheric chemistry

The transition from fuel-related VOC emissions to non-fuel-related VOC emissions represents a notable change in the VOC speciation of the emissions. Fuel-related VOC emissions contain a complex mixture of aliphatics and aromatics whereas NFNE emissions typically only contain ethanol and methanol. On average, the ozone formation potential of ethanol and methanol is lower than a similar overall mass emission of fuel related VOCs.<sup>[27]</sup> However, increasing concentrations of ethanol in the atmosphere and even screenwash-related methanol emissions have been associated with increased formation of tropospheric ozone.<sup>[28,29]</sup> A useful comparison can be drawn with bioethanol use in Brazil. Ozone and PM levels have been shown to increase during periods where more ethanol is combusted in vehicles compared to gasoline, despite ethanol combustion typically reducing VOC emissions.<sup>[30,31]</sup> Here, the cause is thought to be reduced  $NO_x$  emissions in a VOC limited ozone regime. During fleet electrification, a similar scenario could occur in which VOC emissions remain high as a result of NFNE emissions with reductions in NO<sub>x</sub> increasing ozone and PM formation. Whilst the transition could, at least initially, reduce the urban VOC burden, models which do not include NFNE emissions may underestimate future urban ozone concentrations due to the size of the missing source.



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Figure 4.7: a) VOC emission projections for road transport for fuel-related and NFNE sources. Fuel-related emissions are proportionally reduced from 2020 estimates using projected electric vehicle fleet percentage. NFNE emissions are generated by multiplying the derived emission factor in this work (58 mg vh<sup>-1</sup> km<sup>-1</sup>) by projected vehicle kilometers traveled in the UK. b) Electric vehicle proportion and UK annual vehicle kilometers traveled predictions used to produce a) from DfT (Road Traffic Forecasts 2018). c) A global timeline of commitments to the banning of new petrol and diesel vehicle sales. Data were mainly taken from COP 26 signatory list, but also from the EU 'Fit for 55' proposal and the US Executive Order on Catalysing Clean Energy Industries and Jobs through Federal Sustainability.

In addition to its role in ozone formation, ethanol is a key precursor to the formation of acetaldehyde which is a highly reactive compound that is also a suspected carcinogen and is associated with various respiratory conditions.<sup>[32]</sup> Increasing ethanol concentrations in the atmosphere have been associated with increased production of peroxyacetyl nitrate (PAN) under high NO<sub>x</sub> conditions.<sup>[28]</sup> PAN is an important species for the atmospheric transport of NO<sub>x</sub> with implications for the global distributions of ozone and OH.<sup>[33]</sup> However, there is uncertainty surrounding future emissions scenarios of NO<sub>x</sub> due to a poor quantification of the impact of congestion and the proposed transitions from natural gas to hydrogen combustion in heating systems.<sup>[34,35]</sup> As such, the ramifications of increasing ethanol concentrations are currently unknown but potentially important. Methanol plays an important atmospheric role through involvement in hydroxyl radical cycling and thus the tropospheric oxidative capacity.<sup>[36]</sup> It is also a precursor for formaldehyde and CO.

Looking forward, we recommend the inclusion of NFNE emissions within road transport emissions methodologies and within the COPERT framework. Road transport activity statistics are much more commonly reported worldwide than industrial sales of screenwash. This emission factor in a per kilometer form makes the calculation accessible for all. Moreover, road transport is a unique VOC source due to the co-emission of NO<sub>x</sub> and the emission location largely occurring in heavily populated areas. Assignment of emissions via COPERT and vehicle mileage geolocates the VOC emissions where they actually occur, whereas the spatial desegregation of emissions of VOC in the industrial solvents class can often be represented as a uniform emission. This may help improve the performance of local to regional air pollution models. With bans on the sale of petrol and diesel vehicles starting as early as 2025 in some countries, it is crucial the atmospheric impacts of this transition are properly represented and monitored. For this, there is a clear benefit to having emissions associated with the correct sector. It

is possible, however, that the NFNE emission could be relatively straightforwardly reduced through policies (or voluntary schemes) that required product reformulation to remove VOCs of air pollution significance. Current approaches to VOC emissions control under EMEP and CLRTAP do not discriminate by VOC reactivity or photochemical ozone creation potential. Whilst this may not necessarily appear to be an optimal regulatory response, control of methanol and ethanol could be a potentially effective mechanism for a country to reduce overall mass emissions in response to further lowering of emission ceilings.

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### Chapter 5

### Summary and conclusions

Outdoor air pollution is currently attributed to the premature mortality of 4.5 million people, the loss of 1.2 billion working days and \$3.3 trillion in welfare costs every year globally. The reduction of air pollutant emissions has a clear benefit to society and is an area of international interest. Although some progress has been made, the WHO continues to reduce guide-line values for air pollution exposure and the majority of the global population breathes air that exceeds these values. The 2020s mark a critical decade for the control of emissions. Decisions made over the next few years will determine the pathways followed in response to the Paris Agreement and international pledges to achieve net zero greenhouse gas emissions. Greenhouse gas and air pollutant emissions sources are closely related meaning these pathways will have a significant impact on air quality. It is a common opinion in air pollution research that the adoption of a pathway which does not also maximise air quality improvements would be a missed opportunity.

Policy decisions for the reduction of emissions rely on accurate data of the magnitude and location of emissions sources, commonly collated in an emissions inventory. However, emissions inventories have uncertainties and require continuous updating and verification as emissions sources change. As emissions from the dominant sources are reduced, previously minor sources increase in their relative importance. However, by nature of being minor in the past, these other sources have usually received less attention and are prone to larger uncertainties. Having a good understanding of what sources will be important in the future is a crucial part of identifying strategies for air quality improvement.

Direct emissions measurements represent an effective way to verify and improve the accuracy of the emissions inventories used to inform current and future air quality policy decisions. This thesis describes the measurement and analysis of two unique data sets characterising real-world emissions of air pollutants that address uncertainties in sources of emerging importance. Since fossil fuel combustion in the road transport sector has dominated air quality issues in the European context, this research focuses on sources that remain following the control of emissions from that sector.

### 5.1 Contributions

The first emissions measurement application is a long-term  $NO_x$  flux data set discussed in Chapters 2 and 3. The data set is from an urban flux location from a tall tower in central London. At the time of writing, the number of long-term urban flux measurements is small, and those which measure air pollutant fluxes are even fewer. This data set is unique in that its location is within a megacity. With an increasing percentage of the population living in densely populated urban environments, the measurement location is perhaps what makes this data valuable and of potential international interest. This is especially the case with London being a world leader in road transport air quality policy. Generating an understanding of the effectiveness of such policies, and what sources dominate in the absence of road transport emissions, will help inform mitigation strategies in other cities.

A theme across these two chapters is the use of  $NO_x$  and  $CO_2$  emissions together as a way of source apportioning emissions of  $NO_x$ . Measurements

during the 2020 and 2021 coronavirus pandemic mobility restrictions were used as a way of studying a likely future emissions scenario in which road transport emissions are significantly reduced. It was calculated that road transport NO<sub>x</sub> emissions reduced by > 73 % since 2017 in the central area of London despite traffic flow reducing by only 32 %, and CO<sub>2</sub> emissions reducing by 20 %. In addition to the reduction caused by the ULEZ and natural fleet transition, a possible congestion-related factor was identified. Much enhanced NO<sub>x</sub> flux was observed above ~ 25000 vehicles hr<sup>-1</sup>. This suggested that the incentivisation of public transport and green methods of travel (e.g. walking and cycling) to reduce congestion may be an even more effective way of reducing transport NO<sub>x</sub> emissions than low emissions zones.

Following the large reduction in road transport emissions, the first indication of a now dominant heat and power generation sector was presented through spatial mapping. This was further investigated in the following two years of data.  $NO_x/CO_2$  emission ratios were used to calculate that 76  $\pm$  17 % of NO<sub>x</sub> emissions in the measurement footprint came from the heat and power generation sector. For the measurement footprint, this was mostly due to natural gas combustion in commercial boilers for space heating. This was the first evidence of such a transition occurring in a city globally. International emissions inventories were shown to overestimate NO<sub>x</sub> emissions from commercial gas boilers by reason of outdated emission factors. A NO<sub>x</sub>/CO<sub>2</sub> emission ratio of  $0.85 \times 10^{-3}$ , or 35% lower than that in the emissions inventories was calculated by taking into account recent legislation controlling NO<sub>x</sub> emissions from boilers. Crucially, during the measurement period, all urban background sites within the measurement footprint vastly exceeded the WHO guidelines for ambient NO<sub>2</sub> concentrations. It was shown that even if all commercial boilers achieved current regulations, and road transport emissions were reduced to zero, WHO annual guidelines for NO<sub>2</sub> would not be achieved in the central area. This is the first indication that the heat and power generation sector would require policy consideration if WHO guidelines were to be achieved in densely populated urban environments with a similar climate to London. Future projections of fuel usage in UK urban environments required to meet net-zero pledges suggest that very low urban  $NO_2$  concentrations are technically possible. However, the trajectory for urban  $NO_2$  will depend in large part on choices made around urban buildings and their associated infrastructure and whether combustion or electrification pathways are delivered as part of urban decarbonisation.

The second emissions measurement application was a targeted approach to a specific source. Non-fuel-related air pollution emissions from road transport have received little attention in the past due to the prominence of fuel-related emissions. A pool of research studying non-exhaust PM from tyre and brake wear, and road dust resuspension is increasing in size since these emissions will be present despite fleet electrification. However, very little consideration has been given to non-exhaust VOC emissions. VOC emissions from road transport were quantified using a roadside incrementtype methodology which identified a large source of alcohol species missing from international road transport emissions inventories. This was assigned to the application of screenwash with an emission of  $58 \pm 39$  mg veh<sup>-1</sup> km<sup>-1</sup>, almost twice that of total exhaust VOC emissions. In contrast to widespread policy assumptions that the phase-out of gasoline and diesel internal combustion engines will over time lead to much reduced emissions of VOCs, these findings suggest that vehicle VOC emissions may actually increase given a predicted growth in total vehicle kilometers driven in a future electrified fleet. An improvement to road transport emissions inventories and the COPERT framework is recommended via the inclusion of this non-fuel-related emission factor. The research also highlights the source as a potentially interesting area for policy intervention for the achievement of emissions ceilings since it is responsible for around 6% of total UK VOC

emissions.

### 5.2 Future directions

The work presented here could be improved and extended in a number of ways. From the flux measurement perspective, continuing the  $NO_x$  and  $CO_2$ measurements at the BT Tower would mean progress in reducing emissions could be tracked. The value of long-term data sets has been seen with concentration and indeed CO<sub>2</sub> flux measurements. An extension that includes NO<sub>x</sub> flux measurements would facilitate the study of emissions changes that result from heat pump technology installation and the impact this has on NO<sub>2</sub> air quality. This is information that would be useful to those making decisions on which technology to adopt for the future heating of buildings that is not currently captured by national monitoring techniques. In this respect, an extension of the number of  $NO_x$  flux monitoring sites would give information on the spatial variability of urban air pollution emissions. This study only describes the situation in central London which is not necessarily applicable to other populated urban environments. Another obvious extension would be the measurement of additional flux species, such as  $O_3$ , VOCs and PM. This way the impact of the work could be broadened to the wider air quality issue rather than just  $NO_2$ . Measurements of additional species would also improve the source apportionment analysis capabilities, especially with PM and VOC speciation fingerprints. It is important to note, however, that this would significantly increase the data collection intensity. Finally, the verification of commercial boiler  $NO_x$  emissions in the field, as has been done previously with domestic boiler emissions, would be a nice addition to further verify this study. Their direct study would reduce the uncertainty associated with these measurements in which data is noisy and relies on a number of assumptions.

From the screenwash emissions work, there are a number of improve-

ments which could be made. The measurements would benefit from an increased time series length so that the emission factor uncertainty can be reduced and the seasonal variation better quantified. A better understanding of the spatial variability could be determined by measurements at different locations and road types. Factors such as the influence of weather on emissions could be studied as has been done previously with non-exhaust particulate matter. A greater VOC speciation through the use time of flight detection (as opposed to the SIFT-MS quadrupole) and other techniques such as gas chromatography may identify other non-fuel-related VOC emissions or screenwash components not detected in this analysis. Finally, atmospheric modelling studies which look at the impact of this source missing from road transport emissions inventories on atmospheric chemistry would help quantify the importance of these findings.

#### 5.2.1 Final remarks

Air pollution and climate change continue to be a contentious political topic. At the time of writing, there is pushback on green policies such as the ULEZ, the banning of new sales of fossil fuel-powered vehicles, and the replacement of natural gas boilers. It is essential to continue to verify and update emissions data such that policy decisions are effective and backed up by evidence supported by accurate science. It is hoped that the benefit of these kinds of measurements has been highlighted in this thesis and that future research can build on them.