



The  
University  
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Information School

**Identifying Barriers to Sharing Patient Knowledge  
between Healthcare Professionals from Traditional and  
Western Medicines in Chinese Hospitals**

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# Abstract

The Chinese healthcare system incorporates two entirely different medical philosophies, namely, Traditional Chinese Medicine (TCM) and Western Medicine (WM). In the 1950s and by the request of the central government, the two medical professional communities have been both required and encouraged to collaborate with each other. In order to ensure successful implementation of the patient-centred healthcare policy also imposed by the central government, these two very different healthcare professional groups are required to communicate and share knowledge about individual patients. This tacit knowledge sharing (KS) aims at protecting the needs, interests and benefits of patients, as well as guaranteeing that the patient is at the centre of the collaborative processes. However, the two medical communities do not coexist harmoniously and do not readily communicate and share knowledge with each other. There are barriers hindering the processes of KS between TCM and WM healthcare professionals.

This thesis reports a PhD research study, which aims to identify barriers to the sharing of patient knowledge between the two types of healthcare professionals in the context of Chinese hospitals. The study adopted a Grounded Theory approach as the overarching methodology to guide the analysis of the data collected in a single case-study design. A public hospital in central China was selected as the case-study site, at which 49 informants were interviewed by using semi-structured and evolving interview scripts. The research findings point to

five categories of KS barriers: contextual influences, hospital management, philosophical divergence, Chinese healthcare education and interprofessional training. Further conceptualising the research findings, it was identified that KS is mostly prevented by philosophical and professional tensions between the two medical communities. Therefore, to improve KS and reduce the effects of the identified barriers, efforts should be made targeted at resolving both types of tensions.

The conclusion advocates the establishment of national policies and hospital management strategies aimed at maintaining equality of the two medical communities and putting in place an interprofessional common ground to encourage and facilitate communication and KS. This project contributes to the general fields of knowledge management and knowledge sharing. Specifically, the study contributes to the knowledge sharing in Chinese healthcare organisations, that is, to the fields of healthcare information and knowledge management research in China.

# List of Publications

Zhou, L. Vasconcelos, A. and Nunes, M. (2007). “Knowledge Sharing in the Convergence of Traditional and Western Medicine in China”. In: Proceedings of 2nd Annual South East European Doctoral Student Conference, 22-23 June, 2007, Thessaloniki, Greece.

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# 1. Introduction

## 1.1. Research Background

当我步入神圣医学学府的时刻，谨庄严宣誓：  
我志愿献身医学，热爱祖国，忠于人民，  
恪守医德，尊师守纪，刻苦钻研，  
孜孜不倦，精益求精，全面发展。  
我决心竭尽全力，除人类之病痛，助健康之完美，  
维护医术的圣洁和荣誉，救死扶伤，不辞艰辛，执着追求，  
为祖国的医药卫生事业的发展 and 人类的身心健康奋斗终生。  
--国家教育委员会高等教育司[1991]第 106 号

At this moment of entering the medical institution, I would like to swear a solemn oath:

I am willing to devote myself to medicine, to my beloved motherland, and to the people.

I will comply with the medical ethics; respect my teachers and their disciplines; study assiduously and tirelessly; develop all medical skills.

I determine to dedicate all my efforts to fight against human illnesses, and to maintain the sanctity and honour of medicine, life-saving, painstaking, and persistent.

I will devote myself to the practice of medicine in the land of China and to the well-being of humanity.

--Ministry of Education of the People's Republic of China (1991), Official Document, No. 106

In 1950, immediately after the establishment of the People's Republic of China (PRC), and as advocated by the first communist president Chairman Mao Zedong, the First National Healthcare Conference approved the creation of a national healthcare system, based on the provision of two very different types of medicine, namely Traditional Chinese Medicine (TCM) and Western Medicine (WM) (National Healthcare Conference, 1950; cited in Hillier and Jewell, 1983).

TCM has been a consistent element of Chinese culture (Wong et al., 1993), and was developed as the result of the accumulation of experiences and medical practices for over 2300 years (Cheng, 2000). Hyatt (1978) asserts that TCM is not just “folk” medicine, but a highly developed art and science. However, TCM lost the dominant position it had held for thousands of years over the Chinese public health system to WM at the beginning of the twentieth century. WM, based on the scientific paradigm and evidence-based practice, was developed in Europe and North America after the industrial revolution, and is largely considered as the main component of today’s Chinese healthcare system, despite its coexistence with TCM (Chi, 1994).

The political decision for the TCM and WM collaboration was particularly important to the Chinese communist government. Taylor (2004) points out that, after the establishment of PRC, the Chinese communist government used TCM as a strategic tool to distinguish the new communist China from its superstitious and feudal past as well as to illustrate the Chinese cultural heritage. Therefore, in 1954, two main strategies were formulated, not only to bridge the gap between the two very distinctive professional communities, but also to explore possible areas of collaboration. Firstly, a number of TCM universities were established all over the country to provide formalised TCM education and to conduct systematic and scientific research on both TCM and its collaboration with WM. Secondly, a nation-wide search was conducted to select 2,000 good WM professionals, who were pulled off from their jobs for full-time study of TCM and to explore

methods of collaboration (Taylor, 2004). These initial political decisions encouraged the two medical communities to work together and created the conditions for a complementary relationship that still exists today.

Nonetheless, the coexistence and the complementary relationship between TCM and WM communities were not always harmonious and stable. Not long after the formulation of the complementary relationship and the establishment of TCM specialised higher education (HE), TCM was put under stress during the “Great Cultural Revolution” (1966-1976), since the government at that time considered TCM as a *feudal* product of the old China, needing to be eliminated (Chen, 1999; Fruehauf, 1999). In this period of time, not only was the number of Chinese Traditional Medicine Colleges dramatically decreased from 21 to 11, but also the practitioners of TCM were criticised as *feudalists* and some were even persecuted (Fruehauf, 1999). In contrast, during this crisis for TCM, WM reinforced its dominant position over the Chinese healthcare system and services.

Mao Zedong's death in 1976 brought an end to the Great Cultural Revolution as well as the crisis of TCM (Hesketh and Zhu, 1997). The position of TCM was reinstated by the Official Document no. 52 issued by the central government in 1978 (Lv, 2005). According to this author, the official document emphasised two points, namely: equality in the support for Western medicine and traditional medicine, and equal development of the two types of medicine. Since then, the official political statements have consistently declared that TCM and WM are equally supported, despite the dominance of WM (Liu, 2004). Again, a recent

public statement made by Zhu Chen, the Minister for Health, restated that the Chinese government equally supported both TCM and WM in the national healthcare system (Cai and Ju, 2010).

Many researchers (e.g. Fruehauf, 1999; Taylor, 2004; Hyatt, 1978) assert that the political decision was very successful, since it created a complementary relationship between the two types of professionals working in collaboration against a number of diseases deemed to be untreatable by WM alone (Taylor, 2004: 103).

In any case, the complementary relationship and the collaboration of the two types of healthcare professionals should be patient-centred. Every individual Chinese healthcare professional is required to swear a medical oath (as shown at the beginning of this thesis) when becoming a medical student and is expected to follow it throughout their career. This oath does not only repeat the dedication to the motherland, but also stresses the devotion to the people and the well-being of humanity, which indicates that the healthcare services should be focused around patient needs and interests.

In fact, in 2006, by the request of the central government, patient-centred healthcare was introduced in all healthcare facilities and was required to be adopted by all healthcare professionals. However, some of these policies, movements and statements are made more for political purposes, and in this case their implementation does not necessarily benefit the patient. In fact, this

politically inspired collaboration and complementarity does not guarantee that the interests of the patient are always protected and placed at the centre of the collaborative processes. If the benefits to the patient are not very well protected by these political decisions, then there is a contradiction to the patient-centred care advocated by the Chinese central government.

In order to ensure the patient-centeredness in the TCM and WM collaboration, it is essential for healthcare professionals to communicate and share knowledge with each other in order to look after the needs, requirements and benefits of the patient (Steward, 2001; Maizes et al., 2009). Nonetheless, and in practice, the two types of healthcare professionals do not necessarily communicate and share knowledge (Liu, 2005). In truth, there are barriers impeding the processes of sharing knowledge (Sun, 2003; Liu, 2005).

This research project therefore aims at identifying knowledge sharing barriers in the patient-centred collaboration of TCM and WM healthcare professionals in the context of Chinese healthcare organisations. Ultimately the intention behind the project is to seek understanding of these barriers.

In order to provide a deeper understanding of the research background, the following sections present the definitions of patient-centred care (Section 1.1.1), knowledge sharing (Section 1.1.2), and the research context of this research project (Section 1.1.3).



### **1.1.1. Patient-Centred Care**

Ethical conduct of medical practices has always been considered as one of the most essential ground rules for healthcare professionals (Holm, 1997). Thus, the patient-centred approach is often adopted as the guiding principle for healthcare professionals to enact their ethical rules, concepts, and senses (McGrath et al., 2006). This is probably one of the reasons why the patient-centred approach is currently prevailing and has been implemented in a number of countries in Western Europe and North America, as well as in China.

There are many different definitions of patient-centred care. A very comprehensive and detailed one is provided by Maizes et al. (2009):

“Care that informs and involves patients in medical decision making and self-management, coordinates and integrates medical care, provides physical comfort and emotional support, understands the patients' concept of illness and their cultural beliefs, and understands and applies principles of disease prevention and behavioural change appropriate to diverse populations”.

(Maizes et al., 2009: 277-278)

In 2006, the Chinese central government announced that the patient-centred approach must be taken as the most essential guideline for healthcare professionals and organisations (Zhong, 2009). The adoption of the patient-centred approach determines that the position of the patient must be maintained at the centre of all medical procedures, and demands that the patient's rights,

benefits and requirements must be ensured in the TCM and WM collaboration. Therefore, effective communication and adequate knowledge sharing between TCM and WM healthcare professionals is the centrepiece of the implementation of patient-centred care in China (Maizes et al., 2009).

### **1.1.2. Sharing of Patient Knowledge**

Knowledge sharing (KS) is an effective strategy for building competitive advantages for all types of organisations (McEvily, 2000). In the health sector, there is a universal perception that appropriate processes of KS, based on good practices of knowledge creation, storage, transfer and utilisation, are fundamental to the resolution of daily medical problems challenging healthcare professionals, and, more importantly, can dramatically improve the quality of healthcare services (Abidi, 2007; Nicolini et al., 2008). KS in the context of healthcare can be defined as follows:

“Healthcare knowledge sharing can be characterised as the explication and dissemination of context-sensitive healthcare knowledge by and for healthcare stakeholders through a collaborative communication medium in order to advance the knowledge quotient of the participating healthcare stakeholders.” (Abidi, 2007: 69)

To develop this definition further according to the needs of this research project, KS can be defined as the exchange of patient knowledge between WM and TCM healthcare professionals through collaborative communication channels in order to share patient knowledge about individual patients, to provide the best possible

healthcare services to patients, to improve the quality of patient care, and to achieve patient satisfaction.

Summarising propositions from a number of authors, patient knowledge can be defined by the following typology:

- Technical Knowledge includes identification of patient conditions and problems, reasons and objectives of patient care, patient background, agreement to treatment strategy, and explicit patient requirements and needs (Smith, 1996).
- Ethical and Emotional Knowledge is about ethically dealing with patient feelings, emotions, and psychological status; approaches to communicating with, persuading and managing individual patients; and maintaining trusting and collaborative professional-patient relationships (Fennessy and Burstein, 2007).
- Social and Behavioural Knowledge is concerned with anticipating how others will behave, perception of patients' implicit requirements, behaviours and reactions, and expectations (Fennessy and Burstein, 2007).

Among the three types of patient knowledge, the sharing of technical knowledge is the least problematic, since technical knowledge is easier to share and is usually recorded explicitly in the patient records. Moreover, the two types of

healthcare professionals have adopted two entirely different therapeutic systems and each other's philosophical beliefs and technical insights do not seem to matter in the complementary provision of medical service (Guo, 2006; Yang, 2005).

On the other hand, the ethical and emotional knowledge and the social and behavioural knowledge consist of experiences and perceptions of individual professionals, which are accumulated through processes of dealing and interacting with individual patients. Therefore, when compared with the technical knowledge, these two types of tacit patient knowledge are more difficult and more important to share among healthcare professionals. Thus, this project focuses on the sharing of ethical and emotional knowledge and social and behavioural knowledge between the TCM and WM healthcare professionals in their patient-centred collaborations.

### **1.1.3. The Research Context**

A political decision demanded the collaboration of the two types of healthcare professionals and integrated the two very distinctive medical professional communities into the national healthcare system, into the same hospital, and sometimes into the same building. This high-level policy making required that hospitals in China should be based on complementary treatments of the two methodologies, which were assumed to be beneficial to the patient.

However, these two counterparts are not necessarily coexisting harmoniously and communicating with each other unconditionally (Wang, 2010; Guo, 2010). In fact, there are significant KS barriers hindering the interaction of patient knowledge (Liu, 2005). Thus, there is a need to identify KS barriers inhibiting the interaction of patient knowledge between TCM and WM healthcare professionals, in order to not only improve the communication and KS between the two types of healthcare practitioners, but also promote the quality of healthcare services to patient in Chinese hospitals.

Therefore, this project chose to investigate Chinese hospitals, where TCM and WM healthcare professionals work side by side every day and a large number of compound treatments are provided to patients.

## **1.2. Research Questions and Objectives**

According to the research aim presented on page five, the following main research question was formulated:

What are the barriers to sharing patient knowledge between healthcare professionals from traditional and Western medicine in their patient-centred interprofessional collaborations?

This main research question focuses on the research orientation and can be broken down into the following questions:

1. What are the barriers that hinder the sharing of patient knowledge between TCM and WM healthcare professionals?
2. What are the relationships between these barriers?

In order to answer these research questions, the following twelve research objectives are established:

1. Conduct a literature review about the research context, focusing on TCM and WM in Chinese healthcare organisations. The purpose for this literature review is to have a general understanding about the research context and to develop contextual sensitivity.
2. Conduct a literature review on knowledge management (KM) and KS in the healthcare environment, not only to enhance the theoretical sensitivity, but also to locate an appropriate theoretical framework to guide the remaining research stages.
3. Determine the research approach, overarching methodology, and tools and techniques for data collection and analysis.
4. Establish an appropriate research design.

5. Identify an appropriate, adequate and accessible site for the data collection.
6. Collect data using defined data collection tools and techniques at the selected research site.
7. Analyse data to identify individual barriers to sharing patient knowledge.
8. Analyse data to organise these KS barriers into categories and sub-categories.
9. Analyse data to determine relationships between categories, sub-categories, and KS barriers.
10. Conceptualise the research findings in order to initiate a theory and thus answer the research questions.
11. Contribute knowledge to KS in the context of healthcare sectors and KS in Chinese healthcare organisations in particular.
12. Disseminate the contribution to knowledge through the PhD thesis, conference papers and presentations, and journal papers.

The definition of research objectives is extremely important, since these objectives underpin crucial stages throughout the entire project. Moreover, the research objectives are the foundation for the selection of the research methodology and shed important light on the research design.

### **1.3. Research Outline**

Due to the very specific characteristics of the Chinese healthcare environment, it was very difficult to establish a base theory for the problem. In fact, there are other examples of coexistence between WM and traditional medicine (e.g. homeopathy, herbal medicine and chiropractic). However, in no other circumstances are these different health professionals working together by political design and in the same hospital, sometimes even in the same building. This makes it almost impossible to use a deductive approach.

Therefore, the research approach selected for the project is inductive in nature. Specifically, Grounded Theory (GT) was adopted. GT is widely recognised as particularly useful for theory generation purposes (Strauss and Corbin, 1998). Nonetheless, Pickard (2007) points out that GT is a series of qualitative analysis processes, rather than a complete research methodology. Therefore, GT is usually applied in a combined approach with other research methodologies, such as ethnography and case-study (Pickard, 2007). Many researchers suggest that case-study and GT are not just compatible with each other, but are really a combination that is ideal for establishing a valid and reliable inductive theory (e.g. Glaser and Strauss, 1967; Glaser, 1978; Eisenhardt, 1989; Tellis, 1997; Allan,



2003; and Pickard, 2007). Consequently, a *Straussian* GT approach (Strauss and Corbin, 1998) was employed as the overarching research methodology.

Moreover, GT was applied in a social context provided by case-study. Case-study approaches enable the investigation of contemporary phenomena in real-life contexts (Yin, 1994) and are useful for exploratory purposes and for initiating a theory (Benbasat et al., 1987). Therefore, a case-study approach is suited to this project, because this study investigates a contemporary problematic situation existing in the current Chinese healthcare system. Moreover, considering China is one of the largest countries in the world, with a population exceeding 1.3 billion and with 56 ethnic groups and 34 provinces, it would be virtually impossible to generate a theory that would encompass the whole nation.

Consequently, and since this project aimed at generating a first set of insights into this problem, a single case-study design was adopted. A public hospital in the city of Xiangfan, province of Hubei, was selected for the case-study. This hospital was chosen for two main reasons. Firstly, it provides both WM and TCM services to patients and has done so for several decades. Secondly, the researcher obtained guaranteed and management supported access to the informants and the project.

The application of GT in the context of this case-study was planned to have three stages: a pilot study, a main study, and a follow-up study:

- The pilot study: This study aimed to confirm whether the KS problems anticipated did present themselves in the actual practice of a Chinese hospital, and to identify early results and insights to guide the remaining research stages. Overall, seven healthcare professionals and workers were purposively approached and interviewed. These participants were two WM doctors, one WM nurse, two TCM doctors, one ICT manager and one hospital administrator. Findings from this stage suggested that different departments in the hospital exhibit very different patterns of KS behaviour between the two medical communities. The study also showed that very different levels of integration of complementary treatments may take place in different departments. This resulted in the decision to choose one specific department, namely the Department of Neurosurgery. This department has a proven history of using WM and TCM compound treatments for rehabilitating patients after craniotomies.
- The main study: The purpose of the main study was to identify KS barriers between the two communities when dealing with problems from neurosurgical patients. At this stage, eleven neurosurgeons, eight neurosurgical nurses, and six TCM doctors were selected as participants, approached and interviewed. Data collection was analysed using open and axial coding through the constant comparison method of analysis. The findings indicated a need for further study on the external influences on KS.
- The follow-up study: This aimed at exploring these external influences on KS. Furthermore, it aimed at exploring and studying those categories for

which theoretical saturation could not be achieved in the previous stage. Theoretical sampling, further coding and constant comparison analysis were used at this stage. This exercise of gaining a deeper understanding involved the interviewing of specific informants, namely two neurosurgeons, two TCM doctors, three neurosurgical nurses, one TCM educator, one public administrator in the local healthcare department, and eight patient carers.

Overall, 46 informants were interviewed in a total number of 49 interviews. As required by the theoretical sampling strategy, these informants were sampled by the emerging theory and were interviewed by using semi-structured and evolving question scripts.

The data analysis used the GT analysis approach, which is widely recognised as particularly useful for generating theory closely related to the context of the phenomena being studied (Creswell, 1998). In addition, and as required by the GT methodology, the processes of data collection and analysis were operationalised interactively. That is, immediately after each individual interview, the collected data were transcribed and analysed. Results from this analysis were used not only for the theory building, but also to direct following interviews, as proposed by Strauss and Corbin (1998). Furthermore, the practice of data analysis adopted two GT analytical techniques, namely, coding (open, axial and selective) and constant comparative analysis, which Strauss and Corbin (1998) emphasise as crucial to the GT data analysis. Consequently, data collection and

analysis coexisted until the theoretical saturation was achieved, that is, until no new open codes emerged from the data analysis.

As the result, the emerging theory saturated on five main categories: contextual influences, philosophical issues, Chinese healthcare education, interprofessional training, and hospital management. These main categories are discussed in great detail in this thesis.

## **1.4. Limitations and Practicalities of the Study**

The KS problems identified and targeted by this project are not just academically pertinent, but extremely critical to the quality of Chinese healthcare services and to people who have health problems. In this case, the researcher chose to explore the problem domain. However, like any other piece of research, this project is not perfect. There are several limitations and practicalities that constrained the conduct of this project and might influence the research results.

Firstly, this research is a three-year PhD project, which must be finished within this timeframe. For the author, this project is the first experience as an inductive researcher, managing field trips into the research context, approaching individual interviewees, and developing a theory based on rigorous analysis processes and methods. It is accepted that, with more time to improve myself and to gain more experience, these processes could probably be better and more efficiently completed.

Secondly, conducting a China-related research project in the University of Sheffield has special advantages, such as the outstanding research facilities and library resources, dedicated supervisor and staff team, and a free and open academic environment, which consists of prestigious scholars and students from all around the world. These advantages cannot be provided by any Chinese universities. However, a problem encountered was that the University of Sheffield library does not include sufficient materials in the Chinese language written by Chinese scholars on Chinese contexts. In this case, it was particularly difficult to conduct the literature review about the Chinese context. In order to resolve this problem, the researcher self-purchased access to one of the most important academic databases, CQVIP ([www.cqvip.com](http://www.cqvip.com)), but had restricted access to databases such as Wanfang and CALIS which are also widely used in China.

Thirdly, although the researcher obtained access to the case-study site and was successful in interviewing 46 healthcare workers and patient relatives, it was extremely difficult to gain access to high-level healthcare politicians in the local administration. Moreover, the researcher was refused access to official government documents in the government archives.

## **1.5. An Overview of Contents**

In order to present all research details and the progression of theory clearly, the thesis uses seven chapters to explain the research processes and findings.

The first chapter, which is this chapter, introduces the background and significance of this project and defines the research questions and objectives.

The next chapter, Chapter 2, reviews existing literature on the research context. To be more specific, this chapter reviews the backgrounds and current developments of TCM and WM in Chinese healthcare organisations. This chapter also discusses the implementation of the patient-centred approach in Chinese hospitals.

The third chapter is also a literature review chapter, which is designed to enhance the theoretical sensitivity. This chapter defines patient knowledge, and reviews definitions, theories, and models of KS in the healthcare environment.

Chapter 4 presents and discusses the research methodology, which consists of two main sections, namely, research philosophy, and research methodology.

On the basis of the discussion in Chapter 4, Chapter 5 presents and discusses the design of this research project. Specifically, this chapter discusses four main issues: case-study, four main research stages, data collection and data analysis.

Chapter 6 is dedicated to discussing the research findings. To be more specific, this chapter discusses five main categories which emerged from the data analysis, namely contextual influences, philosophical issues, Chinese healthcare education, interprofessional training, and hospital management.

After the presentation of research findings, Chapter 7 is the discussion chapter, which aims at conceptualising the research findings, comparing the emerging research model with existing models of KM and KS, identifying implication of findings for the reality of practice, and contribution to knowledge.

The final chapter concludes the thesis and sums up this project. This chapter also points out orientations for future research.

## **2. TCM and WM Collaboration in Chinese Healthcare Organisations**

The literature review for this project has been divided into two sections. The first aims to understand the context and the second aims to understand the theory around knowledge sharing. The strategy behind the literature review presented here is clearly presented and described in Section 5.3.5.1.

This chapter describes and discusses the context of this project. To be more specific, this chapter aims to (1) provide a perspective on the context; and (2) enhance the contextual sensitivity, which is essential to the researcher in order to understand, contextualise, and interpret informants' responses. To achieve these two aims, this chapter discusses five contextual issues: the development and current situation of TCM, the development and current situation of WM, differences between TCM and WM, the structure of the Chinese healthcare system, and the patient-centred approach in Chinese healthcare organisations.

### **2.1. Development and Current Situation of TCM**

According to Hyatt (1978), the origin of Traditional Chinese Medicine (TCM) is usually related to three ancient emperors in Chinese history: Fu Hsi, Shen Nung, and Huang Ti. Fu Hsi, the first Chinese emperor, who began his reign in 2852 BC, is recorded as the formulator of TCM. Fu Hsi was the author of the book I-



Ching (Book of Changes), the first book in Chinese history, which contains some fundamental theories such as Yin-Yang and Ba-Gua, which are the cornerstones of Chinese philosophy and Chinese medicine (Ma, 2009). Shen Nung, the second emperor, is known as the father of agriculture and herbal medicine. His contribution, the book Shen Nung Pen Ts'ao (Shen Nung's Herbal), based on his own experimentation and research on native plants, is the very first reference to herbalism in China (Hyatt, 1978). The third emperor, Huang Ti, is credited with the invention of wheeled vehicles (chariots), ships, the planetarium, cloth clothing, currency, musical notation, and so on. He wrote the book Huang Ti Nei Ching (The Yellow Emperor's Classic of Internal Medicine), which was the most important and the earliest extensive work on TCM (Xu, 2009). However, TCM was most probably developed by the collective knowledge and efforts of Chinese people, rather than these three legendary emperors alone.

The Han Dynasty, which flourished at the same time as the Roman Empire, represents the emergence of China as a world power. All kinds of scientific developments were cultivated and stimulated, including medical studies. In fact, the basic principles of TCM were fostered under the Han Dynasty (Hyatt, 1978).

India was the first country historically to influence Chinese medicine, in the golden age of Buddhism, which was brought into China by Buddhist missionaries in the first and second centuries (Hyatt, 1978). However, the influence of the Indian medical system was not well accepted by Chinese people, though it was not completely unsuccessful (Chi, 1994). In fact, there seem to have been a

number of foreign influences in Chinese medicine. For instance, the critically important theory of Wu Hsing (five elements), both in Chinese culture and medicine, originated in Greece and was transmitted to China by Indian influence (Hyatt, 1978). As Buddhism became gradually accepted, these concepts also became widespread (Chi, 1994). Beside Buddhism, Taoism was the other religion that had profound effects on Chinese medicine, from the formative years directly to the golden age of the Tang Dynasty (Hyatt, 1978). Chinese medicine steadily developed and took a dominant position in the health care system until the end of the Qing Dynasty, the last dynasty in Chinese history, when Western Medicine entered China (Li and Liu, 1992).

TCM dominated the Chinese healthcare system until the early twentieth century. Due to a loss of confidence in Chinese civilisation and its values after a series of defeats and humiliations by Western powers, the entire official health care system turned into modern WM, long before the establishment of the People's Republic of China (Chi, 1994).

TCM was reintroduced into the health system after 1949 by the communist party, mostly for political purposes. As discussed in Chapter 1, the Chinese government used TCM as a strategic tool to distinguish the new communist China from its superstitious and feudal past as well as to illustrate the Chinese cultural heritage. Since then, TCM specialist hospitals, universities and institutions have been set up in various cities in mainland China (Hyatt, 1978). The development of and researches on TCM were greatly improved by the new Chinese government. In

1954, both Traditional Chinese and Western medical communities were asked to collaborate in a study of integration of the two distinct types of medicine, which was unexpectedly successful (Hyatt, 1978). The first mutual understanding between TCM and WM practitioners led to suggestions for the modernising (or developing) of TCM and the emergence of the Integration of Chinese and Western Medicine in the same healthcare system.

The Integration of Chinese and Western Medicine represents a new scientific medical system - distinctively Chinese - incorporating contemporary scientific methods and knowledge into the study, collation and exploration TCM in order to enrich current medical and scientific research (Yan, 2006). Yan (2006) proposes that the basic purpose of research into the integration of TCM and WM is to combine advantages from both medicines and at the same time minimise apparent disadvantages. Even though many TCM professionals and researchers protest that it is virtually impossible to integrate the two very distinctive types of medical philosophy and methodology (Liu, 2003), the movement towards TCM and WM integration has reinforced the coexistence of TCM and WM in the Chinese healthcare system.

It is important to note that some authors regard the modernisation of Chinese medicine and the Integration of Chinese and Western medicine as rather different. Lv (2005) states that the modernising of TCM is the development of traditional theories and methods and would occur even independently from integration with WM; however, the integrating process has accelerated the speed of modernisation.

To support this statement, a number of research studies (e.g. Taylor, 2004; Tian, 2003; Fruehauf, 1999) point out that the Integration of Chinese and Western medicine is one of the products, or one of the divisions, of the modernisation of Chinese medicine.

The definition of Traditional Chinese Medicine (TCM) widely used by current healthcare professionals and academics is: a modernised Chinese medicine, which includes not only the traditional views of TCM based on the accumulation of subjective understandings of healing throughout Chinese history, but also theories, concepts and practices that are newly developed nowadays on the basis of scientific investigation and exploration of ancient understandings (Li, 2009). On the other hand, TCM is no longer just a medicine from the past but is also a medicine of the present as well as a functional medicine that plays an indispensable role in current Chinese medical care together with WM.

## **2.2. Development and Current Situation of WM**

In contrast to TCM's basis in culture, religion and long experience, WM employs a scientific attitude in diagnosis and treatments (Dally, 2003). Unschuld (1985) claims that achievements from intensive and evidence-based scientific research have brought WM to an unchallengeable dominant position in world health care.

In China, WM was first presented in the seventeenth century, to Emperor Kang Hsi (1661–1722) of the Qing Dynasty. However, the emperor considered it to be too revolutionary and a potential threat to the harmony of Chinese civilisation and,

therefore, ordered its suppression (Hyatt, 1978).

Modern WM was only successfully introduced and widely used at the end of the Qing Dynasty when it finally won its dominance in the Chinese public health care system (Chi, 1994). The expansion of Western medical knowledge during the period from the 1840s to the 1940s had an immediate impact on Chinese healthcare theories and practices. Chinese considered modern WM as one of the new Western scientific wonders and viewed it as a sign of modernity and a vehicle to lift China from its feudal and antiquated practices (Unschuld, 1985).

Despite its very high popularity and demand, WM remained a privilege of the upper classes, and access was very difficult for the common Chinese people (Chen, 1989). Moreover, there was very little research and development in WM before the institution of the People's Republic of China. WM was fed mostly from advances made abroad and transferred from health practices developed in the West. In the period immediately after the foundation of the current Chinese regime, major developments in WM were mainly due to the reorganisation during the 1960s of the Higher Education (HE) systems and an institutional structure for teaching and research in WM (Chen, 1989). At the same time, the Chinese healthcare system was being built on the basis of an extensive network of hospitals and clinics, many of which actually provided both WM and TCM practices (Hillier and Jewell, 1983).

In the late 1970s, China entered a new era in its history with a national policy reformation in order to propel economic development (Chen, 1989). In this era, the Chinese healthcare system developed into a systematic structured healthcare organisation covering both urban and rural areas. Remarkably, WM and TCM became inseparably integrated into this structure with the basic intention of uniting the “old-style doctor” and those trained in modern methods, as suggested in the First National Healthcare Conference in 1950 (Hillier and Jewell, 1983). At this point, it is worthwhile to recall that, as explained in Chapter 1, the coexistence of WM and TCM was politically decided and enforced.

Nowadays, TCM and WM healthcare professionals collaborate with each other and provide healthcare services on the basis of a complementary relationship, in which WM takes the primary position, being complemented by TCM as an alternative healthcare therapy. Although the TCM and WM collaboration has been proven beneficial to patients (Taylor, 2004), the collaborative health service provision could be very complicated, because the two types of healthcare professionals adopt very different philosophical perspectives, theoretical foundations, and diagnosis and treatment methods.

### **2.3. Differences between TCM and WM**

The main difference of Chinese traditional medicine in relation to its Western counterpart is its adoption of a holistic concept of healing, which emphasises the integrity of the human body as a whole and its close relationship with the environment (Cheng, 2000). In contrast, WM doctors are more interested in

localised diseases or illnesses and the corresponding part of the human body. WM practitioners aim at healing that specific part of the human body rather than the more general problems of the patients (Dally, 2003).

Moreover, TCM and WM have entirely different conceptual systems. For TCM doctors, the Yin-Yang theory<sup>1</sup> is an ancient Chinese belief and way of understanding the universe and is the most essential theoretical foundation to the practice of TCM (Cheng, 2000). In contrast to TCM, which is based on Chinese ancient beliefs, WM is based on scientific paradigms and evidence-based research and is a combination of modern science and the art of healing (Warrell et al., 2005).

Furthermore, the two types of healthcare methodology have completely different diagnosis methods. TCM doctors follow the ancient theory of Bian-zheng (distinguishing patterns) (Cheng, 2000), which can be generally defined as “the process of identifying the basic disharmony that underlies all clinical manifestation” (Maciocia, 1989: 175). To support the processes of Bian-zheng, TCM doctors apply four diagnosis methods to patients, namely: inspection, listening and smelling, inquiry, and palpation (Wang et al., 2004). Liu (2003) further points out that the TCM diagnosis mainly relies on the doctors’ professional experiences and personal understandings of Bian-zheng. In this case,

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<sup>1</sup> Yin and Yang are “opposite but complementary qualities” (Maciocia, 1989: 1). Yin represents inner and negative principles, such as cold, darkness, or passiveness. On the other hand, Yang stands for outer and positive principles, such as heat, light, stimulation, dominance, and dynamic potential (Eisenberg and Kaptchuk, 2002). Cheng (2000) further points out that Yin and Yang are believed to be two interchangeable qualities of the human body. Therefore, the balance of Yin and Yang is the key factor of human health, and diseases result from imbalance.

it is very common for different TCM doctors to produce totally different diagnoses of the same patient (Liu, 2003). In contrast, WM professionals investigate the problems of patients and make decisions based on the identification of accurate medical evidence and the employment of modern diagnostic technologies, such as x-rays, laboratory tests, and computed tomography (CT) (Fitzgerald, 1990).

Additionally, TCM and WM professionals have very different treatment approaches to dealing with patient problems. In the TCM methodology, there are four main categories of treatments: herbal medicine (oral intake and external use); heat therapy (moxibustion and cupping); massage (oriental massage, Gua Sha and magnets); and acupuncture (Sherman et al., 2005). These methods used by TCM doctors are often considered as too unusual by those WM healthcare professionals who are following the doctrine of modern medical science. To them, patient treatments can be simply divided into two categories, namely: medication and surgery (Goldman and Ausiello, 2008).

Finally, both TCM and WM approaches have different advantages and can be used for different purposes and different patient conditions. It is widely accepted in China that WM is more effective in the acute stage of many diseases and works much faster than TCM in treating these acute diseases (Ma, 1999). However, it is also acknowledged that WM creates more adverse side effects (Kaptchuk, 2000). Nevertheless, healing herbs, acupuncture, massage and other health methods from TCM may sometimes be perceived to be more appropriate in long-term health



promotion, prevention, treatment, and rehabilitation. Moreover, TCM may be used as a last resort, when Western medicine is either too toxic or unable to provide any further expected benefit (Chen, 1989).

Liu (2003) asserts that WM is a *hard science*, whereas TCM is *an empirical [soft] science*. Even though the two approaches are entirely different, the integration of the two healing beliefs into the Chinese healthcare system constitutes a unique therapeutic plurality, which is believed to be beneficial to patients, and which is only presented in the structure of the Chinese healthcare system.

## **2.4. The Structure of the Chinese Health System**

As discussed in Chapter 1, and even though the structure of the Chinese healthcare system was a political decision, the inclusive and fully integrated healthcare system may have its unique advantages. These advantages are maximised by current healthcare practices at multiple levels and distributed through the Chinese healthcare systems. According to “A Health Situation Assessment of the People’s Republic of China”, reported by the UN (2005), the Chinese healthcare system has a dual urban-rural structure, encompassing both medical and preventive care. However, sub-structures for urban and rural sectors are rather different.

In the urban area, hospitals are the centre of patient care services and are organised into a three-layered structure. Third level hospitals are run directly by

the central authorities, with more than 500 beds providing tertiary care. These hospitals are usually equipped with advanced medical staff and equipment. Second level hospitals have 100-500 beds and provide full healthcare services to a district or a clearly defined set of communities. First level hospitals provide the most basic healthcare services of prevention, sanitation and essential medical services for a particular community. Guo et al. (2007) state that in the large majority of these hospitals, from the highest third level to the lowest basic community clinics, a combination of TCM and WM services is offered.

The rural health service system includes three levels: county level, township level and village level. County level facilities are hospitals, TCM clinics, and maternity and children's hospitals. Basic healthcare centres and village clinics provide the health services at both township and village levels. Hyatt (1978) asserts that TCM is more widely used in rural sectors than in the urban sectors. This may be due to the fact that the majority of the rural Chinese population can explain and understand their illness in Traditional Chinese medical concepts rather than in WM ones (Lam, 2001). Another more pragmatic reason may be related to the fact that in general TCM services are cheaper than WM (Zhang et al., 2007). Moreover, poverty is a critical problem in Chinese rural environments (Beach, 2001) and TCM becomes a primary instrument in fighting against many of the poverty-related diseases (Tao, 2005). In support of this, a survey undertaken by Zhang et al. (2007) on township level health services in 2005 found that 73.1 per cent (84 out of 132) of registered health practitioners are certified to practice TCM, 90 per cent (317 out of 352) of clinics have specialised

TCM services, and 34 per cent (14985 out of 44063) of patient treatments were exclusively through TCM.

At the national level, according to official statistics gathered by the National Bureau of Statistics of China in 2005, 3792 (4.64 per cent) out of a total number of 81,742 health service organisations or clinics are exclusively dedicated to TCM services. Out of 4,929,481 healthcare professionals, 465,703 (9.45 per cent) are TCM healthcare providers.

It is clear that the current framework for the Chinese healthcare system tries to integrate the TCM and WM communities and health service professionals. The provision of healthcare services in different levels and types of healthcare organisations should be based on the collaboration of TCM and WM healthcare professionals aiming at serving the centre of patient.

## **2.5. Patient-Centred Approach in Chinese Healthcare Organisations**

The patient-centred healthcare approach is a fundamental requirement for Chinese healthcare organisations and a basic guideline for healthcare practices. This approach has been widely recognised as an essential principle in improving healthcare services, guiding the behaviour of healthcare professionals, and developing a harmonious doctor-patient relationship (Zhong, 2009).

In fact, the concept of patient-centred healthcare is not new in China. As discussed by Yao (2009), in ancient China, medical practices were referred to as “仁术 (ren-shu)”. This term consists of two characters: “仁” means benevolence, which is the ultimate aim for healthcare practices; “术” refers to the actions of medical treatment and care. Additionally, Yao (2009) claims that thousands of years ago Confucius advised that benevolence and patient orientation are fundamental rules for a healthcare professional.

As explained in Chapter 1, in 2006 the Chinese government decided to install patient-centred healthcare into the healthcare system (Zhong, 2009). Thus, the patient-centred approach is politically required to be employed as the ultimate principle which must be followed by all healthcare professionals and healthcare organisations (Hu, 2009).

The patient-centred approach has been intensely discussed by many Chinese health and hospital management researchers. In more detail, the current discussions on this approach are mostly around the three main actors involved, namely, patients, healthcare professionals, and hospital managers.

### **2.5.1. Patients at the Centre of Healthcare**

In the traditional healthcare approach, healthcare professionals have almost complete power over the patients (Li et al., 2009). Very differently, in the patient-centred approach, patients are at the centre of healthcare practices and are the

empowered party. In this case, patients have the liberty to select whatever medical methods they consider appropriate (Yao, 2009).

In more detail, Li et al. (2009) provide a definition of patient rights in Chinese healthcare organisations:

- Patients have the right to be fully informed.
- Patients have the right to choose medical methods.
- Patients have the right to be treated equally to other patients.
- Patients have the right to protect their personality, dignity, privacy, and emotions.

However, in reality, the interests of the patient are probably not very well protected. Cao and Sun (2009) conducted a study which included 20 medical departments and 260 in-patients from a public hospital in North China. Findings of this study show that 18.2 per cent of the patients did not understand the purpose of specific treatments; 14.6 per cent of the patients were not informed about laboratory test results; and 2.2 per cent of the patients did not even know their medical conditions (Cao and Sun, 2009). Additionally, these authors state that health professionals only provide information when patients ask them to do so. These results indicate that healthcare professionals still hold the decisive

power over patients, who are probably not at the centre and whose rights might not be very well protected.

### **2.5.2. The Role of Hospital Management**

Successful implementation of the patient-centred approach depends to a certain extent on the attention and support of hospital management (Zhong, 2009). Liu (2009) and Hu (2009) assert that hospital management must motivate all healthcare professionals to follow this approach, and must integrate this approach into all regulations and protocols, definitions of professional behaviour, and aims and objectives for all hospital operations.

Furthermore, hospital management needs to reform the traditional information management strategies, which currently only aim at assisting the administration and running of the hospital. Information management in a patient-centred hospital environment should be patient-oriented, and should aim at managing patient information and knowledge, such as patient medical information, medical history, personal requirements, and expectations (Zhang and Cang, 2009).

However, many studies (e.g. Zhong, 2009; Yao, 2009; Zhang and Cang, 2009) claim that the patient-centred approach has not been truly implemented, due to hospital management prioritising the pursuit of financial income and profitability. In order to understand this problem, the Chinese context must be explained.

As discussed in Wang (2008), in 1978, the Chinese central government decided to implement a market economy policy, which resulted in a significant market reformation from the planned economy<sup>2</sup> (the communist economic system that was adopted by the PRC for the first thirty years) into the socialist market economy<sup>3</sup>. The market economy policy substantially boosted the Chinese economy and has been widely recognised as one of the most important decisions in the Chinese economic reformation since 1978.

However, this national policy is not flawless (Wang, 2008). Hsiao (1995) and Shao (2007) claim that under the market economy policy, the central government significantly reduced funds and financial support to healthcare organisations and health services. Therefore, hospitals are relying on themselves to support all hospital expenses, include paying tax, updating medical equipment, and providing salaries for healthcare professionals. In these conditions, hospital management is pressed to give more attention to controlling costs and maximising income, but has very little concern about the patient's interests and needs (Zhong, 2009). This author also points out that, to many hospital managers, patients can be seen as service consumers, whilst healthcare professionals are

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<sup>2</sup> Under a planned economy system as defined by the Chinese Government (2006), "industrial production, agricultural production, and the stocking and selling of goods in commercial departments were all controlled by state plan. The variety, quantity and prices in every sphere of the economy were fixed by state planners."

<sup>3</sup> According to Qian and Wu (2000), the goal for the Chinese "socialist market economy" is to achieve the transformation to a market economy. The word "socialist" is an adjective to show special Chinese characteristics and to distinguish the system from the "market socialism" advocated by some Eastern European reformers in the 1970s and 1980s. The Chinese Government (2006) states that the socialist market economic system has now taken shape and will become comparatively mature in 2020.

tools for hospital management to use in pursuing higher financial profits, rather than saving lives and curing patients.

### **2.5.3. The Role of Healthcare Professionals**

Healthcare professionals are the ones who apply patient-centred care to patients (Yao, 2009).

The centre of the discussion that emerges from the current literature focuses on the dialogue between healthcare professionals and patients, in which healthcare professionals are obliged to keep patients and their relatives fully informed (Ju, 2009). Additionally, Huang and Huang (2009) state that healthcare professionals not only need to communicate with patients in a polite and respectful way, but also need to respect the requirements, needs, and social and cultural backgrounds of patients in communication, treatment and periods of interment in hospital.

However, Ju (2009) claims that healthcare professionals do not take communication with patients very seriously. She points out that 30 per cent of nurses did not know how to talk to patients and relatives; 33.3 per cent of nurses choose to ignore patient requirements when they are difficult to achieve; and, finally, 80 per cent of medical accidents resulted from lack of communication. These figures show that healthcare professionals are probably not following the patient-centred approach.



Similarly, Yao (2009) demonstrates the results of a research project conducted by the Chinese Ministry of Health and aimed at evaluating the implementation of patient-centred care in six Chinese provinces. Astonishingly, only 54.11 per cent of healthcare professionals admitted that they were following the patient-centred approach; 46.3 per cent of professionals considered technical skills were the centre of healthcare; 31.34 per cent of them admitted that they were practising medicine for their own benefit (e.g. salary, bonus, career progressions); and 22.56 per cent of them claimed that care should be centred on the development of the hospital. Clearly, the implementation of the patient-centred approach was unsuccessful.

## **2.6. Summary and Discussion**

Despite the significant differences between TCM and WM, the two approaches have been successfully integrated into the current Chinese healthcare structure and form a complementary relationship, in which WM takes the primary position, complemented by TCM. As perceived, the collaboration of the two very different types of healthcare professionals could be very beneficial to patients and should be centred on patients.

All healthcare professionals and healthcare organisations in China are expected to follow the patient-centred healthcare approach. Certainly, TCM and WM healthcare professionals should protect the benefits, requirements, and rights of patients consistently and unconditionally throughout all collaboration stages and processes.

However, the review of literature shows that the patient-centred approach has probably not been successfully adopted and fully implemented in Chinese healthcare services. The rigours and requirements of the patient-centred approach are not fully satisfied by healthcare professionals. Moreover, it is reported that patients are unaware of the patient-centred approach and are almost unable to maintain their rights and requirements when receiving medical services. Additionally, and very disappointingly, the literature review has not found any materials reporting how the central role of the patient is maintained in the collaboration of TCM and WM healthcare professionals.

Furthermore, although, as explained in Chapter 1, the interaction of patient knowledge between healthcare professionals is one of the most essential requirements of patient-centred care, communication and KS between healthcare practitioners in Chinese hospitals are not well reported in existing literature. Thus, despite the recognition of communication problems in TCM and WM collaboration, there is a very limited body of literature discussing these problems and reporting the barriers to communication.

Therefore, at the beginning of this research project, and due to the lack of existing explanatory theory and studies, it is essential to review literature about the definition of knowledge and the definition and models of KS in the healthcare environment, the reality of KS implementation in Chinese healthcare organisations, and KS barriers identified by research studies conducted in the past.

Reviewing these issues has allowed the researcher to acquire a relatively good understanding of the theory around KS, establish the necessary background for the exploration of KS barriers, and enhance theoretical sensitivity. These issues are discussed in the following chapter, Chapter 3.

# 3. Knowledge Sharing in Healthcare Sectors

This research project aims at identifying KS barriers between TCM and WM healthcare professionals in the Chinese healthcare environment. Therefore, it is fundamental to review existing KS and KM literature relating to the healthcare environment. This exercise of literature review has three main purposes: (1) to enhance theoretical sensitivity, which is crucial to the collection and analysis of data and the conceptualisation of research findings; (2) to locate appropriate theoretical frameworks to guide the remaining research stages; (3) to draw indications for the selection of research methodology and for the research design.

In order to achieve these purposes, five areas of concern were reviewed: definition of knowledge, definition of patient knowledge, KS in healthcare organisations, KS models, and KS barriers. These areas are introduced and discussed in detail in this chapter.

## 3.1. Definition of Knowledge

Knowledge has always been valued as the vital element in any society throughout the history of human civilisation. However, never like today have societies accessed, produced and consumed so much knowledge (Chen et al., 2009).

Therefore, it has never been so vitally important as in today's knowledge society to access and share information in organisational settings (Mertins et al., 2003).

Nonetheless, and interestingly, there is still no unified definition of knowledge. This debate dates back to ancient Greece, when Plato made probably the very first attempt to define knowledge, as true and justified belief (Welbourne, 2001; Chen et al., 2009). This definition has evolved into our modern understanding, in which Plato's definition is criticised as an "absolute, static and non-human view of knowledge [...] fails to address the relative, dynamic and humanistic view of knowledge" (Nonaka et al., 2000: 7).

Until now, it is still rather difficult to define knowledge accurately, and currently there are numerous descriptions and definitions for this term (Mertins et al., 2003). According to the existing literature, the current debate on knowledge is mostly around two main issues, the construction of knowledge and the taxonomies of knowledge. These two issues are introduced and discussed in the following two sections (3.1.1 and 3.1.2).

### **3.1.1. Construction of Knowledge**

There are two schools of understanding about the construction of knowledge: an objectivist epistemology of knowledge, and a practice-based epistemology of knowledge.

## Objectivist Epistemology of Knowledge

The objectivist epistemology of knowledge is rooted in the positivism of the mid nineteenth century (Stenmark, 2002). It views knowledge as an object and as an absolute and universal truth. Alavi and Leidner (2001) add that, in this perspective, knowledge is something that can be stored and manipulated and is separated from the knower. From this epistemological stance, knowledge is defined by distinguishing data, information, and knowledge (Alavi and Leidner, 2001):

“Data is raw numbers and facts, information is processed data, and knowledge is authenticated [processed and verified] information.” (Alavi and Leidner, 2001: 109)

Moreover, and based on this definition, several researchers (e.g. Petrides, 2002; Hussain et al., 2004; Mohamed, 2008) assert a progressive continuum from data, through information, to knowledge. This continuum is always represented in a hierarchical diagram as shown in Figure 3.1:

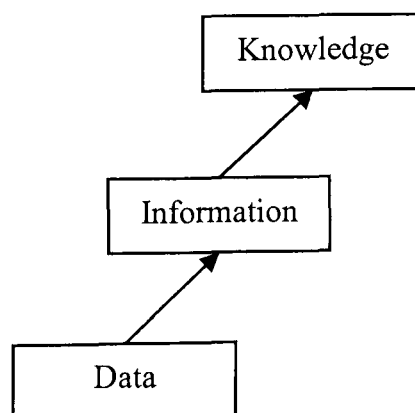


Figure 3.1: The data - information - knowledge continuum diagram  
adopted from Stenmark (2002: 18)

As shown in Figure 3.1, the continuum starts from data. Data become information when they are analysed and placed in a context relevant to the recipient (Stenmark, 2002). Then, information is processed [authenticated] into knowledge “when it is interpreted by individuals and given a context and anchored in the beliefs and commitments of individuals” (Nonaka et al., 2000: 7).

Furthermore, it needs to be emphasised that there are two basic assumptions underlying this continuum diagram (Sheffield, 2008). Firstly, knowledge is objective and is universal. Secondly, the object of knowledge is evolved from two more fundamental objects (data and information) from lower in the hierarchy.

However, the data - information - knowledge continuum diagram is rather reductionist, simplistic, and even misleading. Stenmark (2002) lists three main problems about this diagram. Firstly, this diagram should not be linear, with equal distances between the three elements, which imply the same amount of effort when converting data to information and information to knowledge. Secondly, this diagram shows that data could be converted into information, which then could be transformed into knowledge, but not the other way around; however, this may not be correct. In fact, Tuomi (1999) points out that knowledge should exist before information can be formulated and even should exist before data can be measured or collected to form information. Nevertheless, Stenmark (2002) argues that Tuomi (1999) is also not right, since “it is not the one way or the other way” (Stenmark, 2002: 18). In fact, data, information, and knowledge are interconnected in more complicated ways. Finally, although

knowledge is at the top end of the continuum, it does not mean that knowledge is more valuable than information, which in turn may not be more important than data.

Nevertheless, although the objectivist view has been adopted by a number of KM and KS research studies, this view is challenged by the practice-based epistemology of knowledge (Cook and Brown, 1999; Alavi and Leidner, 2001; Stenmark, 2002; Chen et al., 2009).

### **Practice-based Epistemology of Knowledge**

In the practice-based perspective, knowledge is created, shared, and acquired through interactions with the social and physical world rather than being transferred as an object (Chen et al., 2009). Knowledge in this perspective is described as “a state or fact of knowing”, in which the knowing is a condition of knowledge obtained from experience or study (Alavi and Leidner, 2001: 110).

Stenmark (2002) further argues that this school of understanding is rooted in the critique of the positivism and quantitative approach to science. Knowledge cannot be viewed as an object and cannot be defined universally. In truth, it can only be defined, obtained and shared through practice, through the activities of people and the interactions between individuals (Stenmark, 2002).



Finally, and although the differences between these two epistemological perspectives are significant, Stenmark (2002: 17) argues that the construction of knowledge is perhaps “a little bit of both”.

In this research project, the practice-based epistemological perspective is adopted. This is because, as explained in Chapter 1, patient knowledge is considered as the core for this study, and is accumulated through processes of dealing and interacting with individual patients, and shared between TCM and WM healthcare professionals during collaborative practices of providing medical care to patients.

### **3.1.2. Taxonomies of Knowledge**

As in the debate on the construction of knowledge, there are several very different definitions for the taxonomies of knowledge. For instance, Blackler (1995) defines five different types of knowledge: embrained, embodied, embedded, encultured, and encoded; Choo (2000) identifies three types of knowledge: explicit, tacit, and culture knowledge; Boisot (1995) categorises knowledge into proprietary, public, personal, and commonsense knowledge; Cook and Brown (1999) propose explicit, tacit, group, and individual knowledge. Nonetheless, the commonly agreed definition, widely used in the knowledge management field, is provided by Nonaka (1994), who divides knowledge into two forms: tacit and explicit knowledge.

## **Tacit Knowledge**

All knowledge is either tacit or rooted in tacit knowledge (Polanyi, 1966). This type of knowledge is defined thus:

“Tacit knowledge is highly personal and hard to formalize, making it difficult to communicate or to share with others. Subjective insights, intuitions, and hunches fall into this category of knowledge. Furthermore, tacit knowledge is deeply rooted in an individual’s action and experience, as well as in the ideals, values, or emotions he or she embraces.” (Nonaka and Takeuchi, 1995:8)

To be more concise, Salmador and Bueno (2007) state that tacit knowledge comprises values, ideas, customs, routines, emotions and experiences. This type of knowledge is embedded in people’s heads and is ‘spoken understanding’ rather than written down in a document or recorded in a database (Servin, 2005).

## **Explicit Knowledge**

Very different from tacit knowledge, explicit knowledge can be externalised and formalised, and is much easier to share with others. Explicit knowledge is defined thus:

“[...] it is a view of knowledge as necessarily “explicit”-something formal and systematic. Explicit knowledge can be expressed in words and numbers, and easily communicated and shared in the form of hard data, scientific

formulae, codified procedures, or universal principles.” (Nonaka and Takeuchi, 1995:8)

Explicit knowledge can be expressed in language, data, instruction manuals and other documents and records. It is easier to share and transmit from individual to individual, as well as from organisation to organisation (Salmador and Bueno, 2007). Moreover, Servin (2005) further divides explicit knowledge into structured explicit knowledge (such as documents, databases and spreadsheets) and unstructured explicit knowledge (such as e-mails, images, training courses, and audio and video archives).

Despite the great differences, tacit and explicit knowledge are complementary to each other, as discussed by Nonaka et al. (2000: 8): “Explicit knowledge without tacit insight quickly loses its meaning. Written speech is possible only after internal speech is well developed.” Both types of knowledge are fundamental to KM and KS. In fact, Nonaka and Takeuchi (1995) stress that continuous transfer of knowledge between tacit and explicit becomes a constant job in KM.

Nevertheless, the definition, construction, and taxonomy of knowledge discussed here always need to be embedded in models of knowledge management adopting either objectivist or practice-based epistemological views of knowledge. Nonetheless, the explicit-tacit knowledge taxonomy is used in this study to define patient knowledge shared between TCM and WM healthcare professionals. Finally, and based on this knowledge taxonomy, definitions of both explicit and

tacit patient knowledge used in this research are presented and discussed in the following section, Section 3.2.

## **3.2. Definition of Patient Knowledge**

Steward (2001: 444) emphasises that “definitions of patient-centred care seek to make the implicit in patient care explicit”. This implies, as pointed out by this author, that healthcare professionals need to explicitly identify knowledge about individual patients, including patient background, and implicit and explicit requirements and expectations.

However, patient knowledge has not been very clearly defined and is usually generally treated as a type of healthcare knowledge. Therefore, the following two sections (3.2.1 and 3.2.2) define explicit and tacit patient knowledge by reviewing existing literature on healthcare knowledge.

### **3.2.1. Explicit Patient Knowledge**

There are three types of explicit healthcare knowledge identified by the Department of Health UK and reported in the official government document “Information for Health: An Information Strategy for the Modern NHS” (Department of Health, 1998). These three types of explicit knowledge are: (a) knowledge about patients; (b) medical knowledge; and (c) knowledge to underpin clinical practice evaluation, planning and research, clinical governance, and continuing professional development. However, this definition is very concise and too simplified for the needs of this research project.

A much more inclusive definition is developed by Smith (1996), based on Gorman (1995)'s work on the information needed by physicians. The Smith (1996)'s definition of explicit healthcare knowledge is presented in Table 3.1:

Type of Healthcare Information	Source
On particular patient	Patient; patient's family, referring doctor, rest of health team, patient record, laboratory data
Data on health and sickness within local population	Public health departments
Medical Knowledge	Textbooks, journals, electronic databases, many other sources
Local information on doctors available for referral, etc	Local sources
Information on local social influences and expectations	Local sources
Information on scientific, political, legal, social, management, and ethical changes that will affect both how medicine is practiced in a society and how doctors will interact with individual patients	Diverse sources: local, national, and international

Table 3.1: Categories of information needed by doctors based on Smith (1996: 1063).

Analysing Table 3.1, and synthesising and refocusing the definition into the context of patient-centred healthcare, explicit patient knowledge consists mostly of one type of knowledge, which can be recorded explicitly and used for diagnosing and treating patients from a pure medical technical perspective. Therefore, this type of knowledge has been defined for the purpose of this research as technical knowledge. The definition of technical knowledge is shown in Chapter 1 and also presented here:

Technical Knowledge includes identification of patient conditions and problems, reasons and objectives of patient care, patient background, agreement to treatment strategy, and explicit patient requirements and needs

As discussed in Chapter 1, technical knowledge can be acquired by consulting and interacting with patients. Moreover, technical knowledge is relatively easily located, shared and stored, and is usually kept in the patient records.

### 3.2.2. Tacit Patient Knowledge

When compared with explicit knowledge, up to 80 per cent of organisational knowledge is in the tacit form (Eardley and Czerwinski, 2007). Moreover, these authors further point out that the percentage of tacit knowledge is probably even higher in healthcare organisations (for example in NHS England). Therefore, managing tacit knowledge in the healthcare environment is probably more important than managing explicit knowledge (Srikantaiah and Koeing, 2000; Bouthillier and Shearer, 2005; Gabbay and le May, 2004).

Fennessy and Burstein (2007:30) propose four types of tacit knowledge needed by healthcare professionals:

<b>Types of Tacit Knowledge</b>	<b>Descriptions</b>
Empirical knowledge	Usually owned by professionals, such as physiology
Process knowledge	About how to get things done and how the health process operates

Control knowledge	About dealing with feelings and emotions, designed to be used in ethical ways
Knowledge of people	Concerned with anticipating how others will behave

Table 3.2: Categories of healthcare tacit knowledge based on Fennessy and Burstein (2007:30).

Further developing the definition presented in Table 3.2, and according to the aim of this research project, there are two main types of tacit patient knowledge that are identified in the literature and then articulated and defined in this study, namely ethical and emotional knowledge, and social and behavioural knowledge. The two types of tacit patient knowledge are defined in Chapter 1 and repeated here:

- Ethical and Emotional Knowledge is about ethically dealing with patient feelings, emotions, and psychological status; approaches to communicating with, persuading and managing individual patients; and maintaining trusting and collaborative professional-patient relationships.
- Social and Behavioural Knowledge is concerned with anticipating how others will behave, perception of patients' implicit requirements, behaviours and reactions, and expectations.

Also, as discussed in Chapter 1, since the ethical and emotional knowledge and social and behavioural knowledge are very difficult to share and are critically

important to the provision of patient-centred health services, this project only focuses on the sharing of both types of tacit patient knowledge between TCM and WM healthcare professionals in their patient-centred collaborations.

### **3.3. KS in Healthcare Organisations**

The value of knowledge increases during the processes of sharing (Sawhney and Prandelli, 2000). It has been widely recognised that KS is an effective strategy to establish competitive advantages for all types of organisations, including those in the healthcare sectors (McEvily, 2000).

This section defines KS in the context of healthcare organisations, discusses the demand for KS in health services, and describes the current situation of KS in Chinese healthcare organisations.

#### **3.3.1. Definition of KS in Healthcare Organisations**

KS can be simply understood as the behaviour of making knowledge available to others (Ipe, 2004). Lee (2001) proposes that KS is the activity of transferring or disseminating both explicit and tacit knowledge between people, groups, or organisations. Moreover, Al-Hawamdeh (2003) defines KS in more detail:

“Knowledge sharing, in its broadest sense, refers to the communication of all types of knowledge, which includes explicit knowledge or information, the ‘know-how’ and ‘know-who’ which are types of knowledge that can be documented and captured as information, and tacit knowledge in the form of skills and competencies.” (Al-Hawamdeh, 2003: 81)



However, despite the fact that these definitions have accurately defined KS, they have done so in a very broad organisational context and can be evaluated as too general for this research project. Consequently, it is necessary to define KS in healthcare organisations.

Ryu et al. (2003) provide a definition of KS in the healthcare setting:

“Knowledge sharing in healthcare is the degree to which physicians actually share their knowledge with their colleagues for professional tasks”. (Ryu et al., 2003: 114)

Nevertheless, this definition is too simplistic and too vague to define the processes and activities of KS.

A much more comprehensive and accurate definition for KS in the healthcare context is provided by Abidi (2007):

“Healthcare knowledge sharing can be characterised as the explication and dissemination of context-sensitive healthcare knowledge by and for healthcare stakeholders through a collaborative communication medium in order to advance the knowledge quotient of the participating healthcare stakeholders.” (Abidi, 2007: 69)

This definition implies five key factors in healthcare knowledge sharing:

1. what to share: context-sensitive healthcare knowledge, which includes both explicit and tacit knowledge;
2. how to share: explication and dissemination;
3. who it may concern: healthcare stakeholders;
4. communication channel: collaborative communication media;
5. why to share: advancing the knowledge quotient.

According to the above factors, KS adopted by this research project can be defined as the interaction of ethical and emotional knowledge and social and behavioural knowledge about individual patients between WM and TCM healthcare professionals through collaborative communication channels, in order to provide the best possible healthcare services to patients, to improve the quality of patient care, and to achieve patient satisfaction.

Moreover, as reported by a number of studies identified in the literature, there are clear and important demands for KS in the patient-centred healthcare environment. These demands are described and discussed in Section 3.3.2.

### **3.3.2. The Demand for KS in Patient-centred Health Services**

The patient-centred approach, as discussed in Chapter 2, has been widely adopted in a number of countries and often employed as the guiding principle for

healthcare professionals to enact their ethical rules, concepts, and senses (McGrath et al., 2006). The patient-centred approach requires healthcare providers to interact and share knowledge with one another continuously (Van Beveren, 2003; D'Amour and Oandasan, 2005; Delva et al., 2008; Maizes et al., 2009), in order to “reconcile their differences and their sometimes opposing views” (D'Amour and Oandasan, 2005: 9). In fact, KS has been widely recognised as a necessary foundation to the provision of patient care, as there are those who advocate that KS has a greater potential to improve the healthcare of patients than any drug or technology likely to be developed in this decade (Brice and Gray, 2003).

According to the existing literature, there are three main problems existing in the healthcare environment which urgently need to be resolved by the implementation of KS, namely: overload of healthcare information, disconnection between medical research and the reality of health practice, and prevention of repeating medical errors.

The demand for effective KS in the patient-centred healthcare environment is due to overload of healthcare information (Pavia, 2001). As indicated by Sharma et al. (2005), the domain of medical knowledge has been expanded to a degree that the human mind cannot manage. Dwivedi et al. (2007) add that, since 1996, the National Library of Medicine's Medline database has included 4500 journals in 30 languages. Until 2002, Medline contained 11.7 million citations, and, on average, about 400,000 new entries were being added per year. Dwivedi et al.

(2007) claim that it would take 1000 years to get up to date with the new literature added every year. Therefore, due to the unmanageable amount of healthcare information and knowledge, it is often the case that “those who [healthcare professionals] complain about the information overload are the same people who complain about never being adequately informed” (Gray, 1998: 832). More dangerously, some knowledge in doctors’ heads is either out of date, or wrong (Smith, 1996), since it is very difficult for health practitioners to find the right knowledge for their needs.

The improvement of patient services is impeded by serious disconnections between the worlds of medical research and health practices (Lomas, 2007). Therefore, Lomas (2007) and Andrew et al. (2001) emphasise that it is extremely important to connect the two worlds by establishing KS strategies.

Finally, KS is critical in ensuring patient safety by preventing medical errors from being repeated. De Brún (2007) claims that healthcare organisations need to establish KS strategies and processes to store and share lessons and experiences learnt from previous errors. Only by sharing these lessons can the same errors be prevented from recurring.

As discussed above, and since KS is considered to be critically important to health services and very beneficial to patient care, concepts of KS have been widely adopted in hospitals. However, and according to the aim of this research project, these problems and demands for KS are mostly reported in research

studies conducted in Western countries. Thus, it is necessary to review literature reporting implementation, processes and problems existing in KS within the context of Chinese healthcare organisations.

### **3.3.3. KS in Chinese Healthcare Organisations**

Although KS is a relatively new concept to Chinese healthcare organisations, it has been credited as extremely important to the quality of health services (Song et al., 2006; Chen et al., 2009; Chen, 2009; Zhang and Li, 2006; Yang et al., 2006). Moreover, and in truth, KS in China has an additional expectation, which is to be a tool to gain a competitive edge and to enhance profitability<sup>4</sup> (Yan, 2009; Li and Wang, 2008; Du and Sun, 2005; Li et al., 2008).

According to the existing literature, there are five main strategies which have been commonly used for the establishment of KS in Chinese hospitals. These strategies are synthesised as follows:

1. Changing hierarchical hospital structure: most Chinese hospitals have highly hierarchical organisational structures (Rong et al., 2005). As reported by these authors, some Chinese hospitals have attempted to abandon the traditional structure and were adopting a two-layer structure consisting of the hospital management and medical departments. This is

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<sup>4</sup> As introduced in Section 2.5.2, due to the implementation of the market economy policy, the central government significantly reduced funds and financial support to healthcare organisations. Therefore, hospitals need to compete with each other, and are relying on profits made from patient services to support all hospital expenses, including paying tax, updating medical equipment, and providing salaries for healthcare professionals. In these circumstances, hospital managements are pressed to use strategies (such as KS) to maximise incomes.

because, as explained by Bian et al. (2008), this structure encourages the collaboration of medical departments, and intra- and inter-departmental communication.

2. Establishing KS culture: many hospitals have attempted to cultivate an organisational culture to encourage KS and value sharing behaviours (Zhang and Li, 2005; Yang, 2009; Bian et al., 2008; Rong et al., 2005).
3. Building a learning organisation: a number of professional training strategies, such as lectures, seminars, and online courses, have been adopted as common approaches in Chinese hospitals. In addition, many studies advocate building apprenticeship and mentorship relationships between experienced and relatively junior professionals (e.g. Rong et al., 2005; Cheng, 2008; Bian et al., 2008; Chen, 2009). For instance, Chen (2009) highly values the apprenticeship type of training. She claims that only in this approach can the accumulated experiences of senior professionals be shared with junior professionals.
4. Implementing information and knowledge systems: a number of existing Chinese literature propose that the information and knowledge systems are an essential platform for KS (Yang, 2009; Zhou and Liu, 2007; Guo, 2005; Du and Sun, 2005). Zhou and Liu (2007) report a case study in which an information system was evaluated as capable of increasing communication and KS between medical departments and between

professional teams. Additionally, Yan (2009) and Yang et al. (2006) claim that a large number of hospitals are implementing some kind of information system. In order to support learning and KS, many of them have purchased online knowledge bases.

5. Using hospital libraries: currently, the hospital library is the main source for professionals seeking medical knowledge. In this case, effective management and use of the hospital library can increase the sharing of professional knowledge (Liu et al., 2007). However, as discussed by Li and Li (2006), Liu et al. (2006), and Cao and Wei (2006), hospital libraries mainly focus on providing explicit professional knowledge, whereas tacit knowledge is ineffectively managed and very often overlooked.

Chinese hospitals are making efforts to manage knowledge, but KS cannot be considered to have been very well implemented (Yang, 2009). Li et al. (2008) and Yan (2009) point out that KS in Chinese hospitals is generally at a beginning stage. Many hospitals are still experimenting with new KS strategies and exploring necessary KS tools.

Li et al. (2008) propose a possible explanation for the slow development. They point out that many hospital managers employ a very short-term view, in which they put more resources and attention into increasing the financial income,

purchasing new hi-tech medical equipment, and constructing new buildings. KS, therefore, is very often not prioritised (Li et al., 2008; Yan, 2009).

It is very important to note that the findings of the literature review show that KS in Chinese healthcare organisations has not been very well investigated. Also, the literature review reveals that potential KS problems have been neither adequately identified and reported nor studied in depth. In fact, the majority of existing studies on KM and KS in Chinese hospitals are conceptual works without empirical data collected in the real hospital environments (e.g. Bian et al., 2008; Zhang and Li, 2005; Yang, 2009). Therefore, the lack of an existing body of knowledge about KS in Chinese hospitals points to two important indications for this research project: firstly, it is very difficult to establish a based theory to guide data collection and to frame data analysis; secondly, this study needs to adopt an inductive approach, and aims to develop a theory, which should be grounded in the data collected in the context of Chinese hospitals.

### **3.4. KS Models**

Based on the definition of patient knowledge and the discussion on KS in Chinese hospitals in Section 3.2 and 3.3, this section reviews and discusses four KS models, which are evaluated as fundamental for this project. Firstly, the SECI model is introduced and discussed. This model, developed by Nonaka and Takeuchi (1995), is one of the most frequently cited works in the field of KM (Grant, 2007). Secondly, Cook and Brown (1999)'s practice-based KS model is reviewed. It needs to be noted that both of these models are based upon the



practice-based view of knowledge. Very different, and derived from the objectivist view of knowledge, de Lusignan et al. (2002)'s model is described and discussed. Finally, concerning the Chinese healthcare environment, a Six-C KM Model developed by Li (2005) is presented.

### 3.4.1. SECI Model

SECI stands for socialisation, externalisation, combination, and internalisation, which are claimed by Nonaka and Takeuchi (1995) to be the four stages of knowledge conversion between the tacit and explicit knowledge forms. It is believed that, through these four stages, both forms of knowledge are shared between individuals (Sharif et al., 2005). This model is presented in Figure 3.2:

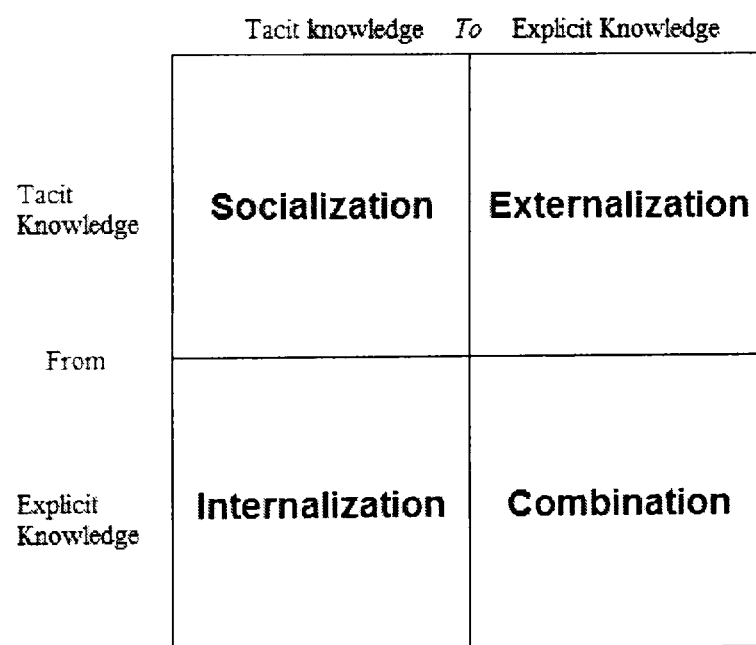


Figure 3.2: Four modes of knowledge conversion adopted from Nonaka and Takeuchi (1995: 62).

As shown in Figure 3.2, these four stages can be explained as follows (Nonaka and Takeuchi, 1995; Choo, 1998; Nonaka et al., 2000; Vasconcelos, 2008):

- **Socialisation:** from tacit to tacit. A process of creating new tacit knowledge through social interaction to share knowledge and experience. This type of knowledge conversion usually takes place in informal non-work social meetings. Socialisation can also occur in the traditional master-apprentice type of learning, in which apprentices learn the craft of their masters through observation, imitation and practice.
- **Externalisation:** from tacit to explicit. A process of articulating tacit knowledge into explicit knowledge, through storytelling and the use of metaphors, analogies, and models.
- **Combination:** from explicit to explicit. A process of creating new explicit knowledge by combining or merging explicit knowledge, which is gathered from both inside and outside the organisation.
- **Internalisation:** from explicit to tacit. A process of internalising explicit knowledge into personal tacit knowledge. In this process, explicit knowledge is internalised to become part of individuals' tacit knowledge in forms of shared mental models and know-how.

These four modes of conversion cannot be taken as independent, as in fact they are highly inter-dependent. Each mode relies on, contributes to and benefits from other modes (Alavi and Leidner, 2001). Additionally, Nonaka and Takeuchi

(1995) propose that the continuation of the four modes of knowledge conversion should be viewed in a spiral, in which knowledge is continuously transformed, amplified, created and shared (Vasconcelos, 2008).

To contextualise this model, Nonaka and Takeuchi (1995) raise an example of designing a bread-making machine at Matsushita. Vasconcelos (2008) provides a very good synthesis and explanation of this example:

“A product development engineer at this company suggested that the development team learned about the process of kneading dough, by observing what the bakery team at the Osaka International Hotel, renowned by baking the best bread in Osaka, did. She joined the team as an apprentice and as she learned to make bread, noticed that the head baker used a particular technique of stretching the dough while kneading it (socialisation). She then translated this technique into what the design team at Matsushita should do, using the expression “twisting stretch” (externalisation). The team codified this knowledge and integrated it with their knowledge about bread making machine design through processes and through the development of prototypes (combination). Finally, the team internalised processes and principles about bread-making machine design (internalisation).” (Vasconcelos, 2008: 430)

Nevertheless, and despite the fact that Nonaka and Takeuchi’s study (1995) is one of the most frequently cited works in KM (Grant, 2007), the SECI model is blindly used as a spine in an increasing number of KM research studies and

business activities without necessarily questioning the construction of this model with sufficient supporting empirical evidences (Gourlay, 2003). Moreover, Vasconcelos (2008) comments that this model is very controversial, since it is particularly difficult to define how tacit knowledge can be transferred between individuals. Furthermore, this model ignores the contextual influences involved in the social interactions (Vasconcelos, 2008). Because of these criticisms, and despite the fact that the SECI model is very interesting and influential in the field of knowledge management, this project has carefully decided to reject this model.

### **3.4.2. Practice-based KS Model**

Very different from Nonaka and Takeuchi, the practice-based KS model developed by Cook and Brown (1999: 381) defines the activity of KS as “the generative dance between knowledge and knowing”, in which knowledge is something people possess and knowing is something people do (Matsuo and Easterby-Smith, 2008).

Moreover, the practice-based model stresses knowing as a tool for the processes of knowledge acquisition through interactions between the individual and the world (Cook and Brown, 1999; Matsuo and Easterby-Smith, 2008; Vasconcelos, 2008). This model stresses that the generation of knowledge is in the individual’s own hands (Vasconcelos, 2008). On this very point, Cook and Brown (1999: 397) question the SECI model, claiming that “it is not possible, under any circumstances, for tacit knowledge to become explicit (or vice versa)”. To support this argument, Matsuo and Easterby-Smith (2008) raise a very interesting

example: an individual can only learn to drive a car by direct experience. The learning processes can be supplemented by reading textbooks and attending lectures, which, however, cannot be substituted for the direct experience.

Further challenging the SECI model, the example of the bread-making machine used by Nonaka and Takeuchi (1995) is interpreted very differently by Cook and Brown (1999). They explain that this example exemplifies the interaction between what is known and knowing by drawing both simultaneously, instead of tacit and explicit conversion took place (Vasconcelos, 2008).

In addition, Cook and Brown (1999) support their point of view by offering another example of three flute companies in Boston. This case study is concisely summarised by Vasconcelos (2008):

“These flute workshops, based in Boston, produce world-class flutes using very skilled craftsmanship. Each flute is produced by a specific team and each flute maker is responsible by only one part of the flute. Each part is developed by a flute maker until it meets a standard of quality, after which it is handed in to the next flute maker, who assesses the work in terms his or hers own set of standards. If the part does not “feel right” it is returned for further work. Each component is validated by the next stage and this work and is often assessed by eye or by hand.” (Vasconcelos, 2008: 431)

This example shows that tacit knowledge was produced through the interactions between the flute makers and the flute-making processes during flute production.

Furthermore, it shows that tacit knowledge cannot be, at least, fully converted into explicit (Vasconcelos, 2008).

However, without going deeper into a discussion of the different points of view adopted by the two KS models, the criticism raised by Cook and Brown (1999) against the SECI model is irrelevant to this project. It is because, as discussed above, the SECI model is not going to be used in this project.

Also, this practice-based KS model is evaluated as inappropriate for use in this research project. The main reason is that Cook and Brown (2009: 381) define “four distinct and coequal forms of knowledge”, namely, explicit, tacit, individual and group. This definition conflicts with the explicit-tacit taxonomy of knowledge employed by this project and adopted by the majority of research studies in the field of knowledge management, as confirmed by an extensive literature review.

Finally, both this practice-based KS model and the SECI model which has already been discussed are not related to the healthcare environment. The next section (3.4.3) discusses a healthcare KM model developed by de Lusignan et al. (2002).

### 3.4.3. KM Model for Primary Care

For the healthcare environment, de Lusignan et al. (2002) have developed a model for managing knowledge in primary care. This model is shown in Figure 3.3.

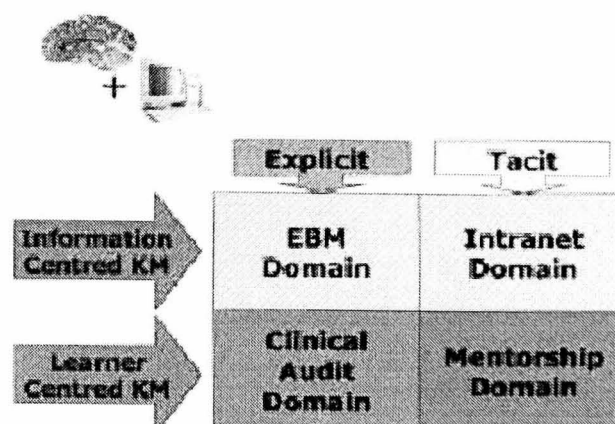


Figure 3.3: Model for knowledge management in primary care  
adopted from de Lusignan et al. (2002: 301)

As shown in Figure 3.3, the x-axis of this model represents explicit and tacit forms of knowledge. The y-axis includes two main KM approaches, namely, information-centred approach and learner-centred approach. The information-centred approach focuses on disseminating existing knowledge, whereas the learner-centred approach aims at encouraging learning (de Lusignan et al., 2002).

Furthermore, the four elements formulate a two-by-two matrix consisting of four domains of KM activities, which are introduced as follows:

- Evidence-Based Medicine (EBM) <sup>5</sup> Domain: concerned with using information and communication technologies to share explicit knowledge.
- Intranet Domain: using online informal activities and communities of practice to support KS and learning. This approach could be very effective for sharing tacit knowledge.
- Clinical Audit Domain<sup>6</sup>: clinical audit “is used to improve aspects of care in a wide variety of topics. It is also used in association with changes in systems of care, or to confirm that current practice meets the expected level of performance” (NHS, 2002). By continuous evaluation, individual healthcare professionals are encouraged and motivated to improve their practice of medicine, in order to achieve the expected level of performance.
- Mentorship Domain: focuses on the sharing of tacit knowledge from experienced to less experienced healthcare professionals.

However, even though this model is very interesting, it is heavily IT-centred.

Hence, this model is very difficult to use in this study, because hospitals in China

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<sup>5</sup> Evidence-Based Medicine is defined as “the process of systematically finding, appraising and using contemporaneous research findings as the basis for clinical decisions” (Rosenberg and Donald, 1995: 1122).

<sup>6</sup> According to the National Health Service (NHS) in the UK, clinical audit is defined as “a quality improvement process that seeks to improve patient care and outcomes through systematic review of care against explicit criteria and the implementation of change” (NHS, 2002).



usually do not have such comprehensive IT infrastructures as those that have been implemented and utilised in hospitals in the West, where this KM model was developed. Finally, in accordance with the aim of this research project, and to relate the discussion to the context of Chinese healthcare, Li's (2005) Six-C KM model is introduced and discussed next.

#### **3.4.4. Six-C KM Model**

Li (2005) proposes a Six-C KM model which consists of six key determinants to achieve successful KM in Chinese healthcare organisations. These six determinants are connectivity, content, community, culture, cooperation, and commerce:

- **Connectivity:** it is necessary to establish ICT infrastructure aimed at supporting the creation, storage, transfer and utilisation of knowledge. Moreover, the ICT infrastructure provides very good communication channels to connect individual healthcare professionals and those geographically distributed medical departments.
- **Content:** it is extremely important to identify different types of knowledge according to the local context and patient requirements. Only on the basis of this identification can KM strategies be established.

- Community: for the purpose of KM, both formal and informal communities need to be established to enhance face-to-face communication between healthcare professionals.
- Culture: successful KM needs to be facilitated by a compatible organisational culture, which must be carefully cultivated, monitored, and controlled in accordance with KM strategies and changes of hospital environment.
- Collaboration: KM demands collaborations, not just inside the hospital environment, between individual healthcare professionals, medical professional teams, and medical departments, but also outside the hospital environment, in alliances with other hospitals, research institutions, and universities.
- Commerce: in order to achieve the successful KM, it is necessary to develop specific motivational and incentive strategies.

This KM model includes six critical factors for the establishment of KM in Chinese healthcare organisations and is compatible to the Chinese healthcare environment, as claimed by Li (2005). The factor “collaboration” is of particular interest to this project, which investigates KS in TCM and WM collaboration. Nevertheless, it is argued here that the determinants included in this model are in no way different to the ones identified in the West. In fact, none of these critical

factors reflects any Chinese characteristics and conditions. Moreover, Li (2005) provides no empirical evidence to support or to justify his processes of model development. Therefore, there are concerns about the validity of this model, and it has therefore been decided not to use this model in this research project.

Therefore, to summarise the discussion about the four KS models selected and presented, none of them can provide complete explanations on the processes of KS and problems in communication between TCM and WM healthcare professionals in Chinese hospitals. This suggests that new models for this context need to be formed inductively. This thesis aims at contributing with such a model for KS barriers.

### **3.5. KS Barriers**

Despite the great importance of sharing knowledge, it is well reported that the processes of KS are often impeded by different types of barriers. Because this project aims at identifying barriers to sharing patient knowledge in the collaboration of WM and TCM healthcare professionals, this section reviews KS barriers reported in the existing literature for two purposes: (1) to identify an appropriate theoretical framework to guide the remaining research stages; (2) to enhance the theoretical sensitivity.

For business organisations, a very inclusive framework of KS barriers has been developed by Riege (2005), based on an extensive and systematic review of literature. This study not only identifies a large number of KS barriers, but also

categorises these barriers into three dimensions: individual barriers, organisational barriers, and technological barriers. Nonetheless, it is perceived that this framework can provide very few theoretical indications to this research project, since Riege's (2005) framework was developed for business organisations. This type of organisation aims at maximising business profits. It is very different from healthcare organisations, which mostly aim at providing satisfactory and safe healthcare services to patients. Therefore, KS barriers in Chinese healthcare organisations are probably very different from the ones identified by Riege (2005)'s framework.

Moreover, some studies have investigated KS barriers in healthcare settings (e.g. Van Beveren, 2003; Nicolini et al., 2008; Lin et al., 2008; De Brún, 2007; Currie and Suhomlinova, 2006; Currie et al., 2007). Probably, the most comprehensive framework was developed by Nicolini et al. (2008: 255), based on a broad review of literature including 178 articles relevant to KM in healthcare. Their framework includes seven barriers to successful healthcare knowledge management:

- Over management and interference from the political sphere;
- clinical managerial conflict;
- professional barriers;
- lack of trust;
- poor quality relationships;

- insufficient technological skills;
- lack of strategic breadth and leadership.

Nevertheless, these barriers identified in Western healthcare organisations in the Western healthcare environment may not be present as barriers in Chinese healthcare organisations and are found in homogeneous medical groups, not in the context of collaboration between two heterogeneous medical groups which is the focus of this research study. Therefore, it was carefully decided not to include this framework as a theoretical foundation for this project. This is because, as discussed by some studies (e.g. Ford and Chan, 2003; Tong and Mitra, 2009), KS barriers are very often highly context-attached and become less significant once they are taken off from the original environment. For instance, Tong and Mitra (2009) point out that some Chinese cultural traits can be evaluated as KS barriers in Chinese organisations, such as hierarchy consciousness, fear of losing face, and sense of modesty, but would not necessarily be the same in the West. However, the search of the literature did not find any studies reporting on KS barriers in Chinese healthcare organisations.

Additionally, Lin et al. (2008) have developed a framework of knowledge flow barriers based on investigating seven hospitals located in different parts of Taiwan, where the social context might be similar to that of mainland China. This framework is shown in Table 3.3:

ID	Knowledge Flow Barriers
1.	The knowledge source wants to maintain his prestige.
2.	The knowledge source wants to maintain his competence.
3.	The knowledge receiver doubts whether the knowledge is updated.
4.	The knowledge receiver lacks absorptive capacity.
5.	The knowledge receiver lacks a positive attitude.
6.	The NIH syndrome.
7.	It is difficult to concretely express medical knowledge.
8.	The uncertain nature of medical knowledge.
9.	The complex nature of medical knowledge.
10.	It is difficult to standardize medical knowledge.
11.	The knowledge lacks evidence.
12.	Physicians lack time for knowledge flow.
13.	Poor relationships between the knowledge source and the knowledge receiver.
14.	Lack of communications between the knowledge source and the knowledge receiver.
15.	Knowledge sources/knowledge receiver don't know the other end of the knowledge flow.
16.	Lack of a knowledge sharing culture among peers.
17.	Lack of rewards and incentives towards knowledge flow.
18.	Lack of performance appraisal concerning knowledge flow.
19.	Lack of leadership for promoting knowledge flow.
20.	The large distance between the echelons of knowledge sources and receivers.
21.	Too many medical specialties.
22.	Lack of sufficient mechanisms of knowledge flows.

Table 3.3: Framework of knowledge flow barriers in healthcare organisations in Taiwan based on Lin et al. (2008: 338).

However, although the identification of knowledge flow barriers could be relevant to this project, it was decided not to adopt this framework for the exploration of KS barriers in this research. This decision was made for two main reasons. Firstly, although Lin et al. (2008) provide no clear information, it is presumed that all interview participants were from the biomedical discipline (WM in this research project). That is very different from this study, which investigates the interaction between two very distinctive types of healthcare

professionals. Secondly, the validity and reliability of this framework are questioned. Lin and his colleagues attempt to study the knowledge flow. However, they not only fail to define clearly what knowledge flow is, but also use the terms 'knowledge flow', 'KS' and 'KM' interchangeably in their publication. Moreover, some of the barriers are questionable. For instance, barrier eight "the uncertain nature of medical knowledge" and barrier nine "the complex nature of medical knowledge" are very vaguely differentiated and could be repeating each other.

Consequently, on the basis of an extensive search of literature in both English and Chinese languages, it is concluded here that very few existing studies can provide insightful indications to this research project. Moreover, there are no conceptual frameworks that can be evaluated as sufficient to be adopted as the theoretical framework to guide the remaining research stages.

In this case, instead of deductively finding and testing an existing theory in the research context, it is more appropriate to inductively develop a theory, which is explainable and applicable to the KS problem in Chinese healthcare organisations. Moreover, as informed by the literature review, it is very difficult to form an in-depth and concrete theoretical foundation and to establish a theoretical framework to guide data collection and analysis and theory development in the remainder of the research. Therefore, it is more realistic to adopt a grounded approach, in which the theory would emerge from collected data.

## 3.6. Conclusion

This chapter reviews the definitions and concepts of knowledge, patient knowledge, KS and KM in the healthcare environment, KS models and KS barriers. This literature review was carried out for three purposes, namely: enhancing the contextual and theoretical sensitivity, locating appropriate theoretical frameworks to guide the remainder research stages, and drawing indications for the selection of research methodology and for the research design.

The existing literature was evaluated and shown to contain no sufficient theoretical foundation for this research project. However, the findings of the literature review provide three important indications for the research design:

1. The literature review shows that the KS problem between TCM and WM healthcare professionals investigated by this study has not been recognised and investigated either politically or academically. In fact, this project could be the first study to investigate this problematic phenomenon. Consequently, this research project is purely inductive in nature and aims at establishing a theory.
2. The literature review has not identified any sufficiently robust framework to be adopted as *a priori* framework to guide data collection and analysis. Thus, theory development is more likely to emerge from and be grounded in data.



3. There was a need to conduct a pilot study to confirm whether the KS problems anticipated did present themselves in the reality of practice of a Chinese hospital and to identify early results and insights to guide the remainder research stages.

These indications brought important clues and have been taken into consideration in the selection of research methodology and the research design, which are discussed in the next chapter, Chapter 4. Specifically, the next chapter underpins the underlying research philosophy of this project, explains and justifies the selection of Grounded Theory as the overarching research methodology, and finally thoroughly introduces and discusses processes of implementing Grounded Theory techniques and strategies into a single case-study research design.

## **4. Research Methodology**

This chapter presents the research methodology selected for the research project presented in the thesis. The selection of research methodology was guided by the main aim of this project, which is to identify KS barriers between TCM and WM healthcare professionals in their patient-centred collaboration. Furthermore, the selection of research methodology was decided after the literature review exercise and on the basis of the implications provided by the literature review.

In order to clearly present the research methodology employed in this research project, this chapter consists of three main sections, namely: research philosophy, and research methodology.

### **4.1. Research Philosophy**

Philosophical stances are the most essential foundation for the selection of research methodology and the establishment of a suitable research design. This section explores the philosophical nature of this project from three perspectives: philosophical assumptions, research approaches, and research paradigms.

#### **4.1.1. Philosophical Assumptions**

The philosophical assumptions are one of the most important issues defining the nature of a research study. Bryman (2001) asserts that there are two fundamental philosophical assumptions in social science, namely, ontology and epistemology.

#### **4.1.1.1. Ontology**

Ontology can be simply understood as the study of “being” (Koepsell, 1999: 217). Ontology is concerned with the nature of reality, or the nature of social entities (Saunders et al., 2007; Bryman, 2001).

There are two ontological positions in terms of social science research, namely, objectivism and constructivism (Bryman, 2001). Objectivism asserts that social phenomena and categories are separated from social actors (Bryman, 2001). Very differently, constructivism claims that social phenomena and social actors are closely interrelated. In fact, social phenomena and their meanings are created, interpreted, and constantly changed by social actors (Bryman, 2001). More specifically, Bryman and Bell (2003) point out two basic assumptions of social constructivism:

1. Social phenomena and categories are constructed through social interactions.
2. Social phenomena and categories are in a constant state of revision.

Therefore, according to the research question and the aim of this study, the constructivist ontological position was adopted, since the KS barriers that hinder the interaction of patient knowledge are created and continuously revised by TCM and WM healthcare professionals through their interactions.

#### 4.1.1.2. Epistemology

Epistemology is “a branch of philosophy that investigates the possibility, origins, nature, and extent of human knowledge” (Ayyub, 2001: 4). Epistemology concerns the study of knowledge and what is acceptable as valid knowledge in a field of study (Bryman, 2001; Collins and Hussey, 2003; Maylor and Blackmon, 2005; Saunders et al., 2007). Bryman (2001) further specifies two main epistemological positions in social science:

- Positivism is derived from the philosophy of the natural sciences (Maylor and Blackmon, 2005) and takes the view that “objective reality exists beyond the human mind” (Weber, 2004: iv). Taking this epistemological position, positivist social science researchers adopt an objective worldview and advocate applying research methods from the natural sciences to study social reality and beyond (Bryman and Bell, 2003). Furthermore, Remenyi et al. (1998) point out that the end product of positivist social research is generalised disciplines or laws, which can be very similar to results from physical and natural science research.
- Interpretivism adopts a contrasting position to positivism. The interpretivist epistemology considers that “knowledge of the world is intentionally constituted through a person’s lived experience” (Weber, 2004: iv). Interpretivist researchers respect the differences between people (Bryman and Bell, 2003) and thus tend to investigate and capture

subjective insights and meanings of social phenomena from the perspective of social actors (Saunders et al., 2007).

According to the research question and the aim of this project, the researcher needs to identify individual KS barriers by capturing subjective insights, interpreting the meanings of discourse, and understanding the actions of individual TCM and WM healthcare professionals. Therefore, clearly, this research project needed to adopt an interpretivist epistemology.

#### **4.1.2. Research Approach**

Upon the basis of constructivist ontology and interpretivist epistemology, it is necessary to choose a suitable approach to answer the research question and to achieve the main aim.

In social science, there are generally two main approaches leading to the acquisition of new knowledge, namely deduction and induction.

##### **4.1.2.1. Deduction**

Deduction represents the commonest perspective on the relationship between theory and social research (Bryman, 2001). According to Hyde (2000), the deductive approach consists of a series of theory testing processes, which begin with an established theory, and then verify whether the theory is applicable to specific instances. In this case, the deductive approach is also called a “top down” approach (Trochim, 2006), as shown in Figure 4.1:

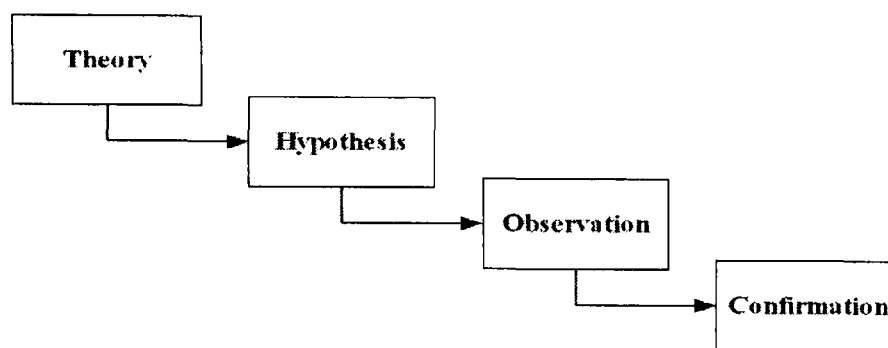


Figure 4.1: Deduction processes adopted from Trochim (2006)

As shown in Figure 4.1, deduction starts from identifying a theory that is of interest to the research topic. Then the theory is articulated into specific hypotheses, which are tested and verified by empirical evaluation and observation. As a result, a confirmation (or not) of the original theory is made (Trochim, 2006; Bryman, 2001). Moreover, deductive research projects usually adopt the objectivist ontological position and the positivist epistemological position (Bryman and Bell, 2003).

#### 4.1.2.2. Induction

In contrast, induction is a “bottom up” approach and usually used for theory building (Trochim, 2006). The processes of induction are presented in Figure 4.2:

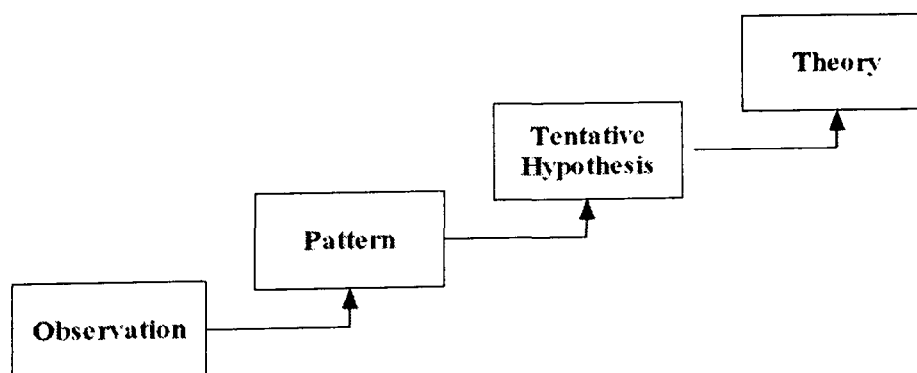


Figure 4.2: Induction processes adopted from Trochim (2006)

As shown in Figure 4.2, the inductive approach begins with specific observation and evaluation in order to identify patterns and regularities, which are then articulated into tentative hypotheses. Finally, based on these hypotheses, general conclusions or theories are developed (Trochim, 2006).

Additionally, Bryman (2001) points out that inductive researchers need to involve personal judgments and interpretations to evaluate the implications of research findings and to build a theory. Therefore, inductive research projects usually employ the constructivist ontology and the interpretivist epistemology (Gorman and Clayton, 2005).

This project adopted the inductive approach for two clear reasons. Firstly, induction is compatible with the constructivist ontology and the interpretivist epistemology. Secondly, as shown in the literature review, there was no base theory to use in a deductive approach.

### **4.1.3. Research Paradigms**

As discussed in Section 4.1.1 and 4.1.2, this research project adopted the constructivist ontology, the interpretivist epistemology and the inductive approach. On the basis of these philosophical foundations, it is essential to choose between two research paradigms, the quantitative paradigm and the qualitative paradigm.

#### **4.1.3.1. Quantitative Paradigm**

The quantitative paradigm attempts to understand a social phenomenon by interpreting numbers. More specifically, this paradigm emphasises quantification in processes of data collection and analysis (Bryman, 2001). Moreover, Veal (2005) adds that the quantitative paradigm relies on numerical evidence to test a theory and to draw conclusions. Thus, in order to ensure the reliability of the results, it is important to collect data from a relatively large sample of people or organisations (Veal, 2005).

Additionally, Bryman and Bell (2003) attribute three fundamental philosophical stances to the quantitative paradigm:

- It entails a deductive approach and aims at testing theories.
- It adopts the objectivist ontological position and views social reality as external.
- It adopts the positivist epistemological position and incorporates norms and models from the natural sciences.

Consequently, and according to all three philosophical stances, the quantitative paradigm is evidently not suitable for this project, which aims at developing a theory, rather than testing theories.



#### 4.1.3.2. Qualitative Paradigm

By contrast to the stress which the quantitative paradigm places on quantification, qualitative research studies depend on the use of words (Bryman, 2001). To be more specific, Gorman and Clayton (2005: 3) provide a comprehensive definition of qualitative research:

“Qualitative research is a process of enquiry that draws data from the context in which events occur, in an attempt to describe these occurrences, as means of determining the process in which events are embedded and the perspectives of those participating in the events, using induction to derive possible explanations based on observed phenomena.” (Gorman and Clayton, 2005: 3)

Also, Veal (2005) claims that qualitative research study does not usually need to include a large sample population, but tends to collect in-depth information from a relatively small group of people or organisations.

Bryman and Bell (2003) point out three fundamental philosophical stances to this paradigm:

- It predominately stresses an inductive research approach and aims at the generation of theories.
- It employs the constructivist ontological position and views social reality as being continuously changed by social actors.

- It rejects the practices and norms of the natural science model, as well as the positivist epistemology. Instead, it relies on qualitative researcher to interpret the social world as social actors.

In the light of the research question and the aim of this research, the qualitative approach is more appropriate to this project and is therefore adopted. This decision was made because of the following indicators:

1. This project is inductive in nature and aims at generating a theory.
2. This research adopts the constructivist ontological position and the interpretivist epistemological position.
3. The nature of this study requires the researcher to enter the research site and to capture and interpret meanings from discourses made by healthcare professionals.

## **4.2. Research Methodology**

Discussion in Section 4.1 underpins the base philosophy for this research project, which is inductive, employs the constructivist ontology and the interpretivist epistemology, and adopts the qualitative approach to answer the research question and to achieve the research aim.

On the basis of the philosophical foundation, it is extremely important to choose a suitable research methodology to guide data collection and analysis, and processes of theory development.

This section uses three sub-sections to describe and discuss the selection of research methodology for this research project. These three sub-sections are: the selection of research methodology, grounded theory (GT), and a combined approach of case-study and GT.

#### **4.2.1. The Selection of Research Methodology**

For a research project, a research methodology needs to be carefully selected according to the research aim, the research question and the base research philosophy. Saunders et al. (2007) list seven research methodologies commonly used in information science research: experiment, survey, case study, action research, ethnography, grounded theory (GT) and historical research. Among these methods, many researchers (e.g. Saunders et al., 2007; Pickard, 2007; Myers, 1997) assert that action research, GT, case-study, ethnography, and historical research are qualitative research methodologies; these are therefore introduced below.

- Action research advocates addressing practical problems and contributing to general scientific theories at the same time (Elden and Chisholm, 1993). Rapoport (1970) stresses that action research aims at pursuing actions to the practical concerns of people from within the human activity system,

and in the meantime achieving the goals of social science. Therefore, because this research project did not intend to initiate any action, the action research methodology was considered as not appropriate for this study.

- Grounded Theory (GT) consists of a series of systematic procedures for data collection and analysis, and is particularly useful for theory development (Goulding, 2007). In contrast to other qualitative research methodologies, a very basic condition of using GT is that researchers must conduct data collection and analysis without predefined knowledge about the field, which needs to be investigated (Saunders et al., 2007; Strauss and Corbin, 1998). Therefore, in this research project, since the literature review conducted at the beginning of this project provided very limited predefined knowledge, this made GT a very appropriate and useful choice. At the same time, it was very difficult to use other methodologies, such as case study, which are better started with a concrete theoretical foundation or a priori specification of theoretical constructs (Eisenhardt, 1989).
- Case-study is one of the most widely used methodologies in social science research (Orlikowski and Baroudi, 1991; Alavi and Carlson, 1992; Myers, 1997). Yin (2003) points out two basic characteristics of the case-study methodology. Firstly, a case-study investigates contemporary phenomena. Secondly, a case-study highlights the contextual conditions and the

connection between the social context and the social phenomenon. The case-study methodology was evaluated as not suitable for this project, since as discussed above this approach usually requires a theoretical foundation for the development of theory (Yin, 2003). Nonetheless, this methodology could be used to provide a specific context setting (Gorman and Clayton, 2005).

- Ethnographic research is one of the most in-depth research methods possible (Myers, 1999). This methodology is usually adopted in the study of culture and is evaluated as very important in areas such as anthropology and sociology (Maylor and Blackmon, 2005). Moreover, ethnographic researchers focus on the interpretation of behaviour or specific events in the everyday lives of social actors (Pickard, 2007). This approach usually requires the researcher to engage into the research field and to spend a significant amount of time (at least a year) in the field (Pickard, 2007), in order to gain deep understandings of the people, the organisation, and the context (Myers, 1999). The ethnographic research methodology is not used in this research project, since the researcher is not a healthcare professional, and therefore it is almost impossible to investigate the everyday lives of social actors as part of the research context.
- Historical research provides perspectives and insights on historical phenomena (Mason et al., 1997). Yin (2003) claims that the historical

methodology is a preferred strategy when there is no access or control. It largely relies on data that already exist in different forms (Pickard, 2007). This methodology is clearly not suitable for this project. A very evident reason is that this study focuses on a contemporary social phenomenon.

When choosing a research methodology for a project, as Saunders et al. (2007) emphasise, no research strategies should be considered as superior or inferior to others. Every research strategy has its particular advantages and disadvantages (Yin, 2003). In fact, the selection of an appropriate research methodology needs to be carefully decided according to the research question, the research objectives, the extent of existing knowledge, the amount of time and other related resources, and researchers' personal philosophical comprehensions (Saunders et al., 2007).

Therefore, after careful consideration, this research project adopted GT as the overarching methodology.

#### **4.2.2. GT**

GT as a systematic and flexible approach to theory generation can be particularly useful in exploring insights for theory development. The GT approach is defined as:

“A qualitative research method that uses a systematised set of procedures to develop and inductively derive grounded theory about a phenomenon.”

(Strauss and Corbin, 1990: 24)

GT was first presented by Barney Glaser and Anselm Strauss in their book *The Discovery of Grounded Theory* published in 1967. The two co-founders, despite their very different backgrounds (Anselm Strauss was a qualitative researcher from the University of Chicago and Barney Glaser was a quantitative researcher from Columbia University), arrived at a belief that inductive theory generation needs to be grounded in data systematically collected and analysed (Corbin and Strauss, 1990; Strauss and Corbin, 1998; Pickard, 2007).

#### **4.2.2.1. Two Distinctive GT Approaches**

After the initiation of GT, these two co-founders gained conflicting understandings of how to apply GT. These conflicts are clearly illustrated by their respective publications, namely, Strauss and Corbin's book *Basics of Qualitative Research*, published in 1990, and Glaser's book *Basics of Grounded Theory Analysis*, published in 1992. These two divisions of GT understanding were then developed into two systematic approaches, namely *Straussian* and *Glaserian* (Fernández, 2004; Onions, 2006). Straussian advocates using a more structured approach to collect and analyse data (Pickard, 2007). However, the Straussian approach was criticised as having “moved too far from the original concepts” (Pickard, 2007: 156), as “forcing data” and as “no longer a GT methodology” (Glaser, 1992: 122). By contrast, the Glaserian approach advocates that the researcher should stand at a passive position, free from preconceptions, not forcing structure onto data, and trusting that theory will emerge (Rodon and Pastor, 2007).

To differentiate the two approaches, Onions (2006) developed a table to demonstrate the key differences between the Glaserian and Straussian GT approaches:

<b>Glaserian</b>	<b>Straussian</b>
Beginning with general wonderment (an empty mind)	Having a general idea of where to begin
Emerging theory, with neutral questions	Forcing the theory, with structured questions
Development of a conceptual theory	Conceptual description (description of situations)
Theoretical sensitivity (the ability to perceive variables and relationships) comes from immersion in the data	Theoretical sensitivity comes from methods and tools
The theory is grounded in the data	The theory is interpreted by an observer
The credibility of the theory, or verification, is derived from its grounding in the data	The credibility of the theory comes from the rigour of the method
A basic social process should be identified	Basic social processes need not be identified
The researcher is passive, exhibiting disciplined restraint	The researcher is active
Data reveals the theory	Data is structured to reveal the theory
Coding is less rigorous, a constant comparison of incident to incident, with neutral questions and categories and properties evolving. Take care not to 'over-conceptualise', identify key points	Coding is more rigorous and defined by technique. The nature of making comparisons varies with the coding technique. Labels are carefully crafted at the time. Codes are derived from 'micro-analysis which consists of analysis data word-by-word'.
Two coding phases or types, simple (fracture the data then conceptually group it) and substantive (open or selective, to produce categories and properties)	Three types of coding, open (identifying, naming, categorising and describing phenomena), axial (the process of relating codes to each other) and selective (choosing a core category and relating other categories to that)
Regarded by some as the only 'true' GT method	Regarded by some as a form of qualitative data analysis

Table 4.1: Key differences between Glaserian and Straussian GT approaches

adopted from Onions (2006: 8-9)



As shown in Table 4.1, differences between the two approaches are various, yet subtle. If we compare the two approaches, the Straussian approach provides more pragmatic rigour and clearer techniques for researchers to handle processes of data collection and analysis. Therefore, the researcher of this project decided to follow the Straussian approach. More specifically, the application of this approach followed instructions from Strauss and Corbin's book *Basics of Qualitative Research: Techniques and Procedures for Developing Grounded Theory* (1998). This selection was supported by Rodon and Pastor (2007), who claim that novice researchers should adopt the Straussian approach, because "the Straussian version would help more in guiding the data analysis" (Rodon and Pastor, 2007: 72).

Nevertheless, despite the decades of dispute between the two approaches, both Straussian and Glaserian researchers adopt an identical philosophical view, that theory should emerge from or be 'grounded' in the data (Van Niekerk and Roode, 2009). Moghaddam (2006) adds that even though the two approaches have very distinctive paths to develop a theory, both Straussian and Glaserian have a conjugate with regard to the main processes of GT, namely: use of literature, theoretical sampling, coding processes, comparative analysis, and theoretical saturation.

#### **4.2.2.2. Use of Literature**

In traditional inductive qualitative research, it is usual to review existing literature extensively at the beginning, in order to produce a priori theoretical framework,

which is normally adopted as the theoretical foundation and the starting point for data collection and analysis (King, 2010).

It is very different in GT projects, in which the literature review needs to be practised cautiously. In fact, Strauss and Corbin (1998: 49) advise that there is no need to review all the literature at the beginning, since early steeping in the literature may “constrain”, “stifle”, or even “paralyze” the researcher’s analytical senses, and may possibly bring strong biases to the emerging theory. Consequently, Strauss and Corbin (1998) propose that GT researchers need to maintain an objective stance, which means to set aside his/her personal knowledge and experience, but to listen to the ‘voice’ from the data.

Nonetheless, as also discussed by Strauss and Corbin (1998), although familiarity with the relevant literature can block the analytical senses, it enhances the theoretical sensitivity of a researcher. Theoretical sensitivity is crucial for theory development, as it is the ability to capture subtle nuances in data, generate concepts from data, and relate them according to normal models of theory in general (Glaser, 1978). Consequently, in this research project, a general review of literature was undertaken at the beginning, which provided background knowledge for the development of theory and enhanced the theoretical sensitivity. Moreover, an additional literature review which was carried out at the end of this project (after the emergence of theory) served to confirm the research findings and to identify where the literature is incorrect or overly simplistic (Strauss and Corbin, 1998).

Additionally, instead of heavily relying on the a priori framework to frame and guide processes of data collection and analysis, GT encourages researchers to practise and direct data collection according to the analysis and the needs of theory development (Strauss and Corbin, 1998). This strategy is called theoretical sampling.

#### **4.2.2.3. Theoretical Sampling**

Theoretical sampling is valued as a basic principle and a unique technique of GT.

Theoretical sampling is defined as:

“Data gathering driven by concepts derived from the evolving theory and based on the concept of “making comparisons”, whose purpose is to go to places, people, or events that will maximize opportunities to discover variation among concepts and to densify categories in terms of their properties and dimensions.” (Strauss and Corbin, 1998: 201)

The theoretical sampling strategy closely connects the processes of data collection and analysis. In fact, there is an ongoing interplay between the collection and analysis of data, in which the collection of data is driven by the analysis, which starts as soon as the first bit of data is gathered. On the basis of the data analysis, the researcher articulates and derives indications for further data collection (Corbin and Strauss, 1990).

Therefore, Strauss and Corbin (1998) advocate that the GT researcher needs to enter the investigation site with an empty mind. In this case, by using the theoretical sampling strategy, the researcher can “maximize opportunities to compare events, incidents, or happenings to determine how a category varies in terms of its properties and dimensions” (Strauss and Corbin, 1998: 202).

Nevertheless, it is very important to mention that Strauss and Corbin (1998) do not suggest that the researcher should be completely empty-minded. In fact, they claim that the researcher needs to decide whether to “develop a list of interview questions or areas for observation. [...] Initial interview questions or areas of observation might be based on concepts derived from literature or experience or, better still, preliminary field work” (Strauss and Corbin, 1998: 205). However, Strauss and Corbin (1998) warn that, because the predefined concepts are not grounded in the ‘real’ data, they must be considered as provisional, and discarded as soon as the data start to come in.

In order to ensure the final theory is truly grounded in data, this research project adopted the theoretical sampling strategy to direct the data collection and analysis.

#### **4.2.2.4. Coding Processes**

GT demands microanalysis of data, which means detailed line-by-line examination of data in order to identify incidents and concepts, to generate categories with properties and dimensions, and eventually to formulate a theory (Strauss and Corbin, 1998). Strauss and Corbin (1998) advise that the

microanalysis is practised by applying three types of coding to the data: open coding, axial coding, and selective coding.

- Open coding is “the analytic process through which concepts are identified and their properties and dimensions are discovered in data” (Strauss and Corbin, 1998: 101). Open coding breaks data down into discrete fragments, closely and thoroughly examined for similarities and differences (Strauss and Corbin, 1998). Through these processes, events, objects, actions and interactions which are identified as conceptually similar or related in meaning are grouped to form categories (Corbin and Strauss, 1990).
- Axial coding is “the process of relating categories to their subcategories” (Strauss and Corbin, 1998: 123). Axial coding interconnects properties, concepts and subcategories around the axis of a category (Strauss and Corbin, 1998). Moreover, axial coding examines the axial interconnections against data (Corbin and Strauss, 1990).
- Selective coding represents “the process of integrating and refining the theory” (Strauss and Corbin, 1998: 143). In selective coding, “the major categories are finally integrated to form a larger theoretical scheme in which the research findings take the form of theory” (Strauss and Corbin, 1998: 143). Moreover, poorly developed categories are identified and refined by practising the selective coding.

It needs to be noted that a misunderstanding could occur based on the introduction of the three types of coding. The practice of open, axial and selective coding seems sequential, structured and static. However, as explained by Pandit (1996), researchers do not need to strictly follow the sequence from open, through axial, to selective coding. In fact, as discussed by Strauss and Corbin (1998), the data analysis is flexible and free-flowing, in the sense that analysts move quickly back and forth between all three types of coding.

#### **4.2.2.5. Comparative Analysis**

Comparative analysis is a symbol of social science research and an essential feature of GT analysis. GT requires the researcher to adopt the comparative analysis technique throughout all data analysis and theory development processes. More specifically, Strauss and Corbin (1998) propose two fundamental types of comparison:

- The first type of comparison “pertains to the comparing of incident to incident or of object to object, looking for similarities and differences among their properties to classify them” (Strauss and Corbin, 1998: 94). Goulding (2007) further explains that this type of comparison is mostly used when exercising open and axial coding.
- The second type is practised at an abstract level, as it compares “categories (abstract concepts) to similar or different concepts to bring out possible properties and dimensions when these are not evident to the

analyst” (Strauss and Corbin, 1998: 94). This type of comparative analysis is mostly used in axial and selective coding processes (Goulding, 2007).

In order to support the practice of comparative analysis, this research project adopted three practical tools: a code definition list (which supports the comparison between individual open codes), a quotation list (which supports the comparison between individual quotations), and a concept map (which supports comparisons between properties, concepts, sub-categories, and categories). These tools are introduced in greater detail in Section 5.3.4 Data Analysis.

#### **4.2.2.6. Theoretical Saturation**

The theoretical saturation is extremely important as it is a sign for the completion of data gathering, theoretical sampling, and data analysis. Additionally, and more importantly, the theoretical saturation indicates the completion of theory generation.

Strauss and Corbin (1998: 212) formulate three essential rules which need to be used when determining whether the theoretical saturation has been achieved:

1. “No new or relevant data seem to emerge regarding a category.”
2. “The category is well developed in terms of its properties and dimensions demonstrating variation.”

3. “The relationships among categories are well established and validated.”

In this study, according to the rules presented above, the theoretical saturation was considered as achieved when (1) no new open codes emerged from data; (2) properties and dimensions of individual categories are examined as explainable to the social phenomenon reflected from data; (3) the relationships between individual categories were examined and confirmed by checking with data.

#### **4.2.3. A Combined Approach of Case-study and GT**

Despite the lengthy discussion of GT in Section 4.2.2, Pickard (2007: 155) criticises GT by stating “[it is] more about how data is collected and analysed than about the entire research design”. Therefore, this author asserted that GT should be applied in a combined approach with other research methodologies, e.g. ethnography, case-study, action research, and historical research (Pickard, 2007: 155).

It is worthwhile to remind that Section 4.2.1 concludes that ethnography, action research, and historical research have been evaluated as inappropriate and thus excluded from this research project. Nonetheless, the case-study approach could be used to contextualise the application of GT. In fact, many researchers suggest that case-study and GT are not merely compatible with each other, but are really a combination that is ideal for establishing a valid and reliable inductive theory (e.g. Glaser and Strauss, 1967; Glaser, 1978; Eisenhardt, 1989; Tellis, 1997; Allan, 2003; Pickard, 2007). Fernández (2004) proposes three advantages of combining



these two approaches, based on Eisenhardt (1989)'s work on the case-study approach.

1. Theory building by this method is likely to develop novel theory. This results from the contradiction and paradox in data, in that the use of the comparative method in reconciling these accounts often forces the analyst to think creatively.
2. The emerging theory can be further tested and expanded due to the close connection between the theory and the data.
3. The theory is likely to be empirically valid since the validation processes have been practised implicitly by constant comparison and constant data questioning.

Case-study is a common approach in social sciences which is used to explore and understand complex and localised human activity systems and social environments (Zhou et al., 2008). Some of the well-known studies in organisational research have been derived from this research approach (Bryman, 2002).

There are various different definitions for the case-study approach, but probably the most widely cited one is provided by Yin (2003: 13), who defines the case-study approach thus:

“A case study is an empirical inquiry that:

- investigates a contemporary phenomenon within its real-life context, especially when
- the boundaries between phenomenon and context are not clearly evident.”

The case-study is generally accepted as a qualitative research method and is an approach particularly suited to generating answers to ‘why’, ‘how’, and ‘what’ questions (Saunders et al., 2002). Furthermore, a qualitative case-study can be used for different purposes, namely, to provide description, theory testing, and theory generation (Eisenhardt, 1989).

Case-study is a suitable approach to this project, as this study investigates a contemporary problematic situation existing in the current Chinese healthcare system. Moreover, this selection complies with the research question, which is a ‘what’ question, and the main aim of this project, which is to generate a theory.

However, it must be noted that there is a potential conflict in implementing GT and case study in one research project. Fernández (2004) mentions that Glaser (1998) highlights that utmost care must be taken to make sure techniques used in the case-study do not distort the natural emergence of theory grounded in data. On the other hand, Yin (1994) claims that “theory development prior to the collection of any case study data is an essential step in doing case studies” (Yin,

1994: 28). This basic requirement, although is critically important to case-study research projects, contradicts one of the most essential principles of GT, which stresses that there should be no pre-conceived ideas or hypotheses before data collection (Allan, 2003). Therefore, as Fernández (2004) points out, when combining GT and case-study, the researcher must clearly identify which methodology should be used as the main drive.

This potential conflict was carefully prevented in this study by employing GT as the overarching research methodology to guide the data collection and analysis. The case-study approach, on the other hand, aimed at contextualising the application of GT by providing a social context.

The actual application of the case-study method has two different forms of design, namely, single case design and multiple case design. Benbasat et al. (1987) explain the differences between the two:

- Single case design employs a single case to conduct in-depth investigation. This design is most useful for exploratory purposes and for initiating a theory.
- Multiple case design contains more than one single case. This design is desirable when the intention of the research is description, cross-case analysis, the extension of theory and to generalise research results.

According to the above discussion, and in the light of the research question and the aim of this project, a single case design was adopted. This decision was made for two reasons: firstly, considering China is one of the largest countries in the world, with a population exceeding 1.3 billion, it would be virtually impossible to generate a theory that would encompass the whole nation; secondly, since this project aims at generating a first set of insights on this problem, a single case design is better suited for the purposes of exploration and theory generation based on in-depth investigation. In the end, a public hospital in the city of Xiangfan, province of Hubei, was selected as the case-study site, which is discussed as part of the research design.

The design of this research project and the application of GT in the case-study selected is presented and discussed in Chapter 5.

### **4.3. Conclusion**

This chapter presents and discusses the research methodology selected for this research project. Specifically, this study adopted a GT approach as the overarching research methodology. GT was applied in a social context by using a single case-study design, for which Xiangfan Central Hospital in the city of Xiangfan, Hubei province, was selected as the case-study site.

To conclude, this chapter explains and justifies the research methodology and techniques selected. The next chapter (Chapter 5) explains, describes and discusses the application of the methodology in the research context.

## **5. Research Design**

On the basis of the discussion focusing on research methodology in Chapter 4, this chapter presents and discusses research design.

Research design is defined as the “science (and art) of planning procedures for conducting studies so as to get the most valid findings” (Vogt, 1993; cited in Collis and Hussey, 2003: 113). Therefore, the research design is extremely important, as it provides a detailed action plan to direct the data collection and analysis.

The research design for this project is discussed in this chapter, which consists of four sections: case-study, data collection, data analysis and research stages.

### **5.1. Case-study**

As discussed in Section 4.2.3, this research project adopted a single case-study design to provide a social context to the application of GT. Therefore, it is essential to select a suitable and sufficient case-study site (a hospital in China) for an in-depth investigation.

### 5.1.1. Case-Study Site

This research project chose Xiangfan Central Hospital as the case-study site. According to the hospital website ([www.xfszxyy.xf.cn](http://www.xfszxyy.xf.cn)), this hospital was established in 1949 and currently has more than 1,500 employees working in 33 different medical departments. The hospital's average annual income is about 27 million Chinese RMB (approximately 2.5 million GBP). In 2007, about 800,000 outpatients and 60,000 inpatients visited this hospital. A picture of the hospital is shown in Figure 5.1:



Figure 5.1: An overview of Xiangfan Central Hospital

The hospital is located in the city of Xiangfan, which is famous to Chinese people for its 2,800 years of history. Moreover, Xiangfan has become a connecting point for Hubei, Henan, Sichuan and Shanxi provinces in terms of transportation and cultural and economic communication (Cnhubei, 2004). According to the website of Xiangfan City Council ([www.xf.gov.cn](http://www.xf.gov.cn)), Xiangfan is a medium-sized city in terms of both its geographical size (20,000 square kilometres) and its population (5.77 million people currently reside in the city). A picture of Xiangfan is shown in Figure 5.2.



Figure 5.2: A picture of the North Gate of Xiangfan City

Xiangfan is located in the northwest region of Hubei province in central China with the Yangtze River flowing across the province. The geographic locations of Xiangfan and Hubei are illustrated in Figure 5.3:

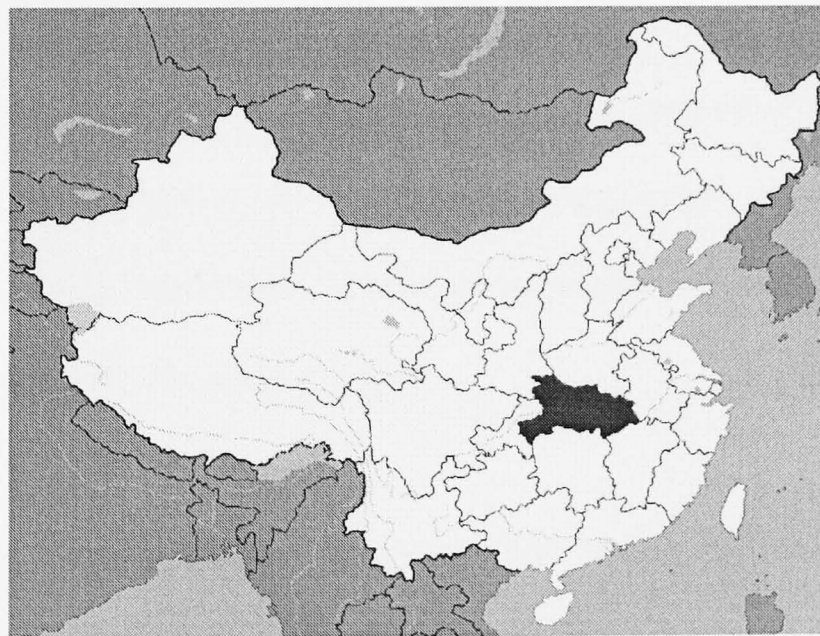


Figure 5.3: Geography of Hubei Province.

According to the official news website run by the Hubei government, Cnhubei (2008) describes Hubei as consisting of 13 cities, among which Wuhan, Jingzhou,

and Xiangfan are famous Chinese historical, cultural, and industrial cities. Hubei province has six pillar industries: automobiles, iron and steel, electricity, building materials, textiles and clothing, and chemicals. Hubei has a population of 57.10 million, and is ranked ninth out of 34 provincial administrative districts, while its economy is ranked 12th.

It needs to be pointed out that Xiangfan Central Hospital was selected for two main reasons. Firstly, it provides both WM and TCM services to patients and has done so for several decades. Therefore, it was perceived that this case-study could provide rich and meaningful information that would help to achieve the research aim. Secondly, and very pragmatically, the researcher obtained guaranteed access to the informants and support for the project.

### **5.1.2. Obtaining Access**

Obtaining access to the case-study site has always been considered as one of the crucial issues which determine the final success of qualitative research projects (Saunders et al., 2007). However, in this research project, securing access to the case-study site was difficult and challenging.

Initially, several attempts were made to contact healthcare professionals in hospitals in Wuhan, the capital of Hubei province. These contacts were not only unsuccessful but rather frustrating, with potential informants being interested neither in the project nor in being interviewed.



This failure made it clear that without using personal contacts it was virtually impossible to approach the potential interviewees. Personal contacts or relationships, i.e. *guan-xi*, are treated very seriously in China, as they define status and place in the social structure, as well as providing security, trust and a prescribed role for the individual in society (Hammond and Glenn, 2004). Because the researcher was without *guan-xi*, it is not surprising that the researcher could not secure entry into the Wuhan hospitals as initially intended. Having reflected on this situation, the researcher then decided to approach Xiangfan Central hospital in the city of Xiangfan in the same province of Hubei, the city of origin of the researcher, where the researcher had a few personal contacts.

It is also worthwhile to mention that the researcher witnessed how other Chinese cultural characteristics determined the degree of success in gaining access to Xiangfan Central Hospital. According to Hofstede (1994), China has a high power distance culture, in which subordinates are expected to be told what to do. Moreover, due to the collectivist nature of the Chinese culture, subordinates and in-groups are usually dependent on power figures (Hofstede and Hofstede, 2005). Therefore, if not asked by a senior health manager, medical professionals in China are not likely to readily volunteer or even agree to be interviewed. Consequently, without the participation of the senior manager to champion the research internally the participation of practitioners with lower professional standing and power cannot be guaranteed.

Therefore, the researcher established contacts with the deans of both the Neurosurgery Department and the TCM Department in Xiangfan Central Hospital. The leaders of these two departments have relatively high professional standing and influence within the hospital, and agreed to guarantee full cooperation and to champion the project within their respective departments. They also agreed to help identify appropriate informants in accordance with the research needs. Their staffs were briefed about the project during the routine weekly departmental meetings. Both deans encouraged their departmental practitioners to participate fully in the project. As a result, out of 46 interview participants, only one neurosurgical nurse refused to participate, claiming that she was “too shy”.

### **5.1.3. Translation and Resolving Terminological Ambiguity**

Another challenge that was faced by the researcher was that all interviews needed to be conducted in Mandarin, the native Chinese language of the informants, since the vast majority of the informants cannot speak English (neurosurgeons included). In any case, as suggested by Marshall and White (1994), it is more appropriate for researchers to use the native language of the interview informants in order to preserve both the reliability and the validity of the data. Consequently, the interview script was originally designed in English and then translated into Chinese. This requirement represents extra risks in the research project emerging from the two processes of translating the interview script and producing a theoretical narrative in English from data collected and analysed in Chinese. This

complicated and risky process was possible since the native language of the researcher is Chinese.

The interview script could actually have been directly designed in Chinese, but since the literature review undertaken to prompt theoretical sensitivity, as proposed by Strauss and Corbin (1990), was performed in English and based mostly on English language sources, the initial script was written in that language, using its terminology. Furthermore, the study is based at an UK university and the entire research group uses English, so if, as proposed by Peng and Nunes (2008), the design of the interview script was to be discussed and validated by colleagues and advisor, then the language would have to be English. This process of consultation and review of the data collection tool is deemed to be crucial to the success of qualitative research. Corbin and Strauss (1990: 11) defend that “the grounded theorist need not work alone”. Furthermore, these authors suggest that:

“[...] An important part of research is testing concepts and their relationships with colleagues who have experience in the same substantive area. Opening up one's analysis to the scrutiny of others helps guard against bias. Discussions with other researchers often lead to new insights and increased theoretical sensitivity as well.” Corbin and Strauss (1990: 11)

Therefore, in order to enable this process of consultation, the interview script was written in English and translated into Chinese before the start of the data collection process (which is discussed in Section 5.3.1). Translating a research

instrument is always a difficult task and should receive substantial attention from researchers (Sperber, 2004; Temple and Young, 2004; Peng and Nunes, 2008), as a poorly translated instrument may often have low reliability and validity and can result in collecting meaningless data (Carlson, 2000). However, a literal word-by-word translation can often result in awkward sentence structure and incomprehensible meanings in the target-language version (Carlson, 2000; Peng and Nunes, 2008). Furthermore, as argued by Carlson (2000), it is always a difficult task to translate a questionnaire instrument from one language into another language, due to cultural and linguistic differences. Carlson (2000) particularly raises the issue of 'emic' and 'etic' problems. Carlson (2000) argues that many Western 'emic' concepts may have little relevance to other cultures, and thus cause difficulty when being translated into other languages. This is particularly true when translating English instruments into Chinese, as many terms, concepts and expressions used in English may not make sense in the Chinese context. As exemplified in a research study by Pratt (1991), some 'emic' Western terms, such as 'self-concept' and 'individual differences', can often cause confusion in the Chinese context and thus are difficult to translate into appropriate Chinese phrasing. Carlson (2000) stresses that an inappropriately translated instrument may have low reliability and validity, and data obtained with instruments that are poorly translated are meaningless. As a consequence, experienced translators always strive to adapt their translation to achieve conceptual equivalence rather than literal equivalence when translating instruments (Carlson, 2000; Harkness and Schoua-Glusberg, 1998). The term 'conceptual equivalence' means that the meanings of the text in both versions of

the instrument are conceptually the same despite the text not being translated literally (Herdman et al., 1997; Peng and Nunes, 2008).

In this research, since the researcher is fluent in both English and Chinese, it was unnecessary to employ alternative translators. However, in order to further improve the validity of the interview script, the terminology used in the Chinese version was first verified by using papers related to knowledge sharing which were retrieved from the CQVIP (<http://www.cqvip.com/>), a Chinese academic paper database. This allowed the removal of terms that were not in use in either the Chinese knowledge management or the Chinese healthcare community. This exercise removed potential ambiguities and errors in terminology. Finally, the interview script was pilot tested with a group of Chinese postgraduate students (four MSc students) and researchers (two PhD researchers) in the researcher's department. Here a number of corrections to the script were made according to the feedback received.

Finally, the researcher decided that the data should be preserved, coded and analysed in its original language, but that the results of data analysis had to be expressed in English. This approach was perceived to present two main advantages:

- Firstly, as discussed above, translating large amounts of qualitative data from Chinese to English is rather difficult, due to the problems related to the different interpretations of narratives, and at times the nonexistence of

true equivalent words in these two languages (Carlson, 2000; Twinn, 1997; Twinn, 2000). Therefore, this non-translation of data approach could effectively decrease the high probability of mistakes, misinterpretations and inaccuracies, which would severely compromise the reliability and validity of the data as well as the truthfulness and credibility of the research findings.

- Secondly, this approach retains the dynamic and natural connections between data collection and analysis. According to Esposito (2001), in qualitative research, unlike quantitative studies, data collection and analysis are two dynamic processes, which are ideally practised simultaneously. Therefore, early translation between these two stages would break the close relationships between data, coding, analysis and interpretation. Potentially more dangerous for the quality of the research, this transitional translation could cause overlooks of nuances and deeper meanings of the data (Marshall and White, 1994) that can only be understood in Chinese. The nuances and deeper meanings hidden in the data can be critically important in understanding the research phenomena and in the construction of theory.

## **5.2. Four Main Research Stages**

Doing GT research requires the researcher to use personal experience to drive the data collection and analysis, to theorise about every incident in the field, and to react to any unexpected events which could endanger the whole piece of research.

Although the personal research experience is extremely important to the success of a research project, the researcher of this study was not very experienced. In truth, this research project was the first piece of qualitative research conducted solely by him. In this case, the application of GT was planned according to a four-stage design, as already mentioned in Chapter 1.

- The literature review consisted of two main components: the research context, focusing on TCM and WM in Chinese healthcare organisations, and the theoretical background, on KM and KS in a healthcare environment. The purpose of the literature review was to have a general understanding about the research context and the existing literature, and to enhance contextual and theoretical sensitivities. In fact, at the beginning of the project, it was expected that through the process of reviewing the literature a well-established framework could be identified, in order to guide the data collection and analysis and to be adopted as the starting point for theory development. However, the literature review could not find such a framework. This led to the choice of GT as the overarching research methodology.
- The pilot study aimed at confirming and exploring the communication and KS problems identified in the literature review. The pilot study also aimed at obtaining a better understanding of the current situation in Chinese healthcare institutions with regard to KS between TCM and WM practitioners, and providing emerging findings for the remainder of the

research. Findings from this stage suggested that different departments in the hospital exhibit very different patterns of KS behaviour in the two medical communities. The study also showed that very different levels of integration of complementary treatments may take place in different departments. This resulted in the decision to choose one specific department, namely the Department of Neurosurgery. This department has a proven history of using WM and TCM compound treatments for rehabilitating patients after craniotomies.

- The main study aimed at identifying KS barriers between the two communities when dealing with problems from neurosurgical patients. The exploration of barriers in this stage started on the basis of the pilot study findings and aimed at theoretical saturation. However, the findings indicated a need for further study on external contextual influences on KS.
- The follow-up study was the final stage of the field study and aimed at exploiting external influences on KS. Furthermore, it aimed at fully developing emerging categories and achieving theoretical saturation.

This four-stage design was considered as important to the final success of the project, since the gap between each two stages provided time and opportunities for the researcher to review any mistakes made when in the field, think over experiences gained from both successes and failures, and draw implications for the later research stages. Moreover, due to the significant geographic distance



and the time difference between the UK and China, it was extremely difficult to communicate with the PhD supervisor in order to report the processes of data collection and analysis when in the field, as well as to discuss emerging research findings and problems encountered. Between these stages, the researcher could be closely supported by the supervisor and receive professional guidance, which was critical to the final success.

### **5.3. Data Collection**

According to the four-stage research design discussed above, the researcher entered the case-study site on three different occasions to collect data. This section introduces and discusses data collection methods and tools in three sections: data collection method, sampling strategy, and supporting tools for data collection.

#### **5.3.1. Data Collection Method**

According to Bryman (2001) and Pickard (2007), qualitative research studies mainly employ three qualitative data collection methods, namely: observation, focus group, and interview. This project selected the interview as the data collection method, for the following reasons:

First of all, it was difficult to practise observation in this study, for two main reasons. On one hand, the researcher is not qualified to participate and thus cannot observe KS activities in medical practices. On the other hand, even

without participation, it is virtually impossible to carry out the observation, as it could invade the privacy of the patient and violate ethical rules.

Secondly, it is very difficult to organise focus groups to involve both WM and TCM healthcare professionals, since these healthcare professionals usually have very varied and tight schedules. Moreover, focus groups are essentially to observe interaction in the group, and hence require at least two investigators, one to moderate the discussion and another to observe the interaction. Therefore, the focus group technique is not applicable to this project.

Finally, due to their effectiveness, interviews have been recognised as one of the most important data collection tools by a number of inductive qualitative researchers. Generally, there are three types of interviews: structured, semi-structured, and unstructured (Saunders et al., 2003; Bryman, 2001):

- Structured interviews are based on a pre-designed and identical set of questions (Saunders et al., 2003). This technique has limited flexibility and no room for improvisation (Myers and Newman, 2007).
- Semi-structured interviews are supported by an interview question list, which is pre-designed but incomplete. Therefore, the interviewer has sufficient space and flexibility to add/delete interview questions during the interview process when appropriate.

- Unstructured interviews are undertaken without a pre-determined list of interview questions, but the interviewer needs to have a clear orientation to conduct the interviews (Bryman, 2001).

Nowadays there is an increasing trend to use information and communication technology to conduct interviews via telephone, video links and the Internet, but the traditional face-to-face interview is still most widely used. Therefore, this study conducted interviews face-to-face with individual participants.

This research project employed semi-structured face-to-face interviews consisting of open-ended questions. This selection is based not only on the consideration that this method is easy to drive and compatible with both case-study and GT, but also on the belief that this technique is capable of gathering deep, meaningful, and reliable data.

### **5.3.2. Theoretical Sampling Strategy**

As discussed in Section 4.2.2.3, the theoretical sampling strategy was adopted by this research project, and was used to inform the researcher “where to go to obtain the data necessary to further the development of the evolving theory” (Strauss and Corbin, 1998: 201).

To be more specific, the theoretical sampling strategy guided the data collection in the case-study site and pointed to the inclusion of 46 interview participants in a

total number of 49 interviews. The demographic profile of the participants is illustrated in Table 5.1:

Gender	Number of Participants			
	Pilot Study	Main Study	Follow-up Study	Total
Male	6	13	7	26
Female	1	12	10	23
<b>Professional Position</b>				
Senior Neurosurgeons	1	2	1	4
Junior & Middle-Level Neurosurgeons	0	9	1	10
Neurosurgical Nurses	1	8	3	12
Senior TCM Doctors	1	5	2	8
Junior & Middle-Level TCM Doctors	1	1	0	2
Orthopaedics Doctor	1	0	0	1
Chief Hospital Manager	1	0	0	1
Hospital ICT Manager	1	0	0	1
TCM Educator	0	0	1	1
Healthcare Politician in Local Government	0	0	1	1
Patient Relatives/Carers	0	0	8	8

Table 5.1: Demographic profile of participants (N=46).

As reflected in Table 5.1, the theoretical sampling strategy was practised in the pilot study, the main study, and the follow-up study:

- The pilot study: To achieve the aim of the pilot study as mentioned in Section 5.3.5.2.1, a total of seven healthcare professionals and workers were purposively approached and interviewed. These participants were two WM doctors, one WM nurse, two TCM doctors, one ICT manager

and one hospital administrator. These interviewees were purposively selected before travelling to the case-study site and for the purpose of gaining insights not only from the perspective of medical professionals, but also from a technical perspective provided by a ICT manager and from a management perspective by interviewing a hospital manager. After the completion of all seven interviews, the collected data were transcribed and analysed. As discussed in Section 5.2, findings from this stage suggested choosing the Department of Neurosurgery for more in-depth and focused investigation. This decision led to the interviewing of neurosurgeons, neurosurgical nurses, and TCM doctors in the main study.

- The main study: At this stage, neurosurgeons, neurosurgical nurses, and TCM doctors were approached and interviewed individually in groups of two or three. It is important to be noted that, due to the difficulties of gaining access and approaching potential interview participants as discussed in Section 5.1.2, the head of Neurosurgery Department and the head of TCM Department helped to pointed out who in practice was the best suitable to be interviewed next, and helped to approach potential interviewees by presenting and introducing the researcher to potential informants. Interview data were immediately transcribed and briefly analysed using open coding (as introduced in Section 4.2.2.4). Results from the brief analysis were used to revise the interview script and to indicate who should be interviewed next for the theory development. The data collection was stopped when the theoretical saturation was perceived

as achieved. In the end, 11 neurosurgeons, six TCM doctors, and eight neurosurgical nurses were approached and interviewed. That is, in order to achieve theoretical saturation, the main study included all neurosurgeons, all TCM doctors, and half of the neurosurgical nurses. After the data collection, a much more thorough analysis was conducted, which revealed that the theoretical saturation in fact had not yet been achieved. The findings of the main study show that KS in the hospital environment was influenced by the external social environment. In this case, there was a need for a follow-up study, which not only required the interviewing of neurosurgical and TCM professionals in order to confirm and further explain these findings from the main study, but also required the inclusion of TCM educators and healthcare politicians in order to obtain deeper understandings about the healthcare HE and about the existing national healthcare policies.

In addition, it was deemed necessary to include patients or patient relatives (carers) for the purpose of gaining opinions from people who are not from the medical background but know the needs of patients from a very close perspective. This is fundamental due to the type of knowledge sharing being studied by this thesis. However, the researcher was advised by healthcare professionals that almost all neurosurgical patients after surgery were not capable of talking, certainly were not capable of being interviewed or having lengthy discussion. Therefore, it was carefully decided to focus solely on patient relatives, who, after patients' surgeries,

actually are the ones making decisions for patients based on negotiation with TCM and WM professionals.

- The follow-up study: In order to achieve the aim of the follow-up study, this study included neurosurgical and TCM healthcare professionals, TCM educators, healthcare politicians, and patient relatives. Similar to the main study, both heads of department (Neurosurgery and TCM) helped to approach these informants. In accordance with the interview strategy adopted in the main study, the interview participants were approached and interviewed individually in groups of two or three. However, the follow-up study differs from the main study in that interview data were immediately transcribed and thoroughly analysed. Finally, the theoretical saturation was achieved after 17 interviews, which included two neurosurgeons, two TCM doctors, three neurosurgical nurses, one TCM educator, one public administrator in the local healthcare department, and eight patient carers.

### **5.3.3. Supporting Tools for Data Collection**

The theoretical sampling and the conduct of interviews could not be successfully finished without the assistance of two interview tools, namely: an interview question script and a digital recorder.

### 5.3.3.1. Interview Question Script

The interview question script was a very useful tool when conducting interviews. The script consisted of a series of open-ended questions, which guided the researcher to gather meaningful information for the needs of theory development.

Additionally, for each open-ended question, several trigger and follow-up questions were designed, for the purpose of increasing the richness and the depth of the responses, as well as informing the interviewee what level of response was desired. All questions on the script were in both English and Chinese. The English questions were used to inform the researcher for what purpose each question needed to be asked. The Chinese translations were exactly what the researcher was going to ask. Furthermore, for each main question, a blank space was designed and used for quickly jotting down emerging new issues, sparks of thoughts and ideas, potential probing or follow-up questions, etc.

A complete interview script used at the beginning of the main study is attached in Appendix 1. Furthermore, an example of the interview script design is shown in Figure 5.4:

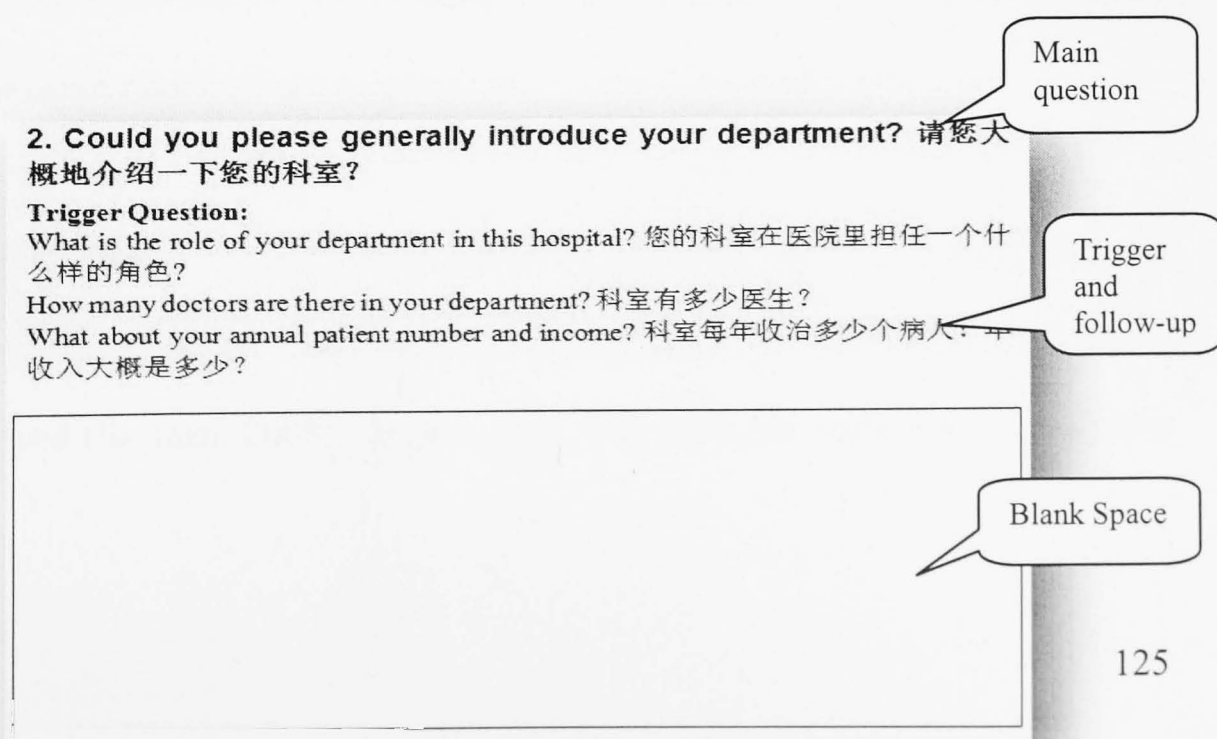




Figure 5.4: An example of interview question script design.

There were different versions of the interview script, one for each group of interviewees: TCM doctors, neurosurgeons, neurosurgical nurses, hospital manager, ICT manager, healthcare politician, TCM educator, and patient carers.

Finally, it is important to mention that interview questions in these scripts were constantly evolving with the processes of data collection and analysis. The initial version, together with all documentation offered to the informant, was subjected to ethical review and approved by the Information School in the University of Sheffield, as discussed in Section 5.4.

#### **5.3.3.2. Digital Recorder**

It is important to record the interview conversation accurately. As Patton (2002: 380-381) stresses that, the recording needs to be done “as fully and fairly as possible” for two reasons: firstly, it protects the fluency of the interview conversation, as the interviewer can be more focused on the interviewee and be more engaged in the conversation; secondly, the accurate and intact interview recording is the foundation for detailed data analysis. Therefore, the researcher of this project used a digital recorder to document all interviews.

However, there is a problem in using the recording device, which is that the interviewee could be disconcerted and be alarmed to have his or her words preserved (Bryman, 2008). In this study, two strategies were used to minimise

this problem. Firstly, at the very beginning of every interview, the interviewer consulted the participant by stating:

“Your participation is potentially meaningful and greatly contributing to this study. Therefore, would you mind if I record our conversation? The recording will be kept strictly confidential and will only be used by me for the research purpose only. You can stop the recorder, for any reasons, whenever or whatever you feel uncomfortable.”

“您的参与很有可能为此项研究提供一些重要的信息。请问您是否介意我使用录音机记录下我们本次谈话的内容？录音记录将会严格保密，只会被我本人仅使用于本次研究。并且无论在任何时间，任何条件下，您有权利无条件的关闭录音。”

The result was that all the interviewees agreed to use the digital recorder. It needs to be mentioned that one TCM doctor asked to stop the recording, because he was about to complain about some particular policies implemented by the chief hospital manager.

Secondly, the interviews were started by making some irrelevant and light conversation, such as:

“I have noticed a significant improvement in the interior decoration of your department. When was it redecorated?”

or

“What is your role in the hospital?”

This strategy helped the interview participants to get used to the interviewing atmosphere and to ease the discomfort caused by the digital recorder. Thus, the participants could be more at ease and provide truthful and meaningful information.

#### **5.3.4. Data Analysis**

Gathering rich and reliable data is the foundation for the data analysis and for the development of theory. This section discusses the data analysis of this research project in two main sub-sections: coding and theoretical saturation, and supporting tools for data analysis.

##### **5.3.4.1. Coding and Theoretical Saturation**

Coding is one of the most important tools for the GT data analysis and the basis for the theoretical sampling and the comparative analysis. As introduced in Section 4.2.2, there are three types of coding employed by the GT data analysis, namely: open coding, axial coding and selective coding. This research project used all three types of coding for the data analysis.

Nonetheless, coding was implemented very differently in the pilot study, the main study, and the follow-up study:

- The pilot study mostly used open coding to identify concepts emerging from the data. Axial coding was also employed at this stage to link the concepts axially and to let the main categories emerge.
- The main study: In order to identify individual KS barriers and fully develop each emerging category, the main study used both open and axial coding. Moreover, selective coding was applied at the final part of this stage, due to the core category started to emerge. Furthermore, the practice of selective coding also demonstrated that a few concepts were not fully developed and needed to be investigated further in the follow-up study.
- The follow-up study applied all three types of coding. The new concepts were identified by open coding, and then linked to the emerging categories by using axial coding. Finally, the selective coding was conducted to validate the emerging theory by comparing it with the raw data.

It needs to be noted that the data analysis was stopped when the theoretical saturation was achieved; that is, when no new open codes emerged from the data analysis, categories were well developed, and relationships among categories

were validated. The emergence of new open codes and the theoretical saturation can be demonstrated in Figure 5.5:

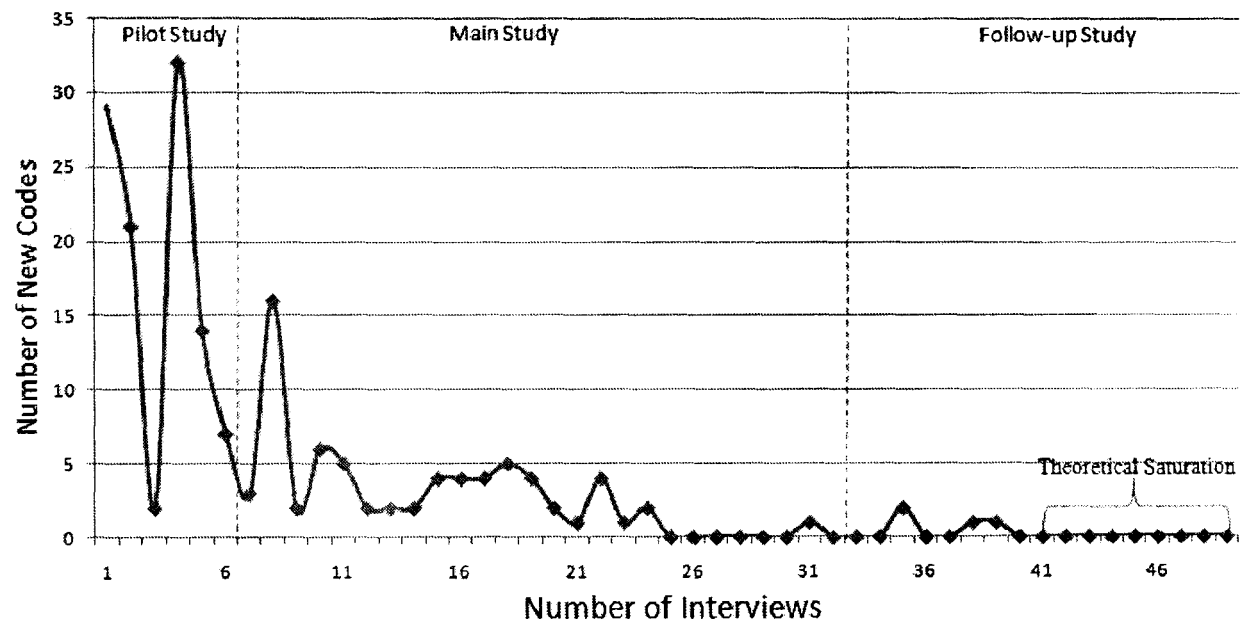


Figure 5.5: Coding and theoretical saturation.

As shown in Figure 5.5, the burgeoning emergence of new open codes in the pilot study suggested a more focused data collection in the main study. It is worthwhile to mention that only two new open codes emerged from the third interview. This was because the ICT manager being interviewed knew very little about KS between healthcare professionals, which reflected the fact that ICT professionals in this hospital were irrelevant to this study, and hence they were not included in the main study.

In the main study, new codes were continuously emerging until the 25th interview. Although no new open codes emerged in the 26th to 30th interviews, the new

code identified in the 31st interview indicated a new area which had not been explored, and therefore the theoretical saturation had not yet been achieved. Thus there was a need to explore the new area in the follow-up study.

In the follow-up study, the theoretical saturation was considered as achieved after the 41st interview, but the process was continued until the 49th interview in order to obtain a better degree of certainty.

#### **5.3.4.2. Supporting Tools for Data Analysis**

In order to support the practice of coding and comparative analysis, four analytic tools were used interactively throughout all data analysis processes. These tools are computer-assisted qualitative data analysis software (CAQDAS) ATLAS.ti (version 5.0), code definition list, quotation list, and concept map.

##### **5.3.4.2.1. CAQDAS ATLAS.ti**

CAQDAS is one of the most significant developments in qualitative research, since this tool to a certain extent removes some of the tedious clerical tasks which come with the manual coding and retrieving of data (Bryman, 2008). Additionally, ATLAS.ti is very compatible with GT and provides a number of powerful functions to support the practice of coding and to produce mind maps and memos (Fernández, 2004).

Therefore, this project used the above software as the platform for the data analysis. To be more specific, once the interview data had been transcribed, the transcript was assigned into ATLAS.ti. In this way, the software became the platform for the researcher to read, retrieve, and manage the interview transcripts.

Moreover, the researcher also applied open codes to the interview transcripts by using this software.

A screen shot as an example of using this computer software is shown in Figure 5.6:

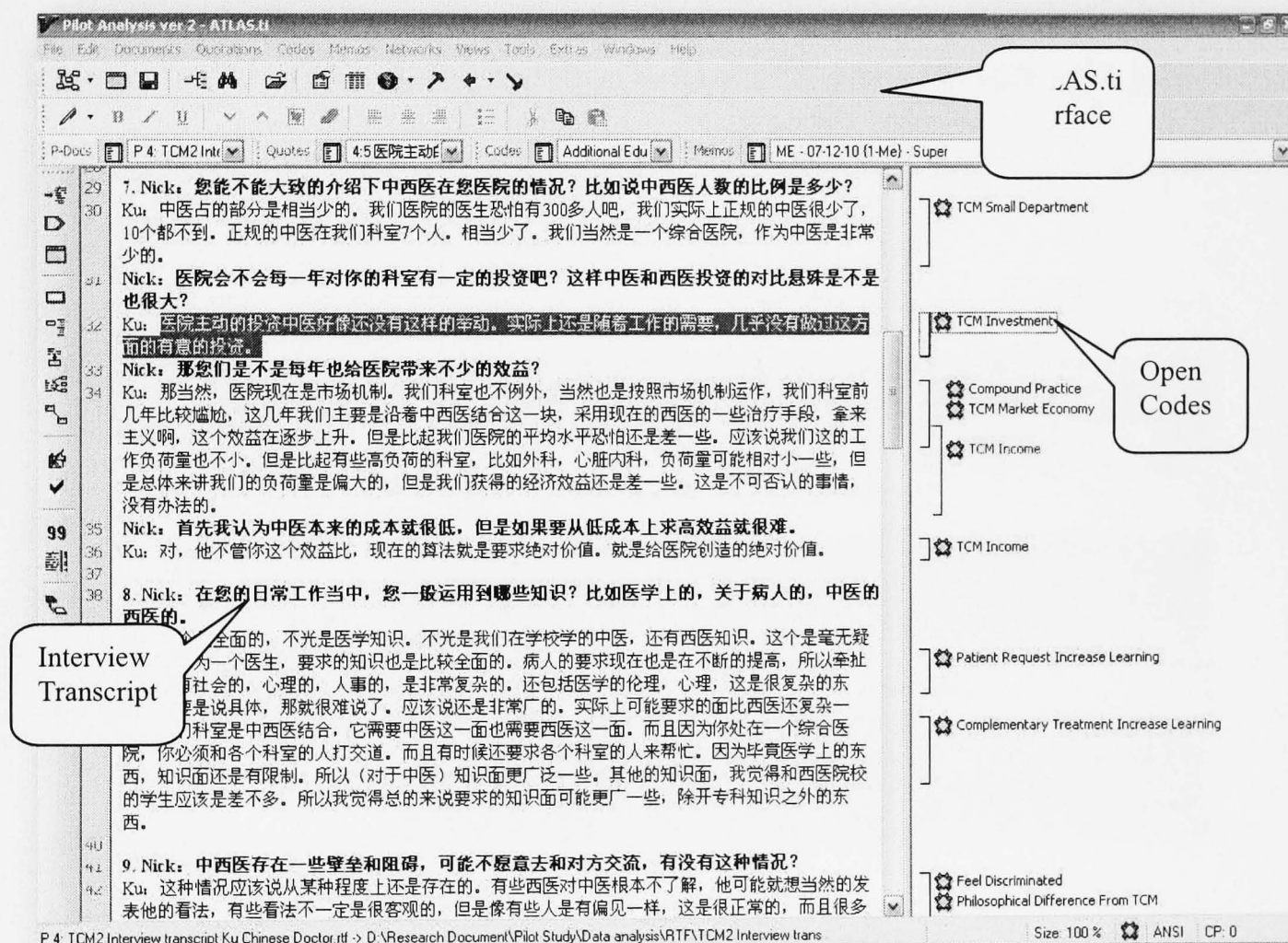


Figure 5.6: An example of using ATLAS.ti.

However, Bryman (2008) argues that CAQDAS reinforces and exaggerates the tendency for the code-and-retrieve process. In addition, Fernández (2004) claims that ATLAS.ti creates unnecessary restrictions, inhibits the researcher's development of skills, and imposes time-consuming learning curves. In this study, two strategies were adopted to minimise these problems. Firstly, ATLAS.ti was

only used to examine data closely and to tag specific pieces of data with open codes. Secondly, ATLAS.ti was used interactively with the coding definition list, the quotation list, and the concept maps.

#### 5.3.4.2.2. Code Definition List

The code definition list not only presents open codes in relation to their categories and sub-categories, but also provides a definition for each open code.

An example of the code definition list is shown in Table 5.2:

Category of Philosophical Issues				
Sub-Categories	3 <sup>rd</sup> Level Categories	Concepts	Codes	Definition
Philosophical Conflicts	Different Conceptual Systems	Holistic VS Localised Approach to Practice	[TCM took holistic view, whereas WM took micro view of patients' problem]	TCM doctors take a holistic view to see patient, where WM doctors use a micro view.
		Different Diagnostic Methods	[TCM and WM have very different diagnosis methods]	TCM and WM professionals use very different diagnostic methods.
		Different Treatment Methods	[TCM and WM have different treatments]	TCM and WM professionals use very different treatment methods to resolve patient problems.
		Divergent Theoretical Grounds	[Non-Quantify of TCM WM]	From WM professionals' perspective, WM is based on accurate quantification, whereas TCM is not quantifiable.
[None-Quantify of TCM]	From TCM professionals' perspective,			

Table 5.2: An example of the code definition list.

As shown in Table 5.2 and also in a sample in Appendix 2, the coding definition list was adopted as a fundamental tool for the comparative analysis at a generic level. By using this list, an open code can be clearly represented, in terms of both the meaning of this code and where it should be positioned. Therefore, when a new open code emerges from the data, this code is compared with the existing codes on the list for similarity and differences. Based on the comparison, the



researcher can decide whether to merge this code with a similar one on the list, or to add it to the list as a new code.

According to the experience of this research project, this tool was particularly useful for the practice of open and axial coding. Moreover, this tool needs to be used in co-ordination with ATLAS.ti, the quotation list and the concept map.

### 5.3.4.2.3. Quotation List

The quotation list worked hand-in-hand with the code definition list and records all quotations related to an open code. An example of the quotation list is illustrated in Table 5.3:

## Barrier of Chinese Healthcare Education

Sub-Categories	Codes	Quotations
TCM	[Education and Philosophy Relationship TCM]	<p><b>P 6: WMD2 Interview Transcript Wang Bone Doctor.tif - 6:61</b> 两个不同的体系是很难沟通的，因为西医是不学中医的。</p> <p><b>P18: TCM-D5.tif - 18:11</b> 学西医的不可能接受中医，他也学中医的课程，但是学的非常少，而且他们西医的思想已经根深蒂固了，他没办法接受中医，他会觉得中医是谬论，是不科学的。但他实际上并没有真正的了解中药配伍起来对病人有没有效果，他只是觉得你这个不科学，肯定是没效的，但实际上不是这样的。 <b>Translation:</b> "WM practitioners will never accept TCM. They had some TCM courses, but rarely. Their WM ideology is deep in their mind. They cannot accept TCM because they think TCM is a pseudoscience. Actually, they don't really know TCM is very effective. They just consider TCM is unscientific, must be useless. It is so not true." Interview TCM 18.11</p>

Table 5.3: An example of the quotation list.

As shown in Table 5.3 and also in a sample in Appendix 3, the quotation list was an essential tool for comparing quotations. When a new quotation is identified by using a particular open code, this quotation is then compared with the existing

quotations on the list. If these quotations show different meanings, it indicates that a new open code should be applied for this new quotation.

Moreover, this list also provides a unique indicator to each quotation. For instance, the second quotation is identified as “P18: TCM-D-5.rtf – 18:11”, which shows that the quotation was adopted from the primary document P18 as indexed by the ATLAS.ti primary doc family. This document is named “TCM-D-5.rtf”, since it was provided by the fifth TCM interviewee. Finally, the “18.11” indicates that this quotation can be found in paragraph 11 in this document.

This list also provides evidence for the translation from Chinese to English. Thus, the quotation list is essential when producing the theoretical narrative, in which the most appropriate quotations on the list were selected to present the final theory.

#### ***5.3.4.2.4. Concept Map***

In addition to the analytical tools introduced above, Strauss and Corbin (1998) encourage the use of either memos or diagrams to assist the data analysis and to manifest the evolution of theory. The use of diagrams has a clear advantage compared to memos, as “diagrams are visual rather than written memos” (Strauss and Corbin, 1998: 217). This study used the concept map to support the data analysis and to visualise research findings. An example of concept maps is shown in Figure 5.7:

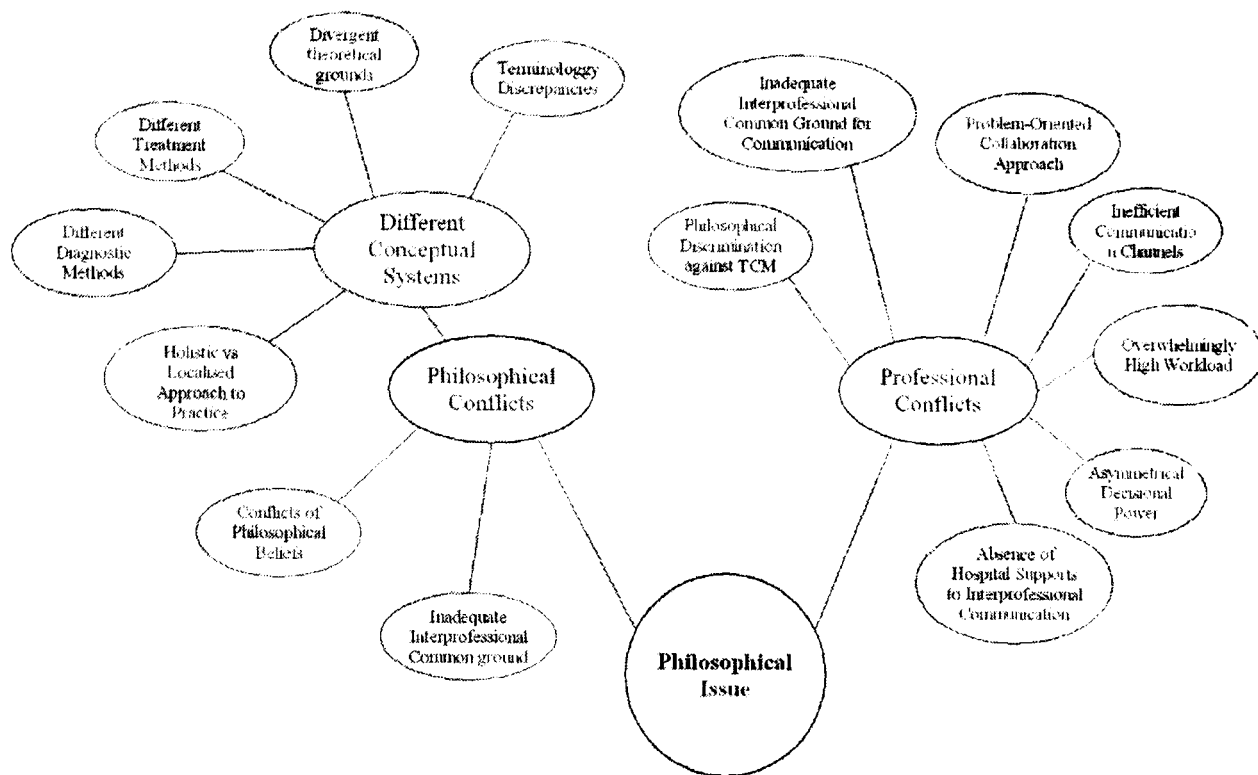


Figure 5.7: An example of a concept map.

The concept map was a useful tool for the data analysis, particularly when practising axial coding, since it visually and explicitly demonstrates relationships between categories, sub-categories and concepts. It also facilitates the comparative analysis between sub-categories and categories. The findings chapters will show all the concept maps produced and illustrate their use in the production of the theory.

### 5.3.5. Research Stages

As explained in Section 5.2, this research project followed a four-stage design for the development of theory, consisting of a literature review, a pilot study, a main study and a follow-up study. Details of these research stages are illustrated in Figure 5.8:

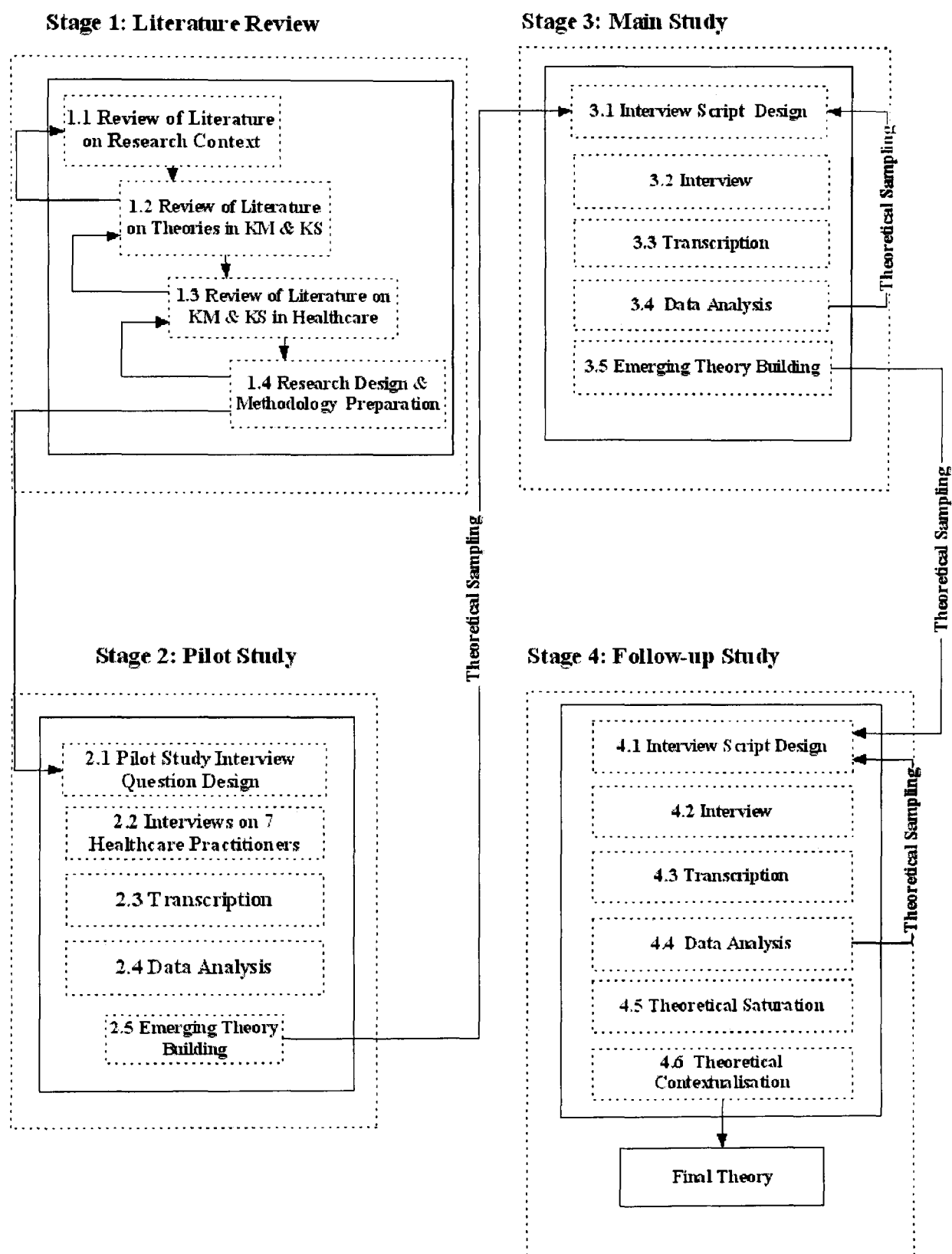


Figure 5.8: Four-stage design of theory development.

As shown in Figure 5.8, every stage had specific aims and contributed to the development of theory. This section aims at introducing the individual stages in detail and illustrating the process of theory development.

### **5.3.5.1. Literature Review**

As stated in Section 5.2, this project started by reviewing relevant literature. Although the literature review did not directly contribute to theory development, it was an important stage to this project.

#### **5.3.5.1.1. Aims**

There were four aims for the literature review:

- to gain general understanding about the research context and to develop contextual sensitivity. This is presented in Chapter 2.
- to enhance the theoretical sensitivity. This is discussed in Chapter 3.
- to locate appropriate theoretical frameworks to guide the remainder research stages. This is illustrated in Chapter 3.
- to draw implications for the research design and the selection of research methodology. This is clearly discussed in the conclusions of Chapters 2 and 3.

#### **5.3.5.1.2. Literature Review Areas and Strategies**

In order to achieve these aims, the literature review focused on the following three areas:

1. Research context included the basic philosophies of TCM and WM, the implementation of patient-centred healthcare approach in Chinese

healthcare organisations, and the collaboration of TCM and WM healthcare professionals.

2. KM and KS included basic definitions and theories of KM and KS, e.g. definitions and current models of KM and KS.
3. KM and KS in healthcare organisations included definitions, theories, models, and strategies of KM and KS developed specifically for healthcare organisations.

After reviewing the three areas for the purposes of enhancing theoretical and contextual sensitivities, the researcher decided to perform a more systematised search of literature aimed at identifying theoretical frameworks to guide the collection and analysis of data. Specifically, this search aimed at identifying existing models of KM and KS between TCM and WM healthcare professionals in the context of Chinese healthcare environment.

After careful consideration, five databases were selected:

1. Emerald: Emerald Management Review
2. LLIS: Library Literature & Information Science
3. MEDLINE
4. ASSIA: Applied Social Sciences Index and Abstracts

## 5. Web of Science

Access to these databases was provided by the University of Sheffield, and these databases were recommended by the Information School in this university as very useful to be used in information and management research studies. Also, apart from searching articles in English language, it was considered as very important to search Chinese literature. Therefore, a Chinese academic database was included, namely, CQVIP.

Moreover, the literature search was guided by a selecting criteria: (1) relevance to the aim of this project, that is, selected literature should be related to KS between TCM and WM healthcare professionals in Chinese healthcare environment; (2) complete report and well justified research methodology and design; (3) a clear presentation of valid and reliable research findings.

Specifically, the search was performed between 2007 and 2008, and used the following search terms:

1. "Traditional Chinese Medicine"
2. "Western Medicine"
3. 1 AND 2
4. hospital\*
5. health\*
6. 4 OR 5
7. "knowledge management"

8. “knowledge sharing”
9. 7 OR 8
10. China
11. 3AND 6 AND 9 AND 10

As the result, the search of literature identified a significant lack of literature reporting KS between TCM and WM healthcare professionals in Chinese hospitals. The search result indicated that the KS problem probably had not been studied before. In addition, it implied that this project needed to adopt an inductive approach.

Moreover, the search result led to another and more general search of literature, which aimed at identifying existing frameworks of KM or KS barriers between interprofessional medical teams in a healthcare environment. Consistent with the previous search, this search of literature included the six databases, but used different search terms:

1. “knowledge management”
2. “knowledge sharing”
3. 1 OR 2
4. Barrier\*
5. Hospital\*
6. Health\*
7. 5 OR 6
8. Multidisciplin\*
9. Interdisciplin\*
10. Interprofession\*
11. 8 OR 9 OR 10



## 12. 3 AND 4 AND 7 AND 11

This search retrieved 56 articles from Emerald Management Review, one article from ASSIA, two articles from MEDLINE, and six Chinese articles from CQVIP. However, no article can be found from Web of Science and LLIS.

Nevertheless, after careful hand search and close examination of each article identified, none of the articles retrieved could be considered as sufficient to be employed as theoretical foundation for this research project. Consequently, this project essentially aimed at generating a theory, which should be grounded in and emerged from the data collected in the research context. Furthermore, the literature review provided specific implications for the selection of research methodology and the research design, as specified in Section 5.3.5.1.3.

### ***5.3.5.1.3. Implications***

As the result, the existing literature was evaluated as unable to provide a sufficient theoretical foundation. In fact, this project could be the first study to investigate the problem of KS between TCM and WM healthcare professionals in Chinese healthcare organisations. Consequently, this research project is purely inductive, and aims at establishing a theory.

Moreover, the literature review could not identify any frameworks which could be assessed as sufficient to be adopted as *a priori* framework to guide the data collection and analysis. The final theory was more likely to emerge from and be grounded in data. This also implied that GT should be adopted as the overarching

research methodology to guide the data collection and analysis in a single case-study design.

The literature review also showed that there was a need to conduct a pilot study to confirm whether the KS problem anticipated did present itself in reality in the practice of a Chinese hospital, to test the selected research methodology and tools, and to identify early results and insights to guide the remaining research stages. According to the literature review, the pilot study needed to interview TCM and WM doctors, nurses, hospital managers, and ICT professionals.

Furthermore, as an end product of the literature review, a tentative framework was developed according to the perceived constructs to this KS problem. This tentative framework is introduced and discussed in the next section (5.3.5.1.4).

#### ***5.3.5.1.4. Tentative Framework***

As discussed in Section 5.2, the literature review was performed aiming at enhancing the theoretical and contextual sensitivities. However, as explained in Section 5.3.5.1, the literature review process was unable to identify a robust theory to explain the phenomenon under study in this thesis. Nonetheless, a number of theoretical constructs emerged through the processes of theoretical and contextual sensitisation. According to Strauss and Corbin (1998), these constructs are to be used for the development of interview questions when gathering the first bit of data.

At the end of the literature review, the theoretical constructs identified were linked and categorised in order to form a tentative framework. The tentative framework was then employed as the basis for the formulation of the first version of the interview scripts. This version was only used for initial interviews in the pilot study and acted as a starting point for the collection of data, as suggested by Strauss and Corbin (1998).

It is very important to highlight that this tentative framework is very different from a priori frameworks, which are used as the foundation for the theory development. This tentative framework was only employed for the design of the interview script used in the pilot study. In addition, this tentative framework had very little influence on the data analysis and theory development, since “after first interview(s) or observation(s), the researcher will turn to questions and concepts that emerge from analysis of the data” (Strauss and Corbin, 1998: 51).

The tentative framework consists of five main issues, namely: professional culture, ideologies and terminologies, historical issues, professional standing and power, and communication issues.

### **Professional Culture**

The process of facilitating the interaction between TCM and WM healthcare professionals with distinct professional cultures through a single social world is undeniably a problematic proposition prone to cultural collision and conflicts.

Misunderstandings, misinterpretations and a disregard for the validity of each other's discourses are significant barriers to the sharing of knowledge across these cultural boundaries. In this case, cultural collisions may be caused by even deeper cultural differences between the East and the West. To a larger extent, the problem results from the deep difference between the underlying beliefs about healing in TCM and WM. TCM theories take a holistic view of the human body, where every element is closely interrelated and interacts within one independent entity (Fruehauf, 1999). In contrast, WM doctors are more interested in localised diseases or illnesses and the corresponding part of the human body. WM practitioners aim at healing that specific part of the human body rather the more general problems of the patient (Dally, 2003). This may create difficulties in understanding each other's diagnosis, clashes in indications for treatment, difficulties in interpreting requirements for complementarity of treatments, and difficulties in understanding interpretations of healthcare problems. Furthermore, there are fundamental differences in the validation and acceptance of healthcare practices. TCM is based on 2300 years of evolution and accumulation of experience. On the other side, WM is based on scientific paradigms and evidence-based research. This clearly distinguishes the basic beliefs of the two communities, often producing disagreements and a disregard of the other group. This may not only result in discourses that are not compatible, but also lead to reluctance to accept each other's opinions, diagnosis and interpretations.

### **Ideologies and Terminologies**

As discussed above, the differences in philosophical foundations result in very different professional practices, discourses and terminology. These different practices, from diagnosis to treatment, increase the separation between the two actors. TCM relies on the five element theory and yin-yang in order to explain the nature, materialism and dialectics (Cheng, 2000). Diagnosis in TCM is conducted in four classic diagnostic methods: “inspection”, “listening and smelling”, “inquiry” and “palpation”. In contrast, scientific evidence is the basis of treatment in WM. Nevertheless, as in TCM, diagnosis in Western medicine is also largely dependent on the doctor’s experience. However, WM always involves modern high-technical tools to collect diagnostic evidence. Each of these different fundamental theories and practices results in an associated discourse and corresponding terminology. These are obviously different in nature and not always compatible with the counter-part group’s beliefs and discourse. Thus, if each of these two actors uses a rather different set of professional beliefs, discourse and language, the communication between the two actors is very difficult, making knowledge sharing across the two communities extremely problematic.

### **Historical Issues**

The disharmony and difficulties in coexistence between TCM and WM date back to as early as the beginning of the 20th century. Unschuld (1985) notes that the priority in healthcare policies given to WM created resentment and conflict with the TCM community as early as 1914. In later years, the Great Cultural

Revolution (1966-1976) was a disaster for research and studies in TCM. The number of Chinese Medicine colleges was dramatically decreased from 21 to 11. Furthermore, no teaching or research activities were allowed to be conducted in the area of TCM by any HE institute from 1966 to 1971 (Fruehauf, 1999; Chen et al., 1999). These historical issues significantly affect the relationship between the two professional groups today, by resulting in lack of trust, professional rivalries and resentments arising from this historical background. These can therefore severely hinder knowledge sharing, due in particular to continuing professional standing and power issues within the healthcare institutions.

### **Professional Standing and Power**

As discussed above, there is a history of rivalry and competition for professional standing and power between the TCM and WM communities. This ongoing rivalry is summarised by Tian (2003) as an ongoing inter-professional debate for supremacy. This may in turn become a strong barrier to the creation of relationships of trust, collaboration and cooperation between the two groups. This is particularly problematic due to the current imbalance in both professional standing and power within healthcare institutions. In fact, despite all the official rhetoric, in the Chinese healthcare system, WM doctors are clearly empowered and occupy most of the advantageous and powerful positions. There are three reasons behind this disproportionate power distribution. Firstly, WM is the de facto main primary healthcare service within the overall Chinese healthcare system. Second, WM has a much larger community of practitioners (4,463,778)

compared with only 465,703 doctors in TCM (National Bureau of Statistics of China, 2005). Finally, and most importantly, WM is more profitable than TCM for the Chinese healthcare services in terms of revenue. This issue has always been blamed as the main cause of the imbalance development position in the healthcare system of these two actors. This statement is supported by Hsiao (1995), who indicates that, in the 1970s, Chinese macro-health policy shifted its healthcare financing and delivery toward a free market system by encouraging all levels of health facilities to rely on user fees to support their operation. As, by its nature, TCM is not as profitable as its WM counterpart, the development of TCM has always been limited by the implicated actor “money”.

### **Communication Issues**

Apart from all other considerations, effective knowledge sharing between TCM and WM demands efficient communication channels. These channels are usually discussed at two different levels: organisational and technological. In technological terms, communication channels usually assume form of integrated IS, supported by adequate ICT infrastructures. Knowledge emerging from both types of practitioners should be effectively acquired, stored, retrieved, disseminated and utilised. These very complex activities should be supported by appropriate IS, databases and computer-mediated communication tools integrated by effective healthcare KM strategies. These systems should reflect the plurality and complexity resulting from the integration of TCM and WM practitioners and should serve both communities equally. However, the majority of ERP systems

for healthcare clearly focus on WM philosophies, discourses and terminologies. This of course acts as a clear deterrent to communication between the two groups and results in very low acceptance by TCM practitioners. On the other hand, organisational communication issues are usually centred on formal and informal communication. Formal communication uses formal channels of communication available within the organisation, following prescribed hierarchical and functional dependencies, boundaries and systems. Conversely, informal communication is usually due to fortuitous circumstances outside formal communication channels, such as telephone conversations, informal gatherings and unplanned visits (Peng and Litteljohn, 2001). According to the argument above, formal communication between the two communities is much more complicated than informal communication between individuals. Therefore, the development of knowledge sharing practices should identify and maximise instances of informal communication, study these and use the existing relationships and established trust to build more stable and durable communication.

Finally, it is worthwhile to reiterate what has been discussed at the beginning of this section, that the tentative framework had very little influence on the data analysis in this project, since the analysis was implemented entirely on the basis of the collected data. This tentative framework was only used for the design of the very first version of the interview question script used in the pilot study. Therefore, the framework was used strictly in accordance with what is prescribed by GT. The processes of data collection and analysis in the pilot study are introduced and discussed in Section 5.3.5.2.



### **5.3.5.2. Pilot Study**

#### **5.3.5.2.1. Aim**

The purpose of the pilot study was to obtain a better understanding of the current situation in Chinese healthcare organisations with regard to KS between TCM and WM practitioners. The pilot study also aimed at confirming and exploring the KS problem identified in the literature review. More specifically the study aims at:

- confirming and further narrowing down the research gap identified in the literature review.
- testing and evaluating the inductive research methodology selected, namely, the integrated methodology of case-study and GT, the semi-structured interview as the data collection technique, and the GT data analysis methodology.
- providing initial findings to guide the remainder of the study, namely, in the choice of an adequate arena (a department in the hospital) as the case-study site for the remainder stages, and in providing an emergent theory to guide the data collection and data analysis in the main study.

#### **5.3.5.2.2. Data Collection and Analysis**

Data collection employed semi-structured interviews as the data collection tool.

The interview script was designed according to the tentative framework produced

by the literature review. Overall, seven interviewees were purposively sampled and selected from the case-study site. These participants were two WM doctors (a neurosurgeon and an orthopaedic doctor), a neurosurgical nurse, two TCM doctors, an ICT manager, and the chief hospital administrator (who was also a cardiac surgeon).

Interviews were conducted in Mandarin Chinese and generally ranged from 50 to 70 minutes in length. All interviews were recorded by a digital recorder. Digital recordings were transcribed all together into Word files after the completion of all interviews. Interview transcripts were then organised and assigned into ATLAS.ti for data analysis.

The data analysis followed the GT analysis methodology employing open and axial coding, as well as comparative analysis with assistance from ALTA.ti, coding definition list, quotation list, and concept map.

As a result of the data analysis, four main categories emerged: communication issues, philosophical issues, educational issues, and professional standing and power issues. Details of the emerging results are discussed and presented in Section 6.1.4.1 and in Table 6.2 on page 175. Furthermore, the emerging results provided specific implications for the main study.

#### ***5.3.5.2.3. Implications for the Main Study***

The pilot study provided several important implications for the main study:

1. The pilot study confirmed and validated the usefulness and gap behind the research question identified in the literature review.
2. As discussed in Section 5.2, the research findings suggested choosing the Department of Neurosurgery for deeper and more focused investigation. In this case, the main study needed to interview neurosurgeons, neurosurgical nurses, and TCM doctors.
3. GT as the overarching research methodology was evaluated as appropriate for this research project, not only because using the theoretical sampling strategy could possibly gather very detailed information, but also because it is very likely that a dense and valid theory can be developed using GT data analysis methods.
4. The semi-structured interview as the data collection method had proven very useful for gathering rich and valid data. Therefore, it was decided to employ this method in the remaining stages.
5. The four tools for data analysis (the ATLAS.ti, the code definition list, the quotation list, and the concept map) were assessed as very helpful and it was decided to use them in later stages.
6. The four main categories that emerged in the pilot study were adopted in designing interview scripts for the data collection in the main study.

These emerging main categories were also used as the starting point for the data analysis.

These implications which emerged from the pilot study were taken fully into consideration when designing and conducting the main study.

### **5.3.5.3. Main Study**

#### **5.3.5.3.1. Aim**

The aim of the main study was to develop the theory fully. To be more specific, the main study had the following aims:

- to continue exploration of KS barriers on the basis of the findings which emerge from the pilot study.
- to develop the individual categories fully.
- to choose a main category to link other categories in order to form the final theory.
- to achieve theoretical saturation.

#### **5.3.5.3.2. Data Collection and Analysis**

The interview scripts were designed according to the findings which emerged from the pilot study. Before entering the field, the researcher designed three

different versions of the interview script for the three types of informants chosen to be interviewed, namely, neurosurgeons, neurosurgical nurses, and TCM doctors. These interview scripts were then continuously revised throughout the main study.

When in the field, the data collection was conducted almost in parallel with the data analysis. Participants were approached individually in groups of two or three, according to the need for theory development reflected by the data analysis. After each set of interviews, the interview data were immediately transcribed and briefly analysed using open coding on the basis of the emerging theory developed in the pilot study. Results from the immediate analysis were used to revise the interview script and to indicate who should be interviewed next. The data collection was stopped when the theoretical saturation was perceived as achieved (when no new open codes emerged from the brief analysis). In the end, eleven neurosurgeons, eight neurosurgical nurses, and six TCM doctors were interviewed.

However, the data analysis conducted in the research field was not very in-depth. This led to another round of data analysis after the completion of the field study. In contrast to the data analysis in the field, which aimed at driving the theoretical sampling, this stage of data analysis aimed at the development of theory. The analysis mostly applied open and axial coding to the data. Moreover, and at the ending part of the main study, selective coding was applied, due to the core category started to emerge. Nonetheless, the practice of selective coding

demonstrated that the theoretical saturation had not been achieved and a few categories and concepts were not yet fully developed, which needed to be investigated further in the follow-up study.

Four main categories emerged from the data analysis: philosophical issues, healthcare education issues, professional training issues, and political issues. These categories as well as emerging KS barriers identified are presented in Section 6.1.4.2 and in Table 6.3 on page 177-178.

Also, it is important to be noted that, by the end of the data analysis, it was considered that the categories of philosophical issues, healthcare education issues and professional training issues were saturated, since no new open codes emerged. One of the main categories, the philosophical issues, started to emerge as the core category, which started to interconnect with other categories.

Nevertheless, at this stage, the theory development was not completed. As reflected in the emerging results, it was necessary to investigate the external environmental influences on KS in the follow-up study. Also, the emerging results provided specific implications for the follow-up study.

#### ***5.3.5.3.3. Implications for Follow-up Study***

The main study indicated that the follow-up study needed to complete the theory development and to validate the emerging research findings. To be more specific, the main study provided the following implications for the follow-up study:

1. The follow-up study needed to explore the external influences on KS in the hospital environment.
2. The exploration in the follow-up study must start from the basis of the emerging theory developed in the main study. That is, the interview scripts needed to be established according to the emerging theory, which should also be the starting point for the data analysis.
3. As implied by the emerging theory, the follow-up study not only needed to interview neurosurgical and TCM healthcare professionals, but also needed to include TCM educators in HE organisations, healthcare politicians in the local government, and patient relatives and carers, who come from outside the hospital.

These implications provided by the main study impacted on the design of the follow-up study and contributed to the theoretical saturation.

#### **5.3.5.4. Follow-up Study**

##### ***5.3.5.4.1. Aim***

Generally, the follow-up study aimed at achieving the theoretical saturation and completing the theory development. To be more specific, the follow-up study had the following aims:

- to develop the category of political issues fully by exploring the external influences on KS between the TCM and WM healthcare professionals.
- to identify and confirm the core category.
- to establish and confirm relationships between categories.

#### ***5.3.5.4.2. Data Collection and Analysis***

In order to achieve these aims, a total of seventeen informants were approached at this stage. The data collection included two neurosurgeons, two TCM doctors, three neurosurgical nurses, a TCM educator (who was a TCM module coordinator in the Department of Medicine in Xiangfan Vocational and Technical College, a local HE organisation), a healthcare politician (who was in charge of supervising and controlling TCM practices and services in local healthcare organisations), and eight patient relatives, who represented the non-healthcare professionals.

Generally, the data collection strategy employed in this stage was similar to the one used in the main study. The interview scripts were designed according to the emerging theory and were then continuously revised throughout the follow-up study. Potential interview participants were approached and interviewed individually in groups of two or three. However, unlike the brief analysis of data when in the field during the main study, the follow-up study conducted a thorough analysis of data immediately after each interview bundle. Results of the analysis were then used to revise the interview scripts and to identify the participants that needed to be interviewed next.



By the end of this stage, the data analysis showed all three indicators for the theoretical saturation:

- No new open codes emerged from data.
- All emerging categories were well established.
- The relationships among categories were well established and validated.

In the light of the three indicators, the theoretical saturation was considered as achieved. It needs to be mentioned that, in fact, the theoretical saturation was considered as achieved after the 41st interview (as shown in Figure 5.5), but the processes of data collection and analysis were continued until the 49th interview in order to obtain a better degree of certainty.

The final theory saturated on five main categories, namely: contextual influences, philosophical issues, Chinese healthcare education, interprofessional training, and hospital management. These categories and related KS barriers are discussed in Section 6.1.4.3 and presented in Table 6.4 on page 177-178. In fact, the entire Chapter 6 is dedicated to discuss the five main categories and to evaluate and criticise individual KS barriers.

## 5.4. Research Ethics

All social research studies involve ethical issues, since they collect data from people and about people (Punch, 2005). The ethical issues are even more important in a qualitative research project. This is because, as proposed by Punch (2005), while all social research studies to some extent intrude into people's lives, qualitative research studies very often intrude even more. This author adds that qualitative studies usually deal with some very sensitive, intimate, and personal matters in people's lives.

Therefore, in order to ensure research projects are ethically conducted, many professional bodies provide codes of ethical and professional conduct for research. Punch (2005) identifies four widely used ethical codes: the American Psychological Association (1992), the American Sociological Association (1989), the American Educational Research Association (1992), and the handbook for the American Anthropological Association (Cassell and Jacobs, 1987).

This project follows the University of Sheffield (2006) "Ethical Policy for Research Involving Human Participants, Data and Tissue". This ethics policy was developed by consulting senior University academics and administrators, and with reference to EU and UK legislation (for instance: EU Directive on Good Clinical Practice in Clinical Trials, the Data Protection Act 1998); professional guidelines (e.g. the British Psychological Society); and higher education documents (e.g. research fund publications, other university research-related policies).

Following this ethics regulation, this project employed three main strategies to guarantee that all research processes were conducted ethically:

1. Informed Consent: All potential interview participants were approached individually by the researcher before formal interviews (usually one-two days). This preliminary meeting was designed to meet the interview participant in person, to provide sufficient information about the research project, and to give enough time to the participants to decide whether to take part. Moreover, during the meeting, an information sheet was provided to every potential interview participant stating necessary details about the research project, including aim and objectives, research methodology, who is undertaking and who is responsible for this project, the potential risks and inconveniences that may arise, the potential benefits, why the participant is included in this research, his/her involvement in the interview process, and confidentiality of personal information and data. This information sheet also provided contact information for the University authority if anything should go wrong or if interview participants intended to make complaints. Once an interview participant agreed to be interviewed, he/she was requested to sign two copies of the Participant Consent Form, one copy for the participant and one copy for the researcher.

2. Interview Question Design: All interview questions were carefully designed, not only for the purpose of theory development, but also with the aim of protecting the privacy of individual participants. The interview questions were formulated on the basis of presumptions of the cultural, political, religious, and professional backgrounds that were possibly possessed by the interview participants. In this way, it is perceived that the researcher could minimise the intrusiveness of the research, and avoid interview questions that could be offensive. Furthermore, because this research project is not concerned with any patient information, the interview questions were carefully designed to avoid any patient related questions. Moreover, before each interview, the researcher kindly reminded the interviewee that no specific patient names or other personal information should be mentioned.

3. Confidentiality and Security of Participants' Personal Information and Contribution: After each interview, the interview recording was immediately transcribed. During the transcription process, a very important procedure was to anonymise the manuscript and to make sure no information could be traced back to particular participants or patient cases. Both the interview recording and the transcript were kept strictly confidential and were used in this research only.

Before the researcher entered the field, the ethical strategies used in this research project were approved by the Information School Ethics Review Panel via strict

and rigorous review procedures. The implementation of these strategies was supervised and monitored by the same school in the University of Sheffield.

## **5.5. Conclusion**

This chapter describes and discusses how this research was conducted. Specifically, this chapter discusses the case-study selected for this study, explains the data collection and analysis process, tools and techniques in great detail. Moreover, this chapter presents the four-stage research design adopted in this study, consists of literature review, pilot study, main study, and follow-up study.

In sum, this chapter explains how the research was done; the next two chapters discuss the final findings and corresponding categories (Chapter 5) and present an integrative discussion on the merging of these categories into a global theory (Chapter 6).

## 6. Research Findings

This chapter aims at presenting the research findings and discussing and criticising individual KS barriers. As shown in Table 6.1, the emerging theory saturated on five main categories, which consist of 13 sub-categories and 47 KS barriers, which prevent the exchange of patient knowledge between WM and TCM healthcare professionals.

Section	Main Category
6.2.	Contextual Influences
6.3.	Philosophical Issues
6.4.	Chinese Healthcare Education
6.5.	Interprofessional Training
6.6.	Hospital Management

Table 6.1: Arrangement for the presentation of the final theory.

As shown in Table 6.1, this chapter uses five sections (6.2, 6.3, 6.4, 6.5, and 6.6) to discuss the five main categories that emerged from the data analysis, one section for each category. Nevertheless, before the in-depth discussion of individual KS barriers, this chapter starts from Section 6.1, which lays a necessary foundation for the understanding of the theory and discusses issues relating to the research context.

## **6.1. Introduction to the Research Findings**

This section is a contextual discussion to the presentation of research findings and aims at providing a social context, which is emerged from the data analysis. This section is considered as essential in order to rationalise and contextualise the discussion of barriers in the following sections. Moreover, it is important to highlight that, although these issues have emerged from the data collected, these contextual issues are not KS barriers. Instead, they are explanatory contextual issues that will help understand the theory produced.

To be more specific, this section addresses three main contextual issues: collaboration and complementarity of neurosurgical and TCM practitioners, the position of the patient, and KS processes in the interprofessional collaboration. In addition, at the end of this section, an evolvement of theory is presented by showing the results emerged from the pilot study, the main study and the follow-up study.

### **6.1.1. Collaboration and Complementarity of Neurosurgical and TCM Medical Teams**

It was identified in the data gathered that the neurosurgical and TCM medical teams share a strong interprofessional collaborative relationship.

“WM and TCM are connected by a complementary relationship, in which WM takes the unchallengeable primary position and is complemented by TCM.” Interview WMD 2.13

“In neurosurgery, the primary method is the craniotomy, which is assisted by a series of procedures and bio-chemical drugs. After the surgery, at the rehabilitation stage, we need to include TCM treatment, such as acupuncture, massage, and sometimes we use traditional herbal medicine.” Interview TCM 17.19

Thus, it could be perceived that when dealing with neurosurgical patients, WM is considered as the main approach and is applied first to patients. However, the application of WM is often complemented by TCM methods.

“At acute stages, WM methods are better and more effective. But these WM methods show lack of efficacy at the rehabilitation and post-surgical stages.” Interview WMD 20.11

TCM methods are mostly used at the post-surgery and rehabilitation stages, where WM doctors “do not have good methods” (Interview TCM 4.71) and “are less effective” (Interview WMD 20.12).

“Patient usually has some problems after the brain surgeries. These problems may lead to some serious sequelae. For these problems, patients can use TCM herbal medicine and acupuncture to assist rehabilitation after surgeries. TCM is not usually used before surgeries.” Interview WMD 20.15



“[The interprofessional collaboration] is actually very useful. We [TCM doctors] usually mention to neurosurgeons that it is our work that woke up those deep coma patients.” Interview TCM 16.25

Therefore, as asserted by a number of interviewed practitioners, TCM and neurosurgical healthcare professionals have formed a strong complementary relationship. This relationship is deemed to be very useful and thus is widely used on neurosurgical patients, since “half of our [neurosurgical] patients are using TCM treatments” (Interview WMD 2.72). Therefore, TCM healthcare professionals are frequently invited by neurosurgical practitioners for intervention in patient rehabilitation.

### **6.1.2. The Position of the Patient**

A number of interviewed healthcare professionals claimed that the collaboration of neurosurgical and TCM healthcare professionals is “purely for the benefits of patients” (Interview WMD 10.30).

“As a healthcare professional, the basic aim is to help the patient to achieve a better health condition.” Interview WMN 29.13

As reflected in the above quotation, the collaboration essentially aims at helping the patient and resolving patient health problems. In this case, the patient probably stands at the centre of interprofessional collaboration and could be seen as a link connecting the two medical teams.

Nevertheless, these idealistic statements provided by healthcare professionals might not be entirely true in day-to-day practice. Some interviewed patient relatives stated that patients' needs and requirements are in fact not very well protected. For instance, two patient relatives stated:

“My husband is suffering from a severe high fever after surgery. Neurosurgeons suggest using antibiotic injections. But this method cannot be used more than 18 days. At the 18th day, the injections must be stopped. Once it's stopped, the fever is back. It has been on and off like this for a few months. Therefore, I was thinking of contacting TCM doctors to use some herbal medicines to solve the problem. I have been complaining and requested this several times. But the neurosurgeon in charge said that there is no need for that. I reckon they are [solely] relying on those antibiotics. Personally, I think TCM could be more effective.” Interview PC 36.19

“We do not choose [between TCM and WM methods], they [neurosurgeons] decide for us. In other words, what we say does not count. They have operated [on my son] for three times. They did not leave any space for us to make a decision.” Interview PC 43.13

Thus, as reflected by both quotations, patient requests are probably not treated very seriously. It is perceived that patients' voices and rights are not clearly represented and well protected by healthcare professionals. Additionally, patients probably do not have the power to make decisions for themselves, since “what we say does not count” (Interview PC 43.13).

“Neurosurgeons usually suggest to us what to do. We are using acupuncture because they introduced it could be very effective, especially after a brain surgery.” Interview PC 35.21

Therefore, and as shown in the quotation above, it is perceived that due to a lack of power, patients and their relatives probably have to passively accept the decisions made by healthcare professionals. Thus, it is probable that patients, as a less empowered and almost silent party involved in the interprofessional collaboration, are unable to protect their rights, requirements and needs. In fact, according to the discussion in this section, the WM doctors seem to be a dominant force in the process, not always open to discussion with either patients or TCM doctors.

### **6.1.3. KS Processes in Interprofessional Collaboration**

As emerged from statements provided by informants, interaction and collaboration between TCM and WM professionals usually occur in consultation sessions, which are also the main vehicle for the sharing of patient knowledge.

“Ordinarily we have consultations. In cases where are some problems we cannot treat from the WM perspective, we would invite TCM doctors to help and find out whether they have better solutions. The consultation is a very common method and is frequently used. At late stages [post-surgery and rehabilitation stage], we [the neurosurgical professionals] almost entirely depend on TCM doctors.” Interview WMN 7.109

“If patients in our Neurosurgery Department need TCM doctors to prescribe herbal medicines and to apply acupuncture, I will let the nurse in charge telephone those TCM doctors. In this case, we can arrange time for their consultation. We also need to initiate a consultation note to them as a formal invitation. After they receive the consultation note, they can come over and collaborate with us.” Interview WMD 21.15

As shown in the quotation above, a consultation is usually requested by a neurosurgeon, when a patient’s condition is perceived to be better treated by TCM doctors. The nurse in charge usually initiates the process at the request of the neurosurgeon and contacts the TCM doctors directly to make an informal enquiry. If the TCM doctor agrees his/her commitment, the neurosurgeon initiates a consultation note as a formal invitation for collaboration. After this consultation session, WM and TCM professionals never meet again to discuss that particular patient, except in the case of emergencies.

It is worthwhile to mention that the consultation note is a formal document used to record all information related to the consultation. One of the interviewed neurosurgeons provided an unused consultation note to the researcher as an example. A consultation note consists of two sections. The first section needs to be filled in before the consultation. This section includes basic patient information (patient name, patient number, gender, age, etc.), a brief introduction to the patient problem, the purpose and aim of the consultation, and when and where to meet. The second section focuses on the outcomes of consultation.

Finally, the consultation note must be signed by doctors from both sides and documented in the patient records.

Both sides perceive these consultation sessions as “a relatively good communication channel for KS” (Interview WMD 11.09). However, as a communication channel, these meetings can only play a very limited role in real KS between the two professional groups. In reality, as expressed by a number of informants, the meetings last usually “no more than 10 or 20 minutes” (Interview WMN 7.119), in which “the diagnosis of the patient is presented by a WM doctor and then usually we [the visiting TCM doctor and the neurosurgeon in charge] need to have a brief discussion” (Interview TCM 4.92). This is of course not conducive to in-depth interprofessional discussions.

Moreover, all the participants in the consultation are extremely pressed for time. This was evident from the statements of a number of informants.

“[In the consultation] usually they do not ask many questions, and we do not talk that much. We all are very busy. As long as we can treat the patient, that is all right. We all are too busy to actually sit down and have a deep conversation.” Interview TCM 37.63

“[In consultation] sometimes neurosurgeons can introduce patient conditions. But normally they [neurosurgical professionals] are very busy. Therefore, we mainly rely on the patient records.” Interview TCM 15.23

Thus, the consultation meeting becomes a formal handover of patients and not a vehicle for the exchange of knowledge and interprofessional communication.

Furthermore, the patient records are the main vehicle for the exchange of patient knowledge. The researcher also obtained an unused copy of a patient records form (in this hospital, patient records had not yet been digitised). According to this form, the patient records are indeed very useful for transferring explicit technical knowledge. Nevertheless, it does not include any of the ethical and emotional knowledge or the social and behavioural knowledge about individual patients that are studied in this research. Therefore, these two types of patient knowledge are lost in the 20-minute process of patient handover.

Also, the knowledge on which this research is focused is inherently tacit and centred around patient needs, requirements and expectations. Crucially, the healthcare professionals that usually possess more of this knowledge, the nurses, are mere spectators in these consultation meetings.

Nurses, as the healthcare practitioners closest to the patient, are the ones that have a better understanding of the ethical and emotional knowledge about a particular patient, as well as the social and behavioural knowledge pertaining to their family, social and even religious background. However, due to a combination of Chinese and hospital culture, their role in the consultation meetings is secondary at best. Nurses, although present in the meetings, very rarely intervene and never have direct contact with their counterparts on the other side.

“I rarely get into deep conversation with TCM doctors. We [neurosurgical nurses] never talk in such detail [for the purpose of sharing patient knowledge].” Interview WMN 33.15

“We after all are just nurses. If a patient needs help from TCM doctors, it is entirely the neurosurgeon’s responsibility. They need to make decisions. Then, we just work on those decisions.” Interview WMN 31.13

Thus, all the knowledge accumulated by direct care and interaction with a particular patient is lost and never transmitted to the TCM professionals.

#### **6.1.4. The Evolution of Research Findings**

Before the presentation and discussion of KS barriers identified, it is important to present results emerged from each research stages (the pilot study, the main study, and the follow-up study), in order to show the progression of the theory and to provide evidence for the identification of individual KS barriers.

##### **6.1.4.1. Brief Description of Emerging Results from the Pilot Study**

As discussed in Section 5.3.5.2, the pilot study identified four main categories: communication issues, philosophical issues, educational issues, and professional standing and power issues. These emerging main categories, sub-categories and KS barriers are shown in Table 6.2:

Main Category	Sub-Category	KS Barriers
Communication Issues	Formal Communication	Different Professional Terminologies
		Consultation as an Ineffective

		Communication Channel
	Informal Communication	Lack of Communication Motivation
		Absence of Hospital Communication Strategy
Philosophical Issues		Philosophical Difference between TCM and WM
		Lack of Mutual Knowledge
Educational Issues	Education Structure	Lack of Mutual Education
		Lack of WM Education in TCM Universities
		Lack of TCM Education in WM Universities
	Professional Learning	High Workload For Active Learning
Professional Standing and Power Issues	TCM Economy	The Implementation of Market Economy
		The Decrease of TCM Market
	Biases against TCM	Unequal Political Support to TCM and WM
		Management Bias against TCM
		Career Progression Difficulty for TCM Doctors

Table 6.2: Presentation of findings of the pilot study.

The pilot study provided initial insights into the KS problem between TCM and WM healthcare professionals in Chinese hospitals. The research findings also indicated that it was necessary to carry out a more thorough and focused investigation in the main study.

#### 6.1.4.2. Brief Description of Emerging Results from the Main Study

As discussed in Section 5.3.5.3, four main categories emerged from the main study, namely, philosophical issues, healthcare education issues, professional



training issues, and political issues. These categories are shown in Table 6.3. Moreover, in order to differentiate from KS barriers emerged from the pilot study, barriers identified in the main study are labeled with ‘\*’.

<b>Main Category</b>	<b>Sub-Category</b>	<b>KS Barriers</b>
Philosophical Issues	Philosophical Conflicts	Different Conceptual Systems
		Conflicts of Philosophical Beliefs*
		Inadequate Interprofessional Common Ground
	Professional Conflicts	Inefficient Communication Channels
		Problem-Oriented Collaboration Approach*
		Overwhelmingly High Workload*
		Asymmetrical Decisional Power*
		Inadequate Interprofessional Common Ground as Motivation for Interaction
		Absence of Hospital Supports to Interprofessional Communication
		Philosophical Discrimination against TCM*
Healthcare Education Issues	Lack of Interprofessional Education in WM HE	Structure of WM HE
		Perceived Value of TCM Education in WM HE*
		Lack of Systematic TCM Education in WM HE
		Decrease of TCM Teaching in WM HE*
		Progressive Erosion of TCM Knowledge*
	Lack of Deep Interprofessional Education in TCM HE	Structure of TCM HE
		Perceived Value of WM Education in TCM HE*
		Increase of WM Teaching in TCM HE*
		Insufficient WM Understanding for TCM Doctors
		Political Bias against TCM Education*
Professional Training Issues	Existing Interprofessional Training Structure	Absence of Hospital Attention to Interprofessional Training*
		Political Influences to Interprofessional Training*
	Absence of Interprofessional Training in the Neurosurgery Department	High Workload against Interprofessional Training
		Lack of Personal Interests in Interprofessional Training*
		Philosophical Discrimination against Interprofessional Training*
	Absence of Interprofessional Training in the TCM Department	Career Progression Difficulty for TCM Professionals*
		Political Bias against Interprofessional Training for TCM Doctors*

Political Issues	External Political Environment	Invalidity of Current Healthcare Policy
		The Implementation of Market Economy Policy*
		Economical Influence to Hospital Management*
		Political Influence on Hospital Management*
	Hospital Management	Management Bias against TCM
		Career Progression Inequality
		Recruitment Inequality*
		Investment Inequality*
		Decrease of the TCM Department*

Table 6.3: Presentation of findings of the main study.

The main study brought significant findings to the development of theory. It is worthwhile to mention that, by the end of the data analysis, it was considered that the categories of philosophical issues, healthcare education issues and professional training issues were saturated, since no new open codes emerged. Moreover, one of the main categories, the philosophical issues, started to emerge as the core category, which started to interconnect with other categories.

Nevertheless, at this stage, the theory development was not completed. To be more specific, as reflected in the emerging results, it was important to investigate the external environmental influences on KS in the follow-up study.

#### **6.1.4.3. Brief Description of Emerging Results from the Follow-up Study**

The theoretical saturation was achieved in the follow-up study. Specifically, the final theory saturated on five main categories, namely: contextual influences, philosophical issues, Chinese healthcare education, interprofessional training, and hospital management. These categories and related KS barriers are shown in

Table 6.4. Additionally, in order to differentiate from barriers identified in the main-study as presented in Table 6.3, KS barriers emerged from the follow-up study are labeled with ‘Δ’.

Main Category	Sub-Category	KS Barriers	
Contextual Influences	Political Influences	Inefficiency of Current Healthcare Policy	
		Ineffectiveness of Patient-Centred PolicyΔ	
		Negative Effects of Market Economy Policy	
	Economical Influences	Negative Effects of Social MaterialismΔ	
		Negative Economical Influences to Medical BeliefΔ	
	Social Influences	Social Preference for WMΔ	
		Social Bias against TCMΔ	
		Decrease of TCM Market	
	Philosophical Issues	Philosophical Conflicts	Different Conceptual Systems
Conflicts of Philosophical Beliefs			
Inadequate Interprofessional Common Ground			
Professional Conflicts		Inefficient Communication Channels	
		Problem-Oriented Collaboration Approach	
		Overwhelmingly High Workload	
		Asymmetrical Decisional Power	
		Inadequate Interprofessional Common Ground for Communication	
		Absence of Hospital Requirement for Interprofessional Communication	
		Philosophical Bias against TCM	
Chinese Healthcare Education		Lack of Interprofessional Education in WM HE	Lack of Convergence in WM HE Structure
			Perceived Value of TCM Education
			Lack of Systematic TCM Education in WM HE
	Decrease of TCM Education in WM HE		
	Progressive Erosion of TCM Knowledge		
	Lack of Deep Interprofessional Education in TCM HE	Lack of Convergence in TCM HE Structure	
		Perceived Value of WM Education in TCM HE	
		Increase of WM Education in TCM HE	
		Insufficient WM Understanding for TCM Doctors	
	External Influences on Healthcare HE	Imbalanced Political Supports to WM and TCM Education	
		Negative Economical Influences on Healthcare EducationΔ	
		Overly Strong Social Preference for WM EducationΔ	

Interprofessional Training	Existing Professional Training Structure	Lack of Convergence in Professional Training Structure in Neurosurgery Department
		Lack of Convergence in Professional Training Structure in TCM Department
		Lack of Political Emphasis on Interprofessional Training
	Absence of Interprofessional Training in the Neurosurgery Department	High Workload against Interprofessional Training
		Lack of Personal Interest in Interprofessional Training
		Philosophical Bias against Interprofessional Training
		Lack of Hospital Attention on Interprofessional Training
	Absence of Interprofessional Training in the TCM Department	Management Bias Prevents Interprofessional Training
		Career Progression Difficulty Prevents Professional Training
	Hospital Management	External Influences on Hospital Management
Negative Economical Influences on Hospital Management		
Management Bias against TCM		Management Philosophical Bias against TCM
		Financial Bias against TCM $\Delta$
		Career Progression Inequality
		Recruitment Inequality
		Investment Inequality
		Decrease of the TCM Department

Table 6.4: Presentation of findings of the follow-up study.

Categories and KS barriers shown in this table will be discussed in depth in Section 6.2, 6.3, 6.4, 6.5, 6.6. One issue that must be highlighted is that the category of philosophical issues emerged as the core category, on the basis of which the final theory was fully established.

### 6.1.5. Section Summary

This section discusses three issues about the research context: firstly, the complementary collaboration of the two types of healthcare professionals;

secondly, the role of patient in the interprofessional collaboration; finally, KS processes that occur during the interprofessional collaboration. In addition, this section shows the evolution of the theory by presenting and comparing emerging results from the pilot study, the main study, and the follow-up study.

On the basis of the discussion in this section, Section 6.2, 6.3, 6.4, 6.5, and 6.6 present research findings, discuss the five main categories that emerged from the data analysis, evaluate and criticise individual barriers to sharing patient knowledge between TCM and WM healthcare professionals in their patient-centred interprofessional collaboration.

## **6.2. Contextual Influences**

This section discusses external contextual barriers, which is the first category introduced in this thesis. According to a number of interviewees participated in this study, there are some negative influences from the hospital's external environment, which can be identified as KS barriers and which hinder activities and processes of KS between the neurosurgical and TCM healthcare professionals within the hospital environment. To be more specific, this section discusses three sub-categories, namely, political influences, economical influences and social influences. The final theoretical construct for this category is shown in Figure 6.1.

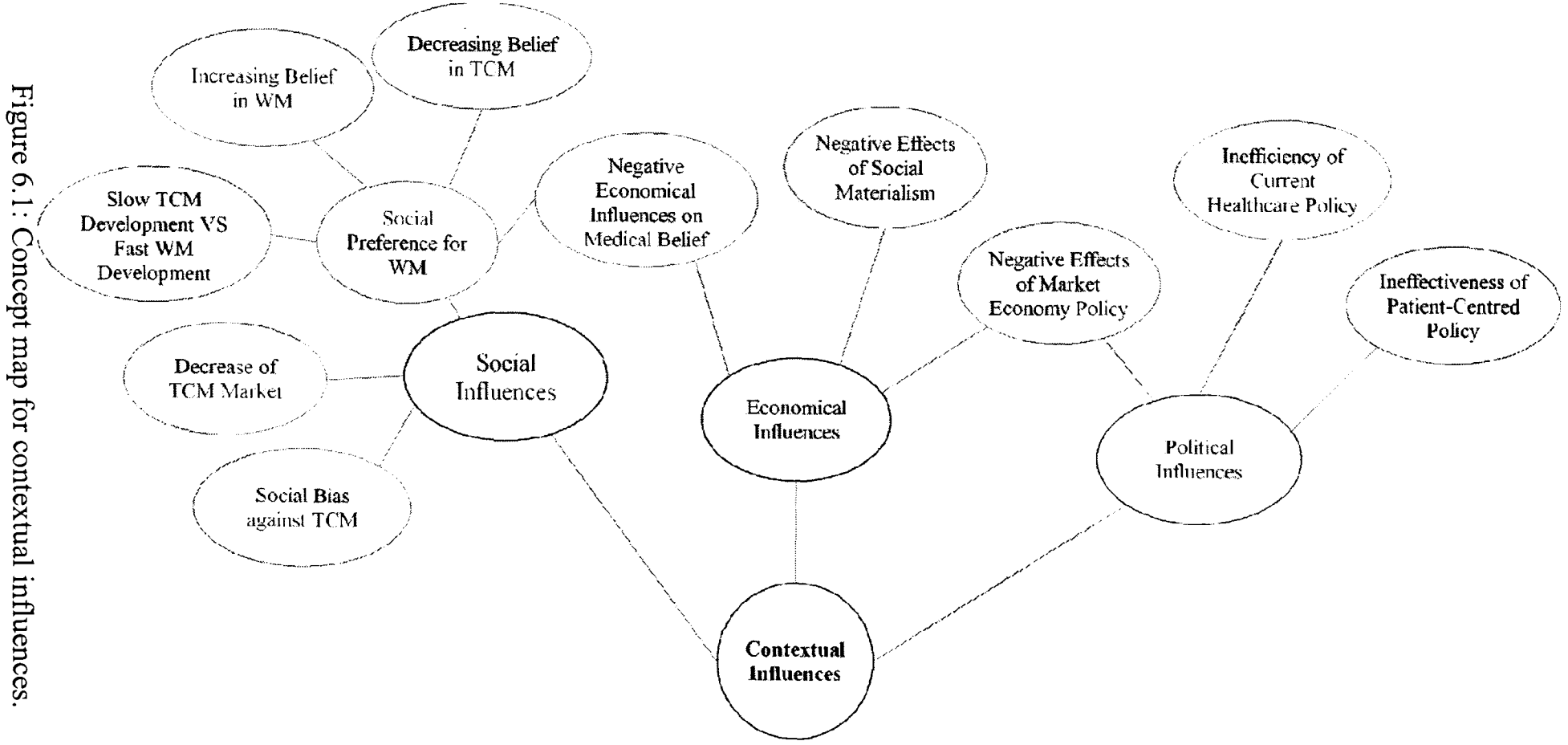


Figure 6.1: Concept map for contextual influences.

## 6.2.1. Political Influences

This section focuses on the sub-category of political influences. This sub-category is formed by three barriers, as shown in Figure 6.2:

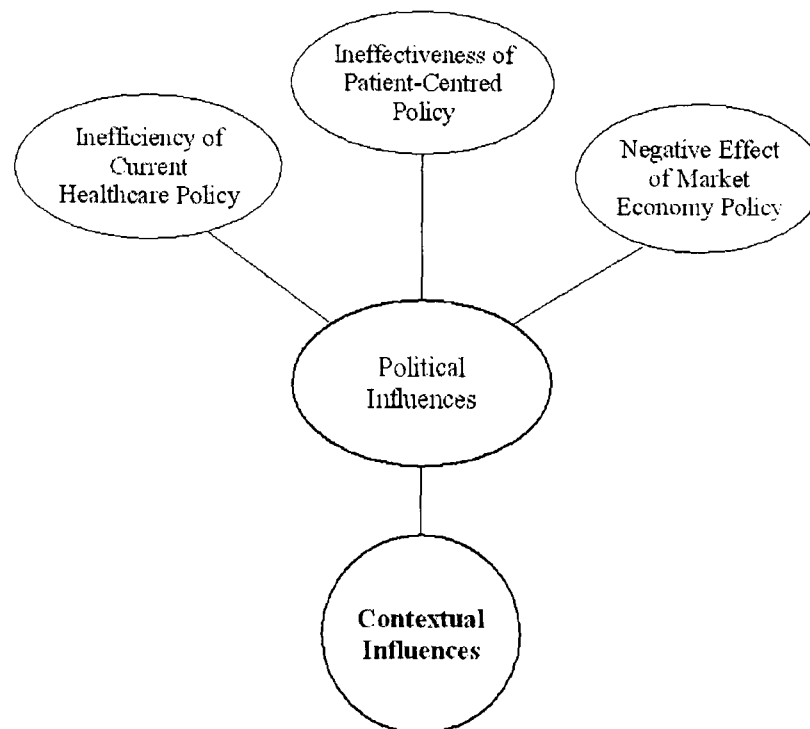


Figure 6.2: Concept map for political influences.

This section discusses three KS barriers: inefficiency of current healthcare policy, ineffectiveness of patient-centred policy, and negative effect of Market Economy Policy (MEP).

### 6.2.1.1. Inefficiency of Chinese Healthcare Policy

The practice of coding on the data collected revealed that, at a high political level, the Chinese central government intends to support TCM and WM equally. For instance, the interviewed healthcare politician stated:

“Currently, the central government provides relatively strong political supports to TCM. Firstly, in the national healthcare policy, there is a fundamental point of “equal support for TCM and WM” and “WM is the primary and complemented by TCM”. It is a rather fundamental point. Secondly, the healthcare system reformation, which is going to happen in the near future, clearly points out the importance of TCM participation. It is a very high-level policy. Thirdly, the central government requires our local healthcare administrators to provide support to TCM philosophy, TCM education, TCM institutions, and TCM practitioners. [...] Fourthly, for the local healthcare administration, a basic guidance for us is that TCM needs political support. The national policy has such a requirement. Therefore, we need to think about what exactly should we do and what actual strategies we should implement.” Interview TCM Politician 34.7

To summarise the above statement, the Chinese central government have established two high-level policies, namely, “TCM and WM should be equally treated and supported” (Interview TCM 4.24) and “WM is the primary and complemented by TCM” (Interview WMN 7.59). As discussed by this healthcare politician, top politicians in the Chinese central government probably consider that it is important to maintain the coexistence and the balance of TCM and WM communities in the national healthcare system and to protect the complementary relationship between TCM and WM healthcare professionals. This confirms the findings of the initial literature review.



Moreover, both high-level policies discussed above are expected to be implemented by local healthcare politicians and management in each hospital. However, it was identified that the central government might not have a detailed plan to guide the implementation of these high-level policies at operational level in hospitals. Therefore, as stated by the interviewed healthcare politician, “we need to think about what exactly should we do and what actual strategies we should implement” (Interview TCM Politician 34.7). In this case, it became clear that these national healthcare policies are probably not very well implemented.

“In the national policies, top level politicians believe TCM and WM should be equally supported, but it is difficult to be executed.” Interview TCM 17.31

Also, from the perspective of interviewed TCM practitioners, these policies are “just political slogans” (Interview TCM 6.52), and thus have little real impact.

“[TCM national policies] are not eminent and not very effective to our level of hospitals, because they are not compulsory policies, they are just suggestions.” Interview WMD 12.8

“The central government requires the TCM and WM collaboration, but I have never heard of any actual strategies.” Interview WMN 13.15

Very similarly, many interviewed neurosurgical healthcare professionals commented that these national policies are “just suggestions” (Interview WMD

12.8) and some of them claimed that “never heard of any actual strategies” (Interview WMN 13.15).

As reflected in the discussion above, both policies create imbalances of power in hospital, in which WM professionals possess considerably stronger power, almost dominating the processes of TCM and WM collaboration. These imbalances do not motivate the empowered WM professionals to communicate and share knowledge with the perceived inferior group of TCM professionals. Moreover, it is necessary to highlight the second policy, “WM is the primary and complemented by TCM”, which reinforces the dominant position of WM and exaggerates imbalances between TCM and WM communities in the hospital environment. This is augmented by the traditional very high power distance culture of China, which encourages WM professionals not to be open to communication, and prevents spontaneous and voluntary interaction and KS.

#### **6.2.1.2. Ineffectiveness of Patient-Centred Policy**

Patient-centre healthcare is a national policy clearly demanded by the central government. For instance, one of the interviewed neurosurgical nurses claimed that:

“The patient-centred approach is repeatedly emphasised by the government these years. It is a basic requirement to all doctors and nurses. In truth, our collaboration with TCM doctors is to satisfy patient needs.” Interview WMN 30.20.

As discussed in Section 6.1.2, many interviewed professionals claimed that they are collaborating with the aim of helping patients; for example, one of the TCM doctors stated that:

“When treating individual patients, if necessary we would voluntarily communicate with WM doctors.” Interview TCM 5.81

However, as also discussed in Section 6.1.2, patients are not really always guaranteed to be at the centre of all health services, including TCM and WM collaboration. In truth, as shown in data, the needs and requirements of patients are not very well protected by TCM and WM healthcare professionals.

Therefore, without respecting the central role of the patient and without the true implementation of the patient-centred approach, the activities of sharing ethical and emotional knowledge and social and behavioural knowledge about individual patients could be seen as not important and not necessary.

#### **6.2.1.3. Negative Effects of Market Economy Policy**

As discussed in Section 6.2.1.1, national policies, in particular the one stating “WM is the primary and complemented by TCM” (Interview TCM Politician 34.7), have created substantial imbalances of power between communities of TCM and WM professionals in hospital. Also, as discussed in that section, these imbalances emerged as a severe barrier to KS. In addition, it was identified by the practice of coding that this barrier is compounded and augmented by the implementation of the Market Economy Policy (MEP).

“I think under the Market Economy, they [TCM doctors] have no way out of this. But I am sure the central government supports TCM. I think that if the Market Economy has a huge impact, it [TCM] cannot survive. Their [TCM doctors] treatments are very cheap, such as acupuncture.” Interview WMD 9.36

MEP has been introduced and discussed in the initial literature review (Section 2.5.2). Additionally, according to the statement provided by many informants, the implementation of MEP has probably had a very negative impact on the existence of TCM and its professional community. For instance, as discussed in the quotation above, after the implementation of MEP, TCM cannot survive in the healthcare system, especially when competing with WM.

“Now, [because of MEP] they [hospital management] only evaluate you [individual departments] according to how much financial profits you can make. This is the only way [method of evaluation]. We cannot compete with WM departments. I think if we can make more money, the situation would be better”. Interview TCM 15.65

Moreover, many interviewed TCM doctors further discussed the implementation of MEP in greater detail. For example, a very detailed statement by an interviewed TCM doctor mentioned that:

“[The TCM department’s lack of management attention] is a very big topic, it is a little complicated. It is related to the national policy. The governmental financial support to all hospitals is rather fundamental. Currently, hospitals receive very little financial funds from the government. The hospital needs to survive, which is inarguable. Although they [hospital management] literally claim that the healthcare quality needs to be improved, [in fact] what they are more concerned about is finance. Therefore, here we have political and management problems. If you want to confront this with them, they would deny this. But what they are doing is just leave you [the TCM department] as what you are. If you survive, you survive. If you die, you die.” Interview TCM 4.27

To summarise the quotation above, due to the implementation of MEP, the central government no longer provides financial support to healthcare organisations. Therefore, all hospitals in China are themselves responsible for all hospital operation expenses. In this case, in order to maximise financial income, the management of the hospital where this study was conducted possibly decided to provide more support to those more profitable WM departments. In other words, the TCM department receives less management support, as the department “is less profitable” (Interview TCM 17.15), and it is very difficult for the TCM department to survive.

It is also important to highlight that, as discussed in the previous quotation, the interviewed TCM doctor stated that “what they [hospital management] are more concerned about is finance”. This statement implies that the hospital

management is probably more concerned about hospital financial income and profitability than about promoting the quality of healthcare services and ensuring communication and sharing of patient knowledge between healthcare professionals.

Consequently, the negative effects of MEP was identified a significant barrier to KS. Firstly, as discussed above, it presses hospital management to pay less attention to communication and KS between healthcare professionals and on protecting the rights and benefits of individual patients. Moreover, it compounds with the KS barrier resulting from the inefficiency of Chinese healthcare policy (as discussed in Section 6.2.1.1) and reinforces imbalances of power between groups of TCM and WM professionals. In addition, MEP encourages competition between the two medical communities for pursuing higher financial incomes. This competition demotivates professionals from voluntary communication and interaction of patient knowledge. Finally, the implementation of MEP has developed an economical environment in which TCM and its practitioners are not only seen as inferior but are also perceived to have no chance of survival. Therefore, WM professionals as a superior party are clearly not motivated to communicate and voluntarily share knowledge with TCM doctors, who have considerably less power and can hardly survive.

In addition, more discussion about MEP and hospital management strategy is presented in Section 6.6.

## 6.2.2. Economical Influences

In addition to the political influences, several economical influences also emerged as barriers to KS between TCM and WM healthcare professionals. This section discusses these economical influences, which are shown in Figure 6.3:

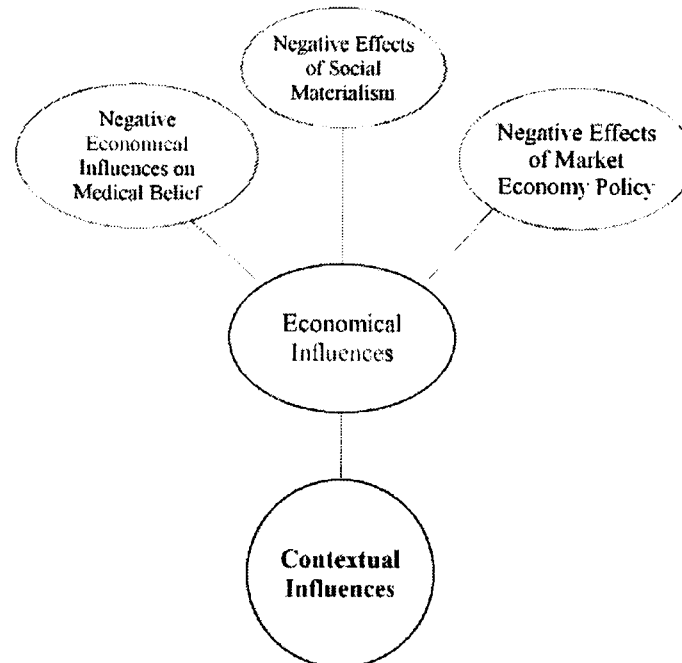


Figure 6.3: Concept map for economical influences.

As shown in Figure 6.3, three economical influences were identified as barriers to KS. These barriers are discussed in three sections, namely, negative effects of MEP, negative effects of social materialism, and negative economical influences on medical belief.

It is important to note that MEP is a national economical policy, which not only results in significant changes in Chinese economical environment, but also negatively influences KS in hospitals, as discussed in Section 6.2.1.3. Therefore, the barrier “negative effects of MEP” links both sub-categories of political influences and economical influences. This barrier has been discussed in-depth

in Section 6.2.1.3, and for this reason is not repeated in this section, which focuses on the remaining two barriers in this sub-category.

#### **6.2.2.1. Negative Effects of Social Materialism**

It is widely known that the implementation of MEP has significantly boosted the Chinese economy. However, the practice of coding on the data collected identified that MEP has developed a materialistic social environment, in which Chinese people are probably getting “more and more materialistic and money-oriented” (Interview TCM 16.21).

“I think the current society is developing very fast. Everyone is pursuing materials, pursuing money. It is fairly difficult [as a doctor] to calm down and practise medicine. TCM doctors treat patients, they use herbal medicine. They could not make much money out of it. Therefore, they cannot demonstrate their value in this society. Consequently, many TCM students and even professors have changed their professions. Therefore, fewer and fewer people want to learn TCM, fewer and fewer people practise TCM. It is all because of financial profits.” Interview WMD 24.34

In the materialistic social environment described in the above quotation, the survival of the TCM community could be very difficult, because “they could not make much money out of it”. Therefore, the materialism in the social environment further exaggerates imbalances of power between TCM and WM communities in hospitals, and compounds with KS barriers discussed in political influences (Section 6.2.1).



The negative effects of social materialism emerged as an additional barrier to KS, since it has created severe imbalances in the social standing of TCM and WM healthcare professionals. TCM doctors have much lower social standing, because, as stated in the above quotation, “they could not make much money out of it”, they “cannot demonstrate their value in this society”, and therefore “fewer and fewer people want to learn TCM, fewer and fewer people practise TCM”.

“The problem is that TCM is very cheap. In this case, a TCM doctor cannot make enough money for living. In other words, one should live a dignified and decent life based on being honest and hard-working.” Interview TCM Politician 34.23

The imbalances in social standings could result in imbalances in professional standings, reinforce imbalances of power in hospitals, and thus demotivate and discourage both types of healthcare professionals from interacting and KS with each other.

#### **6.2.2.2. Negative Economical Influences on Medical Beliefs**

In addition to the social materialism, it became clear that the rapid economical growth in China has influenced people’s medical beliefs.

“TCM is slower and less efficient [than WM]. With the economical development and the development of WM, people think TCM is too slow. For some acute diseases, they would choose WM, at later stages they would use TCM treatments.” Interview TCM 16.23

In China nowadays, people are more interested in WM. As explained in the above quotation, there are two reasons for this: first, “the development of WM”, which will be explained in Section 6.2.3.2; and second, “the economical development”.

Specifically, a few interviewed neurosurgeons asserted that, with the development of the Chinese economy, people consider TCM as less and less important.

“The collaboration of TCM and WM, in the 1970s and 80s, had a very very strong advantage which was that TCM herbal medicine is widely accessible, since it can be made from animals and plants. And TCM treatments were very cheap. In the 1970s and 80s, the Chinese economy was not as developed as at this moment. At that time, the economy, healthcare, and scientific development were relatively low. Therefore, at that time the TCM and WM collaboration was a big issue. However, with the fast development of WM and the Chinese economy nowadays, this kind of collaboration is less and less important.” Interview WMD 39.21

Interpreting the quotation above, in the 1970s and 80s, people could not afford for the more effective and more expensive WM. TCM offered less effective, but

cheaper and widely accessible medical services. Therefore, the collaboration of TCM and WM provided healthcare solutions which were not only relatively effective, but also affordable by people. Nevertheless, nowadays, TCM could be seen as less important, since people have enough money to pay for WM. In this case, not only is the collaboration of TCM and WM considered as less important, but also communication and KS between the two types of healthcare professionals could be considered as not necessary.

Furthermore, the application of coding identified that in the current economical environment of China, people prefer to use WM, because it is more effective and works faster.

“WM is much faster and more effective. Many people like me are living a big city with a very high pressure working environment. If I get ill, I think, well, I think the difference between TCM and WM is that, WM can resolve your problem rapidly.” Interview PC 41.9

This statement was made by one of the interviewed patient relatives. He was an ERP project manager in a software company based in Beijing. Like some other interviewed patient relatives, he clearly pointed out his preference for WM methods, because WM “can resolve your problem rapidly” (Interview PC 41.9) and “works faster” (Interview PC44.11). Moreover, the preference for WM is related to the “high pressure working environment” (Interview PC 41.9) and

hence Chinese people are “too busy to consider using TCM” (Interview PC 46.15).

According to the above discussion, rapid economic developments in China have resulted in a social preference for WM, which not only further strengthens imbalances in the social standing of WM and TCM healthcare professionals, but also reinforces the dominant power and positions of WM healthcare professionals in the hospital environment. Therefore, rapid economic developments have become a barrier to KS, by forming a social environment and culture not encouraging for interprofessional communication and not conducive to the sharing of patient knowledge between TCM and WM professionals. Moreover, this barrier compounds with the social materialism discussed in Section 6.2.2.1 and political influences discussed in Section 6.2.1.

Finally, there is an additional reason for the social preference for WM. That is, WM is developing at a much faster pace than TCM, and is therefore preferred by people. This issue is discussed in the following section, Section 6.2.3.

### 6.2.3. Social Influences

In addition to those KS barriers discussed in the sections on political issues and economical issues, some social influences also emerged as barriers to KS.

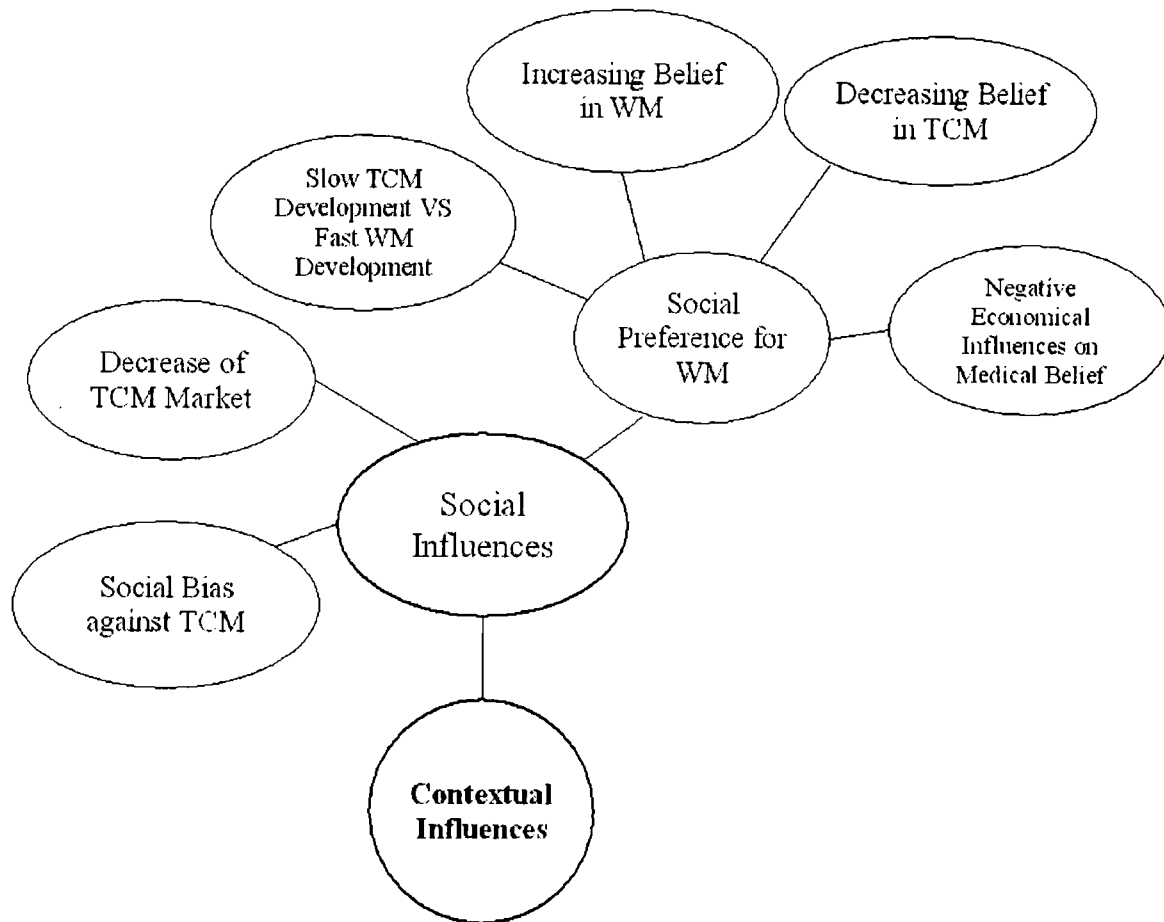


Figure 6.4: Concept map for social influences.

As shown in Figure 6.4, this section discusses three KS barriers, namely, social preference for WM, social bias against TCM, and decrease of the TCM market. Furthermore, the social preference for WM consists of four constructs: negative economical influences on medical belief, decreasing belief in TCM, increasing belief in WM, and slow TCM development vs fast WM development.

### **6.2.3.1. Social Preference for WM**

This section discusses four KS barriers, namely, negative economical influences on medical belief, decreasing belief in TCM, increasing belief in WM and slow TCM development vs fast WM development.

It needs to be noted that the negative economical influences on medical belief is a barrier appears in two sub-categories, namely: economical influences, and social influences. It is because this barrier not only is related to the Chinese economical environment, but also could be considered as a social influence, due to the changes of economical environment could influence people's understandings toward TCM and WM. Moreover, this barrier has already been discussed in Section 6.2.2.2; therefore, this section is not going to repeat the discussion and will focus on the last three issues.

#### ***6.2.3.1.1. Decreasing Belief in TCM***

“In China, TCM has thousands of years of history. In this case, it does not matter if you are a doctor, or an ordinary person, we all have the concept of TCM.” Interview WMD 26.31

A number of interviewed healthcare professionals from both medical departments regarded TCM as “a classic legacy that has been evolving throughout thousands of years of Chinese history” (Interview WMN 14.11).

“Patients still think TCM cures the roots of diseases, but it is slow in treating surface symptoms. WM treatments are very effective in treating those surface symptoms, but cannot cure the roots. Based on my knowledge of TCM, treatments need a long period of time. WM is very effective and efficient in controlling the surface symptoms, but it is relatively weak in treating the root.” Interview WMN 40.5

The application of coding and comparative analysis on the data gathered confirmed that nowadays TCM is well accepted by the public and is regarded as effective for curing the roots of diseases, although “TCM is slower in treating surface symptoms” (Interview PC 44.11).

“TCM is our old and traditional medical methodology in our country. WM is just relatively faster and more effective, such as surgeries. I think we need to preserve TCM, and I think TCM doctors and WM doctors should collaborate. Therefore, they can overcome one’s weaknesses by acquiring the other’s strong points.” Interview PC 42.13

Due to the advantages and disadvantages of TCM, practitioners of TCM and WM are better when they work together and collaborate with each other in order to “complement each other” (Interview WMD 1.06).

Therefore, TCM could be considered as a very important component of the Chinese healthcare system, coexisting with and complementing WM. TCM and

WM professionals should collaborate with each other and that their communication and KS can benefit patients substantially.

However, it was found that TCM and WM professionals do not really coexist harmoniously, collaborate unconditionally and communicate with each other spontaneously and voluntarily, because WM is usually perceived as more effective, more useful and better than TCM. As emerged, the belief in the traditional healing philosophy is decreasing. This issue is explained and discussed in the following section (6.2.3.1.2).

#### ***6.2.3.1.2. Increasing Belief in WM***

Even though people may still trust and sometimes use the traditional healing methodology, many interviewed healthcare professionals claimed that patients always choose WM as their first choice; for example, a TCM doctor and a neurosurgical nurse stated:

“In terms of acute diseases, patients certainly would select WM. For those patients who have chronic diseases, when WM fails, they would use TCM. I think people still believe in TCM and believe in the traditional methods, such as inspection, listening and smelling examination, inquiry, and palpation.” Interview TCM 38.5

“People think TCM is slow. Only when WM methods failed, people would think about using TCM. Ordinarily, the first choice is WM, because people think TCM is relatively slower and cannot be as effective as WM. I think it is a reason.” Interview WMN 7.126



Usually, patients would select WM methods as their first choice, because it “works faster” (Interview TCM Politician 24.17) and is “more effective” (Interview PC 41.9). TCM methods would be used only when WM methods failed and are thus considered as less valuable and less important.

In fact, through the process of coding, it was confirmed that people are losing belief and trust in the traditional methodology. For example, one of the interviewed patient relatives stated that:

“TCM is entirely based on experience. Therefore, it is not very accurate. It is almost impossible [to be accurate]. Otherwise, they [TCM doctors] are lying. Why in these years do people not believe in TCM? Some people even think it is a superstition or some other thing. It is because there is no precision in TCM treatments. It is entirely based on doctor’s experience. WM is different. WM doctors rely on equipment. They have visible evidence. In terms of diagnosis, I think WM is more reliable.” Interview PC 45.29

Modern Chinese people probably have more trust and confidence in WM, because it relies on hi-tech “equipment” (Interview PC 45.29) and “scientific evidence” (Interview PC 45.29). In comparison, TCM is less accurate and is based on doctors’ “experiences” (Interview TCM 4.18).

According to the discussion above, it became clear that there is a strong social preference for WM. This social preference compounds with the KS barriers which have been discussed in political influences (Section 6.2.1) and economical influences (Section 6.2.2), reinforces and further exaggerates the imbalances in the professional standing and power of the two types of healthcare professionals in hospitals, and adds extra difficulties for interprofessional communication and KS. Moreover, the social preference emerged as an additional barrier to KS, as it encourages the two types of healthcare professionals to compete not only for financial income (as discussed in Section 6.2.1.3), but also for people's preference and recognition. These forms of competition discourage individual professionals from voluntary collaboration and spontaneous communication and KS.

#### ***6.2.3.1.3. Slow TCM Development vs Fast WM Development***

In addition, the social preference for WM could be caused by the “rapid development” (Interview TCM 16.43) of WM methodology in recent years, whereas “TCM is developing at a much slower speed” (Interview WMD 1.36). In this case, because “the development of TCM is too slow” (Interview TCM 16.43), “people usually think WM is better” (Interview TCM 17.29). For instance, an interviewed TCM doctor and an interviewed neurosurgeon stated:

“With the rapid development of WM, and with the acceleration of importing and implementing newly developed WM methods from foreign countries, people think the position of WM is relatively higher than TCM. It is true,

for example, that TCM is very weak in treating acute diseases” Interview  
WMD 20.35

“TCM is developing very slowly; as Chairman Mao said, ‘Traditional Chinese Medicine is a great treasure’. At this moment, we are still trying to discover the treasure. This is a reason for the slow development. [...] Personally, I think TCM has potential spaces to be improved. However, we have not found a breakthrough point yet. All TCM doctors are talking about inheritance [from traditional methods, concepts, and methods]. I think it is not enough. It [TCM methods] also needs to be developed and advanced. I think we have not done enough for the development. TCM has developed for thousands of years. In its thousands of years of development, it has developed a form. But in recent decades or in this century, this form has not changed too much. Well, it has developed, but in a very limited way. In comparison, WM is developing at a very fast velocity. So, people usually think WM is better.” Interview TCM 17.29

Therefore, in the hospital environment, WM could be considered as more important, and WM professionals could have higher professional standing and hold more power than TCM doctors. This hospital environment does not motivate healthcare professionals from both medical communities to communicate and share patient knowledge with each other. Moreover, this environment promotes competition for methodological superiority between TCM and WM and thus could hinder interprofessional communication and KS.

### **6.2.3.2. Social Bias against TCM**

As asserted by many interview participants from both medical teams, recently there have been several public debates concerning the legitimacy of TCM. Some people have even appealed for political actions aiming at excluding TCM from the national healthcare system. For instance, a neurosurgeon stated:

“I think in the recent Chinese history, the development of TCM was very much behind the development of WM. I have noticed that in these years there are many of these kinds of public discussions, [in which] some people believe TCM can be abolished.” Interview WMD 11.47

Some WM interviewees claimed that the WM approach is more scientific and should be superior to TCM. In comparison, traditional medicine is sometimes referred as “unscientific” (Interview WMD 12.22) and as a “superstition” (Interview WMD 6.44). Therefore, some people claimed that TCM need to be abolished and excluded from the national healthcare system. Actually, when in the field, the researcher witnessed a hot online debate around the topic of “abolishing TCM”.

Several interviewed TCM doctors expressed their personal opinions about these public debates. Some of them even expressed clear resentment, for instance:

“There was a certain time last year, [there are some people] appealed to abolish TCM. I think it is rather ridiculous. You cannot abolish thousands years of accumulation by only a few words. How can you abolish Chinese history? After all, TCM has been in existence for four or five thousands of years. You cannot say TCM is not evidence based, and then it must be destroyed.” Interview TCM 6.75

“Some WM doctors, who think they are very good at what they are doing, are so arrogant. Even though they do not understand TCM at all, they consider TCM is a lie and a superstition.” Interview TCM 19.39

Although TCM still exists in the national healthcare system today, these public debates have created a pessimistic attitude among TCM professionals and have developed distrustful, uncooperative, and even resentful relationships between TCM and WM professionals. Moreover, these public debates further reinforce imbalances of professional standing and power in hospitals. Therefore, these public debates indicate strong social bias against TCM and its professionals, disharmonise the coexistence of TCM and WM, and create interprofessional tensions preventing both types of healthcare professionals from actively communicating and sharing knowledge with each other.

### 6.2.3.3. Decrease of TCM Market

“TCM philosophy is degenerating. Its market is decreasing. The reason is that the TCM philosophy needs a scientific explanation. If they only used modern scientific methods to explain it, people could believe it. TCM needs this.” Interview WMD 2.101

Due to the strong social preference for WM, the market for TCM is decreasing.

“We have several thousands of outpatients annually. [...] The patient number has decreased a lot. We used to have several thousand patients a month.” Interview TCM 4.33

Moreover, on the basis of the analysis of data and the practice of coding, it was confirmed that the decrease in the TCM market results in problems causing barriers to KS. Firstly, TCM doctors cannot make enough financial income to meet the requirements demanded by the hospital management. Thus, they are less supported by the management. Secondly, TCM does not fit in the hospital environment dominated by WM, and thus finds it very difficult to survive, and is considered as not important. Thirdly, the decrease in the TCM market could be caused by a strong social preference for WM (discussed in Section 6.2.3.1) and by social bias against TCM (discussed in Section 6.2.3.2). Therefore, TCM doctors are less respected by patients, have lower professional standing and hold much less professional power. All these three issues result in severe imbalances of power and professional standing, and have developed untrusting and

uncooperative relationships between TCM and WM professionals. Therefore, interprofessional communication and the sharing of patient knowledge are not always voluntary, spontaneous and sufficient.

#### **6.2.4. Section Summary**

This section discusses the contextual influences, which emerged as one of the main categories. To be more specific, this section discusses KS barriers relating to three sub-categories: political influences, economical influences, and social influences.

As discussed in this section, these political, economical and social influences from the hospital's external environment result in imbalances in the power possessed by TCM and WM healthcare professionals. These imbalances of power demotivate both TCM and WM healthcare professionals from active communication and KS. Moreover, the contextual influences from the external environment have caused imbalances in the professional standing of TCM and WM professionals in hospitals. These imbalances of professional standing hinder processes of communication and KS between the two medical communities.

Also, the external political, economical and social influences cause competition between TCM and WM communities, not only for power and professional standing, but also for financial income, people's preference and recognition, and methodological superiority. As discussed in this section, these forms of competition result in uncooperative and even resentful relationships between the

two medical communities, discourage both types of healthcare professionals from collaborating with each other, and prevent voluntary and spontaneous communication and KS.

Finally, the three types of contextual influences are interconnected and interact with each other. Also, the contextual influences discussed in this section are related to the other main categories: philosophical issues, Chinese healthcare education, interprofessional training, and hospital management. The next section (6.3) concentrates on and discusses the philosophical issues, which emerged as the core category.

### **6.3. Philosophical Issues**

This section discusses one of the main categories that emerged from the comparative analysis, namely, the philosophical issues. The final construct for this category is shown in the concept map as shown in Figure 6.5.



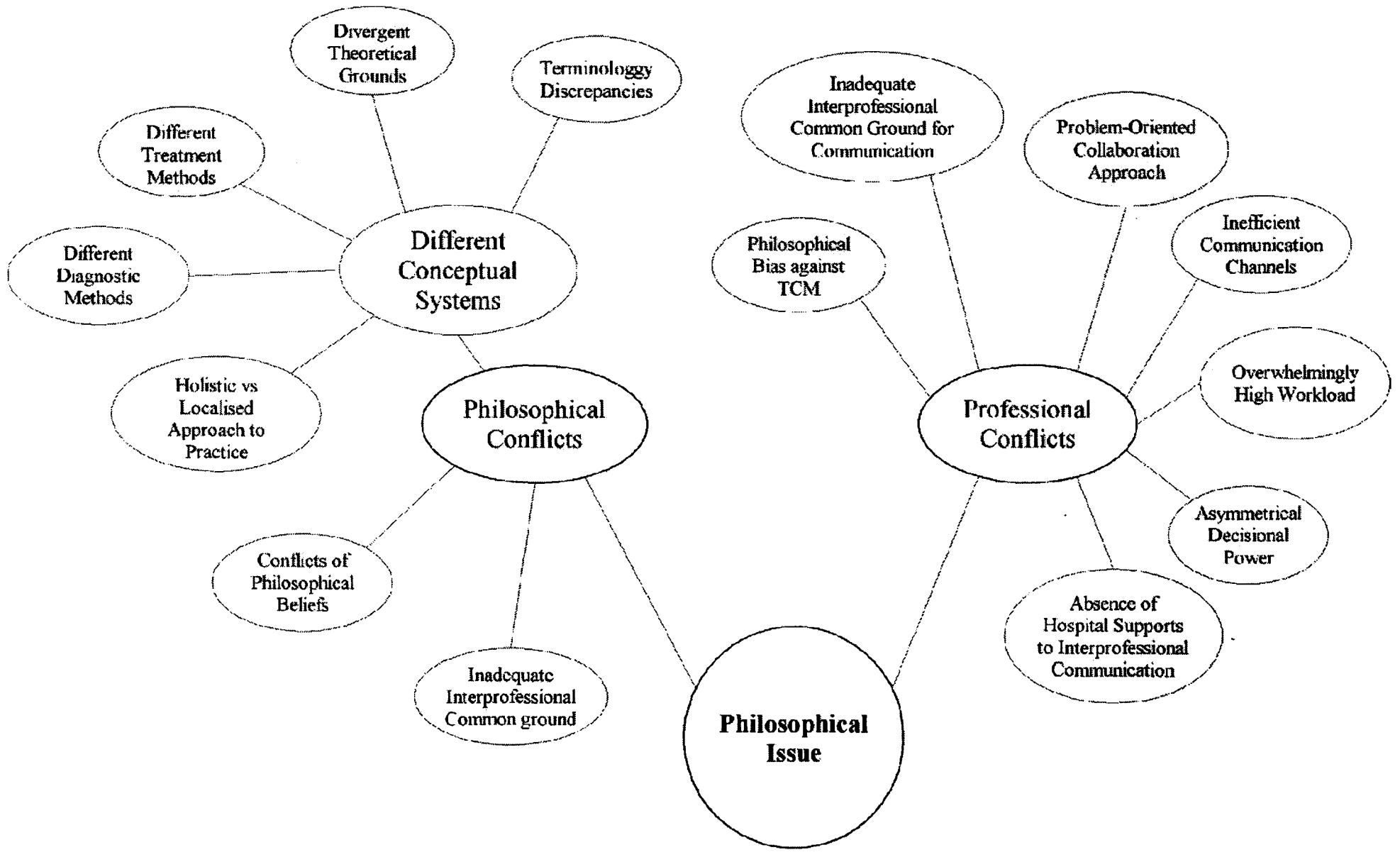


Figure 6.5: Concept map for philosophical issues.

The application of coding and comparative analysis on the data gathered indicated that healthcare professionals in neurosurgery and TCM have completely different conceptual, philosophical and methodological systems. These differences were considered as barriers to interprofessional communication and KS. These barriers will be discussed in this section. As shown in the concept map, two sub-categories are discussed: philosophical conflicts and professional conflicts.

### 6.3.1. Philosophical Conflicts

This section presents and discusses KS barriers relating to the sub-category of philosophical conflicts. Specifically, this section discusses three main barriers: different conceptual systems, conflicting philosophical beliefs and inadequate interprofessional common ground. These barriers are shown in Figure 6.6:

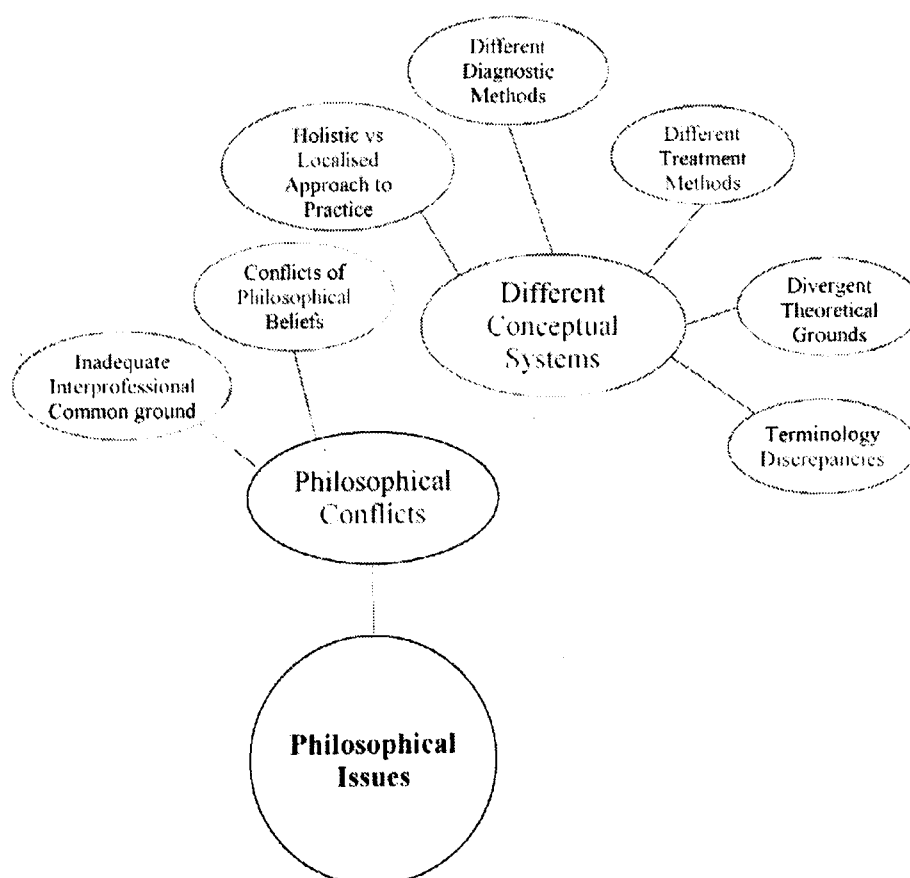


Figure 6.6: Concept map for philosophical conflicts.

### **6.3.1.1. Different Conceptual Systems**

TCM and WM are two entirely different medical philosophies, with very distinct conceptual foundations and diagnostic and treatment methods. WM and TCM informants asserted that the substantial differences between the two systems are barriers to the exchange of patient knowledge between professionals of TCM and WM in their patient-centred collaboration.

To be more specific, five main differences between WM and TCM emerged and were considered as barriers to KS, namely: holistic vs localised approach to practice, different theoretical grounds, different diagnostic methods, different treatment methods and terminology discrepancy.

#### ***6.3.1.1.1. Holistic VS Localised Approach to Practice***

When discussing differences between TCM and WM, a TCM doctor claimed:

“It is almost impossible to integrate both TCM and WM methods into one medical procedure. It is because TCM takes a holistic view of the human body, which is the philosophical foundation for TCM.” Interview TCM 18.7

TCM doctors employ a holistic philosophical perspective toward the human body, which is the “philosophical foundation” for the practice of TCM.

Conversely, many neurosurgical interviewees claimed that WM is entirely different from TCM. For example, a neurosurgeon stated:

“WM always localises diseases into particular parts of the human body. If you have a head problem, then treat the head. If you have problems with your feet, then treat the feet. However, TCM treats a patient as a whole. They [TCM doctors] are not just dealing with the disease itself. Therefore, WM is from a micro perspective, TCM is holistic.” Interview WMD 24.9

Summarising the discussion above, TCM and WM adopt two contrasting philosophical perspectives. WM takes a ‘micro’ approach, in which practitioners are more interested in localising a disease in a specific part of the human body instead of the patient with the problem. Very differently, TCM adopts a holistic perspective, in which patients are diagnosed and treated as an integral entity.

The divergent philosophical approaches (holistic or localised) to practice could become barriers to the sharing of patient knowledge between the two types of healthcare professionals. Specifically, the divergent philosophical views form two very distinct professional groups of TCM and WM practitioners, which have very different understandings of patient problems and different approaches to diagnosis and treatment, and which could have conflicting interpretations of the patient’s needs and requirements. Therefore, for individual professionals from both groups, communication and KS could be seen as very difficult. Even if they intended to communicate with each other, very often, knowledge shared by either medical group might have very little meaning or value to the other group. Finally, the divergent philosophical views have undeniably resulted in untrusting

relationships between the two medical communities, which do not permit the sharing of patient knowledge.

#### ***6.3.1.1.2. Different Theoretical Grounds***

In addition to the holistic and localised approaches to practice adopted by TCM and WM practitioners, a number of interviewed healthcare professionals pointed out that WM and TCM have entirely different theoretical grounds.

“TCM is not quantifiable. It can define on the nature of diseases. Therefore, it needs practitioners’ experience. [For example] I can use a specific drug for a patient. You can use the same drug for this patient. I may use ten mg, but you may disagree with me and you propose to use 30 mg. There is no quantified rule to provide a unified understanding. Consequently, it needs experience. For a patient, one may think of only one symptom, others may be able to think of more problems.” Interview TCM 4.18

The practice of TCM needs “individual practitioners’ personal experiences” (Interview TCM 5.67). TCM theories, diagnosis, and treatments do not have standard guidelines or rules for individual TCM professionals to follow. Moreover, TCM is “very difficult to quantify” (Interview TCM 6.44). Therefore, for a particular patient condition, different TCM doctors can have different interpretations, derive different results from diagnosis, and use different treatments. Also, a particular treatment method can be used for different patient conditions.

In contrast, a number of interviewed neurosurgeons emphasised that the practice of WM depends on “quantification” (Interview WMD 1.56) and “locating medical evidences” (Interview WMD 2.137). For instance, a neurosurgeon stated:

“WM has very clear evidences. Based on these evidences, treatments are very clear; for example, I need to use this particular drug to control this particular symptom. But TCM is very different. In TCM, doctors can use the same treatment method to deal with different patient problems. It is based on doctors’ experience. I think this is one of the most basic differences between TCM and WM.” Interview WMD 2.96

Thus, WM has a completely different theoretical basis from TCM. Instead of largely relying on personal experience, WM professionals need to make medical decisions based on “obtaining accurate medical evidences” (Interview WMD 8.23). Therefore, their treatments should be precisely implemented.

Because of the significant differences in theoretical foundation, professionals from either medical group may not fully understand, entirely agree with and trust the knowledge shared by the other professional group. In this case, activities of KS could be devalued, and healthcare professionals will not necessarily be motivated for interprofessional communication and KS. Thus, the different theoretical grounds of TCM and WM were identified as a barrier to sharing patient knowledge.

### ***6.3.1.1.3. Different Diagnostic Methods***

Many interviewees stated that they use entirely different methods and techniques to diagnose patients on the basis of divergent philosophical views and theoretical grounds. Two good examples were provided by a TCM doctor and a WM nurse:

“TCM is based on the Bian-zheng theory for diagnosis. WM focuses on evidences. For example, a patient has a problem with his liver. WM doctors base their diagnosis on laboratory tests, CT and MRI results. Differently, TCM doctors rely on classical methods of inspection, listening and smelling examination, inquiry, and palpation to observe the patient’s symptoms. Then, we decide what problem he has.” Interview TCM 6.35

“TCM diagnosis uses inspection, listening and smelling examination, inquiry, and palpation. These methods are very different from ours. Sometimes we suspect how accurate these methods are.” Interview WMN 27.15

Diagnosis in TCM uses the Bian-zheng theory and adopts classical diagnosis methods of “inspection, listening and smelling, examination, inquiry, and palpation” (Interview TCM 19.35). In contrast, diagnosis in WM is usually based on conducting accurate medical tests and locating explicit medical evidences from laboratory tests, CT and MRI results, etc.

These differences in diagnostic approaches were identified as a KS barrier. Because they have very different conceptual foundations and diagnostic methods, TCM and WM professionals could have very different diagnosis results, which

could cause conflicts of understandings of patient problems and requirements, and result in conflicts in actions aimed at solving patient problems and achieving patient requirements. These conflicts could hinder processes of interprofessional communication and hinder activities of sharing patient knowledge.

#### ***6.3.1.1.4. Different Treatment Methods***

TCM and WM healthcare professionals employ very different treatment methods to resolve patient problems. For instance, a TCM doctor stated:

“In neurosurgery, the primary method is the craniotomy, which is assisted by a series of procedures and bio-chemical drugs. After the surgery, at the rehabilitation stage, we need to include TCM treatments, such as acupuncture and massage, and sometimes we use traditional herbal medicine”. Interview TCM 17.19

Similarly, the differences in TCM and WM treatment methods are also reflected in many statements provided by interviewed neurosurgical practitioners; for example, a neurosurgical nurse mentioned:

“In our Neurosurgery Department, WM methods are primarily used, particularly the craniotomy surgeries. After these WM treatments, we invite TCM doctors for patient rehabilitation, such as acupuncture.” Interview WMN 7.19

According to the quotations and discussion above, it became clear that, when treating neurosurgical patients, WM practitioners mainly use “craniotomies and



some bio-chemical drugs” (Interview WMD 10.20). To complement treatments applied by WM professionals, TCM doctors employ traditional methods, such as acupuncture, massage, and herbal therapies.

These differences in treatment methods are a barrier to interprofessional communication and sharing of patient knowledge. This barrier not only compounds with the issue of holistic vs localised approaches to practice, different theoretical grounds and different diagnostic methods, but also enhances the professional boundary, further distances the two medical communities and reinforces untrusting relationships between the two types of healthcare professionals.

#### ***6.3.1.1.5. Terminology Discrepancy***

In addition, TCM and WM professionals are using entirely different professional terminologies, which are an additional barrier to interprofessional communication and KS. For instance, a TCM doctor and a neurosurgical nurse stated:

“[WM and TCM] have two terminological systems. Maybe both of them have an identical purpose, but how they express the purpose is entirely different”. Interview TCM 15.35

“Most of the time, when TCM doctors are proposing their ideas, we can’t understand. We ask for further explanation, but still, we can’t understand. It’s not like in WM, very demonstrative and clear, such as in some cases we focus on the evidences.” Interview WMN 7.135

The above two quotations show that TCM and WM healthcare professionals have two completely different systems of terminology and use very different professional terms and jargon to describe and explain patient problems and requirements.

“I can understand some WM words. But I found it is difficult for me to understand when they explain their treatments and analysis.” Interview TCM 18.39

“In terms of terminological systems between WM and TCM, it is usual that we can’t understand each other.” Interview TCM 17.35

Thus, KS between TCM and WM healthcare professionals could be very difficult, since these professionals cannot understand each other’s terminology. Patient knowledge shared by one side probably cannot be correctly received and comprehended by the other side. Therefore, the discrepancy in terminology is a barrier to communication and KS.

Furthermore, it was identified in data that TCM doctors could probably understand some WM technical terms, whereas WM professionals usually cannot understand the TCM language at all.

“For me, I can easily communicate with WM doctors, because I nearly learnt all WM knowledge. But if WM doctors do not learn TCM, they will never accept our philosophy.” Interview TCM 6.72

As discussed in this quotation, TCM doctors can understand some WM language, because they “learnt nearly all WM knowledge in the TCM university” (Interview TCM 6.69). Sometimes they can even speak “their [WM] language” (Interview TCM 17.73). In contrast, because WM doctors do not learn TCM in WM HE, they cannot understand TCM language at all. Therefore, the discrepancy in terminology is related to Chinese healthcare education, which will be discussed in Section 6.4.

#### **6.3.1.2. Conflict of Philosophical Beliefs**

KS barriers presented and discussed in Section 6.3.1.1 illustrate how the divergent conceptual systems of TCM and WM could hinder the activities of sharing patient knowledge.

In addition, many TCM and WM interviewees claimed that the divergences in conceptual and theoretical foundations could have caused conflicting philosophical views that adopted by TCM and WM professionals; for example, an interviewed neurosurgeon stated:

“Theories of TCM and WM are very different, such as TCM requires thinking by heart, but as we know actually thinking is by brain. TCM does not have a clear definition and description about the brain. I think TCM and WM are two different systems. I can generally understand TCM, but from a professional point of view I think TCM is not good, not accurate, not rich.”

Interview WMD 39.13

Neurosurgical practitioners often evaluate and criticise the philosophical beliefs, concepts and techniques of TCM from a WM perspective. In their opinion, TCM is often considered as “not scientific enough” (Interview WMD 23.22).

Moreover, many interviewed WM professionals showed strong disbelief, distrust and disagreement against TCM. In many statements provided by WM informants, TCM is repeatedly described as “unscientific” (Interview WMD 1.64) and “superstitious” (Interview WMN 14.17). Thus, it is perceived that WM professionals consider WM as superior to TCM.

On the other side, several TCM interview participants also criticised WM from a TCM professional point of view. For instance, a TCM doctor claimed:

“In many cases, we have different opinions from WM doctors. For example, when dealing with a patient with symptoms of urination difficulty, we think acupuncture would be the best solution. But WM doctors think differently, they always use methods to force the patient to urinate. It is wrong, very wrong.” Interview TCM 15.25

To some TCM doctors, a few WM techniques frequently used by WM professionals may not be entirely appropriate to the patient, and some may even be harmful to the patient's health. Moreover, TCM doctors strongly disagree that TCM should be inferior to WM. For instance, one of the TCM interviewees stated:

“Some WM doctors, who think they are very good at what they are doing, are so arrogant. Even though they do not understand TCM at all, they consider TCM is a lie and a superstition. [In fact, WM is not perfect], when treating a patient, we would use the traditional herbal medicines as much as possible. We normally do not suggest to the patient to take any WM drugs, which are bad for the heart and the liver, because they are all chemicals.”

Interview TCM 19.39

Therefore, there are strong philosophical conflicts between the two medical groups. As shown in the discussion in this section, the divergence of TCM and WM conceptual systems may have caused substantial philosophical tensions between the two professional communities, which have conflicting professional views and opinions, and which compete for the superiority of philosophy. These philosophical tensions could not only prevent the sharing of patient knowledge, but also cause substantial professional boundaries separating and distancing the TCM and neurosurgical medical communities.

Nevertheless, the practice of coding and constant comparison identified that TCM professionals can generally accept WM beliefs. For instance, one of the TCM interviewees stated that:

“[Because we TCM doctors learnt WM] therefore we understand both TCM and WM. [...] We TCM practitioners can accept WM theories and we can treat patients by using WM methods. But WM doctors will never accept TCM methodological system.” Interview TCM 18.09

This quotation indicates that, because TCM doctors have learnt WM in their HE and have a basic knowledge of WM, they can generally accept WM concepts. On the other side, WM doctors “will never accept TCM” (Interview TCM 18.11), because they “never learn TCM” (Interview WMN 7.30). Therefore, the philosophical conflicts and tensions could be caused by the absence of shared basic knowledge, overlapping interests and mutual conceptual understandings. These issues also emerged as KS barriers and are discussed in Section 6.3.1.3.

#### **6.3.1.3. Inadequate Interprofessional Common Ground**

“[Because we TCM doctors learnt WM] we therefore understand both TCM and WM. [...] We TCM practitioners can accept WM theories and we can treat patients by using WM methods. But WM doctors will never accept the TCM methodological system.” Interview TCM 18.09

As discussed by interviewed professionals from both groups, and as shown in the quotation above, there is a lack of interprofessional common ground, which can be conceptualised as a knowledge base of overlapping interests and shared conceptual understandings.

Many interview participants asserted that the interprofessional common ground is very important to interprofessional communication and KS. For example, a TCM interviewee stated:

“WM practitioners will never accept TCM. They had some TCM courses, but rarely. Their WM ideology is deep in their mind. They cannot accept TCM because they think TCM is a pseudoscience. Actually, they don’t really know TCM is very effective. They just consider TCM is unscientific, must be useless. It is so not true.” Interview TCM 18.11

The lack of interprofessional common ground could result in philosophical conflicts and disagreements with each other’s views and opinions, enhance untrusting relationships between the two medical communities, and reinforce the professional boundaries. Furthermore, a lack of interprofessional common ground could strengthen the philosophical tensions between TCM and WM professional teams and prevent both types of healthcare professionals from actively and spontaneously sharing patient knowledge.

“I do not have a deep understanding of the TCM system. I am taking a suspicious attitude toward TCM. I think there is something that cannot be

explained in TCM. I do not have a deep understanding about TCM, because I do not believe in TCM. In this case, I am not particularly interested in it. Once you entered the door of WM, you would exclude TCM.” Interview WMD 24.29

Through the analysis of data and the practice of coding, it was identified that the lack of interprofessional common ground is caused by insufficient interprofessional education in the Chinese healthcare education system (which will be discussed in Section 6.4) and by inadequate interprofessional training in hospital environment (which will be discussed in Section 6.5). Finally, it was also identified that the key to resolving these philosophical tensions is to increase the interprofessional common ground.

### **6.3.2. Professional Conflicts**

This section focuses on professional conflicts, which emerged from the comparative analysis as one of the sub-categories. This sub-category is formed by seven barriers, as shown in Figure 6.7:



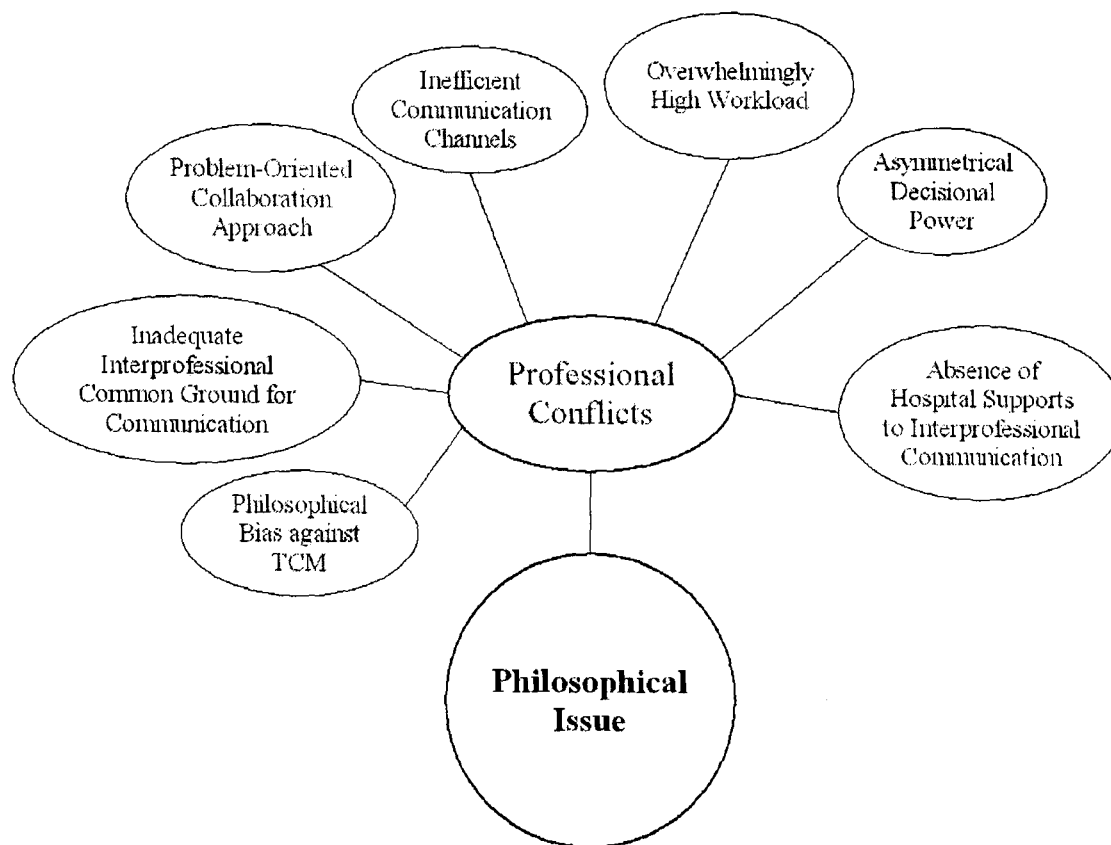


Figure 6.7: Concept map for professional conflicts.

This section discusses seven KS barriers in-depth: philosophical bias against TCM, inadequate interprofessional common ground for interaction, problem-oriented collaboration approach, inefficient communication channels, overwhelmingly high workload, asymmetrical decision-making power and the absence of a hospital requirement of interprofessional communication.

### 6.3.2.1. Philosophical Bias against TCM

Discussion in Section 6.3.1 clearly points out that there are philosophical tensions preventing necessary communication and the exchange of patient knowledge between neurosurgical and TCM professionals. In addition, as reflected in statements by a number of interviewees, the philosophical tensions could have resulted in clashes of professional opinions, behaviours and attitudes that could

hinder the sharing of knowledge. Therefore, it was considered as a professional problem that stems from a philosophical dissonance.

“TCM relies on experience [of TCM doctors], I think TCM is unreliable. It is just complementary to WM. Its theories are very vague and visionary. I do not like TCM.” Interview WMD 24.07

Many interviewed WM professionals showed a sense of superiority over TCM doctors. In their statements, TCM is often dismissed as “unscientific” (Interview WMD 1.64) and “superstitious” (Interview WMN 14.17), even though they frequently invite TCM doctors for interventions for patient rehabilitation.

Moreover, many interviewed TCM doctors took the criticisms of WM professionals very personally, rather than as an active debate of philosophies and medical beliefs.

“I know that TCM is very effective against various diseases, but TCM is considered as unscientific when evaluated in WM methodology. In fact, TCM and WM have rather distinct systems; there is no similarity. You cannot define TCM by using WM theories [...] because WM is microcosmic, whereas TCM takes a holistic and integral view.” Interview TCM 17.7

“The current problem is that a large number of WM practitioners, in fact a very large number of WM practitioners, do not believe TCM is scientific. For example, there are some professors in the Chinese Academy of Science

who appealed to exclude TCM out of the Chinese healthcare system. I think they are wasting their time. If you (those professors) are really free, please do your own research. Do not criticise TCM. Why would they do that? The existence of TCM means there is a need for it. It means TCM is effective. You cannot just simply ignore the value of TCM.” Interview TCM 4.12

As shown in both quotations above, the philosophical bias against TCM enhances the philosophical conflicts and tensions discussed in Section 6.3.1. Moreover, it formulates professional tensions, which encourage interprofessional competition, reinforce professional boundaries and further increase the distance between the two communities. Therefore, the philosophical bias could prevent interprofessional communication and hinder the processes of sharing patient knowledge, and thus was identified as a barrier to KS.

#### **6.3.2.2. Inadequate Interprofessional Common Ground for Communication**

Due to a lack of interprofessional common ground, as discussed in Section 6.3.1.3, both TCM and WM healthcare professionals are insufficiently motivated to participate in interprofessional communication and KS.

“WM students learn TCM, but in a very limited way. These students do not have enough TCM knowledge. In this case, WM doctors usually do not have a natural motivation to voluntarily collaborate with TCM colleagues.”

Interview WMD 1.36

“[Communication and collaboration] require WM practitioners to accept TCM. For instance, doctor A accepts acupuncture, therefore he invites us

for collaboration. He introduces patients to us. If a WM doctor has no basic TCM knowledge, he would not trust TCM, therefore no [collaborations].”

Interview TCM 16.18

As shown in both quotations above, interprofessional common ground could be an important element in enabling, encouraging and motivating interprofessional communication, KS and collaboration. However, due to the lack of an adequate interprofessional common ground, healthcare professionals are usually not sufficiently motivated.

“[Communication and collaboration] depend on how much a WM doctor knows TCM. If you know less, you are less motivated. If you know more, you are more motivated. According to our current situation, WM practitioners do not know TCM very well.” Interview WMD 9.21

Furthermore, when compared with TCM doctors, WM professionals usually are less motivated in communicating and collaborating with TCM doctors, because they “do not learn TCM” (Interview TCM 6.72), as some TCM interviewees complained. In comparison, TCM doctors are usually more motivated, since they “learnt nearly all WM knowledge in the TCM university” (Interview TCM 6.69). Therefore, it is perceived that KS could be much improved and individual healthcare professionals could be much more motivated if an appropriate interprofessional common ground could be developed. This issue is related to Chinese healthcare HE and interprofessional training in the hospital environment,

which emerged as two main categories and will be discussed in depth in Section 6.4 and 6.5.

### **6.3.2.3. Problem-Oriented Collaboration Approach**

In addition, the practice of coding and comparative analysis further identified that the process of sharing patient knowledge is limited and hindered by the adoption of a rigid problem-oriented approach to collaboration.

As discussed in Section 6.2.1.2, neurosurgical and TCM healthcare professionals asserted that they are collaborating purely for the benefit of the patient. It is also discussed in that section that this kind of interprofessional collaboration should be supported and facilitated by effective, timely and sufficient communication of knowledge about individual patients. However, the data collected revealed that in reality the interprofessional collaboration only aims at solving patient problems, and is not necessarily combined with adequate and effective communication and KS. For instance, a neurosurgeon stated:

“(In WM and TCM collaboration) we do not need to know TCM theory and method. We just want them (TCM doctors) to help us to solve patients’ problems.” Interview WMD 48.12

Similarly, one of the interviewed TCM doctors stated:

“The reason why neurosurgeons invite us to join a consultation is that they want us to solve their problems. I don’t think they are trying to understand TCM or how we think of the patient.” Interview TCM 4.81

Both quotations above point out that, when adopting the problem-oriented approach to collaboration, individual professionals only aim at dealing with the immediate problems of the patient. Therefore, as long as those patient problems can be resolved, interprofessional communication and KS could be considered as not really important and as something that can probably be largely ignored. Thus, the problem-oriented collaboration approach is a KS barrier.

#### **6.3.2.4. Inefficient Communication Channels**

Before discussing this KS barrier, it is necessary to recall that, as discussed in Section 6.1.3, the processes of sharing patient knowledge occur during consultation sessions. It is also mentioned in that section that these consultation meetings are an important vehicle for exchanging patient knowledge.

However, these consultation sessions, as a communication channel, are not conducive to communication or the sharing of patient knowledge.

“Consultation is very clearly oriented by patient problems. When WM doctors invite us for consultation, they expect us to solve their current problems. In terms of sharing knowledge, well, I do not think so. Our interactions are very superficial”. Interview TCM 5.81

Some interviewed neurosurgical practitioners provided very similar statements, for instance:

“Very often we invite TCM doctors for consultations. This consultation usually starts when we find some problem. TCM doctors provide their treatments based on their understandings, theories, and diagnosis. This consultation is purely an invitation for them to help us to solve problems. It is a pure supply-demand relationship. Very rarely, we share our professional understandings and knowledge. We have not achieved that level.”

Interview WMD 15.39

Consequently, consultation cannot be considered as an efficient communication channel, and was identified as a barrier to the sharing of patient knowledge, because the main (and perhaps the only) purpose for these consultation meetings is to solve the patient’s problems.

Besides, it must be mentioned that, as shown in the above quotation, the interviewed neurosurgeon described the relationship between neurosurgical and TCM healthcare professionals as “a pure supply-demand relationship” (Interview WMD 15.19). It implies that patients are probably handed over between healthcare professionals like a product, instead of being the centre of all healthcare services. Therefore, their feelings, needs, rights and requirements could be considered as not important and may not be carefully protected in TCM and WM collaboration. In this case, the processes of sharing patient knowledge

could be largely overlooked and neglected by both types of healthcare professionals during consultation meetings.

#### **6.3.2.5. Overwhelmingly High Workload**

When collecting data in the case-study site, the researcher had chances to have glimpses of both medical departments. It was noticed that practitioners from both departments were extremely busy and had very high workloads. For instance, an interviewed neurosurgeon and an interviewed neurosurgical nurse stated:

“We are suffering from having too many patients. You can have a look of our corridor. It is full of patients. We have 44 patient beds in 18 wards. They are all full, so we have to put new patients in the corridor. We [neurosurgical practitioners] are working at almost 120% efficiency. Annually, we need to conduct more than 400 craniotomy surgeries.”

Interview WMD 9.05

“We are very busy, no less than those surgical departments. We are short of staff [nurses]. For ICU [Intensive Care Unit], we used to have only two nurses. But now, there are four of us working in the ICU during the night shift. This means we have fewer nurses working outside the ICU [on normal wards]. Therefore, we are short of staff. I need to mention that our department has very high standards for staff. We are under a lot of stress and working overload.” Interview WMN 10.08

Similarly, in the TCM department, many interviewed TCM professionals also claimed that they have very high workloads. For instance:



“We not only need to take care of our own patients, but also very often need to solve problems in other departments. Moreover, patients in the TCM department need to be treated repeatedly. For example, acupuncture patients usually need to be treated every day for two weeks.” Interview TCM 15.45

As asserted by a number of interviewed healthcare professionals, the overwhelmingly high workloads are a barrier to sharing patient knowledge and could prevent necessary interaction and KS in the processes of collaboration. For instance, a TCM doctor and a neurosurgical nurse stated:

“[In the consultation] usually they do not ask many questions, and we do not talk that much. We all are very busy. As long as we can treat the patient, that is all right. We all are too busy to actually sit down and to have a deep conversation.” Interview TCM 37.63

“Perhaps it is because we are all too busy. In our collaborations, they do their jobs, we do ours.” Interview WMN 29.23

Therefore, due to the very limited time available, healthcare professionals are probably more concerned with solving the patient’s immediate problems and have very limited time for interprofessional communication and KS.

#### **6.3.2.6. Asymmetrical Decisional Powers**

Additionally, it was also identified that TCM and WM healthcare professionals hold uneven decision-making powers, which could cause problems for sharing

patient knowledge. For instance, when being interviewed, the head of the neurosurgical department stated:

“Before collaborative works, we need to inform TCM doctors what problem this patient has, what treatments have already been conducted, what we want you TCM doctors to do. All these details, they [TCM doctors] need to know.” Interview WMD 2.140

This quotation, in particular the sentence “what we want you TCM doctors to do”, shows a high degree of power over the processes of collaboration, the patient and TCM doctors. In this case, probably, TCM doctors can only perform patient treatments following the neurosurgical practitioners’ instruction and command. Thus, they are most unlikely to communicate and share knowledge with WM professionals actively, voluntarily and spontaneously.

“In collaborative patient treatments, we of course have the decision making power, because the patient is in our department. We just need TCM doctors to help us.” Interview WMD 8.31

As in the above quotation, this imbalance of decisional power is also reflected in statements of several interviewed TCM doctors, such as:

“If neurosurgical patients need acupuncture treatments, neurosurgeons would initiate a consultation note and telephone us. Then we go to treat patient with acupuncture. [...] In this process, we do not have decision power. For example, this patient clearly needs TCM treatment, but we cannot do anything about it, because neurosurgeons need to make this decision, not us.” Interview TCM 16.17

As reflected in the above quotation, TCM doctors hold less power. Therefore, they are most likely to maintain a passive position, avoid any confrontations and to follow instructions, instead of actively and voluntarily proposing their ideas, opinions and suggestions. For them, even if they intend to share knowledge, they have very little power or influence to have their views recognised.

#### **6.3.2.7. Absence of Hospital Requirement for Interprofessional Communication**

“There is no specific requirement for WM and TCM collaboration, which mainly depends on problems we have found in our practice of medicine. We would voluntarily invite TCM doctors for collaboration. It in fact is for the benefit of patients. [...] In terms of communication, there is no such requirement from the central government. Moreover, in our hospital, there is no requirement that WM and TCM practitioners need to communicate with each other.” Interview WMD 20.13

No requirements have been established by the hospital management which explicitly demand communication and KS between WM and TCM professionals.

Therefore, professionals from both medical teams probably perceive that communication and KS are optional, not compulsory, and not important. Consequently, the absence of hospital requirements for interprofessional communication was considered as a KS barrier.

Furthermore, when discussing hospital policies, one of the hospital managers stated:

“Some hospitals have some kind of regulation that WM and TCM teams need to adequately communicate and collaborate. In this case, practitioners are forced to do this. But in our hospital, we do not have this requirement. It is like if you [a WM practitioner] do not communicate and collaborate with TCM doctors for ten years, no one would care about that and no one would criticise you. Therefore, there is no supervision on this. But in those hospitals that have this regulation, doctors have to collaborate.” Interview WMD 1.83

According to the quotation above, it is perceived that if explicit hospital requirements can be established and implemented, these requirements could be strong motivators in encouraging, demanding and regulating the exchange of patient knowledge.

### 6.3.3. Section Summary

This section discusses the core category, namely, the philosophical issues. More specifically, this section discusses two sub-categories: philosophical conflicts and professional conflicts.

In the discussion of the philosophical conflicts, it was found that the divergent conceptual systems of TCM and WM have resulted in substantial professional boundaries and a significant distance between the two medical communities. Moreover, these philosophical conflicts have formulated philosophical tensions, which not only reinforce the professional boundary and exacerbate the distance, but also create enormous difficulties for interprofessional communication and KS.

Furthermore, as discussed in Section 6.3.2, there are ongoing professional tensions between the neurosurgical and TCM medical teams, which have conflicting professional understandings and prejudices against each other's medical approach, and which compete for power and professional standing. Also, both philosophical and professional tensions have created distrust, disregard and even resentment between the two medical teams. Hence, healthcare professionals are not motivated and are unwilling to communicate with each other.

Furthermore, a very important finding presented and discussed in this section is that both philosophical conflicts and professional conflicts could have been caused by a lack of interprofessional common ground. In fact, the interprofessional common ground could be the key to reducing the philosophical

and professional tensions, resolving the conflicts and improving KS between TCM and WM medical teams.

Finally, it is also discussed in this section that the interprofessional common ground needs to be established in both Chinese healthcare education and professional training programmes in the hospital environment. Therefore, the next section (6.4) discusses the Chinese healthcare HE system and Section 6.5 presents and criticises KS barriers in the category of interprofessional training.

## **6.4. Chinese Healthcare Education**

As discussed in Section 6.3 Philosophical Issues, a very important research finding is that there is a lack of interprofessional common ground to facilitate and to motivate the sharing of patient knowledge. It is also discussed in that section that some interprofessional common ground could be established in the Chinese healthcare education system, which emerged as one of the main categories.

This section aims at discussing the category of Chinese healthcare education and presenting KS barriers relating to this category. In more detail, this section discusses and criticises KS barriers in two sub-categories: lack of interprofessional education in healthcare HE and external influences on healthcare HE. Also, the final construct for this category is shown in Figure 6.8.



Figure 6.8: Concept map for Chinese healthcare education.

### 6.4.1. Lack of Interprofessional Education in Healthcare HE

As introduced by many WM and TCM informants, Chinese healthcare education consists of two parallel and almost insulated educational systems, one for TCM practitioners and the other for WM professionals. This section discusses KS barriers relating to the two educational systems, as shown in Figure 6.9:

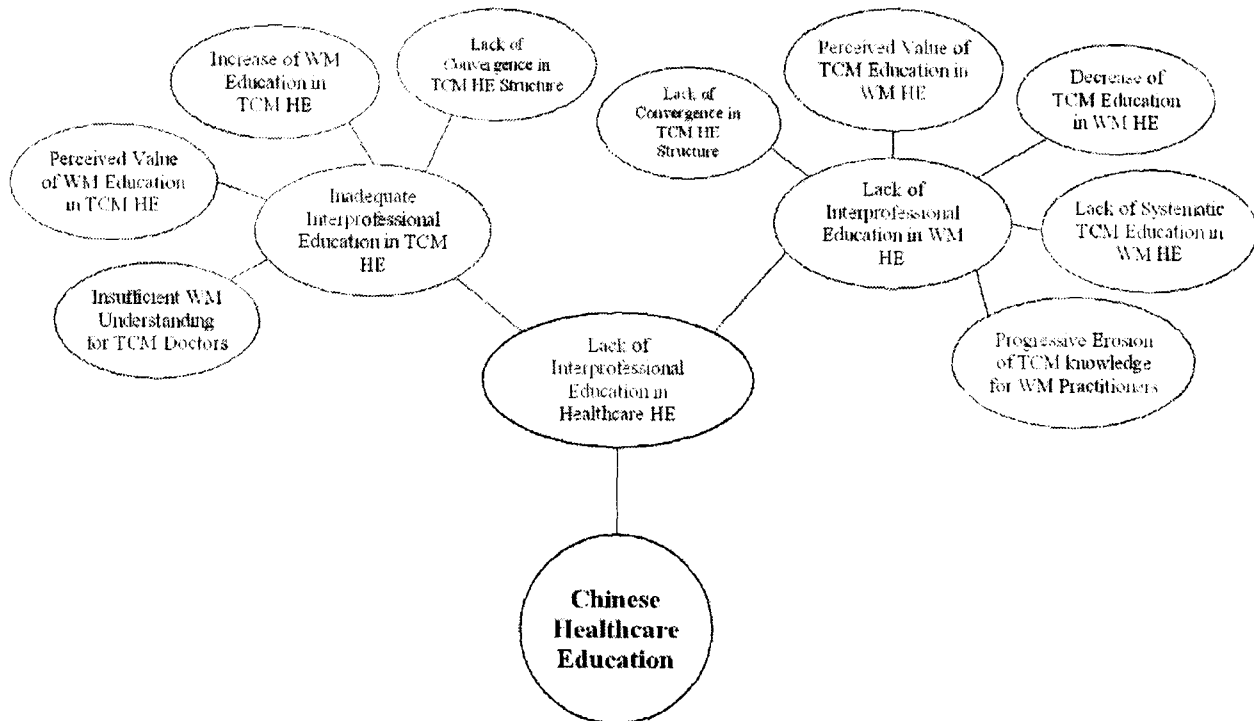


Figure 6.9: Concept map for lack of interprofessional education in healthcare HE.

This section discusses two main issues: lack of interprofessional education in WM HE and lack of interprofessional education in TCM HE. It is also shown in the concept map that five barriers are going to be discussed in relation to the first issue: lack of convergence in WM HE structure, lack of systematic TCM education in WM HE, perceived value of TCM education in WM HE, decrease of TCM education in WM HE, progressive erosion of TCM knowledge for WM practitioners. Moreover, this section discusses four KS barriers relating to the second issue. These KS barriers are lack of convergence in TCM HE structure,



perceived value of WM education in TCM HE, increase of WM education in TCM HE, and insufficient WM understanding for TCM doctors.

#### **6.4.1.1. Lack of Interprofessional Education in WM HE**

The application of coding and comparative analysis identified a lack of interprofessional education in Chinese WM HE, because of which WM professionals have very limited understandings about TCM, criticise and even have strong biases against some TCM theories and concepts, have insufficient interprofessional common ground, and therefore have enormous difficulties in communicating and sharing knowledge with TCM doctors.

This section discusses the WM HE system. Specifically, in this section, five KS barriers are discussed: lack of convergence in WM HE structure, decreasing value of TCM education in WM HE, lack of systematic TCM education in WM HE, decrease of TCM education in WM HE, and finally, progressive erosion of TCM knowledge for WM practitioners.

##### ***6.4.1.1.1. Lack of Convergence in WM HE Structure***

“In China, WM education is a complete system. This system, because I have never been to Russia, I heard from some old practitioners, our education system imitated the Russian one in the 1950s. The current system has not changed too much. It is very similar as the healthcare systems in most Western countries.” Interview WMD 1.09

As mentioned by some interviewed WM professionals, the current structure of WM education in China is very similar to the structure of medical education in Western countries.

More specifically, a neurosurgeon introduced the structure of Chinese WM education in detail:

“(WM education consists of) bachelor, master, and doctoral levels of studies. WM education starts from the bachelor study, which can be further categorised into two stages. The first stage is the general foundation modules. Usually in the first year, students need to take modules like chemistry, physics, and biology. In the second year, they need to learn the medical foundations, such as anatomy, pathology. In third and fourth years, students learn clinical medicine, such as respiratory system, circulatory system. Students take the fifth year as an internship where they are actually practising medicine in a hospital. Postgraduate study includes two years of master’s and three years of doctoral level studies. In the first year of the master’s level study, students need to take fundamental courses, such as professional English and medical foundations. Then, students need to be involved in different kinds of medical research on various topics according to their supervisors. Then they need to prepare their thesis and viva. According to my knowledge, this education structure has existed since the 1950s.” Interview WMD 2.21

As shown by the above quotation, throughout this educational structure, students can gain a good understanding of WM both in theory and in practice. However, as also shown by the above quotation, the WM education includes very limited teaching, training and practice in TCM.

Therefore, the lack of convergence in WM education was identified as a barrier to KS, since this structure has formed a clear professional boundary separating the communities of TCM and WM. This professional boundary distances the two medical communities, prevents activities of interprofessional collaboration, and hinders communication and the sharing of patient knowledge.

Moreover, this structure of WM education is incapable of establishing a sufficient interprofessional common ground to motivate and facilitate the interaction of patient knowledge between TCM and WM healthcare professionals. Specifically, the insufficient common ground is related to a lack of systematic TCM education in this WM education structure.

#### ***6.4.1.1.2. Lack of Systematic TCM Education in WM HE***

Many interviewees claimed that, in WM universities, there is very limited TCM learning and practice available for students. For instance, the interviewed TCM lecturer, who is a coordinator of a TCM module in a local WM university, stated:

“The [TCM] module I am teaching includes about 20 modules that I learnt in my education [TCM education]. Therefore, it cannot be in much depth, just about some very basic theories. [Teaching of] things like acupuncture in some practical sessions is very superficial. It is impossible to include everything.” Interview TCM Educator 47.13

Therefore, because they lack systematic TCM learning, WM students do not have a good understanding of TCM.

“We only have a basic understanding about TCM, actually very superficial. We only learnt something like the palpation, nothing else.” Interview WMN 14.29

“In WM universities, they [WM students] learn some TCM, but it is very limited. It is just an optional module in one of the semesters. They are only required to have a general understanding.” Interview TCM 17.65

Also, due to a lack of TCM knowledge, WM professionals “usually do not accept TCM” (Interview TCM 38.11) and do not have “a natural motivation to voluntarily collaborate with TCM colleagues” (Interview WMD 1.36).

Consequently, it was identified that the lack of systematic TCM education is a barrier to interprofessional communication and sharing patient knowledge, since the WM education system cannot establish a knowledge base for WM students that would provide sufficient interprofessional common ground for collaboration

and communication with TCM doctors. Also, due to the lack of any appropriate interprofessional common ground, a number of WM professionals suspect and criticise TCM concepts and methods, and some of them even dismiss TCM and TCM practitioners (as discussed in Section 6.3.2.1). These suspicions, criticisms and dismissive attitudes not only could enhance philosophical and professional tensions, but also reinforce the untrusting relationship between the two types of healthcare professionals, and thus impede the necessary exchange of patient knowledge between professionals of TCM and WM.

#### ***6.4.1.1.3. Perceived Value of TCM Education in WM HE***

In addition to the lack of systematic TCM education discussed in Section 6.4.1.1.2, many interviewed practitioners claimed that TCM is considered as not important by WM students; for instance, an interviewed neurosurgeon stated:

“In our five-year bachelor education, we [WM practitioners] all need to learn TCM. We were required to have basic knowledge about TCM. We need to know what kind of system it is. But we do not understand TCM too much. Our learning was only aimed at passing the TCM module.” Interview WMD 12.10

“In WM education, the TCM module is not an important one. It is just an optional module. I reckon some people did not even read the book.” Interview WMD 9.31

As reflected in the above quotations, not only do students consider TCM as optional and not important, but also the WM educational structure itself includes very limited TCM teaching and learning activities.

“We mainly learnt very basic TCM, only at the bachelor level. We did not have any TCM learning at master’s and doctoral levels. WM higher education, in itself, did not pay attention to TCM teaching. TCM was just an optional module, which meant it was not necessary for us to take the module.”

Interview WMD 12.20

Similarly, the interviewed TCM lecturer stated:

“Now we [TCM module] are an optional module. To be honest, the teaching is not good, because the module is not important.” Interview TCM Educator

47.11

According to the statement provided by the TCM lecturer, it is perceived that WM students may not take this module very seriously and thus do not have a holistic and thorough understanding of TCM.

The perceived value of TCM education in WM HE was identified as a barrier to the sharing of patient knowledge between TCM and WM healthcare professionals. It was identified that, because of this barrier, WM professionals do not have a sufficient interprofessional common ground with TCM and are not motivated to collaborate and communicate with TCM doctors. Moreover, the perceived value

of TCM education implies that TCM is not important, is inferior and hence is not included in WM education. In this case, many interviewed WM professionals hold prejudices and dismissive attitudes against TCM and its professionals, even though they do not really know the traditional healing belief and methodology. Therefore, communication and KS with TCM doctors could be seen as not important and not necessary. Finally, the discussion above shows that the WM HE system exacerbates the philosophical and professional tensions between TCM and WM professionals, and reinforces the dominating position of WM in the hospital environment.

#### ***6.4.1.1.4. Decrease of TCM Education in WM HE***

In addition to TCM being considered as not important (as discussed in Section 6.4.1.1.3), the number of TCM teaching sessions and modules is decreasing. For instance, an interviewed neurosurgeon stated:

“There are some new WM graduates that I know of. They know very little about TCM, hardly ever studied TCM and only concentrated on WM learning. I think the current WM education is not like before, when we were at university. We had TCM modules and we were required to pass module exams.” Interview WMD 21.17

As mentioned in the quotation above, TCM was probably evaluated as relatively more important when this neurosurgeon was a student in university. In contrast, nowadays, TCM is less important, and thus there is less TCM learning and

training included in WM HE. Therefore, the amount of TCM education has been reduced.

“When I was a student [WM], we had a few TCM books and some lectures about TCM. But I heard people were saying that in recent years students have less and less TCM learning.” Interview TCM 23.19

It was identified in the data collected that the decrease in TCM education augments the lack of interprofessional common ground between TCM and WM healthcare professionals, demotivates WM professionals from active interaction with TCM doctors, and reinforces the professional boundaries, the imbalance of professional standings in the hospital environment and the philosophical and professional tensions between the two medical communities. Therefore, the decrease in TCM education was considered as a barrier to interprofessional communication and KS.

#### ***6.4.1.1.5. Progressive Erosion of TCM Knowledge for WM Practitioners***

An additional issue emerged during interviews with the neurosurgical interviewees. For instance, one of the interviewed neurosurgeons claimed:

“We learnt TCM. But we did not use TCM knowledge for a long time. We forget about it.” Interview WMD 11.23



“In our [WM] bachelor level study, we had a TCM module. Because it was only one module, we have a low level understanding about TCM. But we still knew a little bit about TCM. After many years of practising WM, we forgot the majority of it.” Interview WMD 11.33

Because neurosurgical practitioners do not use TCM knowledge in their daily practice of medicine, their limited TCM knowledge obtained in WM education is progressively forgotten.

The progressive erosion of TCM knowledge was identified as a barrier to sharing patient knowledge. This barrier reduces the interprofessional common ground for WM professionals and does not permit WM professionals to actively and spontaneously communicate and share knowledge when collaborating with TCM professionals.

#### **6.4.1.2. Inadequate Interprofessional Education in TCM HE**

Comparing to Section 6.4.1.1, which discuss the structure of WM education and related KS barriers, this section focuses on the structure of TCM education. Specifically, this section discusses four KS barriers: lack of convergence in the structure of TCM HE, perceived value of WM education in TCM HE, increase of WM education in TCM HE, and insufficient WM understanding for TCM doctors.

#### ***6.4.1.2.1. Lack of Convergence in TCM HE Structure***

“[TCM education] is very similar to the general WM education structure, and includes bachelor level, master level and doctoral level of education.”

Interview TCM 15.46

As described by many TCM interviewees, the general structure for TCM education is very similar to that of WM, consisting of bachelor’s, master’s and doctorate levels of study. To be more specific, one of the TCM interviewees stated:

“There are many TCM-related modules in TCM universities, such as TCM basics, acupuncture, massage, TCM orthopaedics, and TCM herbal medicine. Apart from the TCM teachings, there are a number of WM modules. It is not like before, only concentrating on TCM. The current educational structure requires us to learn modern medical knowledge [WM]. Therefore, this education includes both TCM and WM. [...] TCM modules were nearly two thirds, and the other one third is WM modules.” Interview TCM 17.59

As shown in the above quotation, through this TCM education structure, students could be well trained as TCM practitioners. Moreover, students can also gain a relatively good understanding of WM.

This structure of TCM education could establish a WM knowledge base as an interprofessional common ground for students. Therefore, as discussed in

Section 6.3, TCM doctors can understand some WM concepts and the terminology used by WM professionals. Also, this educational structure enables and motivates TCM doctors to voluntarily and spontaneously collaborate, communicate and share knowledge with WM professionals.

Nevertheless, the structure of TCM education was still considered as a barrier to the sharing of patient knowledge between TCM and WM healthcare professionals. It was identified that this educational structure could have formed philosophical tensions between the two types of healthcare professionals, since WM could be perceived as superior to TCM. Moreover, this structure could also form professional tensions, augment the imbalances in professional standing and power, encourage interprofessional competition and thus impede necessary interaction and KS.

#### ***6.4.1.2.2. Perceived Value of WM Education in TCM HE***

At the beginning, it is necessary to recall that there is a very limited amount of TCM teaching and learning in WM HE, as discussed in Section 6.4.1.1.3. In contrast, it was revealed by many TCM interviewees that WM modules and sessions are considered as very important in TCM HE. For instance, two interviewed TCM doctors stated:

“In the TCM educational structure, we studied TCM theories for nearly one and a half years. We also learnt WM theories for one and a half year. They are almost half and half. We studied all TCM treatments and WM treatments in a compressed way.” Interview TCM 6.20

“In my TCM education, we have nearly 40% WM modules, and 60% TCM modules. These two types of modules were both very important, since they influenced my practice of medicine after graduation.” Interview TCM 5.32

These quotations reflect that TCM students may have a relatively good understanding of WM, and have some common ground, which is very important for interprofessional collaboration and communication with TCM professionals.

Nevertheless, the value of WM education in TCM emerged as a KS barrier, since it not only compounds with the barrier of the structure of TCM HE, but also exacerbates the imbalances in professional standing and power between TCM and WM professionals, strengthens the philosophical and professional tensions, and demotivates TCM professionals from actively communicating and sharing patient knowledge with WM professionals.

#### ***6.4.1.2.3. Increase of WM Education in TCM HE***

“Because WM is getting more and more advanced, it is more influential than TCM. Therefore, TCM seems relatively weak and small. I remember my thesis supervisor once mentioned to me that WM is almost equally important as TCM.” Interview TCM 18.17

“Currently, the development of WM is better than the TCM development. Moreover, I feel that our government provides more support to WM. Therefore, TCM doctors must know WM.” Interview TCM Educator 47.45

These two quotations indicate that WM is increasingly valued as important in TCM universities.

Therefore, and as discussed by some TCM interviewees, the amount of WM teaching in TCM HE have been increased in recent years. For instance, an interviewed TCM doctor stated:

“Nowadays, students [TCM] need to learn more WM than we did. When they graduate from TCM universities it means they do not just know about TCM, but also to some extent they understand both TCM and WM.”  
Interview TCM 17.59

At this point, it is important to compare the TCM education with the WM education. WM is treated as very important and a large amount of WM learning is included in TCM education, whereas TCM is considered as not important and is only optional to students in WM universities. These differences clearly reinforce philosophical tensions and conflicts between TCM and WM medical communities, augment professional tensions and competition, and develop untrusting, uncooperative relationships. Therefore, the increase in WM education not only emerged as an additional barrier to KS, but also compounds with the

lack of convergence in TCM HE and the perceived value of TCM education discussed in Section 6.4.1.2.1 and 6.4.1.2.2.

#### ***6.4.1.2.4. Insufficient WM Understanding for TCM Doctors***

Even though there is a considerable amount of WM teaching and learning for TCM students in their HE, many interviewed TCM doctors claimed that they do not really have a deep and sufficient understanding of WM. For instance, a TCM doctor and a neurosurgeon stated:

“I can understand some WM terminology, but I think some WM theories and analysis are difficult to understand. After all, we did not learn WM as systematically as those WM doctors.” Interview TCM 16.39

“TCM doctors need to extend their WM knowledge gained at universities. They need to know modern diagnosis methods, such as radiology, pathology, biology. They also need to know WM treatment methods. What are their underlying mechanisms? How effective are they? What are their defects? These defects are actually where they can help us.” Interview WMD 2.137

Therefore, TCM education does not in fact effectively establish a sufficient interprofessional common ground for TCM doctors. This clearly is a barrier to interprofessional communication and creates great difficulties for sharing patient knowledge between TCM and WM healthcare professionals.

At this point, it is necessary to highlight that neither TCM HE nor WM HE can provide an adequate interprofessional common ground to students. Thus, both

TCM and WM professionals have a limited understanding about each other's beliefs, have disagreements with the methodology used by the other medical team, and have philosophical conflicts and professional tensions which prevent the sharing of patient knowledge.

#### 6.4.2. External Influences on Healthcare HE

This section discusses external influences on healthcare HE in China. In more detail, three KS barriers emerged and are discussed in this section. These barriers are shown in Figure 6.10:

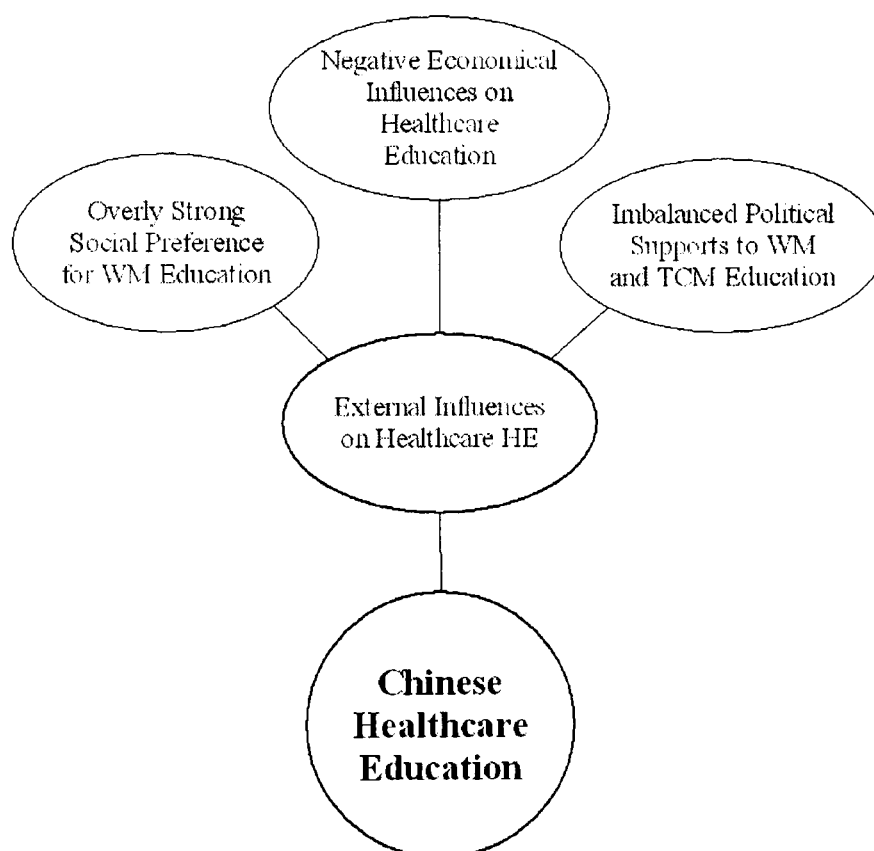


Figure 6.10: Concept map for external influences on healthcare HE.

#### **6.4.2.1. Overly Strong Social Preference for WM Education**

As discussed in Section 6.2.3.1, an overly strong social preference for WM was identified as a barrier to KS. In addition to this barrier, the data gathered revealed a social preference for WM education.

“In the current environment, people think WM is more important. It is like only WM is scientific, because WM uses evidences. And the WM equipments are getting more and more advanced. We do not have this in TCM. But currently people like to use numbers to make a point. Therefore people think WM is more advanced and is more convincing. Therefore, WM students think learning TCM is useless and believe TCM is a deception. In this case, not only do they only have very limited TCM learning, but also they do not take TCM learning seriously. After graduation, they do something utterly different from TCM, for sure they will forget TCM knowledge. Although, in TCM education, students need to learn WM, the WM learning is not very deep. They only study basic things, like anatomy, etc.” Interview TCM 38.45

As discussed in this quotation, because WM is more popular, accepted and preferred by people, students in WM universities may not want to learn TCM, which could be seen as useless and as a deception.

Also, a few interviewed healthcare professionals claimed that, for instance:



“It is usually like this, a student would only think about applying to a TCM university in case they cannot make the entry requirements to a WM university. It is like this across the whole of China. There are a number of WM universities, but there are only a very few TCM universities. Moreover, there is a lack of attention to TCM teaching resources and staff qualification.”

Interview TCM 19.53

Many interviewed healthcare professionals asserted that, as shown for instance in the above quotation, a potential medical university applicant would most likely apply to a WM university. The student would only consider applying to a TCM university when the application to a WM university is rejected.

Both quotations above indicate a clear and strong social preference for WM education, which emerged as a barrier to sharing patient knowledge. The preference for WM education compounds the social preference for WM, augments imbalances in the professional standing and power of TCM and WM healthcare professionals, and enhances the philosophical and professional tensions between the two medical communities.

Moreover, the social preference for WM education could be related to the imbalanced political supports to WM and TCM universities. The next section (6.4.2.2) discusses external political influences.

#### 6.4.2.2. Imbalanced Political Supports to WM and TCM Education

“[In terms of education] personally, I think there is too much political emphasis on WM education and neglect for TCM education, which lacks political attention.” Interview WMD 9.29

This quotation was provided by a neurosurgeon, who, like some other interviewed WM practitioners, pointed out that WM education receives stronger political support, whereas TCM education is possibly relatively neglected.

Similarly, many TCM practitioners provided very similar opinions. For instance, a TCM doctor stated:

“In terms of teaching, WM is much better. They have more teaching resources, because the government supports them more. For instance, Medical College [name] has very strong teaching teams, outstanding teaching facilities. It is for sure they have better students with better futures. In comparison, TCM College [name] is a second class university. Students graduated from this college usually cannot easily get jobs. Therefore, they [this TCM university] have lower entry requirements, because fewer and fewer students apply for this college. If this college cannot get enough students, it has no money to operate.” Interview TCM 21.23

Compared to WM universities, which receive strong political support and thus usually have much better resources and facilities, as many TCM doctors pointed

out, TCM universities are much less well supported. In this case, TCM universities usually do not have very good facilities and are not equipped with good teaching and research teams. Therefore, students usually are more interested in applying to WM universities.

The unequal political support to WM and TCM education emerged as a barrier to KS. This barrier indicates that the government consider WM is superior to and more important than TCM, and thus graduates from WM universities should have higher social status and higher professional standing and power in hospitals. This barrier evidently prevents activities of interprofessional communication and hinders processes of sharing patient knowledge, since it fuels philosophical conflicts and tensions, develops uncooperative and competing relationships and reinforces professional tensions between the two medical communities.

Finally, because they receive more political support, WM universities are usually more reputable than TCM universities and thus usually have more students of higher quality. Therefore, WM graduates usually have wider career opportunities after graduation. This issue is related to the Chinese economic environment.

#### **6.4.2.3. Negative Economical Influences on Healthcare HE**

When the strong social preference for WM education was being discussed with the interviewed healthcare politician, he stated:

“I have never heard of this phenomenon. But I know that applicants for WM universities are much more numerous than those who apply to TCM universities. When a student is applying to a university, there are many issues that need to be taken into consideration. Besides personal interests, the student needs to think about how easy it is to find a good job after graduation. This is a problem. China not only has more WM universities, but also has more WM hospitals. Therefore, WM students can have wider career opportunities.” Interview TCM Politician 34.19

This quotation is self-contradictory. On the one hand this politician denied that students prefer WM education, as “I have never heard of this phenomenon”, which as perceived may not be entirely true. On the other hand, he stated that WM universities are much preferred, mainly because WM graduates “have wider career opportunities”. Later on, in this interview, this politician added:

“Doctors who select this profession, besides personal interests, they need to consider the career issues. [...] The problem is that TCM is very cheap. In this case, a TCM doctor cannot make enough money for living. In other words, one should live a dignified and decent life based on being honest and hard-working.” Interview TCM Politician 34.23

This quotation indicates that probably TCM doctors usually are less well paid and have lower social status, when compared with WM professionals. Therefore, students would prefer to go to WM universities, simply because after graduation they can have higher social standing and higher salary.

Many interviewed healthcare professionals provided similar views; for example, a neurosurgeon stated:

“I think our society is developing rapidly, everyone is pursuing materials and money [...] TCM is very cheap. In this case, TCM doctors cannot make a significant income to the hospital and therefore do not have valuable social standing. Therefore, fewer and fewer people want to learn TCM and want to practise TCM.” Interview WMD 24.34

As shown in this quotation, because TCM doctors do not have “valuable social standing” and maybe do not have “satisfying personal income” (Interview TCM 15.43), students would prefer WM education.

To summarise the discussion above, due to these negative economical influences on Chinese healthcare education, TCM practitioners have relatively lower social standing and cannot survive in a hospital environment dominated by WM healthcare professionals (as discussed in Section 6.2.1). Also, as discussed in Section 6.3, this hospital environment does not permit active interprofessional communication and does not encourage the sharing of patient knowledge between TCM and WM healthcare professionals.

### **6.4.3. Section Summary**

This section discusses Chinese healthcare education, which emerged as one of the main categories. Specifically, this section discusses two sub-categories in depth:

lack of interprofessional education in WM HE and external influences on healthcare HE.

According to the discussion in this section, it became clear that the Chinese healthcare education system is unable to develop a sufficient interprofessional common ground to enable, encourage and motivate interprofessional communication and KS between TCM and WM healthcare professionals.

Also, due to the lack of a sufficient interprofessional common ground, TCM and WM healthcare professionals have very little understanding about each other's philosophical system, and thus have conflicting philosophical views and divergent conceptual systems and theoretical foundations for diagnosing and resolving patient problems and satisfying patient requirements. Thus, as discussed in Section 6.3, these philosophical issues result in philosophical tensions preventing the sharing of patient knowledge.

Moreover, the structures of WM HE and TCM HE reinforce imbalances of professional standing and power in hospitals, encourage interprofessional competition, and cause professional tensions, which can hinder processes of interprofessional collaboration and communication between TCM and WM healthcare professionals.

Finally, the Chinese healthcare education is related to all four other categories: the contextual influence, philosophical issues, interprofessional training, and

hospital management. It is necessary to note that the Chinese healthcare education system is closely linked to and has imposed its structure on interprofessional training programmes in hospitals.

## **6.5. Interprofessional Training**

In addition to the KS barriers relating to the structure of Chinese healthcare education, KS is also prevented by an absence of interprofessional training schemes, sessions and programmes to bridge the gap in understanding between the professional communities of TCM and WM, and to develop an appropriate interprofessional common ground in order to enable, motivate and encourage interprofessional communication and KS.

This section discusses the interprofessional training issues, which emerged as one of the main categories. In more detail, this section discusses three main sub-categories: existing interprofessional training structure, absence of interprofessional training in the neurosurgery department, and absence of interprofessional training in the TCM department. Moreover, the final construct for this category is shown in Figure 6.11:

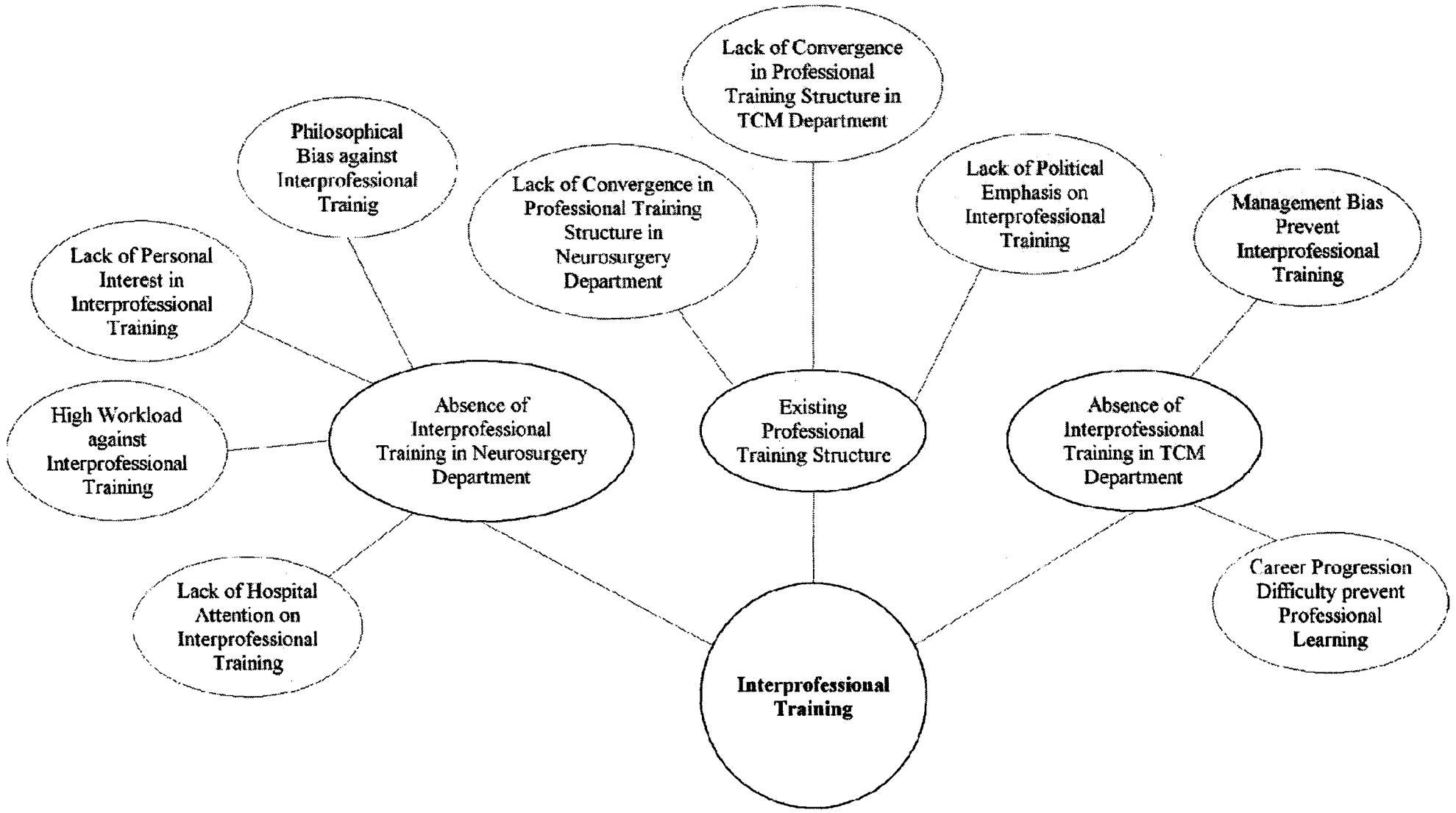


Figure 6.11: Concept map for interprofessional training.



### 6.5.1. Existing Professional Training Structure

This section discusses one of the sub-categories, namely, the existing professional training structure. The structure of this sub-category is shown in Figure 6.12:

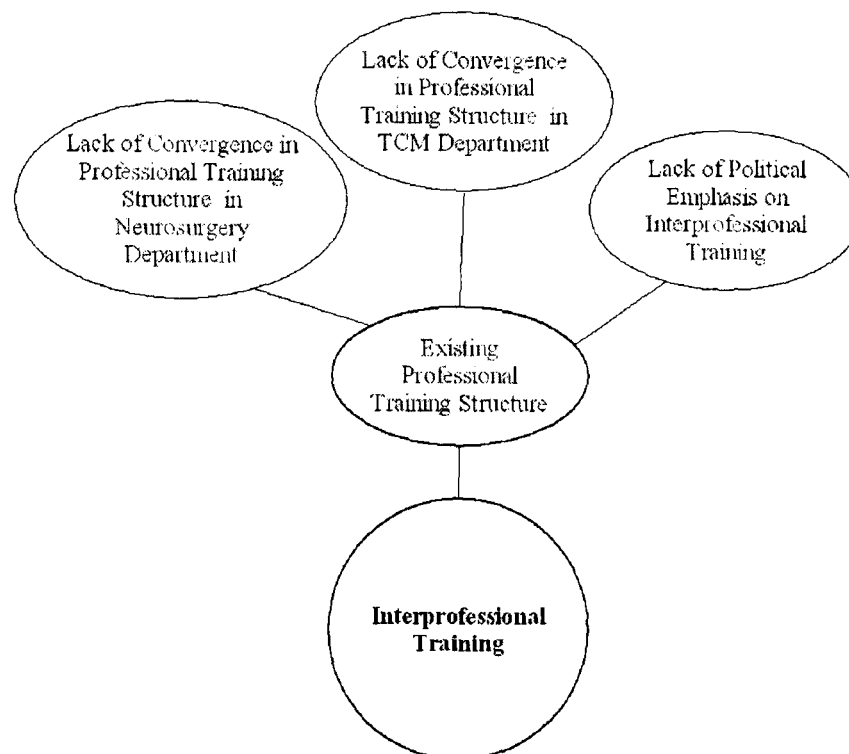


Figure 6.12: Concept map for existing professional training structure.

Specifically, and as shown in the concept map, this section discusses three barriers to sharing patient knowledge: lack of convergence in professional training structure in the neurosurgery department, lack of convergence in professional training structure in the TCM department, and lack of political emphasis on interprofessional training.

### **6.5.1.1. Lack of Convergence in Professional Training Structure in Neurosurgery Department**

“We have an inner departmental professional training plan. Our department was evaluated as the Provincial Key Neurosurgery Department by the Hubei government, which requires that we need to have an annual departmental professional training plan. We need to make records for each training session, such as how many practitioners participated. It is a requirement by the provincial government.” Interview WMD 20.39

A number of interviewed neurosurgical practitioners revealed that the neurosurgery department has a very systematic professional training plan. This plan is not just monitored by the hospital management, but also supervised by the provincial government.

More specifically, some interviewed neurosurgical professionals specified professional training strategies implemented in this department.

“Every practitioner is encouraged to take internship opportunities in better hospitals and better departments. In this way, they can learn professional knowledge.” Interview WMD 11.41

“Nearly every week, or at least every month, we have lectures about medical law, or all kinds of medical knowledge. We neurosurgical practitioners also need to have knowledge about other departments, such as CT [computed tomography], clinical laboratory, anaesthesiology.” Interview 13.37

“We need to make enough credits from professional training annually. For instance, if I attend a national medical conference, I can make eight credits. If I attend a provincial conference, I can make four credits. If I attend a city level conference, I can make two credits. We are required to have 20 credits annually.” Interview WMD 20.39

According to these quotations, there are generally four professional training strategies used in the neurosurgery department. Firstly, practitioners are encouraged to apply for internship opportunities in better neurosurgery departments in better hospitals in China. Secondly, there are well-organised lectures arranged within the hospital on a weekly basis. Thirdly, neurosurgical healthcare professionals are encouraged to conduct medical research studies, publish research results and attend medical conferences. Finally, professional training activities are related to the “annual year-end performance assessment” (Interview WMD 21.23) for individual healthcare professionals. Every neurosurgical practitioner must obtain 20 credits annually.

“Annually, the hospital management has an integral professional training plan. All hospital departments need to establish professional training plans. However, professional training is mostly limited to our specific subject. For example, I am a neurosurgeon, so my professional training would only focus on the area of neurosurgery, or focus on a specific disease [related to neurosurgery].” Interview WMD 20.41

However, the present professional training activities do not include learning on the overlapping areas of TCM and WM. Professional training in the neurosurgery department only concentrates on WM, particularly on subjects that can be immediately used by neurosurgical practitioners.

Therefore, neurosurgical professionals probably have a very limited understanding of TCM. Thus, these neurosurgeons and nurses do not have an adequate interprofessional common ground enabling them to communicate and share knowledge with TCM doctors.

#### **6.5.1.2. Lack of Convergence in Professional Training Structure in TCM Department**

Compared to the well-planned and well-implemented professional training in the neurosurgery department, professional training strategies and activities in the TCM department are neither systematically designed nor well implemented. For instance, an interviewed TCM doctor stated:

“All learning [sessions] are about WM, almost never focused on TCM. Hospital management pay more attentions on learning for WM practitioners”.

Interview TCM 37.49

The quotation above implies that TCM doctors are not required to engage in any professional training programmes. This could be due to a lack of attention, support and supervision from hospital management.

“I can publish papers and go to conferences that could make me enough professional training credits. We [TCM doctors] just do not need to do this anymore. Because we graduated from a TCM technical secondary school, originally we could be promoted to assistant professorship. But now it is impossible, if you want to get the assistant professorship, you need to have a bachelor degree. [...] We graduated from a TCM technical secondary school, so it is impossible for us, as we are not even qualified for the first requirement. Do you [the interviewer] think it is inappropriate? It is very very inappropriate.” Interview TCM 37.53

Also, TCM doctors are not motivated to engage in professional training activities, since they claimed that they are never going “to get promoted” (Interview TCM 16.53). This implies a career progression difficulty for TCM doctors and a management bias against the TCM community, which demotivates TCM doctors from participating in professional training. Issues of career progression difficulty and management bias mentioned here will be further explored and discussed in Section 6.6.

The present professional training in the TCM department was considered as a barrier to sharing patient knowledge with WM professionals, for two reasons. Firstly, the existing professional training strategy does not include any programmes and sessions focusing on the overlapping areas of TCM and WM, and thus cannot establish and develop a sufficient interprofessional common ground to enable and motivate interprofessional communication. Secondly, the lack of management support for the TCM department and the management bias against the TCM community, as discussed in this section, reinforce the professional boundaries, further distance the two medical communities, strengthen philosophical and professional tensions between the TCM and WM medical communities, and prevent individual professionals from actively and spontaneously sharing patient knowledge with each other.

#### **6.5.1.3. Lack of Political Emphasis on Interprofessional Training**

“In the 1970s and 80s, there was a professional training strategy demanding that WM doctors learn TCM. Some of these people became very good and even leading doctors in some areas. This strategy, of course, was made mandatory by the central government.” Interview WMD 8.27

As shown in the quotation above, in the 1970s and 80s, there were “clear government requirements on TCM and WM mutual learning” (Interview WMN 10.51). However, nowadays, “the central government no longer require this [mutual learning] anymore” (Interview WMD 12.28), and hence activities of

interprofessional learning are probably considered as not important by both hospital management and individual healthcare professionals.

“In the 1970s and 80s, there was clear emphasis on the mutual learning. Now, gradually, there is no such emphasis anymore. Therefore, fewer and fewer people [WM doctors] know TCM.” Interview WMD 2.47

Consequently, the lack of political emphasis emerged as a KS barrier, as there is a lack of effective governmental policies to regulate and encourage mutual learning aimed at increasing mutual understanding, building trust in each other’s community, and stimulating interprofessional collaboration, communication and KS. Moreover, and specifically, the practice of coding identified two sets of KS barriers, one related to the neurosurgery department and the other to the TCM department. Therefore, the following two sections, 5.5.2 and 5.5.3, discuss these KS barriers in both departments.

### **6.5.2. Absence of Interprofessional Training in Neurosurgery Department**

This section discusses the absence of interprofessional training in the department of neurosurgery. This sub-category consists of four KS barriers, as shown in Figure 6.13:

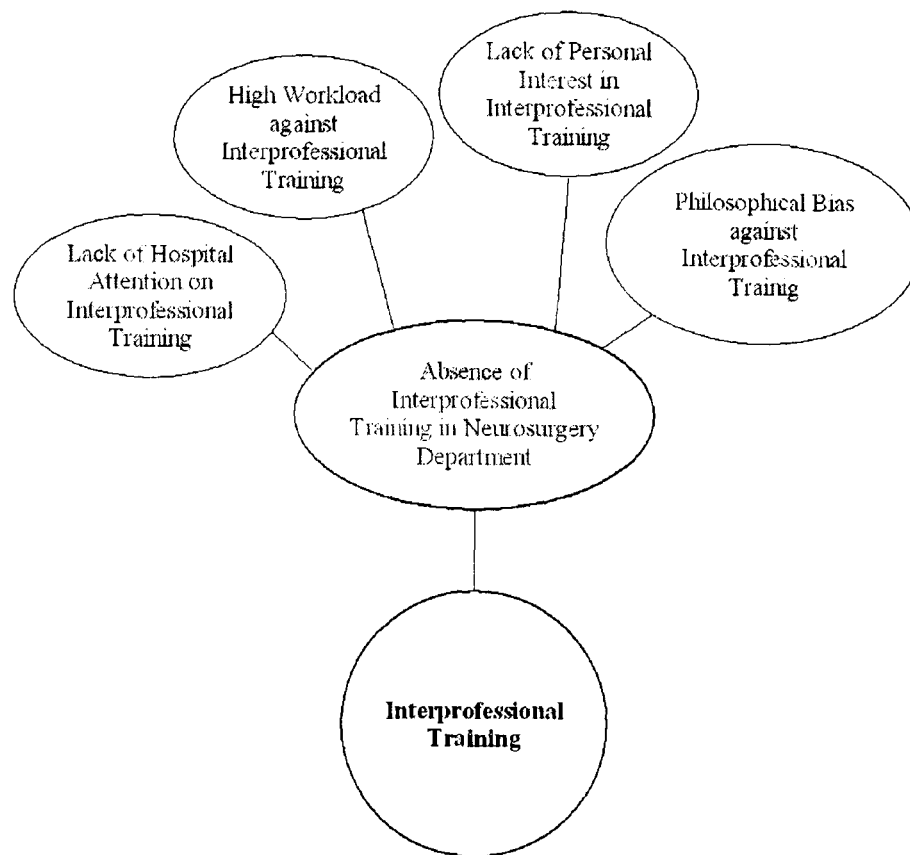


Figure 6.13: Concept map for absence of interprofessional training in the neurosurgery department.

This section discusses four KS barriers: philosophical bias against interprofessional training, lack of personal interest in interprofessional training, high workload against interprofessional training, and lack of hospital attention on interprofessional training.

#### 6.5.2.1. Philosophical Bias against Interprofessional Training

“There should be some learning strategies [about TCM]. But currently, in our hospital, TCM is in a secondary position. It is not in a leading position. Usually, it only shows up when we need it.”Interview WMD 8.53



As stated by many interviewed neurosurgical practitioners, for instance the quotation above, WM professionals consider TCM as inferior to WM, and therefore they do not want to learn TCM. Also, for instance, a TCM doctor stated:

“There are some WM practitioners who are interested in TCM and want to learn TCM. But some WM practitioners, I can say the majority of WM practitioners, think TCM is unscientific. Then, what is the point of learning TCM?” Interview TCM 15.57

Therefore, the philosophical bias against TCM prevents interprofessional learning and thus was considered as a barrier to sharing patient knowledge. This barrier not only prevents interprofessional training from being used as an approach to establish interprofessional common ground, but also strengthens philosophical conflicts and professional tensions, which could also hinder processes of KS.

Moreover, as shown in the previous quotation (Interview TCM 15.57), learning TCM is related to personal interest, which is discussed in the next section (6.5.2.2).

#### **6.5.2.2. Lack of Personal Interest in Interprofessional Training**

“I think after my graduation from university, I haven’t learnt any TCM. I think this is related to my personal attitude to accumulating medical knowledge.” Interview WMD 11.37

“I think how much you know about TCM is related to personal interests.”

Interview WMD 39.27

A number of neurosurgical interview participants claimed that, as for instance in the two quotations above, learning TCM largely relies on the WM professional's personal interest in TCM.

“TCM is based upon thousand years of development. It can't easily be excluded. My understanding about TCM was formulated when I was young, as I was raised in this way. Naturally and unintentionally, we pass on this understanding to our children. This chain is unstoppable.” Interview WMD 2.101

Some interviewed neurosurgical practitioners explained that personal interest in TCM is related to personal experience and family background. Moreover, several practitioners added that the personal interest is linked with “the living and working environment” (Interview WMD 48.35).

However, WM professionals are usually not really interested in learning TCM. Thus, due to a lack of personal interest, individual WM professionals are not motivated either to learn TCM or to interact with TCM professionals. Moreover, because of a lack of TCM learning, WM professionals usually do not have sufficient interprofessional common ground to enable and facilitate interprofessional communication and KS. Therefore, the lack of personal interest in interprofessional training was identified as a KS barrier.

### **6.5.2.3. High Workload against Interprofessional Training**

As discussed in Section 6.3.2.5, neurosurgeons and nurses are suffering from overwhelmingly high workloads, which prevent them from necessary interprofessional communication and active KS. In addition, some interviewed neurosurgical professionals asserted that these extremely high workloads prevent them from participating in programmes and activities of interprofessional training; for example, two of them noted:

“We have limited TCM knowledge, because our workload is too high.”

Interview WMN 7.144

“It is already very difficult for us to learn neurosurgical knowledge.”

Interview WMN 32.19

As reflected in the above two quotations, neurosurgical practitioners are most likely to focus on learning WM methods and techniques, which could be immediately used in their daily work. In this case, interprofessional learning focusing on overlapping areas is most likely to be neglected.

Consequently, the high workload against interprofessional training emerged as a barrier to KS. This barrier prevents the establishment of an appropriate interprofessional common ground and hinders the sharing of patient knowledge between neurosurgical and TCM healthcare professionals.

#### **6.5.2.4. Lack of Hospital Attention on Interprofessional Training**

In addition, many interviewed neurosurgical interviewees pointed out that there are no explicit hospital requirements demanding interprofessional training. Thus, interprofessional learning could be seen as unimportant and unnecessary. For example, two of the interviewed neurosurgeons claimed:

“We have many training activities. But WM practitioners only learn WM. There is no requirement for WM practitioners to learn TCM. We are only required to learn professional knowledge in our subject.” Interview WMD 19.06

“In our hospital, TCM is just TCM, and WM is just WM. The hospital does not have any requirement on mutual learning”. Interview WMD 26.39

As reflected in the quotations above, the lack of hospital attention to interprofessional training is a barrier to KS. It was identified that, due to a lack of hospital attention, WM professionals are insufficiently motivated towards interprofessional learning and thus have very limited interprofessional common ground for sharing patient knowledge with TCM doctors. On the other hand, it is perceived that WM professionals could be better motivated if explicit requirements and systematic interprofessional training strategies can be effectively established by the hospital management.

### 6.5.3. Absence of Interprofessional Training in TCM Department

This section discusses the final sub-category, namely, the absence of interprofessional training in the TCM department. This sub-category consists of two KS barriers as shown in Figure 6.14:

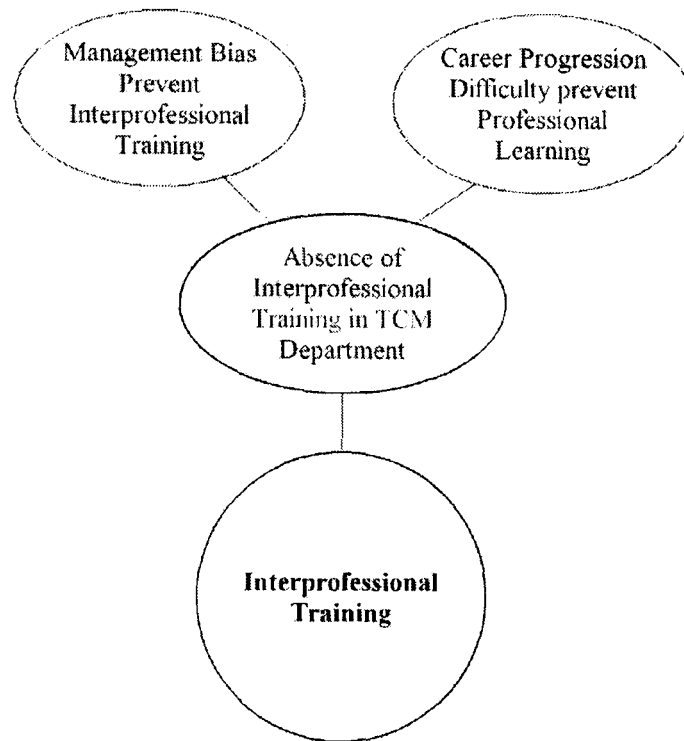


Figure 6.14: Concept map for absence of interprofessional training in TCM department.

This section discusses two KS barriers: management bias prevents interprofessional training and career progression difficulty prevents professional training.

### **6.5.3.1. Management Bias Prevents Interprofessional Training**

“In terms of professional training, WM doctors are very dedicated. For us, it is not as good as for them, because there is no supervision. So just forget about it” Interview TCM 16.49

As shown in the quotation above, due to a management bias against the TCM department, all professional training activities are probably not very well implemented in this department. It is also reflected in the above quotation that TCM professionals are not motivated towards interprofessional training.

“WM doctors usually give lectures, for us TCM doctors, if we are interested we can go and listen. But it is not mandatory for us to be there. They [WM doctors] have many training schedules every week. We don’t have any. Because we are considered not important [by the hospital management], since we cannot make a lot of profit. The entire hospital has been re-decorated, apart from our department.” Interview TCM 16.51

As mentioned by a TCM doctor in the quotation above, the lack of motivation could not only be due to management biases, since TCM doctors are “considered as not important”, but also be caused by the professional tensions between TCM and WM professionals.

Consequently, the management bias prevents TCM doctors from participating in activities of WM learning, so they have very limited interprofessional common

ground to enable and to facilitate activities of interprofessional communication and KS. Moreover, the management bias enhances professional tensions and uncooperative relationships, which result in unwillingness to collaborate and communicate with WM professionals.

### **6.5.3.2. Career Progression Difficulty Prevents Professional Training**

In addition to the management bias, the data gathered revealed a career progression difficulty, which prevents individual TCM professionals from participating in professional training programmes and sessions.

As discussed in Section 6.5.1.1, for individual healthcare professionals, their participation in professional training is evaluated by a year-end performance assessment, which is recorded and used in their career progression. However, several interviewed TCM practitioners claimed that “[there is] no need for professional training, [because we] cannot be promoted anyway” (Interview TCM 18.27). A very meaningful statement was provided by an interviewed TCM doctor:

“They [hospital management] do not let us, who graduated from a TCM technical secondary school, to get promoted. They put this limitation for us. Therefore, no one really try to make an effort [in professional training].”

Interview TCM 16.53

Moreover, according to the quotation above, it became clear that the career progression difficulty for TCM doctors is related to the hospital management

biases against the TCM department, which will be discussed in more detail in Section 6.6.1.3.

The career progression difficulty emerged as a KS barrier, since it demotivates TCM professionals from learning WM, constrains the development of interprofessional common ground for TCM doctors, and enhances professional tensions between TCM and WM medical communities.

#### **6.5.4. Section Summary**

This section discusses one of the main categories emerged from the comparative analysis, namely, the interprofessional training issues. Specifically, this section presents and discusses KS barriers relating to three sub-categories: existing professional training structure, absence of interprofessional training in the neurosurgery department, and absence of interprofessional training in the TCM department.

According to the discussion in this section, the existing professional training programmes are unable to establish an appropriate interprofessional common ground. In this way, TCM and WM professionals are not enabled, encouraged or motivated towards interprofessional communication and sharing patient knowledge.

Moreover, as discussed in this section, the existing professional training programmes reinforce the professional boundaries, enhance philosophical



conflicts and strengthen philosophical tensions between the two medical communities. It is also reflected in the discussion that the existing professional training strategies augment imbalances of professional standing and power, reflect management bias in favour of WM departments, and reinforce philosophical and professional tensions, both of which could prevent processes of interprofessional communication and hinder the sharing of patient knowledge.

Moreover, the interprofessional training issues, as one of the main categories, interact with the categories of contextual issues, Chinese healthcare education, and philosophical issues. Furthermore, as reflected in the discussion in this section, the interprofessional training issues are closely related to hospital management and management strategies. The next section (6.6) discusses KS barriers relating to hospital management.

## **6.6. Hospital Management**

This section presents and discusses KS barriers relating to the final category, namely, hospital management. These barriers to sharing patient knowledge emerged from the data gathered and show that the management of this hospital has established management strategies and developed a hospital environment which are not conducive to and can even hinder the processes of sharing patient knowledge. The final construct for this category is shown in Figure 6.15:

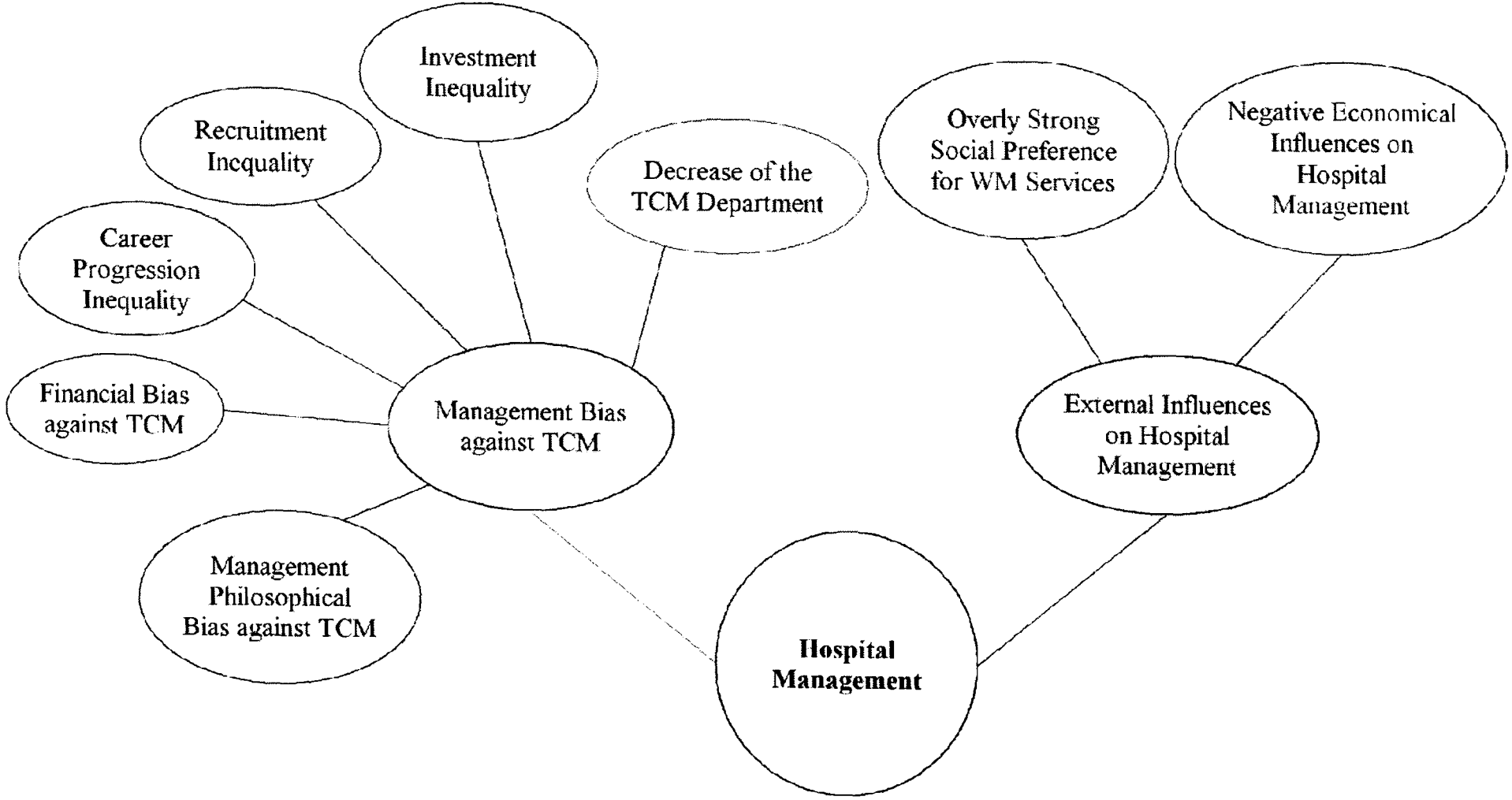


Figure 6.15: Concept map for hospital management.

Specifically, and as shown in the concept map above, this category of hospital management consists of two sub-categories: management bias against TCM and external influences on hospital management.

### 6.6.1. Management Bias against TCM

The operation of open coding identified some areas in which the hospital management is biased against TCM and its practitioners, limiting their influence and power in the hospital environment. These biases were identified as barriers to communication and sharing patient knowledge between TCM and WM healthcare professionals. These barriers are shown in Figure 6.16:

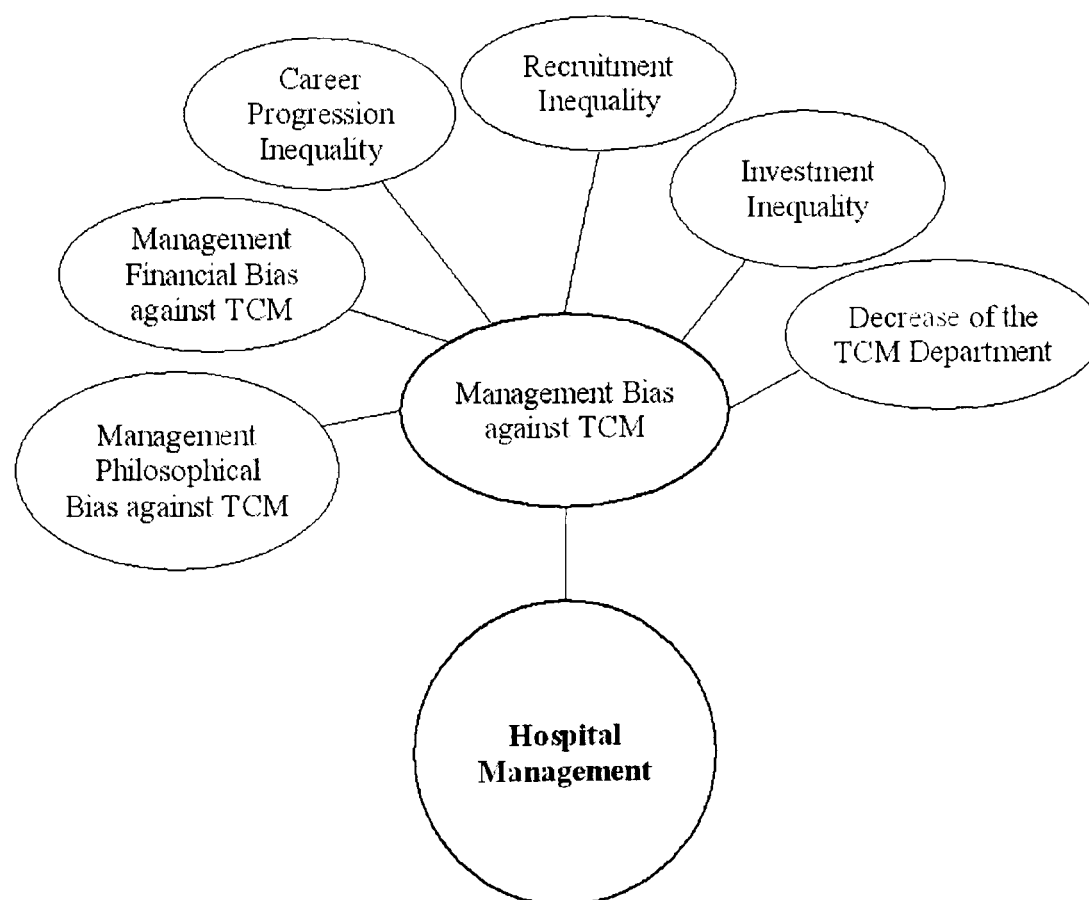


Figure 6.16: Concept map for management bias against TCM.

This section discusses six biases/barriers: management's philosophical bias against TCM, management financial bias against TCM, career progression inequality, recruitment inequality, investment inequality, and decrease of the TCM department.

#### **6.6.1.1. Management Philosophical Bias against TCM**

In an interview with one of the hospital managers, he explicitly stated:

“In terms of the development of each department, we [hospital management] do not specifically support or discriminate against any departments.”

Interview WMD 1.28

This statement clearly claims that the hospital management treats all medical departments equally, with no preferences or biases. Nevertheless, along with more in-depth discussion, this manager stated:

“It is entirely decided by the hospital manager's decision on how to develop the hospital, which can either be developed in a WM and TCM collaborative way, or depend on WM alone. We decided to develop the hospital in the WM way. So we do not support the TCM Department very much.”

Interview WMD 1.36

In fact, from the above quotation it became clear that managers in this hospital have decided to follow a “WM way”, instead of relying on the collaboration of

TCM and WM. In this case, the TCM department and professionals could be considered as not important and thus always receive less support.

Many interviewed neurosurgical professionals also stated that TCM is less well supported by the hospital management. For instance, a neurosurgical nurse stated:

“In our hospital, WM is taking the primary position, TCM is complementary. Everyone does not have enough understanding on TCM philosophy. Subconsciously, we think the TCM department is secondary. There are even some people who think this department may not be needed. [...] It is decided by the high-up hospital management. There are some hospitals that I know of, and our hospital, which almost give up on the TCM Department.”

Interview WMN 14.15

As shown in this quotation, there is a strong management bias against the TCM department, since it is “complementary” (Interview WMD 23.17), in a “secondary” (Interview WMD 23.17) position, and thus almost given up by the hospital management.

More importantly, many TCM interviewees claimed that the TCM department is less well supported, probably because those managers do not really know the value of TCM.

“Support for TCM was mainly dependent on the hospital managers’ understanding of TCM.” Interview TCM 4.61

The hospital management may not have a good understanding of TCM. Therefore, they are most likely to give more support to the WM departments, which they can understand better and which they consider as more important. Furthermore, some TCM doctors claimed that the TCM department could be better supported if hospital managers have a better understanding of TCM.

In addition, a very interesting fact which should be noted here is that, according to the hospital website ([http://xfszxyy.xf.cn/publish/cbnews/200604/10/cb1385\\_1.shtml](http://xfszxyy.xf.cn/publish/cbnews/200604/10/cb1385_1.shtml)), all hospital managers are also WM healthcare professionals. Therefore, these managers/WM practitioners could consider that WM is superior to TCM and thus should receive more support.

Summarising the discussion above, a clear philosophical bias by management against TCM was identified. This management bias could reinforce the dominant position of WM professionals, augment imbalances of power and professional standing between TCM and WM healthcare professionals, strengthen philosophical and professional tensions between the two types of healthcare professionals, and hence prevent active and spontaneous interprofessional communication and KS.

### **6.6.1.2. Financial Bias against TCM**

In addition to the philosophical bias discussed in Section 5.6.1.1, a number of interview participants repeatedly asserted that WM departments usually receive more management support, because they are more profitable than the TCM department.

“Hospital management would support those departments that can make a lot of profit. The hospital management would not support a WM department either, if it is not profitable. In terms of the TCM department, the hospital management neither say that TCM is scientific, nor say that TCM is not scientific. They also wouldn’t say they are going to abolish the TCM department. They just do not provide any support, just let it be.” Interview TCM 4.61

As shown in the above quotation, hospital management only support those medical departments, mostly in WM, which can make considerable financial profits. Therefore, because the TCM department is not a profitable medical department, it probably is unfairly treated and considered as less important and hence it is less well supported.

“Our hospital is currently operated under the Market Economy. Our [TCM] department is certainly operated under the Market Economy as well. [...] The departmental income for us is kind of embarrassing for us. We are below the hospital average, although we have a very high workload. But we are less profitable, when compared with surgical departments or internal medicine departments. It is an undeniable truth, we can do nothing about it.”

Interview TCM 5.34

As discussed in Section 6.2, the hospital management decisions and strategies are strongly influenced by the MEP. As explained and discussed in Section 6.2.1.3, the central government no longer gives financial support to all hospitals, as dictated by the implementation of MEP. Therefore, for hospital managers, it is more important to maximise the hospital’s financial income and to increase profitability than to improve the quality of health services. Therefore, the TCM department is always “marginalised” (Interview TCM 15.17) and “discriminated” against (Interview TCM 4.63) by the hospital management.

The management’s financial bias limits the power of TCM professionals in hospitals and has developed a pessimistic atmosphere among these practitioners. Therefore, TCM professionals are unlikely to actively communicate and spontaneously share knowledge with professionals of WM. On the other hand, the financial bias strengthens the WM dominance and enhances the power and standing of its professionals. Therefore, these WM professionals also would not voluntarily communicate and share knowledge with TCM practitioners, who are



inferior in status, less powerful, and almost unable to survive in the competition between TCM and WM.

### **6.6.1.3. Career Progression Inequality**

“I am a victim. I finished my TCM study and training in 1977. Since then, I have been practising TCM for all my life. But after all these years of hard work, I only have a middle level title because I don’t have a bachelor degree.”

Interview TCM 21.21

When collecting data in the field, four out of seven TCM interviewees (the total number of TCM practitioners in this hospital) mentioned the difficulty of getting promoted. These TCM doctors had very similar characteristics in that they were aged approximately 50-60, graduated from TCM technical secondary school during the 1970s and 80s and were very experienced in practising TCM. Moreover, it was observed that they were actually taking important positions in the provision of TCM services to patients.

“They would not let us, who graduated from TCM technical secondary schools, to get promoted. We are middle level titles now. They are restraining technical secondary school graduates from getting promotion. [...] Career progression is according to your graduation certificates; for example, one who has a bachelor degree can be promoted to a full professorship; one who has a college certificate can get an assistant professorship. They do not allow us to get promoted.” Interview TCM 16.53

These TCM doctors may be well trained and very experienced after decades of practice, they find it very difficult to get promoted, because they do not have university degrees. As shown in the above quotation, this career progression difficulty has undeniably developed a pessimistic atmosphere among TCM doctors. A few TCM informants even explicitly expressed their resentment toward the hospital management.

It is worthwhile mentioning that, when being interviewed and discussing career progression issues, a TCM doctor stated that “career progression for TCM doctors is much slower [than for WM professionals], do not ask me why, and I do not want to say” (Interview TCM 5.25). After a little while, he requested the researcher to stop the digital recording. Then he started to complain about the unfair career progression and the hospital management, using some very strong language.

Therefore, the imbalance of career progressions causes resentment towards the hospital management among TCM practitioners. It is also pointed out that the imbalance augments the imbalances in the professional standing and power possessed by the two types of practitioners, reinforces the philosophical and professional tensions, and thus prevents the necessary exchange of patient knowledge when providing collaborative patient care.

However, very interestingly, one of the hospital managers, who participated this study, claimed that career progression is equal for all TCM and WM professionals.

“In terms of career progression, WM and TCM doctors are equal.”

Interview WMD 1.15

According to the comparative analysis applied on the data gathered, this statement is factually untrue, and this hospital manager was trying to protect his own *face*.

#### **6.6.1.4. Recruitment Inequality**

In addition to the career progression inequality, the data collected showed a recruitment inequality. For instance, an interviewed TCM practitioner asserted:

“The hospital management does not take TCM seriously. For example, it seems our hospital only recruits WM practitioners. We only had one new TCM postgraduate graduate.” Interview TCM 17.85

As shown in the above quotation, since the hospital management does not consider the TCM department as an important one, managers focus more on recruiting new WM professionals.

Very similarly, the recruitment inequality was also reflected in several interviews with neurosurgical professionals; for example, a neurosurgeon stated:

“Our hospital needs development. Therefore, we only recruit WM professionals and the TCM community looks like it is moving backward.”

Interview WMD 23.15

As indicated in the above quotation, whilst the TCM community is declining, the community of WM is expanding rapidly. Thus, TCM professionals have considerably lower professional standing and much less power, receive less management attention and support, and almost cannot survive in a hospital environment dominated by an enormously large WM community. These imbalances enhance the philosophical and professional tensions and conflicts, and hinder the processes of sharing patient knowledge between the two types of practitioners.

#### **6.6.1.5. Investment Inequality**

Furthermore, the hospital management provides unequal investment in the TCM and WM departments. According to a number of interview participants, the neurosurgery department is one of the most profitable departments in this hospital. Therefore, the hospital management provides significant financial support to this department.

“I am not sure exactly how much income our department can make annually.

But among all departments, we are in the top three.” Interview WMD 26.9

“Financially, the hospital is very supportive on the development of our department. We have just purchased a surgical microscope which costs over one million yuan [Chinese currency].” Interview WMD 2.58

When compared to the generous investment in the neurosurgery department, as discussed in the above quotation, the TCM department receives very little financial support from the hospital management. For instance, a TCM doctor stated:

“The income for our department is very low. In this case, the hospital management does not allow us to use more resources and purchase new equipment. The hospital definitely would not support us.” Interview TCM 4.27

As reflected in the above quotation, the lack of financial support could be because of the management’s financial bias against TCM, which has been discussed in Section 6.6.1.2.

The investment inequality was identified as a KS barrier, as it constrains the development of the TCM department and limits the power held by TCM professionals. This investment inequality compounds with the career progression inequality and the recruitment inequality, further strengthens the philosophical and professional tensions, causes uncooperative and competitive relationships between the two medical communities, and thus results in unwillingness to communicate and share patient knowledge.

#### **6.6.1.6. Decrease of TCM Department**

The present TCM community is a very small one in this hospital. For instance, a TCM doctor stated:

“TCM is a very small community. There are more than 300 doctors in our hospital. But there are only seven TCM doctors. We (TCM Department) are a rather small department.” Interview TCM 4.30

As pointed out by TCM interviewees, this very small community is decreasing. This issue was discussed by some interviewed healthcare professionals. For instance, a TCM doctor and a neurosurgeon stated:

“The number of TCM doctors is actually decreasing. At present, we have seven doctors. Dr. [doctor name] and I are going to retire in the next year. We used to have a number of doctors, but some of them right now are practising WM.” Interview TCM 3.30

“As soon as some TCM practitioners realise that they do not have a good future by practising TCM, they drop their career in TCM for some other more profitable business.” Interview WMD 20.30

Many TCM doctors have quitted their career in TCM, because they do not have “satisfying personal income” (Interview TCM 15.43) and “valuable social standing” (Interview WMD 24.34), and probably because they face philosophical and financial biases and unequal treatments from the hospital management. As

pointed out by a TCM interviewee, some of her colleagues are moving to “some other more profitable business” (Interview TCM 16.30).

“Some of my old colleagues decided not to practise TCM anymore. [For instance] Doctor [name] is a cosmetic surgeon now. It is a much more profitable business.” Interview TCM 16.21

It is worthwhile to note that the researcher personally witnessed a reduction of the TCM community in this hospital. In the pilot study, there were seven TCM doctors working in the hospital. In the main study, the number had decreased to five, because two senior doctors had retired. In the follow-up study, the researcher was informed that the head of the TCM department (who was interviewed in the main study) had resigned his position and moved to another hospital in a different region (far away from Hubei) where the TCM profession is probably better paid and better supported by the hospital management.

The reduction of the TCM department was considered as a barrier to KS, as it reinforces the dominant position and power possessed by WM professionals, further exaggerates the imbalances in professional standings and power, strengthens the philosophical and professional tensions. Due to this barrier, interprofessional communication and the sharing of patient knowledge between TCM and WM professionals could be very difficult. For WM professionals, as a strong and very powerful community, they are probably unwilling to interact with TCM doctors, who are much less powerful, have lower standing and can barely

survive in the hospital environment. On the other side, TCM professionals are not motivated to communicate and share knowledge with the more powerful WM professionals. For them, they are most likely to remain a passive position in TCM and WM collaboration, follow the instruction of WM professionals, and avoid direct confrontations.

### 6.6.2. External Influences on Hospital Management

From the statements provided by many informants, it was identified that the hospital management is influenced by the external social, political and economical environments, as shown in Figure 6.17:

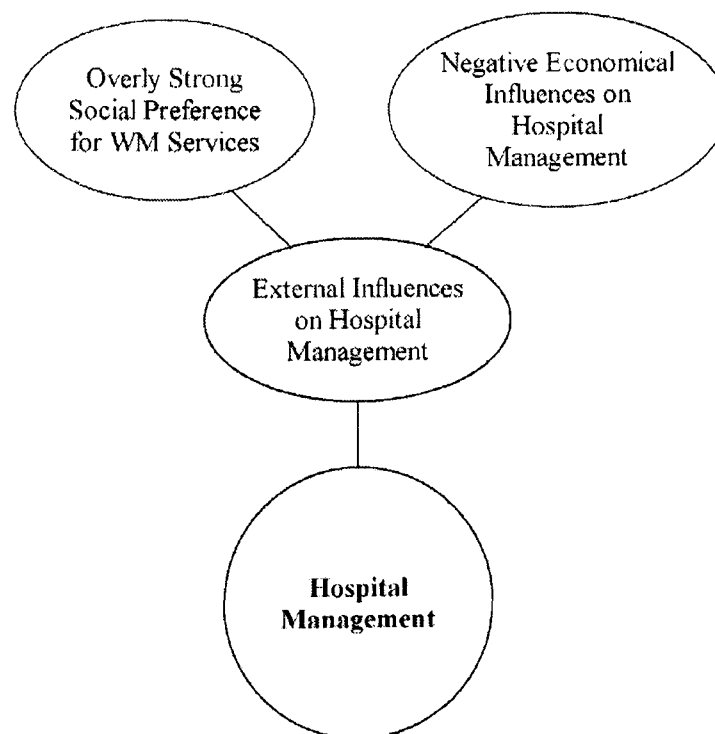


Figure 6.17: Concept map for external influences on hospital management.

Two external influences are identified as KS barriers, namely, overly strong social preference for WM services and negative economical influences on hospital management. These two barriers are discussed in the following two sections, 6.6.2.1 and 6.6.2.2.



### **6.6.2.1. Overly Strong Social Preference for WM Services**

Many statements from the interviewed healthcare professionals revealed a very strong social preference for WM services.

“Currently, in our hospital, the demand for TCM services [from patients] is significantly lower than the demand for WM. [The hospital] mainly relies on WM services.” Interview WMD 20.13

“Patients have two choices, TCM or WM. Patients can come to the TCM Department directly. But the majority of them choose WM specialists and receive some basic WM treatments. After that, WM specialists may suggest to them to use some TCM treatments. We are at the receiving end.”  
Interview TCM 15.69

Patients usually choose WM as their preferred approach. It was identified in data that this preference could be related to the social preference for WM, which is discussed in Section 6.2.3.1. It was also identified that the demand for WM services is much higher than for TCM.

When discussing this issue with the interviewed hospital manager, he pointed out that management strategies have been adjusted in accordance with the high demand for WM services.

“In our hospital, we are mainly WM oriented, which means we have very strong WM teams. TCM is only a partial complementary. Patients come to our hospital mainly for WM treatments. Therefore, in order to respond to the patients’ needs, we need to continuously improve our WM service quality.” Interview WMD 1.18

Therefore, hospital management needs to provide more support and more attention to those WM departments. Conversely, the TCM department receive less support and could be considered as less important.

Therefore, the social preference for WM services was identified as a barrier to sharing patient knowledge. As reflected in the discussion above, this barrier causes unequal hospital support to TCM and WM departments. This barrier could encourage competition between TCM and WM communities, reinforce the philosophical and professional tensions, demotivate both types of healthcare professional from active interprofessional collaboration and communication, and hinder the exchange of patient knowledge.

#### **6.6.2.2. Negative Economical Influences on Hospital Management**

As discussed in Section 6.6.1.2, the TCM department receives much less management support, because this department is considered as “less profitable” (Interview TCM 37.39). Many interviewed healthcare professionals further stated that this management issue is not only related to the implementation of MEP (as discussed in Section 6.2.1.3), but also caused by the external economic environment. For instance, the interviewed TCM educator stated:

“[...] it is related to the [national] economical policies and environment. Nowadays, profitability to a medical department [in hospitals] is very important. [...] Every department has an annual financial requirement [initiated by hospital management], which is related to not only the department, but also individual practitioners. TCM [medicine and treatments] is very cheap, therefore they [TCM doctors] usually cannot achieve this financial requirement”. Interview TCM Educator 47.31

According to the above quotation, the hospital management and the hospital's internal environment are strongly influenced by the external economic environment. The data collected reflected that the management team probably has established strategies aimed at adapting the hospital's internal environment to the external environment.

However, as has been seen, these management strategies reinforce the philosophical and professional tensions between the TCM and WM professionals, alienate the two professional communities, and thus create problems for communication and the sharing of patient knowledge.

### **6.6.3. Section Summary**

This section discusses the hospital management issues which emerged as one of the main categories. More specifically, this section discusses KS barriers relating to two sub-categories: management bias against TCM and external influences on hospital management.

According to the discussion, some existing strategies established and implemented by the hospital management have caused imbalances and inequalities between TCM and WM departments and their professionals. These management inequalities were identified as barriers to sharing patient knowledge, since they enhance the imbalances in the professional standing and power possessed by the two types of healthcare professionals, reinforce the professional boundary, and exacerbate the philosophical and professional tensions and conflicts between TCM and WM medical communities.

Also, it is reflected in the discussion in this section that the hospital's internal environment interacts with the external social, economical and political environments. These internal/external interactions have also resulted in problems for interprofessional communication and the sharing of patient knowledge between TCM and WM professionals. Moreover, the hospital management has initiated strategies aimed at adapting the internal environment in line with changes that have occurred in the external environments. As shown in the discussion, these hospital strategies may not always permit and encourage interprofessional communication, and in fact have reinforced the philosophical and professional tensions, preventing the sharing of patient knowledge.

Finally, at the end of this chapter, it is also important to conclude the discussion. This chapter presents the research findings and discusses individual barriers to KS. The discussion is conducted around the five main categories, namely,

contextual influences, philosophical issues, Chinese healthcare education, interprofessional training, and hospital management. Finally, the discussion conducted in this chapter will be conceptualised and theorised into the final theory in Chapter 7.