# Intra-audience effect:

# A quantitative exploration of the predictors and outcomes of the social experience of live and digital concerts

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### i.i Abstract

The focus of this thesis is the social experience of Western art music concerts. Previous research has identified social bonding as one of the primary functions of music, and social interaction is often found to be at the heart of music consumption. However, prior to this work, there was no psychometrically validated method of capturing the social experience in a quantitative way; therefore, the first objective in this thesis was to develop and validate such a tool.

Subsequently this tool was used to explore the social experience of various live concerts in the North of England, and in Berlin, and it was found that the social experience of a concert influences the overall enjoyment of the event, but not specific emotional responses to the musical stimuli. Following the proliferation of digital presentations of music, which burgeoned during the COVID-19 pandemic, we sought to compare the social experience of live and digital concerts, and to explore whether a social experience can be facilitated or enhanced by manipulating certain parameters of the digital mode. The results indicate that while participants perceive a live concert to be more social, it is possible to amplify the social experience of digital concerts through mechanisms such as facilitating interaction between participants. In addition, we found that participants' expectations of the concert moderated the extent to which they rated the experience as social, and that those who reported having a more social experience also experienced an increase in positive mood.

To further develop these results, in a third study, we looked at whether other interindividual characteristics predict the extent to which participants have a social experience at Western art music concerts and found that trait extraversion and agreeableness were significantly influential. This was based on data collected in response to a virtual reality presentation of the same stimuli as the second study. Since there is little previous research on this topic, we also explored participants' experience of the presentation of a concert in this way using a more pragmatic, mixed methods approach, combining both quantitative and qualitative data collection and analysis.

Overall, the findings in this thesis contribute to the understanding of the social experience of the consumption of Western art music in various settings, including the factors that can predict the collective experience, and how it subsequently influences participants' engagement, enjoyment, and responses to concerts in various presentations.

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## ii.i Author's declaration

I declare that this thesis is a presentation of original work, and that I am the sole author. The work has not previously been presented for an award at this, or any other University. All sources are acknowledged as References.

## ii.ii Publications and presentations

The publications and presentations listed In Table 1 are based on material from this thesis.

Table 1. The table shows published contributions and the thesis chapter to which they	
correspond	

Publication	Chapter
i.vi.i Peer-reviewed publications	
O'Neill, K., & Egermann, H. (2022). Development of the Social	Chapter 1
Experience of a Concert Scales (SECS): The social experience of a live	
Western art music concert influences people's overall enjoyment of an	
event but not their emotional response to the music. Music & Science.	
https://doi.org/10.1177/20592043221106478	
Wald-Fuhrmann, M., Egermann, H., Czepiel, A., O'Neill, K., & Tröndle,	General Project
M. (2021). Music listening in classical concerts: Theory, literature	
review, and research program. Frontiers in Psychology, 12, 1324.	
https://doi.org/10.3389/fpsyg.2021.638783	
i.vi.ii Peer-reviewed conferences contributions with published abstr	racts
O'Neill, K., & Egermann, H. (2022). Intra-audience effects: The social	Chapter 1
experience of a live Western art music concert influences people's	
overall enjoyment of the event but not the emotional response to the	
music [Paper Presentation]. Musical Togetherness Symposium,	
Music [Paper Presentation]. Musical Togetherness Symposium, University of Music and Performing Arts. Vienna O'Neill, K., & Egermann, H. (2021). Intra-audience effects: The social	Chapter 1 and 2
University of Music and Performing Arts. Vienna	Chapter 1 and 2
University of Music and Performing Arts. Vienna O'Neill, K., & Egermann, H. (2021). Intra-audience effects: The social	Chapter 1 and 2

Perception and Cognition (ICMPC) and European Society for the Cognitive Sciences of Music (ESCOM), Sheffield Hub.

O'Neill, K., & Egermann, H. (2020). *There is safety in numbers: Applying* Chapter 1 *theories of social bonding to a live, Western Art Music concert audience* [Paper Presentation]. SysMus Conference, York Music Psychology Group, University of York

O'Neill, K., & Egermann, H. (2019). *Testing the influence of intra*-Chapter 1 audience interaction on emotional responses to a live classical concert [Paper Presentation]. SysMus Conference, SRH Hochschulen Berlin GmbH

Egermann, H., O'Neill, K., Kayser, D., Wald-Fuhrmann, M., Tolle, J., General Project Merril, J., Weining, C., Tröndle, M. (2019). *Measuring the audience experience: How can we capture audience experience with quantitative and qualitative methods?* [Panel Presentation]. Audience Research in the Arts conference, University of Sheffield

#### i.vi.iii Other conference contributions

O'Neill, K. (2022). Social facilitation in live and digital presentations of a Chapter 2 concert [Paper Presentation]. PhD symposium, Experimental Research Project, Berlin O'Neill, K. (2021). Validation of Social Experience of a Concert Scale (SECS), Movement Synchrony and Social bonding in a concert [Paper Presentation]. PhD symposium, Experimental Research Project, Berlin O'Neill, K. (2020). Can we apply theories of social bonding to a live, Western art music concert audience [Paper Presentation]? Postgraduate Research Forum, Department of Music University of York, York. O'Neill, K. (2020) *Experimental concert variations [Poster Presentation]*. General Project Postgraduate Research Forum, Department of Music University of York, York.

O'Neill, K. (2016 – present). Various spoken presentations. York Music Various sections of Psychology Group research colloquium; Music Education Forum thesis

#### i.v.iv Media

Egermann, H., O'Neill, K. & Kayser, D. (2019). Music Matter, Lab live!	Chapter 1,
'Exploring an audience's emotional response to live music in the Music	Pre-study
Matters Lab, following an orchestral concert at Sage, Gateshead [Radio	
Interview]. BBC Radio 3, Free Thinking Festival. Broadcast 30.03.2019.	

### ii.iii Preface

This thesis was funded by the *Volkswagon Stiftung*, through the Experimental Concert Research Project, and the Digital Concert Research Project (Egermann, Wald-Fuhrmann, Tschacher & Tröndle, 2018 – 2023). The project was conceived as a way to address the socalled *Classical concert crisis* and the decay of Western art music audiences, and explore novel ways of presenting concerts. To address this, project partners worked in collaboration with the York Music Psychology Group, the Max Plank Institute for Empirical Aesthetics, University of Bern, Zeppelin University, The RadialSystem, Aventis Foundation, and the Pierre Boulez Saal.

The ambitious project sought to apply a multi-method, interdisciplinary approach to studying the concert experience including ethnographical, empirical, and experimental methods. Qualitative and quantitative data at various levels was obtained from a range of high-quality, naturalistic, but carefully constructed and varied concert situations. More specifically, data was collected via quantitative questionnaires, completed before and after the concert, observational data, video-based analysis of movement in the audience, the automated detection of emotional facial expressions, a continuous recording of physiological responses in the audience (including heart rate, skin conductance and respiration), and of acoustic expressions from the audience (i.e., laughing, clapping, fidgeting).

The project commenced in 2018, and the main phase of data collection was due to be pilot tested in April 2020, and completed in June 2020. Of course, this was not possible due to the closure of concert halls and social distancing mandates implemented due to the COVID-19 pandemic. The revised timeline saw the pilot data collection take place in September 2020, albeit with social distancing and enforced mask wearing. This data contributes to Chapter 3 of this thesis. The full series of concerts and data collection occurred in April and May 2022; however, the data from these events does not appear in this thesis.

Due to the uncertainty of when the full series of live concerts would be able to take place, the Digital Concert Experience project was developed in the interim to explore many of the same research questions, but in relation to various digital presentations of the same Western art music concert. The data from the pilot live concerts, and the digital concerts are explored and analysed in the third and fourth chapters of this thesis. Further investigation of the live concert variations will take place and contribute to future publications.

Further details about these projects can be found on their respective websites:

https://experimental-concert-research.org/?lang=en

https://digital-concert-experience.org/?lang=en

To be part of such a prestigious and ambitious project affords many privileges, from access to specialist equipment, funding, participant recruitment, and working as part of an interdisciplinary team with a wealth of expertise. However, there are also limitations. A significant limitation that I would like to address at the start of the thesis is the focus on Western art music. In many ways, this remains the music that is deemed 'worthy' of being studied, and yet it can neither be said to represent the appetite of 21<sup>st</sup> Century audiences, nor the breadth of music that has been produced across the world and throughout history. This thesis displays a significant lack of equality, diversity, and inclusion in the types of music that are studied, and the demographics of the audience. Therefore, the poignancy with which the focus on Western art music is highlighted throughout does not serve to headline this genre, but rather to acknowledge that the findings of this research should not be assumed to apply to different concert presentations that are associated with different genres, diverse audiences, and a range of time periods.

In many ways, the knowledge discussed in this thesis serves only to explain the status quo. However, it is my sincere hope that the knowledge of how to facilitate the social experience of a concert, and how to make music more accessible through technology will be used to help music evolve and continue to serve *all* members of future generations of audiences.

## ii.iv Acknowledgements

Hauke Egermann – without whom I would not have the opportunity, skill, knowledge, experience, or ability to complete this thesis.

The *Volkswagon Stiftung*, and the Experimental Concert Research Team – In particular Christian Weining, Deborah Meier and Anna Cziepiel.

Caroline Waddington-Jones and Liz Haddon – for their careful and thoughtful advice as my internal examiners, mentors and friends.

Friends, colleagues and students at the University of York and the University of Lincoln – for their support, guidance, and advice.

Innumerable friends, but in particular Lottie Brooke (the CSB to my KON) with whom I dipped my toe into Music Psychology, and Hannah Rodger (the Tweedledum to my Tweedledee) with whom I dipped my toe into music scholarship all those years ago.

The participants of each of the studies described herein, who gave their time, and thus made essential contributions to the completion of this work.

Mum and dad, Steve, and of course LHP, to whom I owe my sanity, health, and happiness.

## ii.v List of Abbreviations

AHEC	Arts an	d Humanities Ethics Committee	Н	Ну	pothesis	
ANOV	Ą	Analysis of Variance	HMD	He	ead Mounted Display	
BBC	British	Broadcasting Corporation	HRTF	Head-Rela	ated Transfer Function	
BFI		Big Five Inventory	IGIM	In-Group I	Identification Measure (Leech	
CFA Confirmatory Factor Analysis		et al., 2008)				
CFI		Comparative Fit Index	ITR	lte	m Response Theory	
COVID	-19	Corona Virus Disease 2019	IV	Inc	dependent Variable	
DCE		Digital Concert Experience	JASP	Jeffreys's	Amazing Statistics Program	
df		Degrees of Freedom	КМО	Ка	iser-Meyer-Olkin	
DoP		Depth of Processing	MANO	VA mu	ultivariate analysis of variance	
DV		Dependant Variable	test			
ECR	Experir	nental Concert Research	MPA	M	usic Performance Anxiety	
EFA		Exploratory Factor Analysis	MPS Multimodal Presence Scale for Virtual			
GBP		Great British Pounds	Reality Environments MSA Measure of Sampling Accuracy			
GCSE	Genera	I Certificate of Secondary	N Full sample			
Education		IN	FU	il sample		
GDPR	Genera	I Data Protection Regulation	n	Su	bsample	
GEMIA	C	Geneva Music-Induced Affect	NA	Ne	egative Activation	
Checklist (Coutinho & Scherer, 2017).		No.	Nu	umber		
GEMS	GEMS Geneva Emotion Music Scale (Zentner,		OIS	Ot	her in Self (Aron, 1992)	
2008)			р	Pro	obability (significance)	
GmbH	Gesells	chaft mit beschränkter Haftung	PA	Ро	sitive Activation	
(company with limited liability)						

PANAS Positive and Negative Affect Schedule (Watson, et al., 1988)

PANAVA-KS Positive Activation, Negative Activation and Valence - *Kurz-Skala* (Short form) (Schallberger, 2005)

partial n2 Partial Eta Squared

- PGCE Post-Graduate Certificate of Education
- PSI Parasocial interaction
- RCI Relationship Closeness Inventory
- RQ Research Question
- RSMEA Root Mean Square Error Approximation
- SECS Social Experience of a Concert Scales

(O'Neill & Egermann, 2022)

- Self-Def Self-Definition
- SEM Strong Experiences with Music
- SLSS Social Live-Streaming Services
- SPSS Statistical Package for Social Sciences
- SRMR Standardized root mean square residual
- STOMPShort Test of Musical Preferences

(Rentfrow & Gosling, 2003)

- TLI Tucker-Lewis Index
- URL Uniform Resource Locator
- VA Valence
- VE Virtual Experience
- VR Virtual Reality
- Wilk's A Wilk's Lambda
- X<sup>2</sup> Chi squared

YMPG York Music Psychology Group

Chapter 1

# **General Introduction**

#### 1

## 2 1.1 Overview of the thesis

3 It has been suggested that social bonding is one of the fundamental evolutionary purposes 4 of music (Huron, 2001). Social experience is often considered to be a key motivating factor 5 for engaging with leisure activities and attendance at music concerts is no exception (Beard 6 & Ragheb, 1983; Pitts, 2005). Live music consumption typically implies the presence of other 7 people and co-presence is a key feature in the expanded frame-music-listener model (Wald-8 Fuhrmann et al., 2021), as a factor which contributes to the overall aesthetic experience of a 9 concert. Despite this, Western art music concerts are still predominantly presented in ways 10 which limit social interaction among audience members (Kulczynski et al., 2016) and, until 11 recently, there has been limited interest in measuring the collective or social experience of 12 live concerts in a quantitative way. Therefore, in this PhD a new measure was developed 13 and validated to capture this information. This was subsequently used to explore and 14 compare the social experience of a live string quintet concert, and various digital 15 presentation of the same stimuli.

This thesis is presented in five chapters. Three studies are discussed within Chapters 2, 3, and 4. Prior to this, in the general introduction a theoretical framework is established (Chapter 1), and the final chapter includes a general discussion, in which more general implications, application, and limitations are considered, as well as suggestions for future research. The following serves as an extended abstract in which the methods and findings of each are briefly described.

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#### **23** 1.1.1 Study 1: Development of the Social Experience of a Concert Scales.

Previous research has found that a significant motivation to make and consume music is the collective or social experience of the act (Freeman, 2000; Kreutz, 2014; Savage et al., 2015; Tarr et al., 2014). Despite the emphasis often placed on the social functions of music, there has been limited interest in measuring the collective or social experience of live concerts in a quantitative way. Therefore, in this study, we created and validated a new measure of the
 social experience of a concert, based on theories of parasocial interaction and in-group
 bonding. These theories will be discussed in detail in sections 1.2.3 and 1.2.5.

In a pilot study, 103 participants were recruited across two concert settings. An
extensive list of 65 items was used to measure the social experience of the concerts which
were taken from the Parasocial Interaction Scale (Schramm & Hartmann, 2019) and In-group
Identification Measure (Leach et al., 2008). Based on the results, the measurement scale
was reduced to 22 items based on the internal consistency of items, to reduce fatigue
effects influencing the results of such a long scale.

10 In the main study, a further 113 participants were recruited at several concerts with 11 a range of musical genres. Participants provided self-ratings of their social experience, 12 emotional response, enjoyment, and demographic information in a paper survey. Based on 13 the results of exploratory and confirmatory factor analysis we were able to further reduce 14 the number of items in the Social Experience of a Concert Scales (SECS) to 17 validated 15 statements representing a five-factor model: Depth of Processing, Attention, Solidarity, 16 Satisfaction, and Self-Definition. Using multivariate analysis of variance (MANOVA), we 17 tested the influence of these factors on the emotional response of participants to the music 18 and found that they are not significant predictors; however, the social experience of a 19 concert was found to have a significant influence on enjoyment.

Thus, we developed and validated the first quantitative measure of the social experience of a Western art music concert. Our results also suggest that the emotional response to music and the overall experience of a concert are separate and that only the latter can be influenced by the social experience of a concert.

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**25** 1.1.2 Study 2: Exploring the social experience of live and digitally presented concerts.

As part of the large scale Experimental Concert Research project, and Digital Concert
 Experience, the previously validated SECS was used to measure and compare the social
 experience of a concert presented live, and subsequently in various digital modalities. The

1 musical stimuli for this research were a classical chamber music concert including repertoire 2 by Ludwig van Beethoven (op. 104), Brett Dean ("Epitaphs") and Johannes Brahms (op. 111). 3 These works were performed by a string quintet and the live performance (n = 140) was 4 professionally recorded and used as the stimulus in the digital variations of the study. These 5 included the full concert presented on-demand (n = 133), a shorter version of the concert 6 on-demand (n = 143), the concert presented with social interaction facilitation (n = 107), 7 and an on-demand stream of the concert with a pre-talk from Brett Dean, the composer (n =8 144). Participants were allocated to one of the digital conditions based on their interest and 9 engagement with previous digital concerts.

10 The results suggest that the social experience of a live concert is significantly greater 11 than that of an on-demand digital presentation of the same concert, particularly the 12 amount of attention paid to other audience members and the satisfaction in being part of 13 the audience reported by participants. In addition to this, the social setting facilitated a 14 more social experience than other digital settings, based on the self-reported data.

15 Analysis showed that participants who reported high levels of bonding and solidarity 16 with the rest of the audience experienced a significant increase in positive activation and 17 valence, and a significant decrease in negative affect, captured with the Positive Activation, 18 Negative Activation, Valence short scale (PANAVA-KS Schallberger, 2005; Schreiber & Jenny, 19 2020). Those who rated their satisfaction at being a part of the audience as higher 20 experienced a significant increase in positive activation and a significant increase in valence. 21 Finally, participants who paid more attention to other members of the audience 22 experienced a significant increase in negative activation.

These findings suggest that, while it may not be possible to replicate the experience of the live or physical presence of others in digital concert consumption, manipulations in the presentation of streamed concerts can facilitate a more social experience. When coupled with the increased accessibility, affordability, and convenience of this type of music consumption compared to traditional live events, this may have exciting implications for the future of Western art music concerts.

2 1.1.3 Study 3: Exploring the social influence of co-presence and immersion in virtual3 reality concerts.

4 As seen in the previous study, digital engagement with Western art music concerts does not 5 ellicit a social experience to the extent that live presentations of the same music achieves. It 6 is often suggested that mediated or mediatised performances typically do not recreate the 7 authenticity and presence that concert goers expect or seek (Charron, 2017). However, 8 virtual reality (VR) is increasingly being used in various sectors to mitigate these limitations; 9 for example, gaming, sports, medicine, military training and more (see Ball et al., 2020). 10 When used well, virtual reality can create a more immersive and active experience for users 11 (Bouckaert, 2021; Shin et al., 2019), with particular emphasis on increased presence and 12 immersion. Despite this, there is limited research that explores the use of virtual reality to 13 present concerts; therefore, this chapter includes an exploratory investigation of the topic.

In the final study discussed in this thesis we sought to explore the general experience of virtual reality presentations of a Western art music concert, and whether personality predicts enjoyment of this mode of engagement. More specifically we looked at the extent to which a virtual experience is considered social by participants and whether this is predicted by any interindividual characteristics. Finally, we tested whether perceived presence predicts the social experience of a virtual reality Western art music concert.

20 To do so, 50 participants were presented the same stimuli as in Study 2 (Beethoven, 21 op. 104; Brett Dean, "Epitaphs"; Brahms, op. 111) in virtual reality. A monoscopic 360° VR 22 recording was professionally produced, based on the live string quintet concert. This was 23 then presented to participants using an Oculus Quest 2 head mounted display, with 24 ambisonic sound, via headphones. Participants were seated on a revolving, office style chair 25 and invited to explore the concert space from their vantage point, at the front of the stage. 26 Since there is little previous research on the subject, a series of open questions were 27 included to explore the general experience, both positive and negative, of the virtual reality 28 concert. The SECS was once again employed to capture the social experience of

participants, and the PANAVA to measure change in mood, in addition to the multimodal
 presence scale (MPS, Makransky at al., 2017), and the brief version of the Big Five
 Personality Inventory (BFI-10, Rammstedt & John, 2007).

4 The findings suggest that the overall experience of a virtual reality concert can be 5 categorised into a model in which concert factors and the overall user experience combine 6 to contribute the experience of immersion and presence. Personality was found to predict 7 the enjoyment of a Western art music concert presented in this way, specifically 8 extraversion, agreeableness, and openness to new experiences. Social presence, and 9 physical presence predict the extent to which participants rated the concert as providing a 10 social experience, based on the MPS and SECS. These results significantly contribute to the 11 field of research on virtual reality and music.

12

## 13 1.1.4 Original contribution to knowledge of the field

14 Throughout this thesis, the influence of physical presence, synchronous co-presence, 15 asynchronous co-presence, and social presence are explored with a view to contributing to 16 the field of audience research and informing future presentations of Western art music. An 17 entirely new and original tool for capturing the social experience of a concert has been 18 psychometrically validated in live, digital, and virtual reality contexts. The thesis also 19 provides the most controlled and systematic comparison of the social experience of live, and 20 various digital presentations of the same concert available, including ways to enhance or 21 facilitate the collective experience. Finally, this provides one of the most significant studies 22 on the presentation of Western art music in virtual reality to date.

23

### 1 1.2 Literature review

Each of the study based chapters of this thesis (Chapters 2, 3, and 4) contain an overview of
the relevant literature that has informed the methods and research questions of each. In
this section, some of the universally relevant theories and previous findings will be
discussed.

6

### 7 1.2.1 Social Bonding as an evolutionary function of music.

8 The ubiquity of music throughout history and cultures suggests that it serves a biological, or 9 evolutionary function (Blacking & Nettl, 1995; Wallin et al., 2001). While there are many 10 theories of what that might be, from an evolution of animal song and phonocoding (Marler 11 & Slabbekoorn, 2004), to a method of communication (Merker, 1999), the suggestion that 12 music is a bonding mechanism between conspecifics is of greatest interest and relevance in 13 this thesis. The view that music can be considered as a coevolved system for social bonding 14 is an underlying function that to an extent unifies all other suggestions of why humans make 15 music, including mate identification and selection, infant-parent bonding, and group 16 cohesion (Savage et al., 2020). While there may be cultural variances in aesthetic 17 appreciation for musical features, group performance and consumption is, in some ways, 18 universal (Brown & Jordania, 2013; Mehr et al., 2018; Savage et al., 2015). Among the 19 musical universals proposed by Brown and Jordania were the behavioural observations that "music is mostly produced by groups rather than individuals" and "music coordinates and 20 21 emotionally unites groups of people" (2013, p. 241) thus reinforcing the social importance 22 of the activity. Bannan (2012) proposed that music allowed individuals to become more 23 group orientated, once group sizes had become too large for grooming to fulfil this purpose 24 alone. Further to this, singing, dancing and drumming were all found to elicit endorphin 25 release, (Dunbar et al., 2012), which has a positive effect on social bonding (Weinstein et al., 26 2016). Cross (2001) has gone as far as to state that music is "essential in ... the development of capacities for flexible social interaction" (p.99). Music might create or maintain social 27 28 cohesion by contributing to group solidarity, promoting altruism, and so increasing the

effectiveness of collective actions such as defending against a predator, or resource
 identification and collection (Huron, 2001).

3 There are two ways in which music has been suggested to influence social bonding. 4 The first is through group effort, whereby music might contribute to the coordination of 5 group work, such as pulling a heavy object through synchrony and entrainment effects 6 (Huron, 2001). The shared goal of making music can also be seen as a method of co-action 7 which has been found to have a bonding effect. However, the act of doing a task with others 8 does not entirely explain this phenomenon, as bonding has been found to occur when 9 consuming music as well as creating it (Boer et al., 2011; Nummenmaa et al., 2021; 10 Rentfrow, 2012; Tarr et al., 2014). This will be further explored in the following section.

11

#### 12 1.2.2 The presence of others and music consumption

13 Music consumption takes place in many different contexts, many of which are social and 14 occur in the presence of others (North & Hargreaves, 2008). Social influence can manifest in 15 many ways; for example, the influence of peer groups on music preference (Inglefield, 1972; 16 Webster & Hamilton, 1981), the evolutionary benefits of social bonding induced by music 17 (Cross, 2009; Freeman, 2000) and, specifically relating to emotional responses, the 18 aforementioned emotion contagion and empathy mechanisms (Juslin & Västfjäll, 2008; 19 Scherer & Zentner, 2001). Previous research on the influence that others can have on 20 emotional responses has been studied, insofar as their presence can have a moderative 21 effect on the cognitive appraisal that causes an emotion response (Scherer, 2005).

The highly ritualised experience of concert attendance seems to result in a collective effervescence (Durkheim, 2016) a theory that has previously been applied to sports fandom (Cottingham, 2012). Collective effervescence can be understood to be the intensification of an experience through sharing it with others (Durkheim, 2016). Cottingham (2012) originally applied the term collective effervescence to religious events as it was suggested to result in higher moral standards. However, it more generally acts as a symbol of social relationship and has been purported to enhance group bonding. For a ritual to form, there must be a

1 group assembly, in which physical co-presence is achieved. The mutual focus of attention 2 and shared mood are intensified by a common action, including stereotyped formalities, 3 and a short-term emotional stimulus (Heider & Warner, 2010). Previous research has 4 applied the model to music contexts; for example, Vandenberg et al. (2021) explored 5 whether livestreamed concerts conduce feelings of social solidarity and resilience when 6 physical gatherings are impossible. Their focus was on livestreamed electronic music, 7 specifically rave music events in the Netherlands (Vandenberg et al., 2021); however, the 8 theory has also been applied to festivals (Berkers & Michael, 2017; Liebst, 2019), and sacred 9 music (Heider & Warner, 2010; Schüler, 2017). While less demonstrable, the ritualised 10 format of a typical, or at least traditional Western art music concert is well documented 11 (Burland & Pitts, 2016; Toelle & Sloboda, 2021; Wald-Fuhrmann et al., 2021); therefore, it is 12 possible that this collective effervescence would also be seen in such contexts.

13 Motivated by the closure of concert venues due to the COVID-19 pandemic (Hansen 14 et al., 2021), researchers have also considered the ways in which the social experience is 15 influenced by the liveness of a concert. It has been found that different motivations and 16 interindividual characteristics influence the extent to which a participant is moved during 17 virtual presentations of a concert, compared to live streamed events (Swarbrick et al., 18 2021). In this study, participants were invited to recall a live streamed concert, and compare 19 their present experience of a digitally presented performance to the memory. Based on 20 their results, researchers concluded that social connection was correlated with the 21 experience of being moved by the music, and that empathic concern predicted both 22 variables. Intuitively, live streamed concerts were found to have been perceived by 23 participants as being more social than those that were pre-recorded. However, it should be 24 noted that the reliance on the participants' memory and the inability to compare the same 25 musical stimuli in this study introduce possible limitations to the design.

26 Onderdijk et al. (2021) developed this further by comparing various modes of digital 27 presentation of a concert: live streamed via YouTube, via Zoom, and a 360° recording 28 presented either on a standard screen, or a head mounted display. They found that 29 perceived physical presence predicted connectedness, both with the musician and audience,

but that co-presence, or the perception of virtual others, only predicted connectedness with
other audience members. Also, physical presence was more likely to be perceived in stimuli
presented in virtual reality, compared to the other digital modes.

When consuming music in the presence of others there are several figures who can influence the listening experience including those involved with the music production, and other consumers. They can have an influence on the experience without being able to converse with the listener in anyway. This type of interaction is explored further in the section below.

9

#### 10 1.2.3 Parasocial interaction

A defining feature of typical or traditional Western art music concerts is the expectation that the audience will in no way influence the concert experience of others (Burland & Pitts, 2016). A key element of this schemata is that audience members should not communicate with each other, at least verbally, during the concert. Social relationships are built on familiarity and judgements of interactions, and yet through the continuation of concert etiquette this is prohibited. Therefore, any relationship that forms can be conceived of as parasocial.

18 A parasocial interaction is a defined by a one-way flow of information. Originally 19 developed to describe the interaction of a television character and a viewer (Horton & 20 Wohl, 1956), either real or fictional, in which the viewer receives information from the 21 character, but there is no way of responding. The lack of interaction between the parties 22 does not prevent the viewer from feeling that they have a relationship with the media 23 figure, and it has been suggested that a good television presenter or performer is able to 24 create the illusion of intimacy with the viewer, thus drawing them into the onscreen action 25 and inducing the secretion of the relationship enhancing hormone oxytocin (Tian & Yoo, 26 2015). This can be seen particularly clearly in chat-show formats, in which the presenter 27 often speaks directly to the camera, inferring that what they are saying is directed solely to 28 the viewer.

1 In many ways parasocial relationships are easier and less demanding than social 2 relationships as there is no sense of permanency or obligation of effort on the part of the 3 viewer as they can withdraw at any moment by choosing to stop watching the character on 4 the screen. However, some researchers have argued that the power lays not with the 5 viewer, but rather with the persona of attention, particularly in cases where a parasocial 6 interaction is a substitute for social relationships (de Bérail et al., 2019). Contemporary 7 researchers believe that parasocial interaction is parallel to more typical interactions and 8 can be compared to, but not synonymised with, social relationships (Giles, 2002; Jin & Park, 9 2009; Turner, 1993). Turner (1993) was the first to coin the term 'homophily' which 10 describes the likelihood for people to form friendships with whom that they perceive to 11 have commonalities, and this is as true for parasocial, and social relationships

12 Other terms with which it is important to be familiar in order to be able to separate 13 parasocial interactions include wishful identification, in which the viewer wants to imitate 14 the persona (Hoffner, 1996) and identification in which a viewer shares the performer's 15 perspective (Cohen, 1999). Theories of identification have existed longer than parasocial 16 interaction; however, the distinction between them is often ignored. Chory-Assad and 17 Yanen (2005) found that parasocial interaction can be observed even when identification is 18 absent in an interaction. Another important distinction is that of parasocial interactions and 19 parasocial relationships. Interactions are defined as transitory, and influenced by the 20 context in which they occur, as opposed to a relationship which is typically considered to 21 develop over time, and with repeated exposure (Giles, 2002).

22 Parasocial interactions have been found to be developmentally beneficial in many 23 ways; for example, children have been found to use these interactions to develop gendered 24 identity, particularly between the ages of five and six (Bond & Calvert, 2014; Rosaen & 25 Dibble, 2008) and children form attachments and learn from televised characters (Calvert et 26 al., 2014; Hoffner, 1996; Lauricella et al., 2011). Adolescents find comfort in parasocial 27 relationships during periods of identity development (Giles & Maltby, 2004) since there is 28 no risk of rejection from the object persona and since it is not possible to know everything 29 about a media figure the adolescent is able to construct a figure which is part-fantasised,
1 part-reality to meet their own expectations or needs. It is also possible for parasocial 2 interactions to manifest in a negative way for children; for example, in terms of aggression 3 (Eyal & Rubin, 2003) and body image (Maltby et al., 2005; Young et al., 2013). Sports fans 4 form parasocial connections with players or entire teams and feel that they have some role 5 in the success or failure of their chosen idol (Boyle & Magnusson, 2007; Frederick et al., 6 2012; Sun, 2010). It has more recently been used to describe viewers relationships with 7 social media influencers (Kim & Song, 2016), particularly YouTube personalities (Chen, 2016; 8 Ferchaud et al., 2018; Lee & Watkins, 2016).

9 Previous research has found that music can influence the extent to which parasocial 10 interactions occur. Chang and Kim (2022) found that a film excerpt with inspiring music 11 predicted an increase in parasocial interaction, particularly the subfactors closeness, 12 elevation and empathy, compared to neutral music. Conversely, sad music had no overall 13 influence on parasocial interaction but did predict feelings of pity in the viewer. In addition 14 to this, it has been found that listeners form parasocial relationships with musicians (Kurtin 15 et al., 2019a). The findings from this study indicated that parasocial relationships with 16 musicians differ from those of more traditional media figures. As with previous research, 17 parasocial relationships were found to be strengthened by higher levels of exposure, particularly due to the perceived authenticity of interaction through live social media 18 19 engagement which gives fans intimate insights into musicians' lives. However, in some 20 cases, the level of access and insight actually served to reduce the perception of a parasocial 21 relationship as it displayed the unattainability of the lifestyle that musicians and other 22 celebrities' lead; thus, highlighting the chasm between them and the viewer. As with many 23 sociological and psychological constructs, there are multiple methods of measuring 24 parasocial interactions. The most recognised is the Parasocial Interaction Scale (PSI-Scale, 25 Schramm & Hartmann, 2019), For more information, see section 2.2.6.

26 While previous research has applied parasocial interaction theory to musicians and 27 performers, it has not been investigated as a bonding mechanism between audience 28 members. In many genres the relationship between consumers could not be described as 29 parasocial since there is opportunity for interaction. One of the unique features of typical or

traditional Western art music concerts is the expectation of no verbal interaction between
audience members during the performance (Burland & Pitts, 2016). It is for this reason that
the relationship, or at least interaction between concert attendees can be described as
parasocial.

5 While on a physical level music is the result of soundwaves produced by an agent, it 6 seems it is possible to not only form a parasocial relationship with the agent, but also with 7 the music itself. This phenomenon will be discussed in the section below.

8

#### 9 1.2.4 Music as a social surrogate

10 A social surrogate is a temporary substitute for direct social interaction. Initially applied to 11 parasocial relationships with television characters, Derrick et al. (2009) found that people 12 who felt lonely were more likely to watch familiar and favoured programmes to alleviate the 13 feeling. Subsequently, it has been found that music is also employed as a social surrogate, 14 albeit with different mechanisms. Instead of representing a character in itself, music evokes 15 memories of relationships, thus resulting in an identification process (Schäfer & Eerola, 16 2020). While there are overlapping experiences between these phenomena, the element of 17 nostalgia seems to be specific to music listening. In a separate article, Schäfer et al. (2020) 18 also found that listening to self-selected music reduces loneliness, regardless of the 19 listener's mood, and concluded that this was a result of social surrogacy. Paraviti (2020) 20 went on to explore whether music can act as a social surrogate and shield individuals from 21 social threats; however, the findings were inconclusive.

Listeners seem to use social surrogacy as an explicit motivation when selecting music. In a study exploring the changes in musical behaviours during the first COVID-19 lockdown, Fink et al. (2021) found that over half their participants reported music engagement as a coping mechanism, and that this might be as a proxy for social interaction. The ability of music to act as a pseudo-presence so effectively that it alleviates loneliness is indicative of the importance of both social relationships and music in day-to-day life.

However, in this thesis, we are less interested in music as a social surrogate, but rather as an
 arena or context where social bonding can occur.

3

#### 4 1.2.5 Group Belonging and Bonding

5 While knowing someone through previous interactions with them is one level of familiarity, 6 the theory of social identity suggests that the more an individual identifies with a group of 7 people, the greater the attention they will pay to them and also the more influenced they 8 will be by other members of the group (Tajfel et al., 1979). The theory of social identity will 9 be discussed in this section and a review of previous literature will be used to justify the 10 application of this theory to a concert audience.

11 The psychological theory of social identity is based on how an individual's 12 membership in a particular group influences their sense of who they are (Tajfel, 1981; Tajfel 13 et al., 1979), a group that has become known as the in-group. Social groups, such as those 14 formed according to social class, sports team, celebrity fandom, race, gender, nationality, 15 family, gang, etc., and an individual may identify with many groups simultaneously, are 16 considered to be a source of pride and self-esteem which is borne from the sense of 17 belonging an individual experiences when they are in that group. By categorising the world 18 into 'us' (in-group) and 'them' (out-group) people make sense of society and establish their 19 own social identity. This process is based on the cognitive process of grouping things that 20 are similar to reduce the cognitive load of retaining and recalling all stimuli that are 21 experienced (Gobet et al., 2001).

According to the original theoretical development, there are three cognitive processes responsible for in-group and out-group judgments: Social Categorisation, Social ldentification, and Social Comparison. In the Categorisation phase, an individual will appraise the subject to understand and attempt to identify them (Turner, 2010) and this process can be seen from the early stages of childhood development (Rhodes et al., 2018). However, it is not until adolescence that people start to consider if these categorisations fall into the in-group or not. If people can be assigned to a category, then it is easier to

1 understand what sort of interaction we can expect to have with them, based on internalised 2 social schema (Howard & Renfrow, 2006) and appropriate behaviour is dictated by 3 consulting the norms of the group to which we belong. In the second stage, Social 4 Identification, an individual will take on the observed behaviours and characteristics of the 5 group(s) with which they identify (Bernheim, 1994) and this conformity strengthens the 6 bonds within a group and perpetuates the tropes of that group. The final stage of the Social 7 Identity theory is Social Comparisons which can only occur once a group has been 8 categorised and identified as in-group or out-group. Comparisons most typically occur 9 between members of an in-group and self-esteem is maintained and enhanced when 10 similarities are found (Wheeler & Miyake, 1992). The extent to which an individual feels that 11 they are a part of an audience of a live classical concert will be influenced by several factors. 12 These may include the number of times they have attended similar events, the degree to 13 which they feel that they are similar to other members of the audience, how important 14 being a member of a Western art music audience is to their identity, and the way that this 15 influences their self-esteem.

16 Section 1.2.1 established that social bonding occurs in both active music making and 17 music consumption and previous research has found this to be the case in Western art 18 music audiences. For example, social bonding between audience members of an amateur 19 orchestral concert was found to be associated with pre-concert talks, and as a mediator of 20 emotion contagion as a mechanism for emotional response to the music (Garrido & 21 Macritchie, 2020). Audience participation in traditional Western are music concerts was 22 also found to predict pre-social behaviors (Polzella & Forbis, 2014), although the 23 participatory element of this study may confound the exact mechanisms that influence the 24 extent to which participants were pro-social. Finally, social bridging and bonding were 25 found to occur in choral audiences, including feeling connected to others, feeling bonded 26 with those from congruent or incongruent cultural backgrounds and identities, and 27 memorability of other attendees (Brown, 2016).

There are various recognised methods of measuring group belonging. For example,
the Other in Self Scale (OIS, Aron et al., 1992), is a single item in which a series of seven

1 Venn diagrams of two circles, representing the 'self' and the 'other' with varying degrees of 2 overlap are presented. The scale was devised to measure self-perceived interconnection 3 between an individual and an 'other' which was assumed to be able to arise from many 4 conscious and unconscious processes. Participants are instructed to 'please select the 5 picture which best describes your relationship with [the persona]' where the persona of 6 focus is represented by the 'other' circle and the participant is represented by the circle 7 labelled 'self'. The Venn diagrams should be presented according to the original scale so 8 that the degree of convergence increases a constant amount to create an evenly distributed 9 scalic progression. The circumference of each of the circles increases in a directly 10 proportional manner to the degree of convergence so that the area of each diagram is 11 constant. The benefits of using this scale are that it contains a single item which takes 12 seconds to answer and has been widely used to measure interpersonal connections in many 13 fields of study, thus achieving high levels of validity. However, the scale is highly demanding 14 for a participant since it is such an abstract way of depicting relationships. It also provides 15 very little specific information about aspects of closeness.

16 Another commonly used tool for capturing perceived social closeness is the 17 relationship closeness inventory (Berscheid et al., 1989). This is based on the conception of 18 closeness as a high interdependence between two parties (Kelly, 1983) and covers 19 romantic, familial, and platonic relationships. The scale includes six items which seek to 20 define the type of relationship, 38 items relating to co-action, 27 that explore the influence 21 the object of the relationship has had on the subject's current behaviour, and a further 22 seven items on how their future behaviour may be influenced. The tool produces an overall 23 score of closeness out of 1200. Many items in this highly demanding survey are also outdated in the 21<sup>st</sup> century. Despite revisiting the measure in 2004, Berscheid et al. (2004) 24 25 did not update it and while they claim that it still possesses both reliability and validity, the 26 temporal validity should be carefully considered.

A third alternative for measuring social relationships and bonding is the In-group
 Identification Measure (IGIM, Leach et al., 2008) which was developed using a hierarchical,
 multicomponent method of in-group identification. The measure has a hierarchy of

1 segments whereby two more general dimensions: Self-definition, encompassing the 2 subscales of individual self-stereotyping, in group-homogeneity, and Self-Investment to 3 group the subscales of solidarity, satisfaction and centrality. The conception of the measure 4 was theoretically informed, with each item relating to previous scales and research; 5 subsequently, through a series of seven experiments the measure was reduced and 6 validated (Leach et al., 2008). The measure was intended to halt the proliferation of new 7 multicomponent scales by assimilating the discreet components into one general 8 framework. The series of validation studies show significant correlations with the OIS (Aron 9 et al., 1992) and the Relationship Closeness Inventory (RCI, Berscheid et al., 1989; 2004). 10 The IGIM emerges as the optimal tool, of those discussed, for capturing the level of bonding 11 experienced by participants in this research on the social experience of Western art music 12 concerts.

13

#### 14 1.2.6 Social experience, emotional responses to music, and enjoyment of the event

15 The ability of music to induce emotion in a listener has been widely researched and 16 examined. For the purposes of this research, emotion is defined as the synchronised 17 expression, feeling, activation and arousal, experienced as a transient episode, triggered by 18 a specific stimulus (Scherer, 2005). An equation to illustrate how music induces an emotion 19 suggests that an emotion is the result of an interaction of structural, performance, listener, 20 and contextual features (Scherer & Zentner, 2001). The implication of this is that emotions 21 can be altered by the presence of others as this will be a factor of the contextual features 22 (Wald-Fuhrmann et al., 2021). Emotions can become disassociated with an individual and a 23 stimulus when people are in proximity with each other and share a sense of group 24 belonging, resulting in a collective emotional response (Mackie & Smith, 2015).

Some research has found that social listening contexts intensify emotional responses
to music. The emotion experienced when listening to music, specifically strong experiences
with music (SEM), has been found to be influenced by the social context in which the
listening occurred (Gabrielsson & Wik, 2003). Using free descriptions and quantitative

1 ratings of participants' strongest experiences of music consumption, seven fundamental 2 categories that describe these experiences were found: general characteristics, physical 3 reactions and behaviours, perception, cognition, feelings/emotions, existential and 4 transcendental aspects, and personal and social aspects. This final category indicates that 5 the social context of listening influences strong experiences of music. Later investigation of 6 strong experiences with music found that they occurred most frequently in live concerts 7 when other people were present (Lamont, 2011); however, it cannot be concluded that it is 8 only the presence of others that caused this result.

9 The influence of others has been found to be stronger if they are known to the 10 listener. More intense emotions were reported when participants were listening with a 11 close friend or partner compared to when listening along (Liljeström et al., 2013) in a study 12 in which participants listened to self-chosen or randomly sampled music samples. Listeners 13 reported more intense emotions in response to self-chosen music than to randomly 14 selected music, and when listening with a close friend or partner than when listening alone. 15 There is no possibility to compare the effect of known verses unknown co-listeners in this 16 study.

17 However, it has also been found that listening in a group can result in significantly 18 less intense emotional responses to music (Sutherland et al., 2009). In a study that aimed to 19 test whether listening to music in a group setting influenced the emotion felt by the 20 listeners, fewer chills were experienced in the group listening condition than when listening 21 alone which the authors concluded was a result of participants having paid less attention to 22 the music because their concentration had been drawn by the other listeners present. In 23 subsequent analysis of the data, skin conductance responses during chills were significantly 24 higher during the solitary listening condition (Egermann, et al., 2011), and that while 25 listening context did not influence retrospective subjective ratings of emotion, there was a 26 non-significant trend indicating that more chills were experienced in the solitary listening 27 condition and that skin conductance responses were significantly higher for participants 28 listening in solitude. In a later study by Linnemann et al. (2016) it was found that music 29 reduces stress more if it is listened to in the presence of others, regardless of the original

motivation for listening to the music. When listening to music alone, there was only a
 reduction in stress if this was stated as the reason for listening to the music.

Despite these well controlled experiments, there has been limited attempt to
explain the mechanisms by which the presence of others influences an individual's
emotional response to music. The conflicting results suggest that prevailing finding is that
group listening results in either a significant increase, or decrease in the emotional response
of participants, but a significant influence nonetheless.

8 While many of the studies already discussed achieved high levels of control, the 9 listening contexts in which they were conducted display poor ecological validity. The 10 conclusions are also largely based on supposition since none of the studies sought to test 11 the social experience directly but instead inferred a connection. An example of a study in 12 which the researchers sought to explore the social influence of others on an individual's 13 experience of an ecologically valid context was conducted with participants recruited from 14 amateur orchestra audiences in Australia (Garrido & MacRitchie, 2020). The researchers 15 used three self-composed items regarding the extent to which participants felt like they 16 were immersed in the performance, whether their responses were amplified or intensified 17 by the responses of those around them, or if instead participants felt that they had to 18 repress their emotional response due to the presence of others. They also included a single 19 item from a modular scale devised Brown and Novak (2007), despite the subscale from 20 which it was taken having four items. While the item captured the phenomenon in which 21 Gariddo and MacRitchie were interested "To what extent did you feel a sense of belonging 22 or connectedness with the rest of the audience?" (Brown & Novak, 2007, p. 56), a scale or 23 subscale can only be said to capture valid data when used in its entirety, the full form that 24 was psychometrically validated.

The data collected from these items has allowed the authors to achieve meaningful results and conclusions including the establishment of a link between social bonding and attendance at enhancement events. However, items written by Garrido and MacRitchie (2020) were not validated and the social bonding dimension from Brown and Novak (2007)

1 might not adequately test the collective experience of a concert. The other items in the 2 scale proposed by Brown and Novak were "To what extent did the performance serve to celebrate and sustain your own cultural heritage?" "To what extent did the performance 3 4 expose you to one or more cultures outside of your own life experience?" and "Did the 5 performance leave you with new insight on human relations or social issues, or a 6 perspective that you didn't have before?" (Brown & Novak, 2007, p. 56). Together these 7 four items were said to capture social bonding in a concert setting; alone, it is impossible to 8 tell if they adequately achieve valid results. Despite this, Garrido and MacRitchie concluded 9 that participants' emotional responses to the concert can be enhanced through emotion 10 contagion, and that this is mediated by social bonging among audience members. However, 11 based on the limitations discussed above, in this thesis we have addressed this limitation by 12 psychometrically validating a measurement tool that captured this information.

The third chapter of this thesis explores the social experience of live verses various digital presentations of the same concert. The experience of being physically alone and yet virtually bonded with others while engaging with a digital music event has been facilitated by the rapid development of technologies and streaming services which enhance this paradox (Charron, 2017).

18 As musicians begin to exploit the direct link to their audiences that free social media 19 platforms afford them, the motivations to engage with key audience groups online may 20 include social resilience, togetherness and social connection (Vandenberg et al., 2021). 21 Swarbrick et al. (2021) explored how different characteristics of participants would influence 22 their experience of being moved in a virtual concert, compared to a live event. The results of 23 this study indicated that social connection and feeling moved by the concert were 24 correlated, and both significantly predicted by empathic concern. Another study (Onderdijk 25 et al., 2021) found that participants in who watched a concert in virtual reality reported 26 greater feelings of physical presence and connectedness compared to other concert 27 conditions.

To build on this research and further contribute to the growing area of knowledge regarding the social experience of live and digital concerts, and the influence of a quantified

1 social experience on an individual's enjoyment and emotional response to the event the

2 study described in Chapter 3 was devised. To the best of our knowledge, there is no

3 previous literature on the social experience of virtual reality Western art music concerts,

4 and Chapter 4 of this thesis will serve as a preliminary exploration of this topic.

5

## 6 1.2.7 Summary

From the research discussed above it can be seen that music serves social functions, and that this can influence various aspects of a concert experience, for example, enjoyment of the event and emotional responses to the music. It has also been found that there are various predictors of the extent to which an audience member may perceive their concert experience to be social, including concert factors such as the mode of presentation, and the genre or type of music, and also by individual characteristics such as personality, motivation for attendance and loneliness.

While further literature is discussed in the subsequent chapters of this thesis, this
overview has served as a theoretical framework, or justification for the research questions
and study designs, and philosophy of research that are discussed herein. The following
sections will detail these aspects before moving on to discuss the three main studies of this
PhD project.

- 19
- 20
- 21
- 22

# 1 1.3 Theoretical Framework



Figure 1. The flow chart depicts the theoretical framework of this thesis, with the themes of
variables, and the research questions that seek to test each relationship

5 Note. RQ = Research Question. The numbers of the research questions correspond with

- 6 those laid out in the subsequent section.
- 8

7

# 9 1.4 Research questions

10 As previously stated, this thesis explores the social experience of Western art music 11 concerts. The aims were to first, develop and validate an efficient scale to quantitatively 12 capture the social experience of live, digital, and virtual reality presentations of a concert, 13 and subsequently to explore the predictors and outcomes of that social experience. Many 14 elements of the topic are exploratory and have not previously received significant attention 15 in music psychology research and as such, it is not possible to declare a-priori hypotheses. 16 Therefore, the research questions, and the study in which they are tested, are described 17 below:

2	1.4.1 Study 1: Development and validation of Social Experience of a Concert Scales.
3	RQ1: Can theories of parasocial interaction and in-group theory be applied to audiences
4	of Western art music concerts?
5	
6	RQ2: Are there demographic or contextual predictors of the strength of the social experience
7	of a Western art music concert?
8	
9	RQ3: Is emotional response to live musical stimuli influenced by the social experience of a
10	concert?
11	
12	RQ4: Is the overall enjoyment of a live Western art music concert influenced by the
13	degree to which participants subjectively rate their social experience?
14	
15	1.4.2 Study 2: Exploring the social experience of live and digitally presented concerts.
16	RQ5: Is a live concert experienced as being more social than a digital presentation of the
17	same performance?
18	
19	RQ6: Is it possible to manipulate a digital concert to be perceived as more social?
20	
21	RQ7: Are there demographic or contextual predictors of the strength of the social experience
22	of a digitally presented Western art music concert?
23	
24	RQ8: Is the overall enjoyment of a digital Western art music concert influenced by
25	the degree to which participants subjectively rate their social experience?

2	1.4.3 Study 3: Exploring the influence of co-presence and immersion in virtual reality
3	concerts.
4 5	RQ9: How do people describe their experience of a virtual reality presentation of a Western art music concert?
6	
7 8	RQ10: Do participants experience a change in mood following engagement with a virtual reality Western art music concert?
9	
10 11	RQ11: Does personality predict participants change in mood and enjoyment of a virtual reality Western art music concert?
12	
13 14	RQ12: Does the social experience of a virtual reality concert adhere to the same factor model as live presentations?
15	
16 17	RQ13: Are there demographic predictors of the strength of the social experience of a virtual reality Western art music concert?
18	
19 20	RQ14: Do social, physical and self-presence predict the social experiences of a virtual reality Western art music concert?
21	
22	

# 1 1.5 Research Framework and Paradigm

2 This thesis is situated predominantly within the positivism research paradigm, whereby 3 scientific and empirical methods are employed to study human psychological and social life, 4 to establish these disciplines as social sciences (Hasan, 2016; Park et al., 2020). This 5 approach was chosen due to the existence of previous literature, sufficient to establish a 6 theoretical framework, and with the aim of the findings being as widely impactful as 7 possible, within the discipline, and within the Western art music industry. However, in some 8 ways a pure positivist approach is old fashioned, and it is important to account for the lived 9 experience of participants and researchers alike (Hasan, 2016; Shrader-Frechette, 1996). 10 This approach to research will be briefly discussed in this section.

11

#### **12** 1.5.1 Ontology

13 The empirical emphasis on identifying causal relationships between variables throughout 14 the predominantly quantitative data collection and analysis in this thesis adheres to the 15 positivist principles (Park et al., 2020). This was developed from the hypothetico-16 deductive model and scientific approach whereby hypotheses are proposed in such a way as 17 to be operationalisable, generalisable, falsifiable and causal (Køppe, 2012; Segalowitz, 18 2012). This means that a hypothesis must be able to be empirically measured, and applied 19 to a range of situations, beyond the context in which data is collected (Alexander, 2006; 20 Hultsch et al., 2002). The relationship between variables should be conditional so that one 21 should cause a change in the other, and in order to infer this there must be evidence of 22 temporal precedence (Park et al., 2020), correlation (Moreno & Martínez, 2008), and control 23 of all confounding variables (Ittner, 2014). Finally, it should be possible to disprove the 24 hypothesis, the logical possibility that a statement can be shown to be false, indicating that the null hypothesis should be accepted. 25

26 While pure positivist research would typically infer direct realism, it is important, 27 when conducting research with human participants, to acknowledge a margin of error for 28 the individual experience. By doing so, this situates the research between critical realism,

1 and direct or naive realism.

2

### 3 1.5.2 Epistemology

4 Generally, as is typical with this approach to research, we have assumed that there is a 5 single 'true' experience, or a single tangible reality (Park et al., 2020). We aimed to test this 6 by recruiting large participant samples, that are adequate to achieve the accepted threshold 7 of significance, based on the effect sizes of the relationships we are studying. Tools used are 8 generally standardised, and where this was not possible, we have taken steps to achieve 9 statistical validity (Kincheloe & Tobin, 2009). This is with a view to generalising the findings 10 of the studies to other contexts and populations, based on the assumption of objective 11 universality of experience, and achieve replicability of results.

However, the very nature of this type of research requires there to be variance in the concepts being studied. Indeed, the use of the term 'variable' denotes the need for an ontological pluralism, or at the very least, dualism in which the research is separate from the participant.

16

#### **17** 1.5.3 Axiology

18 A positivist approach to axiology relies on absolute objectivity and thus dismisses the 19 importance of the subjective experience of individuals', both researcher and participant 20 (Park et al., 2020). Throughout the research, errors and bias have been minimised, and 21 objectivity is striven for as far as possible; however, axiologically it is important to recognise 22 that subjectivity exists due world views, cultural experience, and upbringing (Shrader-23 Frechette, 1996). In some cases, throughout this thesis it is the very existence of these prior 24 subjective experiences that are the focus of study; for example, previous interaction with 25 Western art music, familiarity and preference for different music, experience of typical 26 concert attendance etc. There is also a perennial conflict between achieving high ecological

validity and high experimental control which we have attempted to balance throughout this
 research.

3

# 4 1.5.4 Methodology

Further to this, the data collection methods used throughout this research are, for the most
part, based on the ability to achieve these criteria; however, it is often more accurate to
describe the studies as quasi-experiments in which naturally occurring variance is measured,
instead of an independent variable being systematically manipulated. Ultimately, the
research questions are derived from previous literature and theory, and the findings from
each study are intended to be fed back into the field to complete the cycle of theory →

11 hypothesis  $\rightarrow$  operationalising variables  $\rightarrow$  experimentation  $\rightarrow$  theory (Park et al., 2020).

12 The specific methods of data collection are discussed in each chapter.

13

# 14 1.5.5 Ethics

15 The research described in this thesis was conducted with an aim to adhere to the highest

16 standards of research ethics, based on the Nuremberg Code (1947), The Declaration of

17 Helsinki (1964), and the Belmont Report (1979), as verified by the University of York Arts

18 and Humanities Ethics Committee. This includes adherence with the following guidance:

19

20 Informed consent of volunteers essential • Anticipated results should justify experiment 21 22 Physical and mental suffering and injury should be avoided • 23 • There should be no expectation of death or disabling injury 24 Degree of risk should be weighed by potential benefit • 25 Proper preparation and precautions should be taken • 26 • Participants have the right to end experiment at any time Researchers must be prepared to end experiment if subject at risk 27 • 28 Nuremberg Code (1947)

1	Clearly formulated experimental protocol			
2	Conducted by scientifically competent person			
3	Risk vs. Benefit			
4	<ul> <li>Participant's right to safeguard integrity</li> </ul>			
5	Accuracy of results in publications			
6	Informed consent			
7	Research protocol must contain statement of the ethical considerations involved			
8	The Declaration of Helsinki (1964)			
9				
10	Respect for Persons			
11	<ul> <li>Individuals should be treated as autonomous agents</li> </ul>			
12	<ul> <li>Persons with diminished autonomy are entitled to increased protection</li> </ul>			
13	Beneficence			
14	<ul> <li>Persons treated ethically and their decisions respected</li> </ul>			
15	o Do no harm			
16	<ul> <li>Maximize possible benefits and minimize risks</li> </ul>			
17	Justice			
18	<ul> <li>Distributing benefits/risks fairly</li> </ul>			
19	The Belmont Report (1979)			
20				
21	For further information, please see the University of York research ethics policy:			
22	https://www.york.ac.uk/staff/research/governance/research-policies/research-code/			

1	
2	

- 3
- 4 Chapter 2

# <sup>5</sup> Development of the Social Experience of

# <sup>6</sup> a Concert Scales (SECS):

7 The Social Experience of a Live Western Art Music Concert Influences People's Overall

8 Enjoyment of an Event but not Their Emotional Response to the Music

9

10

# 1 2.1 Introduction

2 "The close relationship between social and musical enjoyment ... is at the heart of concert 3 attendance" (Pitts, 2005, p. 269). Across the sector, audiences of Western art music are 4 generally decreasing (Glinkowski et al., 2004) and performers, curators, and venues are often 5 seeking to identify what separates a live performance from other music engagement in 6 order to develop and expand their audience. A primary function of music has been to foster 7 group solidarity and social bonding by promoting synchronisation of movement and 8 affective states (Huron, 2001). One key aspect of live music consumption is that it typically 9 occurs in a shared setting with others, and while this is not unique to live events, it could be 10 an important indicator of why people enjoy engaging with music in this way. Historically, 11 people would only be able to access music communally (Zangwill, 2012); however, today 12 music consumption takes place in many different contexts, some of which are still social and 13 occur in the presence of others, and others which are solitary (North & Hargreaves, 2008). 14 This contrast, and the accessibility of music in the 21st century, highlights the selection to 15 engage with shared music listening contexts. Social experience is often considered to be a 16 key motivating factor for engaging with leisure activities (Beard & Ragheb, 1983) and the 17 enjoyment of these experience and co-attendance at music concerts is no exception (Baker, 18 2000; Burland & Pitts, 2014; Kulczynski et al., 2016).

19 Despite this, until recently, there has been limited interest in modelling and 20 measuring the collective or social experience of live concerts in a quantitative way with 21 researchers and industry experts relying on qualitative research findings to justify the claim 22 (e.g. Dearn & Price, 2016; Dobson, 2010; O'Sullivan, 2009). In this study we have addressed 23 this omission by creating and validating a quantitative scale to measure the social 24 experience of a concert and considered the ways in which the presence of others influences 25 the enjoyment and emotional experience of a live music performance. The new insights 26 achieved in this article will not only create a psychometrically validated scale that can 27 capture the social experience at a live Western art music concert, but also contextualises it 28 amongst other relevant variables such as enjoyment, demographic variables, and emotional 29 responses to the music.

#### 1 2.1.1 Social experience and emotional responses to music

2 It has been found that people often choose to engage with music because it moves them 3 (Garrido & Davidson, 2013; Saarikallio, 2008) or induces an emotion (Garrido & Schubert, 4 2011; Lonsdale & North, 2011; Vuoskoski & Eerola, 2017). The ability of music to induce 5 emotion in a listener has been widely researched and examined. An emotion is understood 6 to be a brief episode which is characterised by the synchronisation of expression, activation, 7 feelings, and arousal in response to a specific stimulus (Scherer, 2005). Scherer and 8 Zentner (2001) illustrate that an experienced emotion is the result of an interaction 9 between structural, performance, listener, and contextual features. The latter can be 10 conceived as the setting where the music is being consumed; for example, are people 11 engaging with music at home, or in a theatre or stadium? Are people alone or with others 12 when they are listening to the music? Is it live or recorded or music? The implication of this 13 is that emotions can be altered by the presence of others as this will be a factor in the contextual features. 14

15 Despite these theoretical indicators, it has been found that listening in a group 16 (compared to listening in solitude) does not necessary lead to more intense emotional 17 responses, perhaps due to less concentration on the music. Some studies have concluded 18 that this could be a result of co-presence distracting the listener from concentrating on the 19 emotional content of music (Egermann et al., 2011). Other research has shown that the 20 influence of others has been found to induce more intense emotional responses if they are 21 known to the listener (Liljeström et al., 2013). From this research it can be seen that the 22 presence of others influences emotional responses to music. While these studies achieved 23 high levels of control, the listening contexts in which they were conducted display poor 24 ecological validity. The conclusions are also largely based on supposition since these studies 25 did not assess the social experience of participants directly, but instead inferred a 26 connection. Egermann et al. (2011) assumed that the other members of the pseudo-27 audience had distracted their fellow listeners from the music, thereby reducing the 28 emotional salience of the experience at the expense of a general increase in arousal caused 29 by the presence of others due to mechanisms such as evaluation apprehension (Cottrell et

al., 1968) or distraction conflict (Baron, 1986). However, since neither study sought to
 identify the mechanisms responsible for their findings it cannot be concluded that the
 presence of others was indeed the cause of their results.

4 Garrido and MacRitchie (2020) made some progress in testing the effect of others on 5 emotion contagion during music listening in a more quantitative way. To measure the level 6 of influence the people around a participant during a music performance had exerted, they 7 presented three statements with which participants were asked to rate their agreement 8 (see section 1.2.5 for more detail). The data collected from these items has allowed the 9 authors to propose a link between social bonding and attendance at enhancement events. 10 However, items written by Garrido and MacRitchie (2020) were not validated and the social 11 bonding dimension from Brown and Novak (2007) might not adequately test the collective 12 experience of a concert. Therefore, in our study we have addressed this limitation by 13 psychometrically validating a measurement tool that captured this information.

14

#### **15** 2.1.2 Social experiences and enjoyment of concerts

16 Enjoyment can be defined as taking pleasure from something (Hernik & Jaworska, 2018). It 17 is similar, but not synonymous with happiness, in part due to the duration of the 18 experience: happiness may be more abiding than enjoyment and not as tied to a specific 19 context. Enjoyment has been found to be a motivation for attendance at, and a common 20 response to, live musical performance, in part due to the presence of others (Baker, 2000; 21 Dearn & Price, 2016). In their research on chamber music festival goers, Pitts (2005) 22 discussed the positive effects of being able to see other audience members responses to the 23 music. One of their participants responded that "occasionally you see somebody with a 24 slight smile . . . their involvement adds to your joy, your enjoyment" (Pitts, 2005, p. 260).

#### 1 2.1.3 Devising a quantitative measure of social experience

2 To the best of our knowledge, there has been no previous attempt to capture the social 3 experience of a live concert using a validated measurement instrument. In order to model 4 whether the social experience of a live Western art music concert predicts the emotional 5 response to the music or the enjoyment of the event, we employed two key theories to 6 derive a suitable model for the social experience: the attention paid to other members of 7 the audience, based on parasocial interaction theory (Horton & Wohl, 1956; Rubin & 8 McHugh, 1987; Schramm, 2015), and the extent to which an individual identifies with other 9 members of the audience, based on in-group theory (Alport, 1958; Sherif, 2015; Tajfel, 10 1981; Tajfel et al., 1979). Both theories were introduced in the introduction (Section 1.2.2) 11 and will be expanded on and applied to this study in the following sections.

12

#### **13** 2.1.3.1 Parasocial interaction

14 A parasocial interaction is a term originally used to describe the interaction between a 15 member of an audience and a television persona; either a character or a real person (Horton 16 & Wohl, 1956). These relationships are characterized by a lack of reciprocity whereby there 17 is a one-directional flow of information: from the media persona to the viewer. Regular 18 viewers can feel that they know or understand a character, despite the interaction being 19 nondialectical. We posit that the interaction between members of the audience fall under 20 this title since there is a one-way flow of information, albeit largely non-verbal due to the 21 convention not to interact during a Western art music concert (Wilson et al., 2014). It has 22 been found that there are also similarities between parasocial relationships and social 23 relationships (Giles, 2002; Jin & Park, 2009; Turner, 1993). It is important to differentiate 24 parasocial interactions and parasocial relationships. Relationships require repeated 25 exposure and develop over time whereas an interaction is rooted in a specific context, and 26 can be momentary and transient (Giles, 2002).

To our knowledge, parasocial interaction theory has not yet been applied to
audiences of live classical concerts but as stated above, the defining features of this type of

1 relationship can be found in a conventional Western art music concert. Typically, audiences 2 sit facing the stage and, despite being surrounded by people, there is limited interaction 3 (Wilson et al., 2014). This does not mean that audiences should be considered passive in 4 this context; any live event is the culmination of active interactions between performers, 5 audience members, and the environment (Toelle & Sloboda, 2021). An individual can 6 observe non-verbal behaviours and characteristics exhibited by other members of the 7 audience around them, but not provide an immediate response or feedback. This uni-8 directional communication typifies a parasocial relationship and as such, we have decided 9 to adapt a measure of parasocial interaction to explore the level of intra-audience 10 attention. The parasocial interaction process scale, in its entirety, consists of 14 subscales, 11 each with eight separate items; six to measure cognitive parasocial responses, such as 12 attention allocation, and evaluation of a persona and their actions; three to measure 13 behavioural parasocial responses, including verbal and non-verbal behaviours, and 14 intentions; and three to measure affective parasocial responses, such as sympathy, 15 antipathy, empathy, and emotion contagion (Schramm & Hartmann, 2019). The specific 16 items consist of statements in which the term "persona" can be replaced with the object of focus, in this case: the audience. For example, "I formed only a fleeting impression of 17 18 (Persona)" (Schramm & Hartmann, 2019, p. 2) becomes "I formed only a fleeting impression 19 of the audience".

20

#### 21 2.1.3.2 Group belonging

22 In addition to parasocial interaction theory, it should also be considered whether people 23 feel that other attendees at a live event enhance their experience, detract from it, or if 24 people simply do not consider the presence of others to be important. To do this, we looked 25 for ways to assess the degree to which an individual pays attention to others, and the extent 26 to which they feel that being members of an audience, and sharing an experience, bonds 27 them. As stated in section 1.2.3, the theory of social identity suggests that the more an 28 individual identifies with a group of people, the greater the attention they will pay to them 29 and also the more influenced they will be by other members of the group (Tajfel et al.,

1979). Social identity is based on how an individual's membership in a particular group
 influences their sense of who they are. This process is thought to be based on the cognitive
 process of grouping things that are similar to reduce the cognitive load of retaining and
 recalling all stimuli that are experienced (Gobet et al., 2001).

5 In order to measure this phenomenon, we have employed the In-Group 6 Identification Measure (Leach et al., 2008). The measure has a hierarchy of segments based 7 on two general dimensions: Self-definition, encompassing the sub- scales of individual self-8 stereotyping, in-group homogeneity; and self-investment, including the subscales of 9 solidarity, satisfaction, and centrality. Individual self-stereotyping refers to the degree to 10 which a person identifies with the group and how similar to the prototypical member of a 11 group they perceive themself to be (Tajfel et al., 1979; Turner, 1993). In-group homogeneity 12 is based on the perceived similarity between other group members (Doosje et al., 1995). 13 Solidarity is predicated on the assumption that those who most strongly identify with a 14 group will also be most likely to feel bonded with other members (Lewin, 1948) and 15 satisfaction measures the positive or negative experience of belonging to a group (Tajfel et 16 al., 1979; Tajfel, 1981). Finally, centrality describes the subjective importance an individual places on their membership in a group in context of their own identity (Turner et al., 1994). 17

18 The conception of In-Group Identification Measure (IGIM) was theoretically 19 informed, with each item relating to previous scales and research; subsequently, through a 20 series of seven studies, the IGIM was reduced and validated (Leach et al., 2008). However, 21 since the scale has not previously been employed in a concert context, we also validated its 22 use in this context.

23

## 24 2.1.4 Personal and situational influences of the social experience of a live concert

While the validation of a measurement instrument which is shown to capture differences in the social experience is the focus of this study, we also consider the other factors which might influence an individual's experience. We have categorised these in two main ways: the first is personal or demographic factors that relate specifically to the individual; and the second considers situational or contextual factors, which are the features of the concert.
 These are discussed in more detail below.

Self-definition is an important factor in the process of forming a social bond with other members of a group and is based on an individual's ability to recognise that other members of a group are similar to each other (homogeneity) and/or themself (individual self-stereotyping). The typical criteria for making these judgements are those that are observable; notably, age (Barak et al., 2001). It follows that if an individual observes members of a group who are similar in age to each other and to themself, they may experience greater self-definition.

10 Of course, not all attributes are observable, but may still have an influence on the 11 social experience; for example, musical training. It is well documented that participation in 12 musical activity facilitates social bonding (Freeman, 2000; Kreutz, 2014; Savage et al., 2020; 13 Tarr et al., 2014), and it has also been found that cultural familiarity and musical training 14 elicit greater interpersonal closeness (Stupacher et al., 2020). It is not possible, in most 15 cases, to appraise musical training in others based on appearance alone, and some prior 16 knowledge of others would be necessary in order to determine self-definition. Prior 17 knowledge may come from attending the concert with others or recognising others once 18 you arrive. Typically, individuals will attend concerts as a social function, often with family, 19 friends, or colleagues (Boyle, 2007); however, this is not a rule and some attendees will do 20 so alone. To the best of our knowledge, there is no previous research on the effect this can 21 have on the social experience of a concert. However, previous research has shown that 22 shared experiences tend to be amplified (Boothby et al., 2014; Echterhoff et al., 2009; 23 Shteynberg & Apfelbaum, 2013). This would be an example of a contextual factor which 24 may influence the extent to which they experience a bond with the audience.

Other contextual factors include the event parameters, many of which have been found to have an influence on the social experience. These include the music being performed (Boer et al., 2011; Trehub et al., 2015), the staging (Dearn & Price, 2016), the arrangement of seating (Pitts et al., 2013), the level of audience interaction with each other and the performer(s) (Lee et al., 2019; Loxley, 1983; Pitts, 2005; Shin et al., 2019), the venue

- 1 (Dobson, 2008), the style of presentation, and any other curatorial aspects of a live music
- 2 event. Each of these parameters can influence the extent to which an individual pays
- 3 attention to those around them and thus potentially be used to predict the strength of their
- 4 social experience.
- 5

## 6 2.1.5 Aims and research questions

- 7 Aims
- Develop an efficient scale which could be utilised in an ecologically valid
   Western art music concert setting (Schatz et al., 2012; Sinickas, 2007) where
   participants are usually only willing to invest a short amount of time completing
   questionnaires.
- Explore if there are demographic or contextual variables that can predict the
   intensity of the social experience of a live concert, and whether the social
   experience can be used to predict participants' emotional response to, and
   enjoyment, of the concert.
- 16

#### 17 Research Questions

- 18 This chapter contains exploratory research on a hitherto unexplored topic and as such we
- 19 do not have enough prior knowledge to formulate a-priori hypotheses. Therefore, we
- 20 present the following exploratory research questions (RQ):
- 21 **RQ1:** Can theories of parasocial interaction and in-group theory be applied to audiences of
- 22 Western art music concerts?
- 23 **RQ2:** Are there demographic or contextual predictors of the strength of the social
- 24 experience of a Western art music concert?
- 25 RQ3: Is emotional response to live musical stimuli influenced by the social experience of a
- 26 concert?
- 27 RQ4: Is the overall enjoyment of a live Western art music concert influenced by the degree
- 28 to which participants subjectively rate their social experience?

# 1 2.2 Pilot Study: Methods

To test the questionnaires and first research question (RQ1: Can theories of parasocial
interaction and in-group theory be applied to audiences of Western art music concerts?) the
pilot study was conducted in two contrasting concert settings: the first in a controlled recitalexperiment environment and subsequently in a more ecologically valid concert setting.

6

# 7 2.2.1 Ethics compliance

All participants who took part in this study gave informed, written consent in adherence
with the ethical guidelines from the University of York Arts and Humanities Ethics
Committee who formally approved this study. Each participant had the right to leave the
study at any time. All data was collected and stored anonymously, and participants have
given permission for their responses to be used in subsequent presentation and publication
of the results. Participants received a copy of the information sheet and the University of
York General Data Protection Regulation (GDPR) statement at the start of the study.

15

#### **16** 2.2.2 Participants

#### **17** 2.2.2.1 Concert 1

18 Participants were recruited through email and posters. 50 participants were recruited in 19 total; however, one participant failed to complete the study and has been excluded from all 20 analysis leaving 49 participants (female= 36, male = 12, prefer not to say = 1). The 21 participants had an age range of 18–50 years (M = 24.63). 49% of the participants reported 22 that English was not their first language, with 6.1% speaking Chinese, 6.1% Greek, 6.1%, 23 Spanish, 4.1% French, 4.1% Persian, and then 2% considering Bulgarian, Dutch, German, 24 Hindi, Japanese, Latvian, Portuguese, Sesotho, Turkish, or Ukrainian to be their first 25 language respectively. One participant declined to answer this question. No participants 26 were excluded from the study due to linguistic incomprehension.

All participants were university students at the at the time of the study, 42.9% were undergraduates, 34.7% were taught postgraduate students, and 22.4% were post- graduate research students. 55.1% of participants reported that they had no musical training, 12.2% said they were university music students, 28.6% were self-reported amateur musicians, and 4.1% were professional musicians. Of the 49.9% who had musical training, 18.3% of the participants played piano.

7

#### 8 2.2.2.2 Concert 2

9 Participants were recruited through an email sent to members of the venue's mailing list 10 who had already purchased tickets for the concert inviting them to participate in the study. 11 Since it would have been a GDPR breech for the venue to share this contact information 12 with us (the researchers); therefore, we gave the venue the information and they sent it to 13 ticket holders. The email contained information on why they had been invited to take part, 14 and what they would have to do. Participants were also invited to take part in the study in 15 person, upon arrival at the venue for the evening's performance. In addition to this, 16 participants gave informed consent before taking part in the study, and participation was 17 entirely voluntary and did not impact their access to the concert. All participants were given 18 the option of completing an online, or paper and pencil version of the questionnaire.

19 A total of 53 participants took part in this study, 21 completed the questionnaire 20 online and 32 completed a paper copy (female = 25, male = 20, other = 1, did not respond = 21 7). The participants had an age range of 24-82 years (M = 66.14, n = 53). 7.5% of 22 participants reported having A levels or equivalent as their highest level of education, 18.9% 23 had an undergraduate degree, 50.9% had a postgraduate qualification (masters, teaching 24 qualification etc.), 5.7% had vocational qualifications, 1.9% selected "other" as their highest 25 qualification, and 15.1% declined to answer the question (n = 45). This is a highly qualified 26 audience which is not representative of the national average, but may be representative of 27 current audiences of Western art music (Roose, 2008). 64.15% of the sample were retired (n 28 = 53). 50.9% of the participants reported having no musical training, 28.3% were self-

1 reported amateur musicians, 7.5% were professional musicians, and 13.2% declined to 2 answer (n = 46).

3

#### 4 2.2.3 Musical stimuli

#### 5 2.2.3.1 Concert 1

6 The music used in the pilot test consisted of a live performance of four, short, Western art 7 music piano pieces which were chosen to be stereotypically representative of two happy 8 and two sad movements based on key, tempo, melodic structure and dynamics (Gabrielsson 9 & Juslin, 1996). The pieces were *Allegretto Scherzando* from Arabesque No. 2 by Debussy 10 (1888–1891), the first movement of Beethoven's Piano Sonata No.14, more commonly 11 known as The Moonlight Sonata (1801), Nocturne No. 20 by Chopin (1870), and the first 12 movement of Mozart's Piano Sonata No.16 (1788). The pieces are listed here in the order in 13 which they were presented in the study. The pieces were performed live by a professional 14 pianist.

Well known pieces were selected deliberately since the study design required participants to remember how they felt during each piece until the end of the concert, at which time they reported their subjective responses to them. Participants were given a program with the title and common names of each piece clearly stated to aid their memory at the end of the concert.

20

#### **21** 2.2.3.2 Concert 2

The musical stimuli were presented as a live orchestral performance and the concert was
programmed as part of the BBC 3 Free Thinking Festival. The musical stimuli included Violin
Concerto No. 3 in G major, K. 216 by Mozart (1775), then an interval and then Symphony
No.6 in A major, (Bruckner, 1881). These were played, in full, by the Royal Northern
Sinfonia.

#### 1 2.2.4 Self-reports

#### 2 2.2.4.1 Concert 1

At the end of the concert, once all four pieces had been performed, participants were asked to complete a questionnaire presented in Qualtrics on iPads. The questionnaire was separated into four sections for each piece with the title of the piece clearly displayed on the pages relating to each. The subjective emotional responses of the participants were measured using two sliders from negative five to positive five, measuring arousal and valence respectively.

9

#### **10** 2.2.4.2 Concert 2

11 Self-reports were produced by the completion of two separate questionnaires, one during the 12 interval relating to the three movements of Mozart's Violin Concerto and the second, at the 13 end of the concert, regarding the four movements of Bruckner's Symphony. This decision 14 was made to alleviate the memory demands on participants as to recall how they felt in the 15 early movements of the Mozart concerto by the end of the whole concert is unrealistic, 16 especially if they were not familiar with the music. Participants could select whether to 17 complete the questionnaire using a link to an online Qualtrics on their own smart device or 18 on a printed copy of the questionnaire which we gave them at the start of the concert and 19 asked them to hand back at the end of the evening.

The first questionnaire, to be completed during the interval, contained an instruction page and then three sections relating to the three movements of Mozart's concerto, in which participants rated their familiarity with, and preference for, the movement on a scale from negative five to positive five. Subsequently, they were asked to rate their emotion using the nine item Geneva Emotion Music Scale (GEMS9, Zentner et al., 2008).

Participants were instructed to complete the second half of the questionnaire at the
end of the concert. In this section they rated familiarity, preference, and their subjective felt

- 1 emotion for the four movements of Bruckner's Symphony in the same way as the first half
- 2 of the questionnaire.
- 3

# 4 2.2.5 Measuring parasocial interaction

### 5 Concerts 1 and 2

- 6 The PSI Process Scale (Schramm & Hartmann, 2019) was used to measure the parasocial
- 7 interaction within the audience. Agreement with all items was rated on a five-point Likert
- 8 scale (1 = not at all, 5 = very much). Only scales perceived to be relevant to the current study
- 9 were selected to ensure the questionnaire did not take participants too long to complete,
- 10 thus reducing the risk of participant fatigue. The scales included are described below:
- Cognitive: Persona-specific information reception (four items relating to depth of
   information processing and vividness of recall, e.g. "I can still remember exactly what
   (Persona) looked like" and four items relating to attention e.g. "(Persona) repeatedly
   attracted my entire attention").
- Affective: Sympathy (all eight items e.g. "I found (Persona) to be likable") and
   Antipathy (all eight items e.g. "I felt rather negative towards (Persona)"), and
   Emotion Release (four items relating to emotion induction e.g. "I occasionally
   reacted very emotionally towards (Persona)" and four items relating to emotion
   contagion e.g. "When (Persona) showed up, I forgot my own feelings and adopted
   his/her mood").
- Behavioural: Non-verbal behaviours (four items relating to mimicry and gesture e.g.
   "I sometimes gestured towards (Persona)"), and Behavioural Intention (seven items, excluding "when (Persona) was visible on the screen, I was not particularly eager to turn towards him/her") (Schramm & Hartmann, 2019, p. 8).
- 25
- 26 The total number of items presented to participants from the PSI Process Scale in this study
- 27 was 51, which were presented in a random order using the randomise function in Qualtrics.
- 28 When analysing the results, the mean of each selected subscale was calculated and used in
- 29 subsequent analysis, regardless of whether the subscales are cognitive, affective, or
- 30 behavioural. In each subscale selected items were reverse scored.
- 31

#### 1 2.2.6 In-Group Identification Measure

#### 2 Concerts 1 and 2

We used the complete In-Group Identification Measure (IGIM, Leach et al., 2008) of 14 items, which were presented to participants in a random order using the randomise function in Qualtrics. Participants were asked to "please rate your agreement with the following statements on a scale from 1 = strongly disagree to 7 = strongly agree". This measure allows for the collection of more detailed data relating to an individual's perception of their own group-belonging and is specifically designed to measure closeness to a group.

10

#### 11 2.2.7 Co-attendance

**12** Concert 1 and 2

Participants were asked how many members of the audience they knew on a scale ranging
from zero to five and above. They were also asked "Thinking about the members of the
audience you know. Did you attend the concert with them today (e.g. you planned to come
together)?"

17

#### 18 2.2.8 Overall enjoyment of the concert

19 Concert 2

20 There is no agreed method of measuring enjoyment, and it is typical for different disciplines

21 to devise their own tool to ensure the test matches the stimuli, which reflects the

- 22 contextual emphasis of enjoyment. We chose to measure the general enjoyment of the
- 23 concert using a single item: "Please rate your overall enjoyment of this concert. On the scale
- below where 1 = "Did not enjoy the concert at all" and 5 = "Enjoyed the concert very much".

# 1 2.2.9 Background characteristics

## 2 Concert 1 and 2

- 3 Demographic questions were included at the end of the study to assess the age, gender,
- 4 level of study and year, subject of study, level of musical training, and what instrument they
- 5 played if their training level was novice or higher. Participants were asked if English was
- 6 their first language and if not then they were asked what they consider their first language
- 7 to be. Participants also completed the Short Test of Musical Preferences (STOMP, Rentfrow
- 8 & Gosling, 2003) to facilitate the ability to control for musical preference.
- 9

## **10** 2.2.10 Procedure.

- 11 A flowchart depicting the procedure of the study can be seen in Figure 2.
- 12



13

14 *Figure 2. Flowchart depicting the procedure of the pilot and main study.* 

#### **1** 2.2.10.1 Concert 1

2 Participants registered on arrival, signed a consent form and received an information sheet, 3 and then chose a seat. They were then given a participant number which corresponded to 4 their iPad number. Once everyone was seated, there was a brief verbal welcome, and the 5 music was performed. Without prompting, participants elected not to clap between each 6 piece despite sufficient silence between them, but only at the end of the concert. This could 7 be a result of the artificial environment of the concert experiment or an inexpert audience 8 who were unfamiliar with the conventions of a live recital of Western art music. The musical 9 performance lasted for approximately 20 minutes in total.

Participants were then invited to access the questionnaire using a short link that was included on the back of their program (*n* = 44) or they were given a paper copy to complete (*n* = 6). Analyses showed that there were not any differences between participants filling in the paper questionnaire and those filling in the online questionnaire.

14

#### **15** 2.2.10.2 Concert 2

Participants arrived at the venue and collected their tickets for the concert. They then came and signed in, if they had pre-registered interest, or signed up if they decided that they wanted to take part on the day. At this time, they were given the option of whether to complete the questionnaire online using their personal smart device, or on a paper copy which was provided to them by the researchers. All participants received a hard copy of the information sheet and GDPR guidelines. They then took their seat and attended the first half of the concert.

During the interval, participants completed the sections of the questionnaire relating to the three movements of the Violin Concerto by Mozart which took approximately five minutes. Participants could complete this in their seats or in the foyer and bar spaces, as they chose. They then returned to Sage Hall One and watched and listened to the second half of the concert. Finally, they completed the sections of the questionnaire relating to the symphony by Bruckner, the collective experience, and all other remaining personality,

- 1 demographic, and general questions before submitting the questionnaire (online) or handing
- 2 it back to the sign in table (paper). The second part of the questionnaire took 15–25 min.
- 3

## 4 2.2.11 Analysis

- 5 Data from the online questionnaire was downloaded from Qualtrics into SPSS version 26,
- 6 and the data from the paper questionnaires was manually inputted into excel and then
- 7 converted and merged with the SPSS file.

# 1 2.3 Results

2 The two concerts attracted audiences with different characteristics. For Concert 1,

3 participants were primarily in attendance to take part in the study and not for the

4 performance. 36.7% of the participants in Concert 1 knew no one else in attendance and

5 only 28% of participants planned to attend the study with someone else. Participants from

6 the second concert were primarily concertgoers who agreed to complete our questionnaire

7 as an auxiliary task. They booked tickets through a box office and were assigned seating in

8 the hall. 68% of these participants had planned to attend the concert with someone else

9 and only 1.9% of people knew no one else in attendance.

10

## **11** 2.3.1 Dimension Reduction

Our first aim was to abbreviate the measurement tool since brevity is more likely to result in maintained levels of concentration by the participant and reduces the risk of fatigue effects (Lauer et al., 2013; Schatz et al., 2012; Sinickas, 2007). To achieve this, we aimed to be able to present the measure on one single A4 page, which would be approximately 20 items. Our subscale inclusion criteria were as follows:

Cronbach's alpha score of > .7, which indicates that the subscale items measure the
 same general construct and that the subscale has a high internal consistency (Taber,
 2018).

That the subscale's mean is as close to the middle scale point as possible, which
 indicates the range of ratings for each item in the context of a concert.

Table 2 shows that the reliability statistics for the IGIM are all above the .7 threshold and although the means vary, none are outlying enough for conclusive exclusion of the subscales; therefore, the entire measure was retained in the main study. As a result, we had space for subscales with a total of approximately six items. The subscales of the PSI had a broader range of Cronbach's alpha scores, and Sympathy, Emotion induction and Mimicry and Gesture did not meet inclusion criteria described above. Of the remaining scales, Depth of Processing and Attention are the subscales that satisfy inclusion criteria and add up to a
- 1 total of eight items. The remaining 22 items (14 from the IGIM and eight from the PSI) were
- 2 subsequently used further in the main study.
- 3
- 4 Table 2. The table shows the scale and reliability statistics for the IGIM and PSI sub-scales
- 5 presented to participants in the pilot study.

	No. of Items	Mean	Standard Deviation	Standardised Cronbach's Alpha
	In-Group Ident	ification Me	asure (IGIM)	
Solidarity*	3	3.44	1.33	.78
Satisfaction*	4	5.18	0.92	.80
Centrality*	3	3.49	1.51	.78
Self-Stereotyping*	2	4.31	1.11	.78
Homogeneity*	2	4.49	1.00	.74
Total IGIM	14	4.22	0.86	.87
	Parasocial Int	eraction Inv	entory (PSI)	
Depth of Processing*	4	2.48	0.93	.70
Attention*	4	2.18	0.95	.85
Evaluation	8	2.12	0.82	.84
Sympathy	8	2.41	0.59	.56
Antipathy	8	2.04	0.69	.76
Emotion Contagion	4	2.37	0.70	.73
Emotion Induction	4	2.27	0.83	.53
Mimicry and Gesture	4	2.28	0.84	.57
Behavioural Intention	7	1.83	0.85	.77
Total PSI	51	2.19	0.46	.88

6 *Note.* The IGIM was measured on a scale from 1-7 and the PSI was measured on a scale from

7 1-5 in accordance with the scales used in the development of each measure. Factors that

8 met the inclusion criteria are indicted with an \*.

9

# 10 2.4 Discussion

11 The two concerts of the pilot study had many contrasting features; for example, the first

12 concert was more controlled, and participants were invited to take part in a study whereas

13 for Concert 2 the audience was a closer representation of a typical concert audience (Pitts,

14 2005). Based on the results of our analysis, we suggest that Parasocial Interaction (Horton &

15 Wohl, 1956; Schramm & Hartmann, 2019) and In-Group theory (Alport, 1958) can be applied

to social interactions within a Western art music setting (RQ1). The high internal consistency
of the measures suggests that they can be used to quantify the social experience of
audience members in this context and the range of results indicates the items are able to
capture interindividual differences.

5 There are limitations in this pre-survey which should be addressed, specifically the 6 inclusion criteria of factors in the social experience of a concert. While we have selected 7 factors based on the criteria described above and limitations of space, there were other 8 factors from the PSI with Cronbach's alpha scores which indicate they may also be useful 9 when quantifying the social experience of a concert and future research should seek to test 10 these factors further and provide evidence for inclusion in further validation analysis.

# 1 2.5 Main Study: Methods

To validate the 22 items identified in the pilot study, we collected further data to apply
and validate the measure in an ecologically valid setting of a Western art music concert
series. Furthermore, we aimed to address the remaining research questions and model
whether the social experience predicts people's response to the music performance. For
a flowchart depicting the procedure of the study see Figure 2.

7

#### 8 2.5.1 Participants

9 Participants were recruited from attendees at the concert series. 113 participants were
10 recruited in total (female = 59, male = 43, prefer not to say = 1, no response = 10). The
11 participants had an age range of 16–88 years (mean = 41.9). 26% of the participants
12 reported that English was not their first language with Chinese, Greek, French, German,
13 Italian, Slovakian, Spanish, and Indonesian being stated as their first language. No
14 participants were excluded from the study due to linguistic incomprehension.

15 Concert attendance at the York Concert Series is free or heavily subsidised for 16 students and undergraduate music students are mandated to attend a certain number of 17 concerts per term. 46% of the participants were students at the University of York at the 18 time of the study, 67% of these were music students. 10% of participants reported that they 19 had no musical training or considered themselves beginners, 47% were self-reported 20 amateur musicians and 9% were professional musicians with the remaining 34% selecting 21 "other". 31% of participants reported having A levels or below as their highest level of 22 education, 18.6% had an undergraduate degree, 39% had a postgraduate qualification 23 (masters, teaching qualification, vocational qualification etc.) and 11.4% declined to answer 24 the question. 61% of the participants reported coming to the concert with at least one other 25 person.

26

## 1 2.5.2 Concerts

2 The data collection for the main study took place at several concerts from the York Concert

3 Series. Table 3 provides more information on each concert.

- 4
- 5 Table 3. The table shows the musical stimuli and participant numbers from the seven
- 6 concerts from the main study.

· · · · · <b>,</b> ·	· · · · · · · · · · · · · · · · · · ·		
Concert title (n)	Performer(s)	Repertoire	Description
Electronics and Harp (12)	Richard Barrett and Milana Zarić	Original compositions by the performers	Extended harp techniques and electronic augmentation
Manchester Collective & Chesaba (14)	Abel Selaocoe (cello and guest director), Rakhi Singh & Simmy Singh (Violin), Ruth Gibson (viola), Alan Keary (electric bass), Sidiki Dembele (Percussion)	A combination of classical string quartet repertoire (e.g. Haydn, Stravinsky), South African traditional songs and fusion of the two	Significant interaction with the audience and introductions and explanation of the curation of the concert by Selaocoe. Audience invited to participate in the concert using call and response
Huw Warren Trio (13)	Huw Warren (piano), Dudley Phillips (bass), Zoot Warren (Drums)	Original contemporary Jazz	Experimental Jazz including improvisation and extended techniques
Hera presents: Generation (8)	Donna Bateman (soprano), Linda Hirst (mezzo soprano), Shakira Tsindos (mezzo soprano)	Original operetta curation with spoken word and nine arias	A combination of staged operatic solos, duets and trios set in the present day
Sounding Antiquity (14)	Steph Conner (various instrument and voice), Barnaby Brown (various instrument and voice)	Experimental contemporary constructions, composed or arranged by the performers, drawing on archaeological evidence	A lecture recital exhibiting reconstructed ancient music on ancient instruments (38,000 BCE - 9th century CE)

I Fagionlini (18)	I Fagiolini. SATB, two per part	Chamber vocal music (e.g. Tallis, Howells, Bach, Rubbra)	Vocal consort music with accompanying art history presentation by Professor Martin Kemp
The 24 (31)	24 vocal students from The University of York	Chamber choir repertoire from English and French composers of the first half of the twentieth century	Complex choral harmony with a range of accompaniment and a Capella repertoire

1 Note: n = number of participants who attended each concert

2

## 3 2.5.3 Self-reports

All responses were collected as paper and pencil surveys. Participants were asked to record
which concert they were attending, rate their motivation for attending the concert, and
complete demographic questions before the concert.

7 At the end of the concert, participants were invited to write three aspects of the 8 concert they liked, and three they did not. They then completed the Social Experience 9 Questionnaire, comprising the 22 items identified in the analysis of the pilot study, with 10 agreement ratings from 1 (not at all) to 5 (very much). They also rated their overall 11 enjoyment of the concert on the same scale. Participants were also asked to rate, on a scale 12 from zero to five, their liking and familiarity with the repertoire performed in the concert. 13 The subjective emotional responses of the participants were measured using the Geneva 14 Music-Induced Affect Checklist (GEMIAC, Coutinho & Scherer, 2017). The whole 15 questionnaire took 5–8 min before the concert and 5–15 min after the concert to complete.

16

## **17** 2.5.4 Analysis

18 The data collected in the main study was combined with the data from the relevant items in

19 the pilot study for validation analysis. JASP was used to conduct the factor analyses and

20 R.studio was used to extract the factor scores. Onyx was used to create the path diagram.

# 1 2.6 Results

2 The process of validation follows the recommendations of best practice derived by
3 Worthington and Whittaker (2006).

4

### **5** 2.6.1 Exploring the factor structure of the Social Experience of a Concert Scale (SECS)

Since the PSI and IGIM were not previously used in a live concert setting, we ran an
exploratory factor analysis (EFA) in JASP to see if the underlying structure of the factors
present in our social experience items matched the theoretical factors from the original
scales. We used parallel analysis to identify six factors. Oblique Promax rotation was used
because the factors are likely to be correlated.

11 The EFA model achieved a statistically significant Chi-squared result ( $\chi^2 = 289.4$ ,  $p < 10^{-1}$ 12 .001) which is indicative of a poor model fit; however, since  $\chi^2$  is overly sensitive with a 13 sample of over 200 and non-normally distributed data, we ran additional fit indices. These 14 show that the Root Mean Square Error Approximation (RMSEA) is .07, which is in the .05-15 .08 range and thus, indicative of a fair fit and therefore an acceptable model fit (Bentler, 16 1990; Bentler & Bonett, 1980; Hu & Bentler, 1999a). The sampling adequacy for each 17 variable in the model was examined using the Kaiser-Meyer-Olkin (KMO) Test. Overall, the 18 model achieved an average but acceptable measure of sampling accuracy (MSA) of .78 19 (Hutcheson & Sofroniou, 1999). All individual items achieve an average MSA between .70 20 and .79 (See Appendix 1, items 1, 2, 3, 5, 8, 9, 15, 16), meritorious MSA between .80 and .89 21 (see Appendix 1, items 6, 12, 13, 14, 17), or marvellous MSA between .90 and 1 (see 22 Appendix 1, items 4, 7, 10, 11). This indicates that there is an acceptable degree of common 23 variance between items and that the data is suited to dimension reduction via factor 24 analyses, based on the conservative minimum threshold of .6.

The factor loadings achieved from the EFA, are displayed in Table 4. In accordance
with their original theoretical models, the resulting six factors were named: *Satisfaction*, *Depth of Processing*, *Solidarity*, *Attention*, *Centrality*, and *Self-Stereotyping*. Three items did

- 1 not achieve an acceptable loading score: *I often think about being in the audience* (.29), *The*
- 2 audience has a lot to be proud of (.47) and I barely noticed how the audience behaved (.35);
- 3 therefore, these items were removed from further analysis. As a result, the factor of
- 4 Centrality only had two remaining items which is not enough to conduct a confirmatory
- 5 factor analysis (Raubenheimer, 2004) so the entire factor was removed.
- 6

7 Table 4. The table shows the result of an EFA of the Social Experience items used in the main

8 study.

	Satisfaction	Attention	Self- Definition	Solidarity	DoP	Centrality	Uniqueness
1. People in the audience are similar to each other			0.64				0.53
2. Members of the audience has a lot in common with each other	0.48		0.41				0.54
3. I had a lot in common with the average audience member			0.72				0.42
<ol> <li>I am similar to the average person in the audience</li> </ol>			0.84				0.28
<ol> <li>Being in the audience is part of my identity**</li> </ol>						0.85	0.27
<ol> <li>Being in the audience is an important part of how I see myself**</li> </ol>						0.86	0.17
7. I often think about being in the audience*		0.29					0.71
8. The audience has a lot to be proud of*	•			0.47			0.51
9. Being in the audience gave me a good feeling	0.86						0.23
10. I was glad to be in the audience	0.63						0.6
11. It was pleasant to be in the audience	1.00						0.15
12. I felt a bond with the audience				0.66			0.39
13. I felt committed to the audience				0.92			0.30

14. I felt solidarity with the audience		0.57		0.45
15. The audience repeatedly attracted my entire attention	0.45		•	0.62
16. I did not really notice the audience	0.93			0.29
17. I rarely paid attention to the audience	0.76			0.41
18. I closely watched how the audience behaved	0.52			0.54
19. I barely noticed how the audience behaved*	0.35			0.88
20. I formed only a fleeting observation	•		0.40	0.66
21. I have a picture of the audience is still vivid in my mind			0.91	0.22
22. I can still remember what the audience looked like			0.83	0.23

1 Note. N = 214. Parallel analysis used to identify the number of factors. Oblique promax

2 rotation applied. DoP= Depth of Processing. \* item subsequently removed for inadequate

3 factor score; \*\*factor removed due to too few items loaded.

#### 4

# 5 2.6.2 Confirming the factor structure

6 A confirmatory factor analysis (CFA) was run on the resulting factors and items from the 7 EFA, with the items discussed above removed. The results can be seen in Figure 3 (see 8 also Appendix 1). The CFA model achieved a statistically non-significant Chi-squared result  $(\chi^2 = 129.8, p = .085)$  which is indicative that this model is a good fit; however, since  $\chi^2$  is 9 10 overly sensitive with a sample of over 200 and non-normally distributed data, we ran 11 additional fit indices again. These show that the Root Mean Square Error Approximation 12 (RMSEA) and Standardized root mean square residual (SRMR) respectively were .032 and 13 .075, which are under the acceptable threshold of .08 and thus indicative of an acceptable 14 model fit (Hu & Bentler, 1999). Based on this it can be assumed that theories of parasocial 15 interaction and social bonding can be applied to a live concert setting and be used to

1 measure the social experience of an individual in that context. This model also shows that

2 each of the five factors are significantly and positively correlated. The covariance of the

3 factors can also be seen in the table in Appendix 2.



- 4
- Figure 3. The path diagram derived from the confirmatory factor analysis with factor loading
  scores and factor covariance scores.
- 7 *Note:* \*p < .05, \*\*p < .01. The item numbers correspond with the item numbers in Table 3.
- 8
- 9 2.6.3 RQ2: Are there demographic or contextual predictors of the strength of the
- 10 social experience of a Western art music concert?
- 11 Having found that many of the social factors are positively correlated, we used a
- 12 multivariate analysis of variance test (MANOVA) to explore a range of potential predictors of
- 13 a social experience (Huberty & Morris, 1989). These were the concert at which data was
- 14 collected to see if the music influenced the social experience, whether participants attended
- 15 the concert alone or with others, their age, highest qualification, and level of musical
- 16 training. Musical training was captured with a single, self-assessment item and converted to
- 17 four groups for the analysis with a view to achieving consistent sample sizes in each group
- 18 (Beginner/no musical training (n = 40), intermediate (n = 44), professional (n = 38), and
- 19 other/mixed category (n = 11)). While there are validated tools that can provide a more
- 20 nuanced insight into musical training (e.g. Gold-MSI), the questionnaire was already very

- 1 long, so in the interest of efficiency a single item wa used. Future research seeking to
- 2 explore musical training and the social experience of live events could use the Gold-MSI for
- 3 a more nuanced insight. Thank you for this recommendation. Table 5 shows the outcome of
- 4 the analysis; only main effects were tested to allow for meaningful interpretations to be
- 5 made and any interactions that were non- significant were removed.
- 6

1

Independent	Dependent	Type III sum of squares			Partial eta
variable	variable	(df)	Mean square	F	squared
Intercept	Depth of	3.734 (1)	3.734	5.571*	.024
	processing				
	Attention	0.186 (1)	0.186	0.444	.020
	Solidarity	0.594 (1)	0.594	1.606	.011
	Satisfaction	0.022 (1)	0.022	0.083	.002
	Self-definition	0.005 (1)	0.005	0.012	.007
Concert	Satisfaction	2.601 (1)	2.601	9.800**	.068
	Self-definition	2.577 (1)	2.577	5.826*	.065
Co-attendance	Attention	1.802 (1)	1.802	4.295*	.015
	Solidarity	1.529 (1)	1.529	4.138*	.034
Age	Depth of processing	2.974 (1)	2.974	4.438*	.040
	Solidarity	1.731 (1)	1.731	4.683*	.037
Musical trainin	gDepth of processing	3.241 (1)	3.241	4.836*	.002

2 Table 5. Between-subjects effects on the social experience factors.

3 Note. \*p<.05, \*\*p<.01. Only significant predictors have been included in the model.

For this analysis, age was grouped into bands which included approximately the same number of participants in each group. The categories were: 23 years old or younger (n = 62), which included the majority of the undergraduate student population who attended the concerts and took part in the studies, 23–55 (n = 53), 56–75 (n = 65), and over 75 years old (n = 12). Highest qualification was reduced to five categories: GCSE, equivalent or below (n = 43); A levels or equivalent (n = 45); Bachelor's degree (n = 31); vocational qualification or PGCE (n = 36); postgraduate qualification (n = 40).

Using Pillai's trace, as it is more robust to departures from assumptions than other multivariate tests, there was a significant effect of concert on the social experience V = .16, F(5, 93) = 3.58, p = .005, a significant effect of age on the social experience V = .21,

<sup>4</sup> 

F(5, 93), p = .001, a non-significant trend effect of co-attendance on the social experience V = .25, F(5, 93) = 3.12, p = .08 and a non-significant trend effect of musical training on the social experience V = .11, F(5, 93) = 2.21, p = .06.

4 F-test results for separate social experience factors are presented in Table 5. The 5 mean of social experience factors separated by significant independent variables can be 6 seen in Figure 4. Satisfaction and self-definition are significantly associated by the concert 7 variable. For example, the lecture recital, solo recital, and choral concerts elicited the highest 8 levels of satisfaction, whereas the jazz trio, chamber vocal, and experimental electronic 9 concerts evoked the lowest levels of satisfaction. Again, the solo recital, along with the 10 chamber orchestral concerts resulted in the greatest self-definition and the orchestral and 11 experimental electronic concerts achieved the lowest. Surprisingly, co-attendance was 12 negatively associated with lower level of attention and solidarity. Furthermore, older 13 participants reported greater depth of processing and solidarity with the audience than younger participants. Finally, the higher the level of self-reported musical training the greater 14 15 the depth of processing. The variable highest qualification obtained was not a significant 16 predictor of any of the social experience factors.

17 Post-hoc tests were used to explore the pairwise comparisons for the concert, age categories, and musical training and the significant differences, and those pairs that display 18 19 a non-significant trend can be seen in Table 6. Consistent with the data shown in Figure 4c, 20 the following specific pairwise comparisons between concert type are seen to be significant: 21 the satisfaction rating compared between Solo Recital – Orchestral, Solo Recital – 22 Experimental Electronic, Experimental Electronic – Chamber Instrumental, and the self-23 definition rating compared between Solo Recital – Chamber Vocal. There is a non-significant trend in the satisfaction ratings in the Orchestral – Chamber Instrumental pair, and the 24 25 comparison between Experimental Electronic – Choral. No pairwise comparisons in the age 26 categories or musical training were significant.

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Dependent	Concert type	Mean			95% Confid Lower	ence Interval Upper
Variable	comparison	Difference	Std. Error	р	Bound	Bound
Satisfaction	Solo Recital - Orchestral	.4810*	0.11258	.001	0.1150	0.8469
	Solo Recital - Experimental Electronic	.7384*	0.18887	.005	0.1245	1.3524
	Orchestral - Chamber Instrumental	-0.5141	0.16291	.068	-1.0436	0.0154
	Experimental Electronic - Chamber Instrumental	7716*	0.22257	.024	-1.4950	-0.0481
	Experimental Electronic - Choral	-0.6322	0.20026	.068	-1.2831	0.0187
Self-Definition	Solo-recital - Chamber Vocal	.6481*	0.17468	.010	0.0803	1.2159

1 Table 6. Significant Bonferroni Post hoc pairwise comparisons between each concert type

Note: Based on observed means. The error term is Mean Square (Error) = .402.



Figure 4. Means of social experience factors separated by significant independent variables
Note. A. Attention and Solidarity × co-attendance; B. Depth of processing × Musical Training;
C. Satisfaction and self-definition × concert; D. Depth of processing and solidarity × age.
Error bars show the 95% confidence intervals.

## 5 2.6.4 GEMIAC dimension reduction

Since there is no theoretical subfactor model of the GEMIAC items available in the original
publication (Coutinho & Scherer, 2017), we conducted a principal component analysis with
Varimax rotation and Kaiser normalizations on the GEMIAC item ratings to reduce the
number of emotional dimensions. Based on the Scree plot, we used the elbow criterion to
identify three factors which were labelled: Positive Energy, Discomfort, and Wistful. The
factor loadings can be seen in Table 7.

1 Table 7. Loadings of the rotated component matrix for the principal component analysis of

2 the GEMIAC.

Item	Positive Energy	Discomfort	Wistfulness
Energetic, lively	0.796		
Inspired, enthusiastic	0.787		
Filled with wonder, amazed	0.761		
Powerful, strong	0.734		
Joyful, wanting to dance	0.732		
Enchanted, in awe	0.725		
Moved, touched	0.68		0.384
Full of tenderness, warm-hearted	0.649		0.313
Tense, uneasy		0.908	
Agitated, aggressive		0.859	
Indifferent, bored		0.665	
Melancholic, sad			0.825
Nostalgic, sentimental			0.72
Relaxed, peaceful	0.319		0.68

*Note.* Rotation Method: Varimax with Kaiser Normalization. Scores <0.3 have been suppressed

3

## 4 2.6.5 RQ3: Is emotional response to live musical stimuli influenced by the social

## 5 experience of a concert?

- The three extracted emotion factors were used to ascertain if the social experience of a
  concert can be used to predict the emotional experience of the audience, in response to the
  music. To do so, we ran separate linear models for each of the emotion factors derived from
  the PCA. Overall, the social experience is not a significant predictor of Positive Energy F(5,
  93) = .90, p = .49, Discomfort F(5, 93) = 1.03, p = .40, or Wistful F(5, 93) = .64, p = .67.
  Furthermore, no individual social experience factors are significant predictors of emotional
  experience of the music, as can be seen from the results of the linear modelling in Table 8.
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		Beta	Std. Error	t	р
	(Constant)		0.111	-0.277	.782
ergy	Depth of Processing	0.049	0.226	0.253	.801
ED	Attention	0.145	0.241	0.822	.413
Positive Energy	Solidarity	-0.308	0.257	-1.764	.081
Pos	Satisfaction	-0.085	0.244	-0.644	.521
	Self-Definition	0.171	0.228	1.136	.259
	(Constant)		0.11	0.388	.699
Ę	Depth of Processing	0.098	0.223	0.508	.613
mfo	Attention	-0.072	0.238	-0.408	.684
Discomfort	Solidarity	0.171	0.254	0.986	.327
Ц	Satisfaction	0.036	0.241	0.276	.783
	Self-Definition	0.006	0.225	0.042	.966
	(Constant)		0.113	0.227	.821
	Depth of Processing	0.105	0.229	0.538	.592
Wistful	Attention	0.057	0.245	0.322	.748
Ň	Solidarity	0.039	0.262	0.224	.824
	Satisfaction	-0.024	0.248	-0.181	.857
	Self-Definition	0.046	0.232	0.304	.762

1 Table 8. The table shows linear modelling of the social experience factors as predictors and

2 the emotional experience of the music as the outcome.

4 2.6.6 RQ4: Is the overall enjoyment of a live Western art music concert influenced by

5 the degree to which participants subjectively rate their social experience?

6 Social experience was found to be a significant predictor of enjoyment F(5, 169) = 20.15, p <

7 .001 in a linear model. In particular, the social factors Attention and Solidarity were found to

8 be positively associated with enjoyment in Table 9 and Figure 5.

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<sup>3</sup> 

3 Table 9. The table shows a linear model of the social experience factors as predictors and the

4 overall enjoyment as the outcome

	Outcome	Unstandardiz Coefficients	ed	Standardized Coefficients		
Predictor variables	variable	В	Std. Error	Beta	t	р
(Constant)	Enjoyment	3.442	0.074		46.74	<.001
Depth of Processing		0.025	0.128	0.018	0.193	.847
Attention		0.427	0.152	0.243	2.802	.006
Solidarity		0.892	0.169	0.466	5.277	<.001
Satisfaction		0.11	0.17	0.048	0.646	.519
Self-Definition		-0.086	0.152	-0.048	-0.569	.57





- 8 Figure 5. A clustered bar graph depicting the mean enjoyment score for each of the social
  9 experience factors.
- *Note.* Error bars depict 95% confidence intervals.

# 1 2.7 Discussion

2 In this chapter, we have tested and validated a measure of the social experience of a live 3 Western art music concert. An exploration of the literature and the high internal consistency 4 of the factors indicate that theories of parasocial interaction (Horton & Wohl, 1956; 5 Schramm, 2015; Schramm & Hartmann, 2019) and in-group bonding (Alport, 1958; Sherif, 6 2015; Tajfel et al., 1979) can be applied to a specific concert setting (RQ1). The adherence of 7 our data to the theoretical factor models also indicates that these measurement tools can 8 be used to quantify this experience. In our sample, co-attendance, age, musical training, and 9 the type of concert were seen to influence the intensity of a social experience (RQ2). The 10 social experience of a concert does not seem to effect participants' emotional response to 11 music (RQ3) but it does predict a participant's overall enjoyment of the event (RQ4).

12 Our first research question was whether theories of parasocial interaction (Horton 13 & Wohl, 1956) and in-group bonding (Alport, 1958) can be applied to a live, Western art 14 music concert context (RQ1). Consequently, can we use existing measures associated with 15 these theories to create an ecologically valid and appropriately brief (Lauer et al., 2013) yet 16 reliable measure to quantify the social experience? We identified two commonly used 17 questionnaire batteries corresponding to these two theories respectively and used them to 18 collect information on the social experience of a concert; specifically, how much attention 19 people pay to other audience members (Schramm & Hartmann, 2019), and their experience 20 of bonding with them to form an "in-group" (Leach et al., 2008). The results from the pilot 21 study show that seven factors from these existing measures achieve high internal consistency 22 scores when used in a typical live, Western art music concert setting and the factor analyses 23 revealed that data collected in this context achieves the same factor loadings as the original 24 scales. From this, we believe that it is possible to apply these to an ecologically valid live 25 Western art music concert and that these tools can be used to quantify this experience. The 26 resulting measure of the social experience of a Western art music concert has a five 27 subfactor model and captures depth of processing, attention, solidarity, satisfaction, and 28 self-definition. The positive covariance of these five factors indicates that an increase in any

single factor will result in an increase in the other factors and that the items measure an
 overall social experience.

3 In the main study, we used the five factors from our validated measure of the social 4 experience of a Western art music concert to explore if they are influenced by personal or 5 situational factors (RQ2). The results indicated that age and musical training significantly 6 influence the overall social experience. As was established in the introduction, self-definition 7 often occurs based on observable traits, such as age, and this has been found to influence 8 social bonding (Barak et al., 2001). Our findings suggest that this is also true in the context 9 of a live concert since age was found to influence the social experience. Non-observable 10 traits, such as musical training, require a degree of familiarity or prior knowledge of fellow 11 attendees which was typically the case in our stimuli concerts. At the York Concert Series, 12 music students are eligible for free tickets and are often seated at the extremities of the 13 seating in the venue, whereas fee-paying public audience members are seated in the centre. 14 From this, we can infer that audience members will be seated in proximity to others who 15 are similar to them, based on observable and latent characteristics, and we see two distinct 16 groups of attendees: students, who are likely to be younger, and public members, who are 17 likely to be older (Bigand & Poulin-Charronnat, 2006; Botstein, 1992; Dobson & Pitts, 2011; 18 Thompson, 2006). It can also be inferred that music students will recognise their peers, even 19 if they did not attend the concert together, and as a result of this recognition or prior 20 knowledge they can evaluate the homogeneity of the musical training of other audience 21 members, and individually self-stereotype as being similarly musically educated, and thus 22 experience a greater degree of social bonding (Stupacher et al., 2020). It is important to 23 note that the results may have been different with a different population and any attempt 24 to generalise these findings to other samples should be done with caution, until further 25 research has found evidence to corroborate the findings,

We can see from our results that the overall social experience was not significantly predicted by this co-attendance; however, this variable was found to have a significant but negative influence on the attention and solidarity factors. This means that those who attend the concert with others paid less attention to them and felt less bonded to the

1 audience. This can be explained by the assumption that when attending a concert with 2 others, we might pay more attention to their responses (Boothby et al., 2014) and thus 3 have generally lower levels of awareness of the rest of the audience. In situations where 4 concert curators or programmers want audience members to pay less attention to the 5 general audience then they could offer discounts for sales of multiple tickets. However, if 6 concert personnel are trying to enhance the general collective experience, then this could 7 be achieved by encouraging audiences to be seated earlier with the house lights on to 8 facilitate the attention and processing of other audience members. While controversial, it 9 could also be interesting to not sell concurrent seats to those attending the concert with 10 others, which may reduce the attention individuals pay to the responses of those with 11 whom they have a relationship and encourage a transfer of attention to the general 12 audience.

13 The type of concert was not found to be a significant predictor of the overall social 14 experience of the concert; however, it was seen to significantly influence social subfactors 15 satisfaction and self-definition. An explanation for this could be that we are similar to those 16 who like particular types of music or ensemble, and therefore attend specific concerts, 17 which would result in higher levels of self-definition (Boer et al., 2011; Trehub et al., 2015). 18 The satisfaction factor captures the extent to which an individual is glad to be part of the 19 group, in this case the audience, and it could be that there is a confluence of enjoyment of 20 the concert and the pleasantness of the social experience. Specific concerts elicited 21 particularly high social experience factor ratings; for example, the chamber orchestral 22 concert achieved the greatest satisfaction score. This concert involved audience 23 participation (Lee et al., 2019; Loxley, 1983; Pitts, 2005), the staging was informal (Dearn & 24 Price, 2016) and the seating was taken forward onto the stage (Pitts et al., 2013), all of 25 which have previously been found to influence the social experience of a concert. Another 26 notable result was that the self-definition ratings in the solo recital were higher than in any 27 other concert. For this concert, participants were invited to take part in a study recital, and 28 they came exclusively from the student population, and they have responded to this 29 homogeneity by grouping the other members of the audience to a greater extent and

1 identifying more strongly with them. This finding may be seen to indicate that, when 2 considering audience development, people are inclined to rate themself as more similar to 3 people who like the same type of music as they do; therefore, marketing material should 4 reflect the target population for each concert and depict those that are similar to them. In 5 addition to this, since the concerts with audience participation and informal tagging 6 achieved the highest satisfaction scores, performers could seek to include these aspects 7 more frequently into their events, moving away from the traditional concert format towards 8 an inclusive and engaging one.

9 Based on our findings, the social experience does not influence the emotional 10 response to the concert (RQ3). Previous research in a laboratory setting has found that the 11 presence of others reduces the intensity of emotional responses to music in the presence of 12 others (Egermann, et al., 2011; Liljeström, et al., 2013; Linnemann et al., 2016). However, 13 the more ecologically valid research on emotion contagion and social bonding found that 14 they are related (Garrido & MacRitchie, 2020). These previous studies suggest that the 15 presence of others does influence emotional responses to music in varying contexts, albeit 16 in contrasting ways. However, based on our results, the social experience appears to be 17 separate from the emotional experience of music since no emotion was significantly affected, while the overall enjoyment of an event is influenced by the social experience 18 19 (RQ4). This contributes to the belief that the overall experience of a live, Western art music 20 concert and the direct influence of the music are separate (Beranek, 2012); therefore, concert 21 organisers should not feel that enhancing the social experience of a concert will detract from 22 audience engagement with, or response to, the performance. These findings should be used 23 as encouragement to be innovative when curating and designing concerts and seasonal 24 programs to enhance the social experience of live events. Perhaps the proscenium arch is no 25 longer conducive to the appetite of the audience and performances in the round, on thrust 26 or traverse stages that encourage a more active style of listening better reflect the taste of 27 the modern audience.

In summary, the results suggest that the social experience of a concert can be
 predicted by certain demographic variables and in turn, can be used to predicted, and
 therefore alter, the enjoyment of a concert but not the emotional response to the music.

4

### 5 2.7.1 Limitations.

By validating a new measurement tool, we sought to mitigate the limitations we identified in
previous research which did not adequately quantify the social experience in our opinion (e.g.
Garrido & MacRitchie, 2020). We also aimed to improve the ecological validity of our data by
recruiting participants from people attending concerts, rather than attending a study (e.g.
Egermann et al., 2011) and validating the measure in a typical Western art music concert
setting.

12 A limitation of this study arose from the need to significantly reduce the number of 13 items from the original 126. It has been found that participant fatigue leads to a reduction 14 on concentration and diligence when responding to questionnaires (Lauer et al., 2013; Sinickas, 2007). There is what is often considered to be a "trade-off" between the need for 15 16 detailed and reliable data and the reduction in the quality of response a participant will give 17 based on the amount of time spent completing a questionnaire (Schatz et al., 2012). As a 18 result, the reliability of our data may have been compromised because, while we defined 19 our exclusion criteria for discarding items from the pilot study, there were some other 20 factors from the PSI which merit further explorations. For example, behavioural intention 21 and *antipathy*, which both achieved high internal consistency scores, but had low means 22 based on our data collection. It could be that with a larger sample or alternate context, 23 these factors would have been included.

It should also be noted that the theories employed, specifically the parasocial
interaction theory, may limit the validity of this measure for typical Western art music
concerts in which the audience are seated facing the stage and without interacting. In
concerts of other genres of music or with different staging, there is more potential for
interaction between audience members and without further testing, it is not clear if the tool

would still be valid and future research could test it in alternate settings or, indeed, in digital
 concert settings with no audience physically present.

Finally, the sample sizes used in this psychometric instrument validation and analysis are small compared to other studies with similar aims. To address this, additional fit indices and conservative thresholds have been employed to conclude the acceptability of the models based on the factor analyses employed. All theoretically acceptable thresholds have been met and therefore we have concluded that the scale is a psychometrically validated measure. However, any future use of the scale should consider the internal consistency and fit of the model in each context and sample.

10

# 11 2.7.2 Conclusion

12 We conclude that in this study we have developed and validated the first quantitative 13 measure of the social experience of a Western art music concert. The tool was derived from 14 measures of parasocial interaction and in-group bonding which can be applied to a concert 15 setting. Our results also suggest that the emotional response to music and the overall 16 experience of a concert are separate and that only the latter can be influenced by the social 17 experience. The implications of this are that concert curators, performers, and venue 18 managers can increase audience members' enjoyment of a Western art music concert by 19 altering the social experience that audience members have, without influencing the choice 20 of repertoire.

Future research could address the efficacy of the SECS in capturing the social or collective experiences of the performers in an ensemble, both with each other and between the performer(s) and audience. It would also be interesting to explore the influences of different concert presentations and formats, including online concerts, in more controlled and hypothesis-led experiments.

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7 Chapter 3
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- <sup>8</sup> Exploring the social experience of live and
- <sup>9</sup> digitally presented concerts.

# 1 3.1 Introduction

2 One of the key distinguishing features of engagement with live music is the presence of 3 other people (Wald-Fuhrmann et al., 2021). The previous chapter described a study which 4 explored ways in which to quantitatively capture the social experience of a live Western art 5 music concert (O'Neill & Egermann, 2022). To further develop this line of investigation this 6 chapter will discuss a study which alters the parameters of a concert with the aim of 7 exploring the ways in which this influences the social experience of the event. Before the 8 study is discussed, the existing literature on the social functions and experiences of music 9 will be reviewed.

10

### **11** 3.1.1 Social bonding as a function of music

12 As stated in the previous chapters (see section 1.2.1 and 2.1.1), social bonding, group cohesion and communication are often posited as some of the evolutionary origins of music 13 14 making and its subsequent enjoyment (Huron, 2001; Loersch & Arbuckle, 2013; Oesch, 15 2019; Schulkin & Raglan, 2014; Trehub et al., 2015). While empirically problematic, the view 16 that music can be considered as a coevolved system for social bonding is an underlying 17 function that unifies all other suggestions of why humans make music, including mate 18 identification and selection, infant-parent bonding, and group cohesion (Savage et al., 19 2020). While there may be cultural variances in aesthetic appreciation for musical features, 20 group performance and consumption is, in some ways, universal (Brown & Jordania, 2013; 21 Mehr et al., 2018; Savage et al., 2015). Among the musical universals proposed by Brown 22 and Jordania were the behavioural observations that "music is mostly produced by groups 23 rather than individuals" and "music coordinates and emotionally unites groups of people" 24 (2013, p. 241) thus reinforcing the social importance of the activity.

Social or group bonding refers to the identification, categorisation and comparison of conspecifics in order to make in-/out-group judgments about ourselves and others. To revise the exposition of this (see section 1.2.5), categorisation can be conceived as the process by which an individual appraises others to understand and attempt to identify them 1 (Turner, 2010). The identification phase is where the individual takes on the observed 2 behaviours and characteristics of a perceived group to strengthen the bonds and perpetuate 3 the tropes of that group through conformity (Bernheim, 1994). Upon doing so, an individual 4 compares themselves to other members of the in-group and where similarities are found, 5 self-esteem is maintained and enhanced (Wheeler & Miyake, 1992). The social function of 6 music to foster and strengthen group bonding can be seen to occur through both the 7 performance and consumption of music and it is the latter which will be the particular focus 8 of this chapter.

9

#### **10** 3.1.2 Social experience of live concerts

11 The presence of others influences both the decision to attend live music events and the real-12 time experience of them (Dearn, 2017). The desire to engage in a social experience is an 13 important motivating factor in the selection of leisure activities and this has also been found 14 to be true for co-attendance at music concerts (Baker, 2000; Burland & Pitts, 2016; 15 Kulczynski et al., 2016). In fact, even when the other audience members are not known to 16 us, they shape our experience of live music through a shared expectation of behaviour, 17 enjoyment, and respect for the other members present (Small, 1998). These aesthetic, 18 social and ethical convergences within groups of people unite people and influence their 19 experience of the musical event (Clarke & Clarke, 2005; Pitts, 2005). In a recent framework 20 of concert listening, Wald-Furhmann et al. (2021) have identified the co-presence of others 21 as a concrete component that exerts a moderating and/or mediating effect on an attendee's 22 aesthetic experience of music. The socialisation potential of a music event has been 23 identified as being acutely important for those who would not frequently attend concerts 24 (Baker, 2000) and for young people (Dobson & Pitts, 2011; Kolb, 2013), both groups that 25 have been identified as being unlikely to attend alone. Conversely, there are audience 26 segments who have a much lesser interest in socialising with others at a concert, and do not 27 seek out a shared experience. For example, so called *frequent attendees* are often depicted as disinterested in these elements (Dearn & Price, 2016). 28

1 During a concert, the intra-audience effect, or the influence of others on an individual's 2 experience has been found to affect listeners' subjective responses to music (O'Neill & 3 Egermann, 2022; Egermann et al., 2013; Gross, 2013; O'Sullivan, 2009). For this influence to 4 occur, some form of empirical social feedback mechanism must be displayed; for example, 5 applause, focused attention, or other behaviours typical in a concert (Mann et al., 2013). 6 The impact of social feedback has been interpreted as normative social influence through 7 social appraisals (Manstead & Fischer, 2001). These subjective responses to the music may 8 take the form of emotional experiences, which have been found to be influenced by the 9 social context in which the listening occurred, especially strong experiences with music 10 (Gabrielsson & Wik, 2003). In situations of synchronous consumption of pre-recorded music, 11 more intense emotions were reported when listeners were in the presence of close-friends 12 or partners, compared to alone listening conditions (Liljeström et al., 2013), regardless of 13 self-selected or randomly samples music excerpts. In a live concert setting, Lamont found 14 that intense experiences occurred more frequently when other people were present (2011). 15 Some researchers have found that the presence of others enhances the experience of the 16 performance, specifically the feeling of sublimity (Baltes & Miu, 2014; Harries, 2014). 17 However, there is also evidence that the presence of others does not ubiquitously result in stronger emotional responses to music. It is possible that co-presence serves as a distraction 18 19 and thus, reduces the likelihood of experiencing physiological responses to pre-recorded 20 music (Egermann et al., 2011). In live music, communal consumption can also serve to 21 lessen the enjoyment of the event, if other audience members are distracting or do not 22 adhere to the expected behaviours (Burland & Pitts, 2014).

23 Despite the evidence that the social experience and presence of others is highly 24 influential on the subjective response to live music events, there is surprisingly little 25 engagement with these parameters by concert curators, nor by the research community. It 26 could be suggested that, in contrast to other concert genres, Western art music concerts 27 typically do little to foster an environment in which a social experience is encouraged 28 (Kulczynski et al., 2016; Pitts, 2005). The potential for intra-audience interaction is much 29 greater in jazz (Pitts & Burland, 2013) or popular music concerts (Brown & Knox, 2017).

Despite a small number of exceptions (e.g. Toelle & Sloboda, 2021), the facilitation of
 participation or interaction within, and between the audience and the performers is sparse.

3 There are previous examples of research that sought to measure the albeit limited 4 social experience of Western art music concerts; for example, Gariddo and MacRitchie 5 (2020). They found that social bonding mediated the effect of emotion contagion on 6 emotional responses, and that concert pre-talks can be used to enhance participants 7 perception of having a social experience. In an attempt to achieve more robust data 8 regarding the social experience, a new measure was validated in the previous chapter 9 (O'Neill & Egermann, 2022). The data was collected at a series of live, Western art music 10 concerts, using measures based on parasocial interaction, and in-group bonding theories. 11 The result is the Social Experience of a Concert Scales, which have been psychometrically 12 validated to capture the social experience of live concert settings only. In this chapter, we 13 seek to further validate the measure for use in digital concert studies since these occupy an 14 increasing proportion of music engagement.

15

### **16** 3.1.3 Social experience of digital concerts

17 The consumption of recorded music has become increasingly digital, offering consumers 18 greater control and access to music from almost anywhere in the world (Aguiar, 2017; 19 Arditi, 2018; Hracs et al., 2016; Magaudda, 2011; Molteni & Ordanini, 2003). However, in-20 person attendance and engagement with music concerts and performances continued to 21 compete economically with the arguably more convenient mediatised content that is 22 available (Wald-Fuhrmann et al., 2021). That was, until the COVID-19 pandemic catalysed 23 the closure of concert venues and performance spaces around the world. The influence that 24 others can have on the musical experience of an individual has already been discussed in 25 this and the previous chapter, but it should also be acknowledged that achieving and maintaining a connection with others has mental and physical benefits. Even moderate 26 27 social isolation can have severe negative consequences, increasing stress and instances of 28 suicidal thoughts and clinical depression (Adam et al., 2006; Dawes et al., 2015; Heinrich &

Gullone, 2006; Matthews et al., 2016; Quach & Burr, 2021; Robb et al., 2020). The social
 distancing measures put in place by governments across the world at various stages to
 protect their citizens from the virus, also resulted in increased isolation, anxiety and
 depression (See section 1.2.3; Venkatesh & Edirappuli, 2020).

5 It is not a new phenomenon, that in an attempt to alleviate loneliness both during 6 and preceding the pandemic, people have engaged with music (Schäfer et al., 2020; Taruffi 7 & Koelsch, 2014) as well as other mass and social media (Pittman & Reich, 2016; Sirola et al., 8 2019). These pseudo social experiences may be achieved through empathy with the 9 performer or composer (O'Neill & Egermann, 2020; Scherer & Zentner, 2001; Schubert, 10 2017), group affiliation and belonging (Schäfer & Eerola, 2020), representation of a real 11 relationship partner (Van den Tol & Edwards, 2013), nostalgia for time spent with others 12 (Garrido & Davidson, 2013) or a distraction from the perceived isolation (Schäfer et al., 13 2020). In addition to this, social surrogacy can be achieved through parasocial interaction 14 which predominantly describes the relationship that an individual experiences with 15 performers and characters engaged with through mediated formats such as television and 16 online (Derrick et al., 2009; Giles, 2002; Greenwood & Long, 2009). This type of relationship 17 is characterised by a one-way flow of information and can also be applied to the interaction 18 that an individual experiences with musicians (See section 1.2.2; Kurtin et al., 2019).

19 The oxymoronic experience of being physically alone and yet virtually bonded with 20 others while engaging with a digital music event has been facilitated by the rapid 21 development of technologies and streaming services which enhance this paradox (Charron, 22 2017). Indeed, online streaming of other entertainment industries is significantly further 23 ahead in terms of recognising this. For example, viewer engagement with content available 24 on Twitch has been found to be motivated by social interaction, novel introductions, sense 25 of community and social connectivity for some time (Hamilton et al., 2014; Hilvert-Bruce et 26 al., 2018). The desire to communicate with others and socialise with specific groups was also 27 identified by the international sample in Friedländer's research on Social Live-Streaming 28 Services (SLSS) such as Periscope, Ustream, and YouNow (Friedländer, 2017).

1 As musicians begin to exploit the direct link to their audiences that free social media 2 platforms afford them, such as Facebook, Instagram, YouTube and Twitch, it must be 3 acknowledged that there is limited capital advantage in streaming via these platforms, 4 except perhaps through advertisement and sponsorship. This suggests that there are other 5 motivations to engage with key audience groups online, such as social resilience, 6 togetherness and social connection (Vandenberg et al., 2021). Perhaps it is possible to 7 achieve, through collective effervescence, ritual outcomes including group solidarity and 8 symbols of social relationships in response to a digital event, despite the absence of a key 9 element of ritual theory: Group Assembly and physical co-presence (see section 1.2.1; 10 Collins, 2005).

11

### **12** 3.1.4 Comparing the social experience of live and digital concert presentations.

13 As discussed, whether the barriers are logistical or attitudinal, the Western art music 14 industry has only recently begun to engage with digital dissemination of their concerts, 15 accelerated by the COVID-19 pandemic (Hansen et al., 2021). In response to this, 16 researchers have begun to compare the social experience of concerts in live and digital 17 settings. For example, Swarbrick et al. (2021) explored how different characteristics of 18 participants would influence their experience of being moved in a virtual concert, compared 19 to a live event. They conceptualised 'liveness' by asking participants to rate the similarity of 20 a virtual concert to their recollection of a pre-pandemic live event. The results of this study 21 indicated that social connection and feeling moved by the concert were correlated, and 22 both significantly predicted by empathic concern. Further to this, they found that live 23 streamed concerts facilitated greater social connection than pre-recorded concerts. This 24 suggests that there is an influence of presence, as opposed to co-presence; however, they 25 were not able to compare a live streamed and digital concert of the same material in this 26 study, relying instead on participants recollection of live events. As well as the reliance on 27 participants' memory, there are also possible confounding factors such as genre, repertoire, 28 musicians, and other factors which we address in this study.

1 Another study (Onderdijk et al., 2021) which specifically explored the social 2 experience of different concert productions presented participants a live streamed concert 3 via YouTube, a 360° recording viewed through a standard screen, a Virtual Reality headset, 4 and a concert presented via Zoom. Participants in the virtual reality group reported greater 5 feelings of physical presence and connectedness compared to the other concert conditions. 6 Generally, it was found that the perception of physical presence predicted reports of 7 connectedness with both the musician and the audience, yet co-presence was found to 8 predict only connectedness with the audience. This, and the research cited above, indicates 9 that social connection in live events is greater than when participants attend digital 10 versions; however, these conclusions are based on research that was not able to directly 11 compare a live and digital stream of the same stimuli material. In addition, Onderdijk et al., 12 were not able to inferentially analyse the data from their live condition due to a sample size 13 of six participants.

Therefore, to build on this research and further contribute to the growing area of knowledge regarding the social experience of live and digital concerts, and the influence of a quantified social experience on an individual's enjoyment and emotional response to the event, the following research questions have been proposed.

18

#### **19** 3.1.5 Aims and Research Questions

This study seeks to explore the social experience of digital concerts, in various modes of
presentation, and also in comparison with live concerts.

22

**RQ5:** Is a live concert experienced as being more social than a digital presentation of thesame performance?

- 25 **RQ6:** Is it possible to manipulate a digital concert to be perceived as more social?
- 26 **RQ7:** Are there demographic or contextual moderators of the strength of the social
- 27 experience of a digitally presented Western art music concert?

- **RQ8:** Is the overall enjoyment of a digital Western art music concert influenced by
- 2 the degree to which participants subjectively rate their social experience?

# 1 3.2 Methods

Data collection took place in-person at the RadialSystem V GmbH in Berlin (*N* = 140) and in
four online conditions (*N* = 527). The research was granted ethical approval by the
University of York Arts and Humanities Ethics Committee. Data was collected via
questionnaire before and after the concert presentation and more detail can be found
below.

7

### **8** 3.2.1 Stimuli and test conditions

9 The stimulus for all conditions was a classical chamber music concert including repertoire 10 united by the theme of remembrance and loss, including Ludwig van Beethoven (op. 104), 11 Brett Dean ("Epitaphs") and Johannes Brahms (op. 111). The live concert, performed in 12 September 2020, was recorded and used as the stimulus for the digital concert conditions. 13 The concert described above was presented to participants in five different conditions. The 14 first was a typical, live performance of the repertoire during which participants attended the 15 performance space: a converted pumping station in Berlin. This will be referred to as the 16 'live' condition throughout this chapter. It should be noted that while the presentation of 17 the concert was largely traditional, participants were socially distanced due to the COVID-19 18 regulations in place at the time of data collection. This was achieved through seating 19 participants with two empty chairs between them and the use of only every other row. This 20 condition took place over three consecutive days at live performances, the first two of 21 which were performed by the Yubal Ensemble with Charlotte Chahuneau and Larissa 22 Cidlinsky playing violin, Friedemann Slenczka and Martha Windhagauer playing viola and 23 cellist Raphaela Paetsch. The third evening included the same staging and repertoire but 24 was performed by a professional string quintet including internationally renowned 25 instrumentalists, Baiba Skride and Gergana Gergova playing violin, Brett Dean and Micha 26 Afkham playing viola, and Alban Gerhardt playing violincello. Based on the results of paired 27 samples t-test results, no significant differences in participants responses to the two 28 ensembles have been identified and therefore all data collected at a live concert will be

treated as one condition. The performance by the professional ensemble was captured for
 use in the digital conditions.

3 The second condition was a recording of the complete live concert, produced by a 4 professional editor. The video presented various views of the ensemble including close-up 5 streams and views of the full stage based on the musical content of the performance. The 6 audience is inconsistently peripherally visible in the recording. The recording lasted for 7 approximately 75-minutes and includes the full repertoire listed above. This recording was 8 sent to participants in this group to be watched on-demand, at a time of their choosing 9 within the test period. While this has allowed greater autonomy over their listening 10 environment, differences in the context of consumption are not controlled for or measures 11 and this may have influenced their experience and responses and this could be considered 12 in future research This condition will be referred to as the 'full on-demand' condition in this 13 chapter.

The third condition is based on the same recording as the second condition;
however, it lasted only 38 minutes to address the phenomenon of shrinking attention spans
(Singh et al., 2021). To achieve this abbreviated version, movements 2, 3 and 4 were
omitted from Beethoven's String Quintet, and movements 3 and 4 from the Dean.
Participants in this group were also able to engage with the concert on-demand, at their
convenience. This condition will be referred to as the 'abridged on-demand' condition.

20 The fourth condition was designed to facilitate social interaction. To achieve this, a 21 synchronous screening of the abridged concert recording was screened via the SpatialChat 22 platform. This was set up with four spaces (see Figure 6): a foyer space for people to 23 assemble in upon arrival, the survey space where participants could go and complete the 24 pre- and post-concert questionnaires, the 'concert hall' where they watched the concert 25 screening, and a 'cafeteria' area to facilitate further social interaction following the concert. 26 These spaces were created to mimic the various spaces that an audience may experience in 27 a traditional concert venue, and the experience of the participants in the live concert 28 condition. The platform SpatialChat has advantages over other video conferencing software 29 as it more closely replicated an in-person concert experience. Users can move their video

1 sphere around the space and proximity to others allows them to hear each other, while

- 2 those further away cannot. There is also a typed-chat and emoticon function, participants
- 3 were encouraged to turn their cameras on and unmute their microphones while in the
- 4 socialising spaces, and to use the emoticon function during the concert. This condition will
- 5 be referred to as the 'social' condition.



6

Figure 6. The figure shows the four 'rooms' of the SpacialChat platform set up to create the
social condition.



10

The fifth condition was the abridged recording of the concert, edited to include an introductory interview between composer and performer Brett Dean and the artistic director of the RadialSystem V GmbH. The discussion included the curation of the concert, exposition of the theme, and how Dean's composition *Epitaphs* addresses five departed colleagues and friends. This condition was presented on-demand to participants in this group and will be referred to as the 'pre-talk' condition in this chapter.

#### 1 3.2.2 Participants

Participants for the live condition (*n* = 140) were recruited through the RadialSystem V
GmbH box office. Tickets were free and advertised nationally through the media, venue
marketing materials and website, social media, and other networks. Many of the media
outlets that included the call for participants in their coverage of the event were specialist
music publications which may account for the number of participants involved with music
professionally (28-40%). A breakdown of participant demographic profile according to
condition can be seen in Table 10.

9 For the digital concerts (n = 527), participants were recruited, through similar 10 channels, via the use of a pre-survey (n = 1640; see Egermann et al., In review). This pre-11 survey was targeted at Western art music consumers and collected information about their 12 previous engagement with live and digital concerts and invited to take part in the main 13 study. 1301 people indicated that they would like to take part in the study and were 14 assigned to one of the four concert conditions described above. Participants were 15 distributed equally based on age, gender, education, and previous concert engagement. 16 These variables were also used to categorise participants based on their level of preference 17 for digital concerts based on a latent profile analysis of the pre-survey data (see Egermann 18 et al., In review). 55.2% of participants in each stream belonged to the stream type 19 preference group "Digital Concert Enthusiasts", 33.3% to the group "Digital Concert 20 Traditionalists", and 11.5% to the group "Undecided and Unengaged Concert User" 21 (Egermann et al., In Review).

Participants in the on-demand conditions (full, abridged and pre-talk) were sent a
link to the survey, within which the recording was embedded, and participants in the
synchronous social condition were invited to attend one of five available streaming dates.
Participants were encouraged to use a SmartTV, personal computer or other similarly sized
devise with an internet connection, and to engage with the recording in a quiet, and
undisturbed location wherever possible.
1 Table 10. The table shows the demographic profile of participants, and their digital concert

	Live	Full on- demand	Abridged on- demand	Social	Pre-talk
n	140	133	143	107	144
Gender % (Male, Female, Other)	38, 58, 4	41, 57, 2	40, 57, 3	42, 58, 0	48, 51, 1
Age in years (Mean, Range)	45.43, 18-85	50.1, 18-85	49.35, 18-86	49.72, 18-93	48, 18-86
First Language German	87%	85%	91%	82%	84%
Professional involvement with Music	28%	36%	37%	40%	30%
University degree	82%	82%	83%	91%	86%
Digital Concert Enthusiast		53%	58%	53%	54%
Undecided and Unengaged Concert User		12%	14%	14%	13%
Digital Concert Traditionalists		35%	28%	33%	33%

2 group, in each of the five conditions (N = 667)

# 3

# 4 3.2.3 Measures

Data was collected through two questionnaires, administered before and after the concert.
The survey was hosted on LimeSurvey and was completed either on iPads provided to
participants (live condition) or on their own internet enabled device (digital conditions). As
this data was collected as part of a larger project, the questionnaires contained numerous
batteries regarding a concert experience but only those used to explore the research
questions in this chapter will be described here. Questionnaires were available in German
and English.

### **1** 3.2.3.1 PANAVA-KS

The PANAVA-KS (see Schallberger, 2005) was employed in both the pre- and post-concert
questionnaire to capture participants' current emotional situation. The scale contains
bipolar items that capture positive activation (PA), negative activation (PA), and valance
(VA). This 10-item battery was translated into English, from German, for this project as there
is no validated English language version (see Appendix 4). The PANAVA is a development of
the Positive and Negative Affect Schedule (PANAS, Watson et al., 1988), with the added
dimension of valance.

9

## **10** 3.2.3.2 Social Experience of a Concert Scales (SECS)

This measure, developed in Chapter 2, captures the level of influence other audience members have on an individual. The five-factor model includes items relating to Satisfaction (e.g. I am pleased to be in the audience), Depth of Processing (e.g. I have a picture of the audience is still vivid in my mind), Solidarity (e.g. I felt a bond with the audience), Attention (e.g. I closely watched how the audience behaved), and Self-Stereotyping (e.g. I am similar to the average person in the audience). The scale consists of 17 items (O'Neill & Egermann, 2022)

18

#### **19** 3.2.3.3 Demographic information

Questions regarding the sociodemographic traits of the participants were collected including
age, gender identity, engagement with Western art music concerts, previous experience of
digital concerts, first language, education and professional relationship with the music
industry. Based on their responses, they were matched based on these demographic
variables and assigned to one of the concert conditions, thus avoiding self-selection bias.

### 1 3.2.4 Procedure

2 For the Live concert condition, participants arrived an hour prior to the start of the concert 3 and were met and guided through the event by a briefed research assistant. They were 4 taken into a hall in which each participant had a dedicated table on which were the consent 5 form and information sheet, and an iPad on which the pre-concert questionnaire was 6 already loaded. These tables were spaced according to COVID-19 social distancing 7 restrictions in place at the time of data collection. The pre-concert questionnaire took 8 between eight and 24 minutes to complete and was hosted on LimeSurvey. Once 9 participants had completed the questionnaire, they were escorted into the concert hall and 10 shown to their seats by their research assistant. Once again, due to COVID-19 mandated 11 restrictions, participants were seated with two empty seats between them, regardless of 12 whether they had attended the concert with others or not. Participants were permitted to 13 remove their facemask only during the concert and they were obligated to wear one at all 14 other times. The concert lasted approximately 85 minutes and included the repertoire 15 discussed in section 3.2.1, and a 10-minute aeration interval during which the windows of 16 the venue were opened to ventilate the space due to COVID-19 guidelines. Participants 17 were encouraged not to leave their seats in this time. Following the concert, participants 18 were escorted back to their questionnaire table in an adjoining hall, and they completed the 19 post-concert questionnaire which took between 18 and 31 minutes to complete. 93% of 20 participants answered the questionnaire in German, and the rest completed it in English.

21 For the on-demand digital conditions (full on-demand, abridged on-demand, pre-22 talk), participants were sent a URL to the pre-concert survey, which had a link to the concert 23 video available upon completion. They were able to access this at a time of their choosing. 24 68% of participants in the digital conditions completed the questionnaires in German, the 25 remaining 32% answered the English translation. The concert video was hosted on Vimeo 26 and participants had access to one of the variations mentioned above. As is typical in on-27 demand streamed content, participants could play, pause, fast forward, and rewind the 28 concert as they wished. At the end of the concert, they were directed back to LimeSurvey to 29 complete the post-concert questionnaire. For the social condition, participants were invited

- 1 to sign-up for one of four available dates (three facilitated in German, one in English). They
- 2 logged on to SpatialChat and were guided through the various rooms (see Figure 6) by a
- 3 moderator and members of the research team.
- 4

# 5 3.2.5 Analysis

- 6 The data from the questionnaires was downloaded into SPSS version 28 for analysis. Where
- 7 applicable, JASP was used to conduct the factor analyses and R.studio was used to extract
- 8 the factor scores.

# 1 3.3 Results

#### 2 3.3.1 Measurement check CFA

The SECS have previously been psychometrically validated (O'Neill & Egermann, 2022); however, this was based on data collected in a different country, a different language (German) and with different musical stimuli. Therefore, we used a confirmatory factor analysis to assess the scales' reliability for use in the Live condition of this study. The Chisquare test indicates a statistically non-significant result (x<sup>2</sup> = 82.16, p .097) which is indicative that this model is a good fit, and the SECS can be used to reliably capture the social experience of the live concert in the new context.

10 In addition to this, it is important to validate the model for use in capturing the 11 social experience of digital concerts, which can be seen as significantly different to live 12 events. Once again, a CFA was conducted with the data from the digital concerts (n = 572). 13 In this case the Chi-square value was significant ( $x^2 = 208.64$ , p < .001); however, the Chi-14 square test is overly sensitive with samples of 200 or over (Barrett, 2007; Bearden et al., 15 1982; Meade et al., 2008). Therefore, additional fit indices were explored and found to 16 support this model. The Root Mean Square Error Approximation (RMSEA) and Standardised 17 Root Mean Square Residual (SRMR) respectively were .06 and .05, which are just within the *good* model fit threshold (RMSEA = .05 - .08, SRMS < .06 - ≈ 0.6 (Cheung & Rensvold, 2002; 18 19 Fan & Sivo, 2007; Marsh et al., 2004). The Comparative Fit Index (CFI) value was .986 and 20 the Tucker-Lewis Index (TLI) was .982 which are both over the accepted threshold of 0.95 21 (Hu & Bentler, 1999; Tucker & Lewis, 1973). Based on this, it can be assumed that the SECS 22 model reliably fits the data from the digital conditions of this study.

Since subsequent analysis will be based on a pooled dataset, of the live and digital concerts (N = 667), one further CFA was conducted in order to produce factors scores for each participant. The fit indices indicate that the Chi-square value was significant ( $x^2 =$ 352.038, p < .001), but due to the sample size we once again move to consider the additional fit indices. The RMSEA = .058 and the SRMR = .063 which are indicative on an acceptable model fit (Cheung & Rensvold, 2002; Fan & Sivo, 2007; Marsh et al., 2004). In addition to this, the CFI = 0.970 and the TLI = 0.962 which are both above the threshold for
this type of analysis (Cai et al., 2021; Lai & Yoon, 2015). Since the model fits the combined
data collected at both the live and digital concerts, all subsequent analyses have been
conducted using the factor scores from this CFA model.

5

6 3.3.2 RQ5: Is a live concert experienced as being more social than a digital

7 presentation of the same performance?

8 To test this hypothesis, a multivariate analysis of variance (MANOVA) was conducted to

9 compare the social experience of the live concert and full on-demand concert. These

10 conditions had the same stimuli material: the full concert, and a comparable number of

11 participants (live n = 140, full on-demand n = 133). The social experience was measured

12 using the SECS.

13 The analysis shows that the presentation of the concert significantly influences the

14 extent to which participants rate the social experience of it Pillai's Trace = .771, F(5, 45) =

15 30.305, p < .001. Figure 7 indicates that participants rated the extent to which they paid

16 attention to other audience members and their satisfaction at being part of the audience as

17 being significantly higher in the live condition than the full on-demand.



1

2 Figure 7. The error bar graph displays the social experience factors in the live and full on-

3 demand concert variations.

4 Note. The error bars show the 95% confidence intervals.

5

6 Of the SECS factors, attention and satisfaction are significantly lower in the digital condition,

7 compared with the live concert; the rest do not have a significant effect (see Table 11).

8

9 Table 11. The table shows the influence of live and digital concert presentations on the social

10 experience factors

						95% Confid	95% Confidence Interva	
Predictor			Std.			Lower	Upper	
Variable	Outcome variable	В	Error	t	р	Bound	Bound	
Digital	Solidarity	-0.008	0.124	-0.065	.948	-0.251	0.235	
Concert	Self-Definition	-0.035	0.104	-0.337	.736	-0.241	0.17	
	Depth of Processing	-0.056	0.143	-0.392	.695	-0.338	0.226	
	Attention	-0.267	0.113	-2.356	.022	-0.494	-0.039	

	Satisfaction	-0.221	0.138	-1.597	.037	-0.494	0.052
1	Note The live concert was used	lac tha rafara	aca catagon	y for this or			

- 1 *Note.* The live concert was used as the reference category for this analysis.
- 2

The model was also computed with participant characteristics included to control for their affect. Age, gender, professional involvement with music and university degree were also included and none were found to have a significant effect on the mode of presentation on the social experience of the concerts. Based on this, we can conclude that any difference is a result of the liveness of the concert and not due to any confounding interindividual differences between the samples.

9

**10** 3.3.3 **RQ6:** Is it possible to manipulate a digital concert to be perceived as more

11 social?

12 As discussed in the methods section, the digital concerts were presented in a variety of ways 13 to explore the effect of this on participants' experiences. One condition was designed to 14 facilitate greater social interaction before and after the concert, using the platform 15 SpatialChat (see Figure 6). To test whether this condition had facilitated self-reports of a 16 more social experience, a multivariate analysis of variance (MANOVA) was conducted. The 17 SECS scores from the social condition were taken as the reference, against which the other 18 digital conditions, of the same stimuli material were compared: abridged on-demand and 19 pre-talk.

20 This analysis shows that Box's test of the assumption of equality of covariance 21 matrices is non-significant (p = .35) and therefore, the covariance matrices can be assumed 22 to be approximately equal. Pillai's trace indicates that there was a significant effect of 23 digital condition on the social experience of the concert, Pillai's Trace = 0.178, F(10, 542), = 24 5.295, p < .001. The outcome of the analysis, according to the SECS factors can be seen in 25 Table 12, and indicate that the social factors solidarity, depth of processing, attention and 26 satisfaction are all influenced by the presentation of the digital concert. However, self-27 definition is not significantly influenced, therefore it has not been included in Figure 8.

- \_

4 Table 12. The table shows the between-subjects effects of the digital concert condition on

5 the social experience of the concert, according to the five SECS factors.

	Type III Sum c	of			Partial Eta
	Squares	Mean Square	F	р	Squared
Self-Definition	0.334	0.167	0.3	.741	0.002
Depth of Processing	3.229	1.615	3.166	.037	0.023
Solidarity	3.796	1.898	3.078	.048	0.022
Attention	2.73	1.365	2.447	.088	0.018
Satisfaction	5.305	2.652	4.199	.016	0.03





Figure 8. The line graph shows the effect of digital concert condition on the social experience
 factors.

- 3 *Note.* Error bars depict the 95% confidence intervals.
- 4
- 5

6 Separate univariate ANOVAs on the SECS factors revealed that the concert condition
7 was not universally influential, as can be seen in Table 13. When participants received more

8 information about the concert, they reported a non-significant trend towards experiencing

9 lower levels of satisfaction at being part of the audience, compared to the social condition,

10 but no other social experience factors were influenced. The abridged on-demand concert

11 resulted in significantly less depth of processing, attention towards others, solidarity, and

12 satisfaction at being part of the audience than the social condition. According to these

13 results, self-definition is not influenced by the social condition.

14

15 Table 13. The table shows the influence of the full on-demand, abridged on-demand and pre-

16 talk digital concert streams on the social experience of the concert, according to the five

<sup>17</sup> SECS factors, when compared to the social setting.

						33/0 001	machiec	
						Interval		Partial
Outcome			Std.			Lower	Upper	Eta
Variable	Predictor Variable	В	Error	t	р	Bound	Bound	Squared
Self-	Intercept	-0.053	0.078	-0.687	.493	-0.206	0.1	0.002
Definition	Abridged on-demand	0.03	0.11	0.277	.782	-0.185	0.246	0
	Pre-Talk	0.084	0.11	0.765	.445	-0.132	0.301	0.002
Depth of	Intercept	0.125	0.074	1.681	.094	-0.021	0.272	0.01
Processing	Abridged on-demand	-0.228	0.105	-2.17	.031	-0.435	-0.021	0.017
	Pre-Talk	0.014	0.105	0.133	.895	-0.193	0.221	0
Solidarity	Intercept	0.243	0.082	2.962	.003	0.081	0.404	0.031
	Abridged on-demand	-0.282	0.115	-2.44	.015	-0.509	-0.054	0.021
	Pre-Talk	-0.187	0.116	-1.613	.108	-0.415	0.041	0.009
Attention	Intercept	0.202	0.078	2.594	.01	0.049	0.355	0.024
	Abridged on-demand	-0.241	0.11	-2.196	.029	-0.457	-0.025	0.017

95% Confidence

	Pre-Talk	-0.146	0.11	-1.328	.185	-0.363	0.071	0.006
Satisfaction	Intercept	0.283	0.083	3.409	<.001	0.119	0.446	0.041
	Abridged on-demand	-0.335	0.117	-2.869	.004	-0.565	-0.105	0.029
	Pre-Talk	-0.21	0.117	-1.79	.074	-0.44	0.021	0.012

Note: The social digital condition was used as the reference for this analysis.

3 3.3.4 RQ7: Are there demographic or contextual moderators of the strength of the

4 social experience of a digitally presented Western art music concert?

5 It could be that listener expectations and preferences moderate the effect of digital concert 6 condition on social experience. Based on three groups of listeners, calculated with the 7 digital concert sample (See Egermann et al., In review), a moderation analysis was 8 conducted using a factorial MANOVA. For this analysis the concert condition, the predictor, 9 has been reduced to a dichotomous variable with level 1 being the social condition (n = 107) 10 and level 2 being the abridged on-demand concert (n = 143). These concert conditions were 11 chosen as they are identical, except for the social facilitation and therefore any confounding 12 influence, for example concert duration, is controlled. The moderation variable, listener 13 group, has also been recoded to be bivariate with level 1 representing the *Digital Concert* 14 Enthusiasts (n = 132) and level 2 including all other participants form the Digital Concert 15 Traditionalists and Undecided and Disengaged Digital Concert Users groups (n = 118). By simplifying the model in this way, we are able to attribute results more confidently to the 16 17 categories of interest, avoid a Type 1 error, and achieve a more parsimonious model. This is 18 advantageous based on the principles of Occam's razor, in which an abductive heuristic 19 process is applied (Gauch Jr, 2002). The five social experience factors are the outcome 20 variables in this analysis.

There was a statistically significant interaction effect between concert condition and listener group on the overall social experience, F(5, 175) = 11.266, p < .001; Wilks'  $\Lambda = .756$ , partial  $\eta 2 = .244$ . The outcome of the analysis according to the social factors can be seen in Table 14. Figure 9 shows that being a digital concert enthusiast *increases* the extent to which the social concert condition elicited solidarity and satisfaction. 1 Table 14. The table shows the influence of the main effects and interaction of concert

2 condition and listener group on the social experience factors, achieved via a MANOVA.

Predictor variable	Outcome Variable	Type III Sum of	Mean	F	n	Partial Eta
Intercept	Self-Definition	Squares	<b>Square</b> 0.009	0.015	<b>p</b> .902	Squared 0
intercept	Depth of Processing	2.335	2.335	4.642	.033	0.025
	Solidarity	2.009	2.009	3.113	.033	0.025
	Attention	2.009	2.009	3.891	.075	0.017
	Satisfaction	2.608	2.608	4.078	.03	0.021
Concert	Self-Definition	0.011	0.011	0.019	.892	0
Condition	Depth of Processing	3.063	3.063	6.091	.015	0.033
	Solidarity	3.809	3.809	5.902	.016	0.032
	Attention	4.059	4.059	7.432	.007	0.04
	Satisfaction	4.812	4.812	7.524	.007	0.04
Listener	Self-Definition	0.056	0.056	0.095	.758	0.001
Group	Depth of Processing	0.442	0.442	0.879	.35	0.005
	Solidarity	1.32	1.32	2.045	.154	0.011
	Attention	0.533	0.533	0.976	.324	0.005
	Satisfaction	1.848	1.848	2.889	.091	0.016
Concert	Self-Definition	0.031	0.031	0.053	.818	0
Condition *	Depth of Processing	0.722	0.722	1.436	.232	0.008
Listener	Solidarity	3.48	3.48	5.393	.021	0.029
Group	Attention	1.193	1.193	2.185	.141	0.012
	Satisfaction	4.832	4.832	7.556	.007	0.041







3 Enthusiast group membership has on self-reports of the social experience factors in the

4 social concert condition, compared to the abridged on-demand concert.

7

Note. The error bars show the 95% confidence intervals. A – Self-Definition, B – Depth of
 Processing, C – Solidarity, D – Attention, E – Satisfaction.

- 1 The results, displayed in Table 15 indicate that there is a main effect of participant
- 2 group on the amount of solidarity that participants report. In addition to this, being a digital
- 3 concert enthusiast significantly moderates the extent to which the social condition
- 4 facilitates solidarity and satisfaction.
- 5
- 6 Table 15. The table shows the outcome of a factorial ANOVA in which membership of Digital
- 7 Concert Enthusiast group is examined as a moderator of the social experience of the social
- 8 condition.

						95% Confidence Interval		
Dependent			Std.			Lower	Upper	Partial Eta
Variable	Parameter	В	Error	t	р	Bound	Bound	Squared
Self-	Intercept	0.033	0.272	0.123	.902	-0.504	0.571	0
Definition	Condition	0.012	0.087	0.136	.892	-0.16	0.184	0
	Group	-0.111	0.36	-0.308	.758	-0.822	0.6	0.001
	Condition * Group	-0.026	0.114	-0.231	.818	-0.252	0.199	0
Depth of	Intercept	-0.545	0.253	-2.154	.033	-1.043	-0.046	0.025
Processing	Condition	0.2	0.081	2.468	.015	0.04	0.359	0.033
	Group	0.314	0.335	0.937	.35	-0.346	0.974	0.005
	Condition * Group	-0.127	0.106	-1.198	.232	-0.337	0.082	0.008
Solidarity	Intercept	-0.505	0.286	-1.764	.079	-1.07	0.06	0.017
	Condition	0.223	0.092	2.429	.016	0.042	0.403	0.032
	Group	-0.542	0.379	-1.43	.154	-1.29	0.206	0.011
	Condition * Group	0.279	0.12	2.322	.021	0.042	0.517	0.029
Attention	Intercept	-0.52	0.263	-1.973	.05	-1.039	0	0.021
	Condition	0.23	0.084	2.726	.007	0.063	0.396	0.04
	Group	0.344	0.349	0.988	.324	-0.343	1.032	0.005
	Condition * Group	-0.164	0.111	-1.478	.141	-0.382	0.055	0.012
Satisfaction	Intercept	-0.576	0.285	-2.019	.045	-1.138	-0.013	0.022
	Condition	0.25	0.091	2.743	.007	0.07	0.43	0.04
	Group	-0.641	0.377	-1.7	.091	-1.386	0.103	0.016
	Condition * Group	0.329	0.12	2.749	.007	0.093	0.566	0.041

9

10

RQ8: Is the overall enjoyment of a digital Western art music concert influenced by the
degree to which participants subjectively rate their social experience?

3 In Chapter 2, we found that the social experience of a concert predicts the enjoyment of the 4 event (O'Neill & Egermann, 2022). In the previous chapter enjoyment was captured by a 5 single item relating to the topic. To add greater nuance, in this study the PANAVA-KS was 6 employed to measure positive activation (PA), negative activation (NA), and valance (VA) in 7 both the pre- and post-concert questionnaires which enabled a change in mood to be 8 calculated (post-concert score – pre-concert score). To test the hypothesis, multiple ANOVA 9 models were calculated with the social factors as predictors and the PA, NA and VA change 10 scores as dependant variables. The results can be seen in Table 16 which shows that 11 participants who reported high levels of bonding and solidarity with the rest of the audience 12 experienced a significant increase in positive activation and valence, and significant 13 decrease in negative activation. Participants who rated their satisfaction at being a part of 14 the audience as higher experienced a significant increase in positive activation and in 15 valence. Finally, participants who paid more attention to other members of the audience 16 experienced a significant increase in negative activation.

17

Outcome						Partial Eta
Variable	Predictor Variable	В	Std. Error	t	р	Squared
Positive	Intercept	0.49	0.053	9.211	<.001	0.139
Activation	Solidarity	0.36	0.329	2.316	.024	0.126
	Self-Definition	0.114	0.122	0.932	.352	0.002
	Depth of Processing	-0.131	0.164	-0.798	.426	0.001
	Attention	0.101	0.198	0.508	.612	0
	Satisfaction	0.21	0.231	1.019	.041	0.089
Negative	Intercept	-1.075	0.059	-18.287	<.001	0.389
Activation	Solidarity	-0.124	0.364	-0.341	.033	0.109
	Self-Definition	0.053	0.135	0.396	.692	0
	Depth of Processing	0.22	0.181	1.213	.226	0.003
	Attention	0.133	0.219	0.149	.082	0.097
	Satisfaction	-0.06	0.256	-0.234	.815	0
Valence	Intercept	-0.375	0.062	-6.039	<.001	0.065
	Solidarity	0.686	0.385	0.752	<.001	0.214
	Self-Definition	-0.171	0.142	-1.202	.23	0.003
	Depth of Processing	-0.048	0.191	-0.25	.802	0
	Attention	-0.028	0.232	-0.119	.906	0
	Satisfaction	0.517	0.271	0.431	<.001	0.201

Table 16. The table shows the extent to which the social factors predict participants' change
 in mood.

# 1 3.4 Discussion

2 This study sought to further contribute to the growing area of knowledge regarding the 3 social experience of live and digital concerts, and the influence of a quantified social 4 experience on an individual's enjoyment and emotional response. The results indicate that 5 participants experienced a more social experience at a live, in-person concert, compared to 6 digital presentations of the same performance (RQ5). Despite this, there is evidence that the 7 extent to which people rate the experience of digital concerts as being social can be 8 influenced by the presentation of the concert; specifically, where social interaction is 9 facilitated (RQ6). It was found that digital user group membership moderates the relationship between concert condition and social experience. Participants who were found 10 11 to be Digital Concert Enthusiasts based on their demographic information and previous 12 engagement with digital concerts (Egermann at al., In Review), reported higher levels of 13 satisfaction and solidarity in the social concert condition, compared to participants in the 14 Digital Concert Traditionalists, and Undecided and Disengaged Digital Concert User groups 15 (RQ7). Finally, we found that some of the social experience factors predict the participants 16 enjoyment of the event, as seen by their change in mood (RQ8).

17 The first research question that was tested stated that participants would have a 18 more social experience at a live in-person concert, compared to digital presentations of the 19 same performance. The results found evidence in support of this statement, in particular for 20 the social factors attention and satisfaction. Participants reported paying significantly less 21 attention to other audience members, and significantly lower levels of satisfaction at being 22 part of the audience in the full on-demand digital concert. This replicates the findings of 23 previous research which has also found that live concerts foster a more social experience 24 (Swarbrick et al., 2021). It is not a surprise that others who are physically present will draw 25 your attention to a greater extent. It has long been understood that the presence of others 26 results in an increase of arousal as action in response to that presence may be required 27 (Zajonc, 1965). The threat posed by digital presence is much lower so they will not draw 28 attention to the same extent. In addition to this, in a live environment an individual can be 29 entirely autonomous in where they look and direct their attention; however, in the digital

conditions the participants were only able to see what was displayed by the
cinematography. The reference target (Mademlis et al., 2019) of the production was
predominantly the musicians on the stage and not the audience. Interestingly, this appears
not to be the case when participants are themselves making music. Fancourt and Steptoe
(2019) found that participants in virtual choirs reported a slightly greater feeling of social
presence than participants in live choirs which suggests that this increase in attention might
be unique to audience members.

8 The SECS' items that relate to an individual's satisfaction at being part of the 9 audience include 'I was glad to be in the audience', 'it was pleasant to be in the audience' 10 and 'being in the audience gave me a good feeling' (O'Neill & Egermann, 2022). There are 11 different ways of interpreting these statements, and it could be that people were rating 12 their satisfaction at being present at the event, rather than their specific experience of 13 being part of the group which is the audience. This would mean that they enjoyed being at 14 the live event more than the digital ones. Despite this, it could be said that participants are 15 more satisfied with being part of a physical group than a virtual one, which has been 16 repeatedly found in education contexts (Richardson et al., 2016; Stein & Wanstreet, 2003; 17 Swan & Shih, 2019; Wei et al., 2012). It seems that the same is true in the case of concert 18 engagement with Western art music performances.

19 It is also worth noting that the depth of processing, solidarity, and self-definition 20 subscales to not appear to be significantly different in live and digital contexts based on 21 responses from the current sample. Despite paying more attention to other members of the 22 audience, participants did not report a greater depth of processing, or being able to 23 remember what the audience looked like, or having a more vivid image of the live audience 24 compared to the digital one. This perhaps indicates that in neither modality do participants 25 spend time dwelling on the audience. In a typical Western art music concert, the audience 26 is not encouraged to focus on anything but the musicians and the music through seating 27 arrangement and limitations on interaction (Toelle, 2018). In fact, concert etiquette goes so far as to demand that audiences avoid influencing the experience of others in anyway, even 28 29 so far as restricting coughs and movement to breaks in the music. It has been argued that

1 any breach in etiquette forms part of the group and individual perception of identify and 2 group categorisation. Those that adhere and those that do not form the in-group/out-group 3 identification process (Wagener, 2012) and this lack of homogeneity in turn results in 4 participants rating themselves as less similar to the average member of the audience one 5 way or the other, regardless of the type of presence they pose. Social bonding is predicated 6 on the assumption that an individual recognises themselves in others, be that similar 7 behaviours, appearance, values etc. Therefore, if that self-definition does not occur then 8 they will also be less likely to form a social bond, or feel solidarity with others (Leach et al., 9 2008).

10 The second research question posited that it is possible to facilitate a more social 11 experience through variations of the digital presentation of a concert. The variations 12 included an abridged on-demand stream, a synchronous social condition, and an on-13 demand stream with a pre-concert talk. In a multiple analysis of variance, in which data 14 from the abridged on-demand concert was compared to the social condition, it was found 15 that solidarity, attention, depth of processing, and satisfaction are all significantly 16 influenced by the emphasis of the format of each digital concert.

17 In the questionnaire it was made clear that 'audience' referred to the audience 18 which participants could see in the recording and to other people who were watching the 19 concert digitally. This means that both were considered when making in-group judgments. 20 For the on-demand concerts, participants would be able to see attendees at the live concert 21 in the recording and they would be aware that others would also be engaging with the 22 performance digitally. However, only in the social condition could participants see those 23 also engaging with the concert digitally as they did, as well as the audience present in the 24 recording of the live concert. In addition to this, participants were encouraged to 25 communicate with other virtual attendees through a text-based chat function, the use of 26 emojis or even turning on their microphone and camera and having a conversation in the 27 social condition. Through these mechanisms, a social experience was facilitated. In many 28 cases, this translated into significantly higher ratings of the SECS factors. However, it is also 29 true that this is the only condition in which participants engage with the concert in

temporal synchrony. This may result in a more realised perception of the social presence of
others, compared to the asynchronous digital concerts. The spatial synchrony of a live
concert that results in physical presence has been found to influence this, therefore it might
be more accurate to conceive of presence/co-presence as a scale, rather than a binary.

5 The Social Experience of a Concert Scales capture different aspects of the perceived 6 collective nature of an event, and these will now be systematically discussed. The first 7 subscale is attention which captures how often a participant felt other members of the 8 audience drew their attention. It was found to be significantly higher in the social condition 9 than the full on-demand, and pre-concert talk versions. As previously discussed, 10 participants were encouraged to interact in the social condition. One mechanism for doing 11 so was via a facilitator who would post prompts or starter questions, or give instructions to 12 move between rooms, and when to begin the questionnaire. In response to this, 13 participants were compelled to take action and reply and therefore they might have been 14 paying more active attention to the general concert than in other conditions (Volonte et al., 15 2019). In addition to this, other members of the audience may have drawn the attention of 16 participants by communicating with them in the pre-concert spaces, through one of the 17 previously discussed channels. During the concert itself, participants could respond to the 18 performance via emojis, and this will again have drawn their attention towards other

19 participants (Robus et al., 2020).

20 The next subscale to be discussed is depth of processing through which participants 21 rate their level of recollection of other audience members from their time in the concert. 22 We found that the depth of processing was significantly greater in the social condition, than 23 the abridged on-demand version of the concert. The interaction between participants 24 facilitated a greater depth of processing and they report being able to recall other members 25 of the audience more. It is also the case that in the abridged on-demand concert 26 participants simply had less time to process the other members of the audience. In some 27 theories of memory, the depth of processing and retention of memory is based on length of 28 exposure and repetition (Craik & Lockhart, 1972; Craik & Tulving, 1975). In the social 29 condition participants 'met' and interacted with other members of the audience before the

concert, after completing the pre-concert questionnaire, they could see each other during
 the concert, and then also after the post-concert questionnaire. This repetition of exposure
 seems to have resulted in a greater depth of processing and retention in keeping with the
 levels of processing theory of memory (Holyoak & Gordon, 1984).

5 Reports of a feeling of solidarity (being united and bonded with other audience 6 members) were significantly higher in the social version compared to the abridged on-7 demand concert. It could be that, through their interactions, participants identified 8 additional criteria for social categorisation (Turner, 2010) and as a result they were more 9 likely to also experience social identification (Bernheim, 1994). Since bonding and solidarity 10 are motivated by the desire for feelings of safety or companionship, it also follows that 11 satisfaction at being part of the audience is significantly higher in those conditions that also 12 result in greater bonding and solidarity (Bouchillon, 2014; Feldman et al., 1999; Freeman, 13 2000; Launay et al., 2016). Interestingly, both were higher in the concert which included a 14 pre-talk by the composer of one of the pieces: Brett Dean. The repertoire of the concert 15 was thematically linked by remembrance and loss. Epitaphs, by Dean, is about the death of 16 close friends and colleagues, with each movement being an homage to a specific departed 17 acquaintance of the composer. It is interesting that the pre-talk, in which these friends were 18 discussed, did not result in a generally more social experience as this is often seen as a way 19 to foster a connection between musician and audience. Previous research has found that 20 providing information about the composer's motivations or emotions when writing the 21 piece induced empathy in a listener (O'Neill & Egermann, 2020), and empathy is often 22 mooted as a bonding mechanism (Stupacher et al., 2020). However, this does not seem to 23 have been the case in the present data. Instead, the pre-talk may have invited participants 24 to remember personal examples of those they have lost, and this has resulted in a more 25 introspective and induvial experience (Ellis, 1991). It could also be that the participants have 26 bonded with the composer and musicians, forming an in-group with them (Alport, 1958), 27 and the rest of the audience then becomes the out-group who are perceived to have not experienced grief in the same way (Breen & O'Connor, 2007). 28

29

The concert variation has had no effect on the self-definition of participants,

1 according to our analyses. This means that the extent to which they see themselves as 2 being similar to other audience members, and indeed the extent to which other audience 3 members are similar to each other is not influenced by the social condition (Gaertner et al., 4 1999; Tesser & Campbell, 1980). There is, deliberately, a high level of homogeneity in the 5 participants assigned to all concert conditions. This homogeneity has perhaps resulted in a 6 uniform response to the items in this factor: members of the audience are not perceived as 7 being more or less similar to each other, or the participant in any condition. This result 8 implies that self-definition is more influenced by the types of people, and not the type of 9 co-presence (Chen et al., 2004), or presentation.

10 Having established that it is possible to facilitate a social experience by manipulating 11 the presentation of the digital concert, we sought to explore whether this is moderated by 12 participants expectations and preferences for digital concerts. As previously mentioned in 13 this discussion, participants in the social concert condition reported lower levels of 14 solidarity and satisfaction, compared to the abridge on-demand or pre-talk conditions, 15 which could be a result of these expectations and preferences. In a prior study, a latent 16 profile analysis was used to identify participants as being Digital Concert Enthusiasts, Digital 17 Concert Traditionalists, or Undecided and Disengaged Digital Concert Users (Egermann et 18 al., In Review). This grouping was based on their preference for various production features 19 including "duration, content of the production, filming location, distinction between live 20 and on-demand, presentation platform, additional information offered, and interactive 21 elements" (p. 10). We hypothesised that participants in the *Digital Concert Enthusiasts* 22 group would welcome a more social experience in the social concert condition than 23 members of the other participant groups because members of this group were identified 24 via their expression of preference for innovative, exploratory, and new concerts. This 25 included an openness to interactive, immersive, and social elements in a digital concert. 26 Conversely, the Digital Concert Traditionalists are characterised by a strong preference for 27 conventional or traditional Western art music concerts, including orchestral concerts 28 performed in concert halls rather than private or more exploratory settings. Generally, they 29 expressed a preference for on-demand digital concerts and were not interested in social or

interactivity. The Undecided and Disengaged Digital Concert Users group is significantly
 smaller than the other groups and is defined by their apathy or lack of preference,
 expressed through item means close to the scale midpoint. For this reason, the Digital
 Concert Traditionalists, and Undecided and Disengaged Digital Concert Users were grouped
 for the purpose of this analysis.

6 The outcome of the moderation analysis indicates that some social factors are 7 moderated by participant group membership. Namely, membership of the *Digital Concert* 8 *Enthusiasts* group positively moderates solidarity and satisfaction in the social condition. 9 Those participants who are open to, or even prefer a social or interactive concert generally 10 rated their bond with other audience members as higher, and their satisfaction at being in 11 the audience during the social concert condition, as being significantly greater than other 12 concert conditions, compared to participants in the traditional or undecided groups.

13 The final research question aimed to replicate the finding that the social experience 14 of a concert predicts enjoyment of the event. Activation can be synonymised with arousal 15 and as has already been discussed, the presence of others increases arousal due to the 16 potential need for action (Platania & Moran, 2001; Zajonc, 1965). Solidarity and satisfaction 17 were seen to predict a significant increase in both activation and valence which suggests 18 that those who felt bonded with other audience members and were pleased to be so, also 19 experienced a significant increase in positive activation. In addition to this, solidarity was 20 also a significant predictor of a decrease in negative activation which further strengthens 21 this relationship.

22 In summary, a live concert does result in a generally more social experience than a 23 digital version of the same concert, particularly the satisfaction participants feel at being a part of the audience and the amount of attention they pay to other members of the 24 25 audience (RQ5). Despite this, it is possible to facilitate a more social experience in a digital 26 concert, by encouraging interaction with other audience members before and after a 27 concert (RQ6). However, prefacing the concert with a pre-talk may reduce the social 28 experience, and further research would be necessary to explore the extent to which it is the 29 presence of a pre-talk, or the content of that discussion. The extent to which participants

report having a social experience is moderated by their prior interests and expectations of
 the digital concert format (RQ7). Finally, the finding that the social experience of a concert
 predicts the enjoyment of the event has been replicated (RQ8).

4

### 5 3.4.1 Limitations

6 While the live concert condition was in-person, due to the COVID regulations in place at the 7 time, participants cannot be described as having an entirely typical concert experience. They 8 were required to wear face masks while completing the pre- and post- concert 9 questionnaires, and during the concert they were seated spaced two chairs apart. This is 10 likely to have been influential on their social experience, which is in part related to, not only 11 physical presence, but also proximity (Beckes & Coan, 2011; Fay & Maner, 2012). While the 12 data has not been analysed in this article, physiological measurements were also taken, including galvanic skin response, heart rate variability, and respiration rate measured 13 14 through sensors attached to the hand, and an elasticated waist belt. While these were 15 designed to be minimally invasive, they restricted typical concert behaviours such as 16 applause (Burland & Pitts, 2016).

17 The digital concerts pose the same limitations as any online research, namely that 18 people may not have paid attention to the stimuli throughout. Since there was very little 19 drop out between the pre- and post- concert questionnaires, this effect seems to have been 20 minimal. In addition to this, participants were encouraged to use good quality audiovisual 21 equipment to watch the concert; however, we did not collect data on their mode of engagement, nor their self-rated concentration and therefore it is not possible to assess the 22 23 quality of their participation in this study. Another common limitation is the extent to which 24 the sample represents the population. In this case, the sample cannot be said to be 25 representative of the general population, having a generally narrower demographic profile, 26 higher level of musical training, likelihood of working with music professionally, and 27 attendance at Western art music concerts. However, this is typical of a Western art music 28 concert attendees and therefore, the results can be reasonably applied to that demographic

(Egermann et al., In review). It would be interesting, in future research, to explore if the
 facilitation of a social experience was attractive to non-attenders, as a method of making
 these types of events more accessible and inclusive.

While the stimuli used in this study are taken from different periods, they are all
examples of Western art music. The music, composers and musicians are in no way diverse,
or representative of the global majority. There is every likelihood that the results would
differ if a different genre and concert setting were explored, and this would be interesting to
examine further, in future research.

9

#### **10** 3.4.2 Conclusion

11 In this article we aimed to compare the social experience of a live and a digital concert, and 12 subsequently different digital presentations. The data collected at a live concert, and 13 subsequent streams of the same concert have allowed us to compare the social experience 14 of these events. As expected, participants report having a more social experience in the live 15 condition, in the physical presence of others. However, it is possible to facilitate a more 16 social experience, via online digital interaction before and after the concert. Participants 17 expectations and motivations moderate the extent to which they report to have had a social 18 experience. Participants who are engaged and enthused by digital concerts experienced 19 greater solidarity with other audience members and satisfaction at being part of the 20 audience. Finally, in a replication of previous findings, social experience positively predicts 21 enjoyment of the event.

These results indicate that live music, unsurprisingly, enables the greatest level of interaction and social experience between audience members. Future research could explore how live concerts can be modified to influence the social experience, since it is possible to do so by varying parameters of a digital presentation of an event. There are many barriers that prevent people from accessing live Western art music concerts; therefore, providing the opportunity to engage with this type of music digitally has many benefits, which are beyond the scope of this study. However, it is possible to address the

need, and ability to facilitate a more social experience in digital concert settings. If concert
 venues, curators, performers, and agents are seeking to maintain the on-line audiences that
 they accrued during the pandemic, then they could look at the findings of this research
 which indicate social experience leads to enjoyment, and that it can be achieved in digital
 concert too.

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8	Chapter 4

- <sup>9</sup> Presence, immersion, and personality
- <sup>10</sup> predict enjoyment and social experience
- <sup>11</sup> of a virtual reality Western art music
- <sup>12</sup> concert.

# 1 4.1 Introduction

2 People engage with music in a number of different ways (DeNora, 2000). In the same way 3 that people write music in order to challenge the status quo (Rydell & Bienvenu, 2021), 4 producers and artists are also often seeking new ways of presenting, packaging and selling 5 music to consumers (Gopinath & Stanyek, 2014; Im et al., 2019; Magaudda, 2011; Montoro-6 Pons et al., 2021). One such development that has thus far received limited attention in 7 research, compared to other digital media, is the presentation of concerts via virtual reality, 8 despite its conception as a technology approximately 40 years ago (Dixon, 2006; Gigante, 9 1993).

While the technology has been used extensively by the games industry, and received significant attention from ludologists, it has not permeated into the music industry in the same way, and certainly not the Western art music market. The reasons for this are beyond the scope of this chapter; however, many of the key concepts of the virtual reality field will be applied to this mode of presentation of music events. The experience of different typologies of presence, immersion in a mediated environment, the perception of social and parasocial interaction, and the enjoyment of the experience, for example.

When presented in virtual reality, users are provided with more opportunity for interaction and agency than other digital presentations, or even a live event and this gamification of the concert ritual is largely unexplored. Therefore, this chapter seeks to explore the interaction of these aspects of a virtual reality concert and the overall reception of audiences to the presentation of music in this way.

Before the study is discussed, the existing literature on the virtual reality and music, social presence and immersion, and predictors of enjoyment of virtual reality will be reviewed. Virtual reality, for the purposes of this article, refers to "a medium composed of interactive computer simulations that sense the participant's position and actions and replace or augment the feedback to one or more senses, giving the feeling of being mentally immersed or present in the simulation (a virtual world)" (Sherman & Craig, 2018, p. 16). Specifically, an interactive, three-dimensional, 360° recreation of reality presented through a specialist head mounted display. These are the key features that set it apart from
other digital modes of presentation. There are various types of technology that are often
conflated when discussing virtual reality, including augmented reality, immersive audiovisual recordings, and artificial intelligence. Milgram and Kishino (1994) considered these
technologies to exist on a spectrum, as depicted in Figure 10.

- 6
- 7



9 Figure 10. Simplified representation of a "virtuality continuum" (Milgram & Kishin, 1994) 10

11 In an immersive virtual reality setting, the real world is completely overwritten by a virtual 12 and immersive experience. The aim of stimuli presented through this modality is to entirely 13 reconfigure the experience of the user and replace their physical reality and they can interact with and influence their virtual environment. A semi-immersive virtual reality 14 15 experience is such that the user can move around within the virtual space and thus, their 16 visual and auditory experience may change in response to this movement, but the user will 17 have no further physical sensation which enhances the experience, nor can they influence 18 the virtual reality in any way. Augmented virtuality enhances the virtual experience by 19 adding elements of the real environment, although this can reduce immersion. For example, 20 a user may see their own hands in the virtual environment, or other physical objects that 21 are transposed from the physical to the virtual realm. The penultimate step on the reality 22 end of the virtuality spectrum is augmented reality which integrates or superimposes virtual 23 elements into the user's physical reality. The following literature review considers research 24 involving various types of virtuality.

- 1
- 2

## **3** 4.1.1 Virtual reality music engagement

4 As mentioned, there is limited evidence that the music industry has taken much interest in 5 exploring virtuality as a method of presenting music, and even less so music researchers. 6 The exception to this is music education which has, to an extent, explored the potential 7 uses of the technology in pedagogic settings. For example, Serafin et al. (2017) explored 8 virtual reality as a potential mitigation of the lack of funding available for music education in 9 mainstream education systems. They suggested that virtual reality can help students 10 develop rhythmic skills, gain ensemble experience, combat music performance anxiety 11 (MPA), and teach other musically relevant skills. Virtual reality was also found to result in 12 conducting skill improvement (Orman et al., 2017), and a virtual reality AirPiano program 13 was found to improve user experience (Hwang et al., 2017). MPA was also studied by 14 Bissonnette et al., who found that participants experienced a decrease in anxiety based on 15 virtual reality exposure training, which has been successfully implemented as a mode of 16 treatment for a range of anxiety disorders (Krijn et al., 2004). Interestingly, they also 17 observed an increase in performance quality, yet this does not seem to have inspired 18 further research on the topic (Bissonnette et al., 2016).

19 The COVID-19 pandemic, and the resulting social distancing mandate that prevented 20 performers rehearsing together and audiences attending live events, unsurprisingly 21 catalysed a small number of studies on the potential use of virtual reality to facilitate 22 alternatives to these activities. A virtual reality version of networked music performance, or 23 the real-time interaction of musicians in different geographic locations to collaborate via a 24 computer network, was explored by Loveridge (2020) in the early pandemic lockdowns. The 25 conclusions of the literature review suggested that the topic was worthy of further review, 26 specifically what are the key components that differentiate VR from videoconferencing, and 27 the specific influence of immersion in the virtual environment influences the user 28 experience (Loveridge, 2020).

1 A small number of artists have released songs which are composed to make the best 2 use of virtual reality technology; for example, Muse whose single *Revolt* was turned into a 3 semi-immersive 360° video, designed to be enjoyed via a virtual reality headset or other 4 360° viewing platform (Belamy, 2016). Western art music concerts are much less likely to 5 have been developed or recreated with virtual reality technology in mind; perhaps due to 6 the perceived typical audience member, or the perception of where and how this music 7 should be consumed. The exception to this is the VAN Beethoven project, produced by the 8 LA Philharmonic, aimed at educating, and widening access and interest. Their press release 9 included the following:

10 The Los Angeles Philharmonic launches the VAN Beethoven mobile tour, featuring the 11 LA Phil's new Orchestra virtual reality app...[Visitors will] put on the Oculus headset 12 and be transported ... The immersive visual private experience is augmented by a 13 soundtrack that adapts to the viewer's perspective; whether the viewer is in front of 14 the orchestra, behind or standing amidst the symphony, the music will subtly shift to 15 reflect listener-specific positions and enhance the feeling of being up-close-and-16 personal with the renowned orchestra.

17 (LA Philharmonic, 2015)

18 An often-cited advantage of virtual reality concerts is the access to perspectives not typically 19 available, such as among the musicians, or on the stage. In a project set in the Konzerthaus, 20 Berlin developers produced an Orchestra Application to facilitate interactivity with the 21 orchestra, in part through virtual reality (Droste et al., 2018). They concluded that the 22 response to the technology was "overwhelmingly positive" (p. 294), due in large part to the 23 multiple perspectives available. In some cases, developers go as far as to put the user on the 24 stage as part of the orchestra (Antoshchuk et al., 2018), although this would be considered 25 to be augmented virtuality. Developed, once again, in collaboration with the Konzerthaus in 26 Berlin, the Magic Mirror Application identifies the instrument that matches the users hand 27 pose and overlays that instrument, so that it appears to be placed in their hands. The audio 28 output corresponds to the speed and intensity of the users' movements, the position of 29 their fingers and the recognised instrument. This extension of interactivity goes beyond the

individual controlling where they can look, into actual meaningful participation in the
musical output that they experience. Both studies by Droste et al. (2018) and Antoschchuk
et al. (2018) are based on market research, and a general review of the development of the
applications, and they are not overly concerned with the psychological experience and
mechanisms of virtual reality engagement.

6 Despite the lack of empirical evidence, an interview study of regular concert 7 attendees found that many respondents consider virtual reality concerts to be a common 8 method of presenting and engaging with music in the future, and many also indicated that 9 they would attend more virtual reality concerts if they were available (Bouckaert, 2021). 10 The accompanying survey found that virtual reality offers greater accessibility, better 11 perspective or view of the performance, when compared to live attendance; however, 12 generally virtual reality concerts were found not to produce the same fulfilment as a live 13 event. While the comparison to a live event may not yield as much as comparing virtual 14 reality to other digital formats, this research goes some way to exploring the appetite of 15 audiences for this format and thus, further research on the topic.

16

### **17** 4.1.2 Presence and Immersion

18 When considering the extent to which people recognise a virtual reality experience as 19 having social elements, there are two key concepts which mediate the effect of co-20 presence: namely, presence and immersion. While often conflated or synonymised, these 21 two phenomena describe discrete and yet complementary aspects of a virtual experience. 22 Immersion and presence describe the sensory and mental experience of the self when being 23 in a virtual setting. Immersion is how enveloped or engaged your body feels in that virtual 24 space and is dependent on sensory interpretation from audio, visual and haptic stimuli. 25 Immersion can be conceived as the extent to which an individual engages with a virtual 26 reality environment (Berkman & Akan, 2019; Slater, 2003). Immersion in virtual reality 27 environments is most significantly influenced by the authenticity of sensory information 28 (Kim & Biocca, 1997).

Presence refers to how psychologically absorbed and engaged a user is, or the sense
 of being in that environment perceptually, if not cognitively (Slater, 2018). It is the belief
 that you are in that environment, despite the awareness that it is an illusion (Mantilla,
 2018).

5 Research has previously defined the human experience as being comprised of real 6 experiences, virtual experiences and hallucinations (Lee, 2004), in which the former and 7 latter are defined as sensory experiences of actual objects, and non-sensory experiences of 8 imaginary objects respectively. In this model, the virtual experience is described as 9 occupying a middle ground, whereby people have a sensory, or non-sensory experience of 10 para-authentic or artificial objects. It is this that forms presence research, within which 11 researchers from different fields have developed their own definitions and terms to 12 describe facets of this experience (e.g. virtual presence (Slater & Steed, 2000), telepresence 13 (Steuer, 1993), co-presence (Bulu, 2012), mediated presence (Ijsselsteijn & Riva, 2003) etc.) 14 This, combined with discord on conceptualising and defining a virtual experience, have 15 resulted in interdisciplinary disagreement regarding the absolute definition of presence. It is 16 beyond the scope of this chapter to reconcile this issue; however, for the purposes of this 17 research, presence will be understood to mean the multidimensional construct of the 18 subjective experience of being in a virtual environment. The three dimensions of this construct are physical presence, including objects and environments; social presence, which 19 20 refers to the experience of others in a virtual environment; and self-presence which is the 21 experience an individual has of themselves in a virtual environment (Makransky et al., 22 2017). These are further defined below.

The explicated, three factor definition of presence is based on Lee's work (2004). Physical presence is described as a "psychological state in which virtual (para-authentic or artificial) physical objects are experienced as actual physical objects in either sensory or non-sensory ways" (Lee, 2004, p. 44). High levels of physical presence are when an individual does not notice that the environment is not authentic or real, and conversely, low levels of physical presence would indicate participants awareness of the artificiality of their environment. Social presence relates to an individual's perception of the authenticity of

1 mediated humans (Biocca, 1997). It is different from co-presence which requires users to 2 share the virtual space with other humans, often including a two-way interaction. Social 3 presence covers this, and parasocial or one way interaction such as observation of non-4 verbal communication cues. Lee defines social presence as "a psychological state in which 5 virtual (para-authentic or artificial) social actors are experienced as actual social actors in 6 either sensory or non-sensory ways" (2004, p.45). The final factor of presence is self-7 presence which is "a psychological state in which virtual (para-authentic or artificial) 8 self/selves are experienced as the actual self in either sensory or non-sensory ways" (Lee, 9 2004, p. 46). This relates to the extent to which a virtual representation of the individual is 10 perceived by them to be real, be that an artificial construction of their character, or a 11 representation of their true self (Ratan, 2013; Ratan & Hasler, 2009).

12 When applied to the virtual reality presentations of music, presence has been found 13 to be influenced by the quality of the recording and playback, the autonomy to select where 14 the user is looking, and in some cases (e.g. the Magic Mirror Application, Antoshchuk et al., 15 2018), the ability to influence the musical output to some extent (Sheridan, 2004). In a study 16 on the analgesic effect of virtual reality music therapy, the effect was found to be enhanced, 17 when compared to a *lower tech* alternative, due to the increased perception of presence 18 (Honzel et al., 2019). In addition to this, a study found that participants experienced 19 significantly higher levels of pleasantness, and presence in a classical concert presented in 20 semi-immersive virtual reality, compared to a two dimensional desktop presentation of the 21 same stimuli (Al Alam & Dibben, 2021). However, in this study, emotion perception and 22 experience did not differ between the two conditions; therefore, this will not be a research 23 question in this chapter.

As mentioned, immersion is related to presence, but is not synonymous. It refers to the extent to which an individual has a sense of being in the virtual environment (Sanders & Cairns, 2010). It has been described as having three levels: engagement, in which the player invests effort, time and attention into the experience; engrossment, whereby the user becomes more involved with the virtual experience; and finally immersion, in which participants loose awareness of the real world or become detached from reality and their

1 senses are consumed with the virtual information (Brown & Cairns, 2004). There are specific 2 barriers of entry into each of these three phases, for engagement users must have sufficient 3 time, and the content must be accessible in terms of subject matter and ease of navigation. 4 Engrossment can only occur when the user suspends their disbelief sufficiently to accept 5 the virtual surroundings, and they must be able to ignore external distractions. For a user to 6 experience total immersion they must be able to empathise with the character they are 7 embodying, which is clearly easier when they experience physical, social and self-presence 8 in the virtual environment, and a loss of awareness of the passing of time and the real world 9 (Brown & Cairns, 2004; Rogers et al., 2020).

10 Immersion is influenced by the realism of the stimuli that reach each of the senses. 11 This includes the quality of the image (Dhaya, 2020), haptic feedback such as vibrations 12 upon impact with virtual objects (Kim et al., 2017; Wu et al., 2017), and the auralisation of 13 sound sources (Ballestero et al., 2017; Poirier-Quinot et al., 2016; Postma et al., 2016). In 14 virtual reality games, all of these contribute to the immersive experience of a user, but they 15 can be ranked with visual aspects being the most important, then sounds, then touch (Zhou 16 & Deng, 2009). For the presentation of music, perhaps the aural experience is most 17 influential.

18 Audio in virtual reality environments is produced by binaural stereo, combined with 19 head-tracking technology in the virtual reality headset. This produces an effect of a three-20 dimensional sound field that surrounds the user. Movement tracking data allows sounds to 21 be localised to the position of the virtual source, relative to the user's perspective, whether 22 static or in motion. To an extent, this is no different to surround sound technology; 23 however, the fundamental differentiator for virtual reality audio immersion is the need for 24 the sound to be reactive to the user's behaviours and movements. This Head-Related 25 Transfer Function (HRTF) is programmed into specialist speakers, headphones or the head 26 mounted display (HMD) itself. It is used for both recording and reproducing the three-27 dimensional sounds that surround the user on both horizontal and vertical plains 28 (Noisternig et al., 2003; Poirier-Quinot et al., 2016).

1 Outside the realm of virtual reality research, and instead applied to the experience 2 of live music events, immersion has been found to provide increased levels of togetherness 3 among audience members. In a study exploring the effect of projected visualisations of 4 physiological responses to a jazz concert, researchers found that immersion is more likely to 5 occur when participants like the music (Shirzadian et al., 2018). Live music attendance 6 frequently involves immersion into the musical and social experience (Holt, 2010). 7 Therefore, it is plausible to pose the question of whether presence and immersion influence 8 of the social experience of a Western art music concert presented in virtual reality.

9

## **10** 4.1.3 The effect of personality on virtual reality enjoyment

As previously discussed, there is limited existing scholarship on the presentation of Western art music concerts in virtual reality. More broadly, in other virtual reality applications that have been mentioned in this chapter, there is evidence to suggest that personality may predict the extent to which people enjoy interacting with virtual reality technology. For example, people seem to rate their real-life personality and virtual avatar personality consistently based on the big five personality traits, thus indicating that if they are extraverted in the real world, the same will be true in virtual reality (Aas et al., 2010).

Personality variables which seem to predict presence most consistently include imagination, immersive tendencies, and empathy (2013). Laarni et al. (2004) found that extraversion, impulsivity and self-transcendence were positively associated with presence ratings. Of the big five personality traits, extraversion and agreeableness have been found to be significantly correlated with spatial presence, and neuroticism and openness to new experiences with virtual absorption (Sacau et al., 2005).

There is also research on the influence of personality on immersion in virtual reality. Openness to new experiences, extraversion and neuroticism are significantly correlated with immersion (Jurnet et al., 2005; Weibel, 2010). In addition to this, trait empathy has been found to predict higher levels of immersion (Ling et al., 2013; Nicovich et al., 2005; Sas & O'Hare, 2003). Finally, locus of control within the virtual environment (the extent to which a
participant feels a sense of autonomy) and agreeableness have been found to be positively
 correlated with embodiment, or immersion (Dewez et al., 2019).

Based on the indicative findings of these studies on personality and virtual reality in
general, in this study we seek to explore the influence of personality specifically on the
enjoyment of a Western art music concert presented in virtual reality.

6

## 7 4.1.4 Social experiences in virtual reality environments

8 To an extent, the influence of presence and immersion in a virtual reality on a user's social 9 experience has been previously explored, but once again this is restricted for the most part, 10 to research on virtual reality games, and education. For example, it has been found that the 11 participants who synchronised with virtual humans in an immersive virtual reality environment reported a significantly greater social closeness compared to an asynchronous 12 13 condition, which was deemed to be less immersive (Tarr et al., 2014). Further, in an 14 underwater seascape exploration, it was found that social interaction mediates the effect of 15 immersion on satisfaction and loyalty of users towards the virtual world (Hudson et al., 16 2019). Previous research has also found that the strength of social ties and general social 17 interaction significantly increase perceived enjoyment of virtual reality (Lee et al., 2019).

18 As with immersion, the extent to which a user can suspend their disbelief influences 19 their social experience within a virtual environment. Social interaction has been found to be 20 impeded when avatars are presented without facial expressions, gaze or other non-verbal 21 communication cues (Roth et al., 2016). Even in situations where the virtual representation 22 of others is more authentic, latency and asynchrony of sounds and movements reduces the 23 social bonding of users and virtual avatars (Louis et al., 2019). It seems that users bring 24 existing social group dynamics into virtual environments (Moustafa & Steed, 2018), and that 25 they present themselves consistently with their real-world identity, regardless of their 26 ability to curate or design their virtual self (Freeman & Maloney, 2021), which is consistent 27 with the *Proteus* effect (Yee & Bailenson, 2007). Finally, the degree to which users actively 28 participate in a virtual scenario, and their co-action or interdependence with others

enhances the social presence and cooperation of users in a virtual environment (Schindler et
al., 2017). However, even in environments in which action and interaction is limited, nonverbal communication between users of collaborative virtual environments seems to result
in accurate emotion perception, based on distinctive facial or physical cues (Fabri et al.,
1999).

6 The majority of this research is predicated on the active interaction and action of 7 users. In a concert environment the opportunities for this are typically limited. While users 8 may be able to see virtual representations of the musicians and other audience members, 9 in our stimulus video they are unable to interact with others, or influence the concert in 10 anyway. Therefore, the effect or perception of this parasocial interaction may not adhere to 11 the findings of the studies discussed herein.

12 One study (Onderdijk et al., 2021) which specifically explored the social experience 13 of concerts presented in semi-immersive virtual reality found that participants experienced 14 greater levels of physical presence and connectedness compared to other digital media. The 15 other conditions, comprising of live stream via YouTube, pre-recorded concert streamed via 16 Zoom, and a 360° recording presented through a standard screen, did not elicit the same 17 feelings of physical presence as the virtual reality condition. Further to this, the researchers 18 found that the perception of physical presence predicted reports of connectedness with 19 both the musician and the audience, yet co-presence was found to predict only 20 connectedness with the audience. Based on this we will explore the effect that perception 21 of different types of presence may have on an individual's social experience of a virtual 22 reality concert.

In Chapter 2, we explored certain predictors of a social experience, and found that the type of concert, the participants' ages, their musical training, and whether or not they attended the concert with others influenced the extent to which they reported having a social experience (O'Neill & Egermann, 2022). In Chapter 3, participants were grouped based on their interindividual preference and engagement with digital concerts and this was found to significantly predict their social experience. We found that participants who reported high levels of bonding and solidarity with the rest of the audience experienced a

significant increase in positive activation and valence, and a significant decrease in negative
activation. Participants who rated their satisfaction at being a part of the audience as higher
experienced a significant increase in positive activation and a significant increase in valence.
Finally, participants who paid more attention to other members of the audience
experienced a significant increase in negative activation.

6 To extend this investigation of interindividual predictors of the social experience, we 7 sought to explore characteristics that have hitherto been untested in this thesis, such as 8 personality traits. Previous research has found that personality predicts the perception of 9 social presence (Costantini et al., 2019; Lopes et al., 2005; Pianesi et al., 2008; Wieczorek et 10 al., 2021), parasocial presence (Giles, 2002; Sun & Wu, 2012; Tsay & Bodine, 2012), and co-11 presence (Paetzel et al., 2018; Purarjomandlangrudi & Chen, 2020; Weibel, 2010) 12 experiences. More specifically, Wieczorek et al. found that extraversion, agreeableness and 13 neuroticism were predictive of real-world social satisfaction (2021), and these three traits 14 have consistently been found to be socially relevant, with the effects of openness and 15 contentiousness much less so (Back, 2021; Back et al., 2011; Harris & Vazire, 2016; Mund et 16 al., 2016; Weibel, 2010). In a parasocial context, Tsay and Bodine found that neuroticism 17 was the only personality trait that was a significantly predictor (2012). They suggested this 18 was a result of the need for inclusion that those with high trait neuroticism experience, and 19 the relative perception of safety of parasocial relationships compared to social ones. 20 Therefore, we will explore the influence of personality on the extent to which people report 21 having a social experience in a virtual reality concert.

22

#### **23** 4.1.5 Aims and Research Questions

Based on findings in the previous chapters, and the literature reviewed in this chapter, we
have devised a study that aims to explore a virtual reality Western art music concert
experience. Since there is limited research on this mode of presentation of a concert, once
again we do not have enough prior knowledge to formulate a-priori hypotheses. Therefore,
we present the following exploratory research questions (RQ):

- 1
- **RQ9:** How do people describe their experience of a virtual reality presentation of a Western
  art music concert?
- 4 **RQ10:** Do participants experience a change in mood following engagement with a virtual
- 5 reality Western art music concert?
- 6 **RQ11**: Does personality predict participants change in mood and enjoyment of a virtual
- 7 reality Western art music concert?
- 8 RQ12: Does the social experience of a virtual reality concert adhere to the same factor
- 9 model as live presentations?
- 10 **RQ13**: Are there demographic predictors of the strength of the social experience of a virtual
- 11 reality Western art music concert?
- 12 **RQ14**: Do social, physical and self-presence predict the social experiences of a virtual reality
- 13 Western art music concert?
- 14
- 15

# 1 4.2 Methods

Data collection took place in-person, in the York Music Psychology Listening Lab (*N* = 50).
The research was granted ethical approval by the University of York, Arts and Humanities
Ethics Committee, with additional approval based on an enhanced COVID-19 risk
assessment. Data was collected via questionnaires before and after the concert
presentation and more detail can be found below.

All national COVID-19 regulations and recommendations at the time of data
collection were adhered to, including evidence of a negative lateral flow test, and no
members of the household positive at the time of testing. Participants were encouraged to
wear facemasks inside all areas of the Department of Music, and there was an emphasis on
increased ventilation including windows being always kept open and a minimum of half an
hour ventilation between participants at which time the Listening Lab was entirely
unoccupied. All shared equipment was wiped with isopropyl wipes between uses.

Due to the social distancing mandate of the University, the room occupancy was limited to two people (participant and researcher/research assistant). Special permission was sought from the Head of Department to bring external visitors into the department, which was otherwise forbidden at the time of data collection.

18

## **19** 4.2.1 Stimuli and their presentation

The stimulus for the virtual reality concert was a monoscopic 360° virtual reality recording that was professionally produced, following a live string quintet concert, performed at the RadialSystem, Berlin in September 2020 (See section 3.2.1 for further detail). The recording was produced and edited by Cineplus, and a research technician. The camera was placed at the front of the stage, depicting an intimate view of the performers. Participants were able to zoom in and out, and pan laterally and horizontally in a 360° plane.

The repertoire included abbreviated forms of works by Ludwig van Beethoven (op.
104,), Brett Dean ("Epitaphs") and Johannes Brahms (op. 111) (see section 3.2.1). The string

quintet included Baiba Skride, Brett Dean, Alban Gerhardt, Mischa Afkam and Gergana
Gergova. The concert was presented in two parts, the first part was 21:41 minutes long and
included the first movement of Beethoven's String Quintet in C minor, Op. 104 and
movements 1, 2 and 3 of Dean's *Epitaphs*. There was then a short pause during which
participants could remove the virtual reality headset and take a comfort break. The second
section of the concert was 16:18 minutes long and showcased movements 1, 2 and 3 of
Brahms' String Quintet No.2, Op.111.

8 The stimuli were presented to participants using an Oculus Quest 2 head mounted 9 display which the participants calibrated before watching the video. Ambisonic sound on 10 the horizontal plane was presented via headphones with head tracking. Participants were 11 seated on a revolving, office style chair and invited to explore the concert space from their 12 vantage point.

13

#### **14** 4.2.2 Participants

Participants (*N* = 50) were recruited through mailing lists, social media, and personal
contacts of the researcher. They initially responded with an expression of interest, and were
invited to sign up for an individual appointment.

18 34% of participants identified as male, 64% identified as female, 2% identified as 19 gender non-conforming, gender-queer, or non-binary. The mean age of participants was 20 35.2 (range 18-54). 96% of participants had a university degree (26% natural 21 sciences/engineering; 22% social sciences/humanities; 46% music, arts, art or cultural 22 studies) which is significantly higher than the average population, and even higher than a 23 typical Western art music consumer. 66% of participants spoke English as their first 24 language, 20% stated that Cantonese or Mandarin was their first language, 6% said Spanish 25 and then 2% said Greek, Indonesian, Slovakian and French respectively. 26 Participants were given 15GBP in cash as compensation for their time, and to cover

any expenses accrued in travelling to the Department of Music for the study.

1

# 2 4.2.3 Measures

Data was collected through two questionnaires, one administered before and one after the
concert. The survey was hosted via Qualtrics and was completed online, using the YMPG
laptop. As this data collection took place as part of a larger project, the questionnaires
contained numerous batteries regarding a concert experience but only those used to
explore the research questions in this chapter will be described here.

8

# **9** 4.2.3.1 Positive Activation, Negative Activation, Valence Short Version (PANAVA-KS)

10 There are various ways of capturing enjoyment, it can be conceived of as the culmination of 11 engagement, pleasure and satisfaction (Davidson, 2018). Typically, enjoyment will result in 12 an increase in positive mood, which can be conceptualised on the same circumplex model as 13 Russel's affect grid (1980). While these concepts are certainly not synonymous, in this study 14 we have interpreted an increase in positive activation and valence as denoting enjoyment.

15 The PANAVA-KS (see Schallberger, 2005) was employed in both the pre- and post-16 concert questionnaires to capture participants' current emotional situation. The scale 17 contains bipolar items that capture positive activation (PA), negative activation (PA), and 18 valance (VA). There are 10 items, four relating to PA (of which two are reverse scored), four 19 relating to NA (two of which are reverse scored), and two items measuring VA (one of which 20 is reverse scored). Items are rated on a scale from -3 to +3 with antonymic scale labels at 21 either end. This 13-item battery was translated into English, from German for this project, 22 as there is no validated English language version (see Appendix 4). The PANAVA is a 23 development of the Positive and Negative Affect Schedule (PANAS, Watson et al., 1988), with the added dimension of valance. 24

## 1 4.2.3.2 Social Experience of a Concert Scales (SECS)

2 To measure the social experience, we have used the Social Experience of a Concert Scales, 3 developed in Chapter 2. This measure captures the amount of attention, depth of 4 processing and bonding an individual experiences with other members of the audience 5 (O'Neill & Egermann, 2022). The five-factor model includes items relating to Satisfaction 6 (e.g. I am pleased to be in the audience), Depth of Processing (e.g. I have a picture of the 7 audience is still vivid in my mind), Solidarity (e.g. I felt a bond with the audience), Attention 8 (e.g. I closely watched how the audience behaved), and Self-definition (e.g. I am similar to 9 the average person in the audience). The scale consists of 17 items and participants were 10 asked to rate their agreement with the items on a scale from 1 = strongly disagree to 5 =11 strongly agree.

12

#### **13** 4.2.3.3 Multimodal Presence Scale for Virtual Reality Environments (MPS)

14 In order to capture the experience of presence and immersion in a virtual reality context, 15 the Multimodal Presence Scale for Virtual Reality Environments (MPS) was employed. It was 16 developed to capture three dimensions of presence identified by Lee: Physical, Social and 17 Self Presence (Lee, 2004). The MPS is a psychometrically validated scale comprised of 15 18 items identified through an extensive extraction process from previously developed scales 19 (Makransky et al., 2017). These items were reduced using a confirmatory factor analysis and 20 assessed for reliability and accuracy using item response theory (IRT). The items relate to 21 the three presence factors named above with five items capturing physical presence (e.g. 22 the virtual environment seemed real to me), five which relate to social presence (e.g. I had a 23 sense that I was interacting with other people in the virtual environment, rather than a 24 computer simulation), and five relating to self-presence (e.g. when something happened to 25 my virtual embodiment, it felt like it was happening to my real body). Participants were 26 asked to rate their agreement with the items on a scale from 1 = strongly disagree to 5 =27 strongly agree.

#### 3 used theory and model of personality is the Big Five personality traits: Conscientiousness, 4 Openness to New Experiences, Neuroticism, Agreeableness, and Extraversion (Cobb-Clark & 5 Schurer, 2012; Digman, 1990; John et al., 2008). 6 In this study we used the short version of the Big Five Personality Inventory (BFI-10, 7 Rammstedt & John, 2007). Participants were asked to rate how well a number of 8 statements describe their personality on a scale from 1 = disagree strongly, to 5 = agree 9 strongly. This 10-item measure has two questions relating to each of the Big Five 10 personality traits, with one of each pair being reverse scored. This measure has been 11 validated in both English and German, although only the former was used in this study. 12 13 4.2.3.5 Open Items 14 Participants general experience of the virtual reality concert were collected using four open 15 items in the post-concert questionnaire. These included the following: 16 • Feel free to add comments and general thoughts about your concert 17 experience here. 18 • List three things that you liked about this virtual reality experience. 19 List three things that you did not like about this virtual reality experience. 20 Where did you mainly look during the concert? (Particular performer, stage, 21 audience etc.). 22 23 Participants were invited to respond to these as a string variable in which they could include 24 as much detail as they liked. Participants typed their responses to these questions using the 25 laptop keyboard. The aim of these questions was primarily to allow participants to express 26 their thoughts about their individual experience; however, many of the responses were 27 relevant to the research questions of this chapter and have therefore been used in

4.2.3.4 A Brief Version of the Big Five Personality Inventory (BFI-10)

There are many validated methods of measuring personality traits, but the most commonly

29

28

1

2

subsequent analysis.

## 1 4.2.3.6 Demographic information

Questions regarding the sociodemographic traits of the participants were collected including
age, gender identity, first language, and education.

4

#### 5 4.2.4 Procedure

6 Participants booked one of the available slots via the expression of interest form they 7 completed prior to the main study. Participants came to the Department of Music, at the 8 University of York, and were met by the researcher and guided to the York Music Psychology 9 Group Listening Lab. Upon arrival they read the information sheet and provided informed 10 consent, before being given a program, and briefing by the researcher. They then completed 11 the pre-concert questionnaire on the YMPG laptop which took between eight and 14 12 minutes. They were then invited to move and sit on a revolving office-style chair and given a 13 brief amount of training for using and calibrating the Oculus Quest 2 headset to their 14 requirements. The researcher was present throughout the calibration process to provide 15 support and answer questions. Once the participant was comfortable and had loaded the 16 convert video, the researcher left the room, thus allowing the participant to remove their 17 facemask for comfort, and to prevent the headset screen from being distorted by condensed breath. 18

19 The participants watched the first half of the concert and then they were invited to 20 remove the headset for a short comfort break before watching the second half of the 21 concert. During this time, they could look around with in the virtual environment at their 22 own discretion. When the concert had finished, the researcher came back into the room and 23 the participants completed the post-concert questionnaire which took between 12 and 19 24 minutes to complete. Upon completion, the participants received 15GBP compensation for 25 their time, and signed to say they had received it before being guided back to the entrance 26 of the building. The whole procedure took approximately one hour to complete.

# 1 4.3.5 Analysis

- 2 The quantitative data from the questionnaires was downloaded into SPSS version 28 for
- 3 analysis. Where applicable, JASP was used to conduct the factor analyses and R.studio was
- 4 used to extract the factor scores. The responses to the open questions were imported into
- 5 NVivo and iteratively coded and analysed using an inductive, thematic analysis approach.
- 6
- 7

#### 4.3 Results 1

- 2
- 4.3.1 RQ9: How do people describe their experience of a virtual reality presentation of 3
- a Western art music concert? 4
- 5 After watching the concert via the virtual reality headset, participants were invited to enter
- 6 three aspects of the experience that they liked, and three things they did not like. An
- inductive coding approach has been used to thematically code the responses. The codes 7
- 8 resulting from the content analysis can be seen in Table 17.
- 9

Table 17. The table shows the codes induced from the content analysis of the open responses

Code	Content
Ambiance	Comments relating to the environment, concert hall, atmosphere etc.
Comfort	Relating either to comfort of the headset, or physical experience of the image e.g. dizziness/nausea.
Control	Comments relating to autonomy over where participants could look, ability to pause the video, adjust the volume etc.
Focus	Ability to focus on concert or being distracted by the setting. Differs from immersion as it relates to a specific sensory or stimulus modality.
Image/view	Comments relating to what the participant can see.
Immersion	Comments relating to sensory immersion, absorption, authenticity of the experience, perception of it being 'real'.
Intimacy/closeness to musicians	Observations about personal locus in relation to musicians and/or the rest of the audience.
Lack of audience	Comments relating to absence of audience, audience interaction, connection with audience etc.
Music/musicians	Relating to repertoire, musical preference/familiarity, performance, interaction between musicians etc.
Novelty	Observations about previous experience of the technology, the type of concert, difference compared to other concert experiences etc.
Presence	The sense of being in the virtual world

10 to positives and negatives of the virtual reality concert experience. 11

Sound/audio Comments relating to what the participant can hear

1

While we did not approach the analysis with a predefined codebook, the questions were leading, asking participants to identify first positives and subsequently negative aspects of the virtual reality experience. For this reason, the responses were coded separately; however, as can be seen in Figure 11 the same types of codes immerged. Since different participants identified certain aspects of the concert as being either positive or negative, despite all environmental variables being kept constant (e.g. they used the same headset and viewed the same recording), we can infer the experience is subjective.

9



1(

11 Figure 11. The graph shows the number of times a code was identified in the inductive

coding process, separated by valence of question (positive/negative aspects of the virtual
reality concert).

14

The initial codes derived from the data were organised into three themes which can be seen in Figure 12. The first theme is more generally about the experience of engaging with the virtual reality concert condition including the extent to which participants had control over the experience, their perception of their ability to focus and the comfort of the experience. The second relates to the concert itself and includes audio-visual elements, the music, and the musicians. The final theme is made up of comments relating to presence and immersion, combined in part due to the semantic ambiguity and conflation that often arises and their relatedness to each other. Figure 13 depicts how Immersion can be conceived as being a result of the user experience and concert themes.

6





Figure 13. The Venn diagram depicts Immersion as being the combined result of the
experience and concert elements.

5	The outcome of the thematic analysis, based on the iterative coding of the data, can be seen
6	in Figure 14 and shows that there were generally more references to concert features,
7	including the music, musicians, audio, and visual aspects when participants were asked to
8	identify three aspects of the virtual reality experience that they liked. Conversely,
9	participants commented on the experience of the concert more often when asked to
10	provide three aspects of the virtual reality experience that they did not like. This theme is
11	heavily weighted by the 'comfort' code as the discomfort was commented on more than any
12	other code. Immersion has been identified as an element that participants liked about the
13	virtual reality experience and an element they did not like an equal number of times. Once
14	again, this points towards the subjectivity of the experience.



1

2 Figure 14. The bar chart displays the number of references to the Concert, Experience, and

3 Immersion themes in the data, separated by valence of question (positive/negative aspects
4 of the virtual reality concert).

5

6 4.3.2 **RQ10:** Do participants experience a change in mood following engagement with

# 7 a virtual reality Western art music concert?

8 In this study we have conceived of an increase in positive activation and valence, and a

9 decrease in negative activation as denoting enjoyment. Table 18 shows that based on a

10 paired samples t-test, positive activation and valence significantly increased during the

11 concert, and negative activation decreased. We have interpreted this as being indicative of

12 enjoyment of the event.

13

1 Table 18. The table shows the result of a pair samples t-test comparing pre- and post-concert

2 mood

		Standard	Mean			
Paired variables	Mean	Deviation	Difference	t	df	р
Pre-concert Positive Activation	3.81	0.5				
Post-concert Positive Activation	4.04	0.47	0.23	2.31	49	.013
Pre-concert Negative Activation	3.62	0.5				
Post-concert Negative Activation	3.36	0.52	-0.26	-2.64	49	.006
Pre-concert Valence	3.95	0.58		4.00		
Post-concert Valence	4.15	0.62	0.2	1.92	49	.03

3

## 4 4.3.3 RQ11: Does personality predict participants change in mood and enjoyment of a

# 5 virtual reality Western art music concert?

6 Previous research has shown that personality influences the enjoyment of virtual reality

7 experiences (Dewez et al., 2019; Jacques et al., 2009; Kober & Neuper, 2013; Rosenthal et

8 al., 2013; Wallach et al., 2010). Therefore, using a MANOVA we sought to explore the

- 9 influence of personality on enjoyment of a virtual reality concert, inferred from their change
- 10 in mood over the course of the concert. Table 19 shows that only openness to new
- 11 experiences influenced the overall change in mood, and enjoyment of the virtual reality
- 12 concert. Table 20 indicates that openness to new experiences is related to a significant
- 13 increase in positive activation, and a decrease in negative activation. Extraversion can also
- 14 be seen to significantly predict an increase in valance.
- 15
- 16 Table 19. The table shows the outcome of a MANOVA, in which the influence of the Big Five 17 personality traits on enjoyment (measured as a change in mood) is explored.

	Outcome			
Predictor variable	Variable	F (df)	р	Partial Eta Squared
Extraversion		.706 (3 <i>,</i> 40)	.554	0.050
Agreeableness		.563 (3 <i>,</i> 40)	.643	0.041
Open to new experiences	Enjoyment	4.717 (3 <i>,</i> 40)	.007	0.261
Neuroticism		.366 (3 <i>,</i> 40)	.778	0.027
Conscientiousness		.224 (3, 40)	.879	0.016

## 1

2 Table 20. The table shows the influence of each personality trait, measured using the BFI-10

3 *inventory, on participants change in mood, calculated from pre- and post-concert completion* 

4 of the PANAVA scale.

						95% Confi	dence	
						Interval		
Dependent			Std.			Lower	Upper	Partial Eta
variable	Parameter	В	Error	t	р	Bound	Bound	Squared
	Open to new							
	experiences	0.188	0.076	2.488	.017	0.036	0.341	0.128
Positive	Neuroticism	0.076	0.112	0.684	.498	-0.149	0.302	0.011
Activation	Conscientiousness	0.058	0.129	0.452	.654	-0.202	0.318	0.005
	Extraversion	-0.083	0.073	-1.138	.262	-0.23	0.064	0.03
	Agreeableness	-0.033	0.102	-0.327	.745	-0.239	0.172	0.003
	Open to new							
	experiences	-0.183	0.075	-2.453	.018	-0.334	-0.032	0.125
Negative	Neuroticism	-0.052	0.075	-0.468	.642	-0.274	0.171	0.125
Activation	Conscientiousness	-0.032	0.11	-0.372	.042	-0.304	0.209	0.003
	Extraversion	-0.047	0.127	-0.372	.289	-0.223	0.209	0.003
					.289			
	Agreeableness	-0.001	0.1	-0.006	.995	-0.203	0.202	0
	Open to new							
	experiences	-0.026	0.081	-0.323	.748	-0.19	0.137	0.002
Valence	Neuroticism	-0.063	0.12	-0.529	.599	-0.305	0.178	0.007
	Conscientiousness	0.054	0.138	0.39	.699	-0.225	0.332	0.004
	Extraversion	0.135	0.078	-2.442	.046	-0.193	0.123	0.105
	Agreeableness	-0.127	0.109	-1.161	.252	-0.347	0.094	0.031

5

6

# 7 4.3.4 RQ12: Does the social experience of a virtual reality concert adhere to the same

# 8 factor model as live presentations?

9 The extent to which participants had a social experience when engaging with a music event

10 via virtual reality can be explored in two ways. The first is through a qualitative exploration

of the open question data, and the second is by exploring the SECS questionnaire. Both will

12 be examined here.

1 Of the 50 participants, five directly commented on the audience, or lack thereof, in 2 their responses to the open questions. In fact, all five of these were in response to the 3 question list three things that you did not like about the virtual reality concert and they 4 specifically identified the lack of audience in relation to this category. The comments ranged 5 from the mere observations of absence e.g. "lack of audience reaction" and "I felt more 6 disconnected to others", to comparing this to live concerts: "no real audience beside 7 me...much less attractive than live performance" and "not as connected to the audience or 8 musicians compared to live concert". One participant went so far as to identify why the 9 absence of a physical audience had a negative impact on the experience: "I didn't like 10 feeling as though I was watching alone. It's nice to be able to discuss the music you're 11 watching with others" (quotations taken from participants' written responses to the open 12 questions). From these responses it is clear that a portion of the participants in this study (c. 13 10%) noticed the absence of an audience, and that this had a negative impact on their 14 experience. However, none of these replies explicitly mentioned the audience that was 15 visible in the video of the concert.

When asked *Where did you mainly look during the concert? (Particular performer, stage, audience etc.)* 48 participants mentioned the performers/musicians, five mentioned the stage, 11 mentioned the audience and five included other things such as the lighting or the "environment". It is not a surprise that the majority of the time, participants are watching the musicians and not looking at the virtual audience, as this is habitual in almost all genres of musical concert, but particularly so in Western art music concerts.

22 We can also explore the social experience of a virtual concert quantitatively, through 23 analysis of the SECS data. We have previously validated the SECS for use in live 24 environments in which physical presence determines the social experience, and mediated 25 digital environments in which synchronous, and asynchronous co-presence is explored. In 26 order to test whether the social experience of virtual reality co-presence adheres to the 27 SECS a confirmatory factor analysis was conducted. Based on the data, the X<sup>2</sup> value was 28 132.2, p = .065, which is not significant and indicates an acceptable model fit. Therefore, we 29 can conclude that a virtual reality social experience can be reliably measured and quantified

1 using the SECS.

2

**3** 4.3.5 **RQ13**: Are there demographic predictors of the strength of the social experience

4 of a virtual reality Western art music concert?

5 In the second chapter of this thesis it was found that concert, age, musical training, and co-6 attendance were all significant predictors of the extent to which an individual will report 7 having a social experience (O'Neill & Egermann, 2022). In Chapter 3 of this thesis 8 participants were grouped according to their engagement and preference for digital 9 concerts and this was found to significantly influence the extent to which they reported 10 their digital concert experience as being social. While not all of these variables were 11 available in the current study, we were able to explore the effect of age, education and 12 gender on the social experience using a MANOVA.

13 Unlike in Chapter 2, age did not significantly predict any of the social factors, and 14 nor did highest level of education. However, in the current data set, gender influenced 15 depth of processing. Identifying as male significantly predicted the social experience (F (5, 42) = 2.729, p = .032, Wilk's  $\Lambda$  = .755, partial  $n^2$  = .245). As can be seen in Table 21, being 16 17 male displays a non-significant trend towards greater levels of solidarity, and a non-18 significant trend towards a reduced depth of processing. This finding should be treated with 19 caution as it is not significant and the effect sizes only just meet the medium threshold of 20 .06 - .14 (Levine & Hullett, 2002; Richardson, 2011). It is also important to recognise that 21 treating gender in this way is problematic because the sample size in the groups is not 22 equally distributed (male n = 16, female n = 33, identified as gender non-conforming, 23 gender-queer, or non-binary n = 1).

24

Table 21. The table shows the non-significant trend of gender on social factors depth of
 processing and solidarity.

						95	5% CI	
Predictor	Outcome		Std.			Lower	Upper	Partial Eta
Variable	Variable	В	Error	t	р	Bound	Bound	Squared

			-1.812	.076	-1.333	0.07	0.067	
Proc	essing							
Solid	arity 0.772	0.424	1.82	.075	-0.082	1.625	0.074	

1

To extend our understanding of the interindividual differences which predict the extent to which people are likely to have a social experience, in this case in a virtual reality concert, we explored the influence of the Big Five personality traits. Many of the Big Five personality traits relate to how we interact, not only with our environment, but also with those around us. To explore whether personality has an influence on the extent to which participants will have a social experience in a virtual reality concert we ran a MANOVA with the Big Five personality traits as the predictors and the social experience factors as the dependant

9 variables. Table 22 indicates that extraversion and agreeableness significantly predict the

10 extent to which a participant will report having a social experience.

11

12 Table 22. The table shows the multivariate test, based on Pillai's trace, of the influence of

13 personality on the extent to which participants report having a social experience in the

14 *virtual reality concert.* 

Effect	F (df)	р	Partial Eta Squared
Extraversion	15.470 (5 <i>,</i> 38)	<.001	0.667
Agreeableness	2.936 (5 <i>,</i> 38)	.02	0.283
Open to new experiences	.838 (5 <i>,</i> 38)	.531	0.147
Neuroticism	1.690 (5 <i>,</i> 38)	.161	0.194
Conscientiousness	.579 (5 <i>,</i> 38)	.716	0.068

15

To further explore this effect, multiple linear regression models were conducted to ascertain which SECS factors are particularly predicted by personality. We can see in Table 23 that extraversion significantly causes an increase in participants' satisfaction at being part of the audience, their solidarity with other audience members, and the extent to which they consider members of the audience to be similar to each other, and themself. Satisfaction and solidarity are also both positively influenced by agreeableness.

- 22
- 23

						95% Coi	nfidence	
						Interval		Partial
Outcome			Std.			Lower	Upper	Eta
Variable	Predictor Variable	В	Error	t	р	Bound	Bound	Square
Satisfaction	Open to new							
	experiences	-0.227	0.144	-1.576	.123	-0.518	0.064	0.056
	Neuroticism	-0.147	0.197	-0.746	.46	-0.545	0.251	0.013
	Conscientiousness	0.314	0.227	1.385	.173	-0.144	0.772	0.044
	Extraversion	0.629	0.129	-4.88	<.001	-0.889	-0.369	0.362
	Agreeableness	0.336	0.179	1.877	.047	-0.025	0.698	0.077
Solidarity	Open to new							
	experiences	0.024	0.112	0.212	.834	-0.202	0.249	0.001
	Neuroticism	0.226	0.153	1.475	.148	-0.083	0.534	0.049
	Conscientiousness	0.11	0.176	0.624	.536	-0.245	0.465	0.009
	Extraversion	0.752	0.1	7.527	<.001	0.551	0.954	0.574
	Agreeableness	0.392	0.139	2.824	.007	0.112	0.673	0.16
Self-	Open to new							
Definition	experiences	-0.13	0.153	-0.85	.4	-0.438	0.178	0.017
	Neuroticism	-0.212	0.209	-1.013	.317	-0.634	0.21	0.024
	Conscientiousness	0.025	0.241	0.106	.916	-0.46	0.511	0
	Extraversion	0.411	0.137	-3.008	.004	-0.687	-0.135	0.177
	Agreeableness	-0.129	0.19	-0.679	.501	-0.512	0.254	0.011
Attention	Open to new							
	experiences	-0.382	0.262	-1.457	.152	-0.91	0.147	0.048
	Neuroticism	-0.086	0.359	-0.239	.812	-0.81	0.638	0.001
	Conscientiousness	-0.292	0.413	-0.708	.483	-1.125	0.541	0.012
	Extraversion	-0.28	0.234	-1.196	.238	-0.753	0.193	0.033
	Agreeableness	0.066	0.326	0.203	.84	-0.591	0.724	0.001
Depth of	Open to new							
Processing	experiences	0.179	0.149	1.2	.237	-0.122	0.479	0.033
	Neuroticism	-0.178	0.204	-0.875	.386	-0.59	0.233	0.018
	Conscientiousness	0.147	0.235	0.625	.535	-0.327	0.62	0.009
	Extraversion	0.087	0.133	0.65	.519	-0.182	0.355	0.01
	Agreeableness	0.2	0.185	1.078	.287	-0.174	0.573	0.027

1 Table 23. The table shows the influence of each personality trait on the five SECS subfactors

2 based on a multiple linear regression model.

•

1 4.3.6 RQ14: Do social, physical and self-presence predict the social experiences of a

2 virtual reality Western art music concert?

3 To test this research question, a confirmatory factor analysis was conducted with the data 4 collected via that Multimodal Presence Scale for Virtual Reality Environments (MPS) to ascertain whether our data fits the theoretical model. The chi squared test was not 5 6 significant which indicates that the model is of an appropriate fit ( $x^2 = 90.45$ , p = .38) since it does not differ significantly from the modelled covariance matrix. However, the additional 7 8 fit indices did not meet the acceptable thresholds (RMSEA = .097, SRMR = .085, CFI = .899), 9 TLI = .878. Therefore, we tested the internal reliability of the scale and subfactors. The 10 results of this are shown in Table 24, each factor has an alpha score of above .76 which is 11 the very high threshold, and the overall scale has a strong reliability score of over .91 12 (Tavakol & Dennick, 2011). Based on this result we conducted all subsequent analyses with 13 the theoretical factor structure.

14

15 Table 24. The table shows the internal reliability for the Multimodal Presence Scale for

16 Virtual Reality Environments (MPS), and its three subfactors: physical, social, and self-

17 presence.

	Cronbach's Alpha	Cronbach's Alpha Based on Standardized Items	N of Items
Overall Presence	0.915	0.917	15
Physical Presence	0.843	0.844	5
Social Presence	0.783	0.788	5
Self Presence	0.894	0.895	5

18

25

19 To test whether perception of presence in the virtual environment influences the social

20 experience of a virtual reality concert a MANOVA was conducted. The results, show that

21 physical presence F(5, 42) = 26.06, p < .001; Wilk's  $\Lambda$  = .244, partial  $\eta^2$  = .756, and social

22 presence F(5, 42) = 42.88, p < .001; Wilk's  $\Lambda$  = .164, partial  $\eta^2$ = .836 are significant predictors

23 of the social experience. However, self-presence is not F(5, 42) = .699, p = .627; Wilk's  $\Lambda =$ 

24 .923, partial  $\eta^2$ = .077.

To investigate which social factors were significantly influenced by presence,

1 multiple ANOVA were conducted. The results in Table 25 show that social presence 2 significantly positively influences the extent to which participants experienced satisfaction 3 at being part of the audience, solidarity with other audience members and the amount of 4 attention they paid to other members of the audience. Physical presence significantly and 5 positively influences the amount of attention participants paid to other audience members 6 and shows a non-significant trend toward positively influencing the depth with which 7 participants processed other members of the audience. Finally, although there was no 8 overall significant effect of self-presence on the social experience, the results show a non-9 significant and positive trend towards influencing the social satisfaction of participants.

10

11 Table 25. The table shows the influence of social, physical and self presence on the

12 participants perception of the social experience of the virtual reality concert.

						95% Cor	nfidence	
						Interval		
Outcome	Predictor		Std.			Lower	Upper	Partial Eta
Variable	Variable	В	Error	t	р	Bound	Bound	Squared
Satisfaction	Social	0.968	0.213	4.545	<.001	0.539	1.397	0.31
	Physical	-0.193	0.214	-0.901	.372	-0.624	0.238	0.017
	Self	0.261	0.148	1.762	.085	-0.037	0.559	0.063
Solidarity	Social	1.512	0.104	14.499	<.001	1.302	1.722	0.82
	Physical	0.056	0.104	0.537	.594	-0.153	0.265	0.006
	Self	0.04	0.072	0.549	.585	-0.106	0.185	0.007
Self-	Social	0.081	0.127	0.637	.528	-0.175	0.337	0.009
definition	Physical	0.666	0.418	1.592	.118	-0.176	1.509	0.052
	Self	0.043	0.088	0.484	.631	-0.135	0.22	0.005
Attention	Social	1.096	0.42	6.229	.02	-0.943	0.75	0.701
	Physical	1.321	0.126	10.446	<.001	1.067	1.576	0.703
	Self	-0.007	0.291	-0.025	.98	-0.593	0.579	0
Depth of	Social	0.117	0.229	0.511	.611	-0.343	0.577	0.006
Processing	Physical	0.423	0.228	-1.861	.069	-0.881	0.035	0.07
	Self	0.054	0.158	0.339	.736	-0.265	0.372	0.002

13

# 1 4.4 Discussion

2 In this study, we sought to explore the social experience of a virtual reality Western art 3 music concert. Participants were presented with a programme of Beethoven, Dean and 4 Brahms via an Oculus Quest 2 head mounted display which presented the visual elements of 5 the concert in a 360°, three-dimensional field with binaural sound. Before and after the 6 virtual reality concert, participants completed questionnaires which allowed us to collect 7 data to respond to our research questions. Since there is limited previous research on the 8 virtual reality presentation of Western art music concerts, we were interested in the overall 9 experience of participants (RQ9), which we explored through a thematic analysis of their 10 responses to the open questions in the survey. In addition to this, we used these responses 11 to consider the extent to which a virtual reality concert is considered to be social by the 12 participants, and apply the model for capturing this identified in Chapter 2 of this thesis 13 (RQ4). We used pre- and post-concert mood ratings to calculate participants change in 14 mood as a result of the concert, and took an increase in positive activation and valence, and 15 a decrease in negative activation as an indicator of enjoyment (RQ10). Previous research has 16 found that personality influences engagement and reception of virtual reality in a range of 17 contexts. Therefore, we used the brief Big Five Inventory to investigate the effect of 18 personality on the enjoyment of the virtual reality concert (RQ11) and to explore whether 19 personality, or any other demographic characteristics predicted the extent to which a 20 participants would have a social experience (RQ13). Finally, previous research on virtual 21 reality has identified immersion and presence as being particularly salient features of the 22 technology, and our participants frequently commented on their immersion within the 23 concert. Existing literature has identified that these terms are often synonymised by 24 scholars and lay people alike. Therefore, we used a measure of presence which included 25 self-presence, social presence, and physical presence to see if this predicts the social experience of a virtual reality Western art music concert (RQ14). These results will be 26 27 discussed and interpreted in this section according to the literature and theory identified in 28 the introduction of this chapter.

1 While it is not correct to say that there is no research on the use of virtual reality in 2 Western art music engagement, it is a limited field. Those that have done so predominantly 3 sought to gamify the experience and introduce participatory elements (e.g. Antoshchuk et 4 al., 2018; Droste et al., 2018; Hwang et al., 2017), or use the technology in music education 5 settings (e.g. Orman et al., 2017; Serafin et al., 2017). Popular music has engaged with 6 virtual reality to an extent, with some acts producing marketing materials or videos that 7 utilise three-dimensional, 360° technology. There are many possible reasons for the apathy 8 towards presenting concerts in virtual reality, which may include the cost of head mounted 9 displays, and the cost of producing suitable recordings. It could also be the case that there is 10 a level of discomfort, both regarding the headset and the disorientation or cybersickness 11 caused by the video. These are both comments that arose from the open responses in the 12 post-concert questionnaire that participants completed with participants commenting on 13 the discomfort of the headset (48% participants) and visual image (36% participants). This 14 observation has been made in previous virtual reality research (Biocca & Levy, 1995; 15 Gigante, 1993), which has also found that it is disproportionately uncomfortable for female 16 users due to the typically larger female interpupillary distance (Stanney et al., 2020) and field of view (Grassini & Laumann, 2020). This could be mitigated by the development of 17 18 lighter, less intrusive hardware, more flexibility in the set-up of an image to account for the 19 previously mentioned physiological differences, or to develop a more automated panning 20 mechanism of the YouTube 360° function, which users can control with head movements 21 but without having to wear a head mounted display.

22 The most commonly coded positive aspects of the virtual reality concert experience 23 were the intimacy or closeness to the musicians, and the sound or audio. The former is a 24 significant advantage of not only virtual reality, but many digital presentations of arts. 25 Audiences can access perspectives that they would not in a live setting and this intimacy 26 seems to be valued (Lovejoy, 2014). This is an aspect of presenting concerts digitally that producers, artists, and directors should continue to consider in order to attract audiences to 27 28 engage with arts through virtual reality. The appreciation of the audio highlights another 29 aspect of producing media for this format that should continue to be prioritised. The quality

1 of the auralisation influences the extent to which a user can suspend their disbelief and 2 become immersed in the virtual environment (Ballestero et al., 2017), the more responsive 3 the sound localisation is to participants movements, the more 'real' the experience will be 4 perceived to be. This was highlighted by participants' responses to the open questions in 5 our survey too. Therefore, a further recommendation to those considering the production 6 of virtual reality Western art music concerts should note the importance of this factor. 7 Conversely, the image or view was the most frequently cited code in response to negative 8 aspects of the experience, with participants identifying lag, jitter, image clarity, or moments 9 when the audio and video where not synchronised.

10 Immersion, which is often conflated with presence, was identified almost equally in 11 the response to the positive comments (46% of participants), and the negative comments 12 (40% participants). The positive comments relating to audio (Poirier-Quinot et al., 2016; 13 Postma et al., 2016), and negative observations about the visual aspects (Dhaya, 2020) of 14 the virtual reality experience will have influenced the extent to which participants felt 15 immersed in the virtual environment. The relative success of these elements seems to have 16 resulted in the mixed response to immersion. While our stimuli did not have explicit haptic 17 elements, the discomfort of the head mounted display is also likely to have impacted 18 immersion with participants conscious that they were wearing one (Carnegie & Rhee, 2015; 19 Howarth & Costello, 1997). Other than improving the comfort of the hardware, producers 20 could consider ways in which to introduce haptic elements into the virtual reality experience 21 without deviating too far from a typical live concert experience, perhaps by causing 22 vibration of the head mounted display or handheld controls during moments of applause.

The thematic analysis of responses was ultimately interpreted as having a hierarchical structure, with codes either relating to the concert itself, the overall user experience, or the immersion and presence. Based on the literature, we argue that both stimuli and experiential elements contribute to the immersion or presence expressed by participants. In Chapter 2 we concluded that the emotional response to the music and the overall enjoyment of a live concert are not synonymous (O'Neill & Egermann, 2022). This thematic model adheres to this differentiation, but also acknowledges the importance of

both in a virtual reality context. Based on the limited sample, and descriptive analysis of the
qualitative interpretation of results in this study the overall user experience was more
commonly coded as negative, and the concert was more commonly coded as positive, with
immersion and presence being bidirectionally coded. Therefore, in response to the first
research question, it could be concluded that people have a generally mixed experience of a
Western art music concert presented in virtual reality and that there may be other variables
that predict the enjoyment of this medium.

8 One such predictor of the enjoyment of virtual reality Western art music concerts 9 seems to be personality. In the third research question (RQ11) we sought to explore 10 whether personality traits predicted enjoyment of virtual reality concerts. This research 11 question was informed by the previous literature which suggests that there is a correlation 12 between openness to new experiences and absorption in virtual reality (Sacau et al., 2005), 13 and perceived ease of use of virtual reality (Srivastava et al., 2021). In addition to this, 14 Jurnet et al. (2005) and Weibel (2010) found that openness to new experiences, 15 extraversion and neuroticism were significantly correlated with immersion. While these 16 findings only indicate a non-directional relationship, our results replicate the finding that 17 openness to new experiences is related to perception of virtual reality, and that it indicates 18 greater enjoyment of a virtual reality experience, based on a significant increase in positive 19 activation and valence, and a significant decrease in negative activation. According to the 20 original theory of the Big Five personality traits, those who tend to have high trait openness 21 are more willing to engage with new ideas, experiences and tend to seek out novelty 22 (Digman, 1990). While this relationship is intuitive, the empirical and quantitative 23 confirmation of its existence contributes to the existing knowledge in the field. Extraversion 24 was also found to significantly predict an increase in valence in our study. Trait extraversion 25 is characterised by those who are social, assertive, emotionally expressive and excitable 26 (Wilt & Revelle, 2009). It may be that those who experience high trait extraversion naturally 27 report higher levels of valence; however, since we are conceptualising enjoyment as a change in mood, calculated by comparing pre-and post-concert ratings this possibly 28 29 confounding variable has been controlled for. Based on our data, no other personality traits

were significant predictors of enjoyment of a virtual reality Western art music concert. Of
 course, there may be other influential factors which predict enjoyment of virtual reality
 Western art music concerts, and this would be a potential topic for future research to
 explore.

5 The fourth research question relates to the extent to which the social experience of 6 a virtual reality concert adheres to the model or structure that describes the social 7 experience of a live concert. As with a live concert, the stimuli for this video only facilitated 8 parasocial interactions in which the viewer can perceive information from the audience 9 members they can see, but not respond to them or interact in any way. In fact, the virtual 10 reality experience accentuates this as not only was interaction during the concert 11 systemically discouraged (Burland & Pitts, 2016), it is entirely impossible. Yet, in previous 12 chapters we have found that people still have a social or collective experience of Western 13 art music concerts, whether presented live or digitally. In this study, the participants had 14 some autonomy over where they looked during the concert from the vantage point from 15 which the footage was taken: the front of the stage. When asked where they predominantly 16 looked during the concert c.20% of participants mentioned the audience indicating an 17 awareness of the virtual co-presence. However, none of the open text answers mentioned the digital representation of the live audience captured in the concert recording, instead 18 19 various comments related to the absence of an audience, the lack of connection with others 20 compared to a live concert experience and the overall negative impact this had on the 21 virtual reality concert experience. From this we can conclude that people value the social 22 element of concert attendance at Western art music events, and that the virtual reality 23 experience has lessened the potential for this.

As in previous chapters, the social experience of the virtual reality concert was quantitatively captured using the SECS (O'Neill & Egermann, 2022). The data in this study fit the factor model, based on the non-significant X<sup>2</sup> measure of fit. This suggests that the social experience of a virtual reality concert adheres to the same factor model as live presentations (RQ12)

1 As with a lot of quantitative studies or quasi-experiments, as many confounding 2 variables as possible were controlled in the present study. For example, participants were 3 asked the same questions, took part in the study in the same location, experienced the 4 same stimuli, and were given the same guidance from the researcher during data collection. 5 However, in any research there are interindividual differences that have the potential to 6 influence the outcomes. Our fifth research question in this chapter (RQ13) was developed to 7 explore these differences and compare them to predictors of social experience in the other 8 concert conditions examined in Chapters 2 and 3. In this quasi-experimental analysis we 9 sought to test the effect of these naturally occurring demographic differences, on the extent 10 to which people report having a social experience in a virtual reality Western art music 11 concert. Of the variables available in this data set, we explored the effect of age, highest 12 level of education and gender on the social experience. Unlike Chapter 2 (O'Neill & 13 Egermann, 2022), neither age, nor education were significant predictors of the social 14 experience of a virtual reality concert. However, in a virtual reality context gender does 15 seem to be a significant predictor of the overall social experience. More specifically, 16 participants who identified as being male showed a non-significant trend towards greater levels of solidarity, and a non-significant trend towards a reduced depth of processing. As 17 18 stated in the results section of this chapter, a non-significant trend is ultimately a non-19 significant result. However, the effect size is only just within the medium range (Levine & 20 Hullett, 2002; Richardson, 2011), and it might be that with a larger sample, and more equal 21 distribution of participants across the spectrum of genders, this effect would be significant. 22 This is a question that would require further research to address.

We also, for the first time in this thesis, explored the predictive effect of the Big Five personality traits on the extent to which participants have a social experience. Based on the previous literature we posited that participants with high trait extraversion and agreeableness, and that those with low trait neuroticism would have a more social experience since previous research had identified a correlation between these variables in a virtually mediated space (Sacau et al., 2005). This relationship was directly replicated based on our analyses, in which we found that extraversion significantly indicates an increase in

1 solidarity, satisfaction and self-definition, and agreeableness significantly results in an 2 increase in satisfaction and solidarity. As previously discussed, trait extraversion is 3 characterised by a general sociability (Wilt & Revelle, 2009) and in this study, this has been 4 found to be true in a virtual environment. In particular, extraversion predicts feelings of 5 being bonded to the rest of the audience, satisfaction at being part of the group and 6 identifies audience members as being more similar to each other, and to themself. 7 Extraversion and agreeableness have both previously been found to predict relationship 8 satisfaction in real-world environments (Tov et al., 2016), and that extraversion which leads 9 to social connectedness, also predicts well-being (Lee et al., 2008). The effect of 10 extraversion on self-definition is less intuitive; however, there is research to suggest that 11 extroverts are very consistent in their perceptions of actual and ideal selves (Hendrick & 12 Brown, 1971). Perhaps this assuredness of self-definition also allows those with high trait extroversion to make consistent judgements about the perceived similarity of members of a 13 14 group. As an alternative interpretation of these results, we posit that due to reciprocal 15 determinism, through which a person's behaviour both influences and is influenced by 16 personal factors and the social environment, extraverts generally perceive others to also be 17 more extroverted and therefore similar to themselves (Bandura, 1978). In real world 18 situations their extraversion makes others behaviour more extrovertedly (Eaton & Funder, 19 2003), and since there is only parasocial interaction available in the virtual environment 20 (Giles, 2002) which cannot contradict their real-world based expectation they are 21 predisposed to rate others as being more similar to themselves.

22 Agreeableness defines those who possess this trait as being likeable, pleasant 23 (Graziano & Tobin, 2009), and who have a "motivation to maintain positive social relations" 24 (Crowe et al., 2018, p. 772). Based on this descriptor it is not surprising that it predicts a 25 more social relationship, but it is interesting that this translates to co-presence and virtually 26 mediated parasocial relationships. Once again, the specific social factors which are 27 influenced by trait agreeableness are satisfaction and solidarity. It seems likely that there 28 may be a predisposition of those who experience high trait agreeableness to experience 29 and report higher levels of satisfaction generally (Tov et al., 2016), and this translates into

their real-world social satisfaction (Fors Connolly & Johansson Sevä, 2021; Wieczorek et al.,
 2021). Our findings show that this is also true in a virtual environment.

3 Based on previous research, we may have expected participants with lower levels of 4 trait neuroticism to report having a more social experience based on previous literature 5 that found this to be the case in real world settings (Back, 2021; Back et al., 2011; Harris & 6 Vazire, 2016; Mund et al., 2016; Weibel, 2010). Indeed, Tsay and Bodine (2012) found that 7 neuroticism was the only significant predictor of parasocial dimensions, suggesting that 8 neurotic individuals experience stress due to real-world social anxiety, and simultaneously 9 they may also encounter a fear of social exclusion. This has been found to be mitigated by 10 parasocial relationships (Wang et al., 2008), which we suggest are present in Western art 11 music concerts generally, including in virtual reality. Despite this, neuroticism was not found 12 to be a significant predictor of the social experience of participants in our study. It has been 13 suggested that neuroticism is the result of a neural system which identifies differences 14 between expected and lived situations (Eisenberger et al., 2005). In virtual reality the place 15 and plausibility illusion intensify the threat perception for those with high trait neuroticism, 16 which will cause them to employ avoidance strategies such as disengagement, to bring their 17 cognitive and emotional appraisal back within their optimal level of processing and arousal 18 (Lin, 2017). If this is the case, then their disengagement may have reduced their perception of the social experience of a concert resulting in an unstructured rating of its factors. 19

The final research question in this study, and thesis (RQ14) was whether participants who experience higher levels of physical presence, social presence, and self-presence report stronger social experiences in a virtual reality concert. Our results indicate that social and physical presence are significant predictors of the social experience of a concert, but that self-presence is not. More specifically, social presence positively predicts the satisfaction participants report at being part of the audience, solidarity with other audience members and the amount of attention they paid to other members of the audience.

Social presence captures the extent to which an individual perceived those with
whom they are virtually co-present to be real and able to interact with them. Those who
experienced greater levels of perceptual realness seem to have done so by paying greater

1 attention to other members of the audience. As identified in Chapter 3, the satisfaction 2 subscale of the SECS may not consistently be able to differentiate between satisfaction at 3 being part of the audience, as conceived as the in-group, and their satisfaction with the 4 concert generally. Social satisfaction and enjoyment may, therefore, become conflated. The 5 effect of social presence on bonding adheres to the model proposed by Ning Shen and 6 Khalifa in which awareness, affective social presence and cognitive social presence predict 7 sense of community (2008). They found that this effect is mediated by a users' motivation, 8 and it would be interesting to apply this to a virtual reality concert in future research.

9 In addition to this, physical presence, or the extent to which the virtual environment 10 felt real and captivating to the user, concurrent with their real-world expectations, 11 predicted the amount of attention participants paid to other audience members and shows 12 a non-significant trend toward positively predicting the depth with which participants 13 processed other members of the audience. In the real-world, it is well recognised that the 14 presence of other people attracts attention (Bosch, 2018; Platania & Moran, 2001), and 15 those that perceive the virtual environment to be more real also pay greater attention to 16 the audience. Thus, we can conclude that the mere presence effect is also experienced in 17 virtual environments.

18 In summary, there is an appetite for engagement with virtual reality presentations 19 of Western art music concerts (RQ9). Some of the advantages identified include the 20 accessibility of watching a concert without having to go to a concert hall, the novelty of the 21 technology, the immersion and the potential for otherwise inaccessible perspectives and 22 intimacy with the performers. However, there are also limitations with the current 23 technology which is physically uncomfortable to wear for long periods of time, and the 24 experience of cyber sickness by some participants. It must also be acknowledged that the 25 hardware, and production of the media is currently expensive. In our study, participants 26 experienced a significant increase in positive activation and valence, and a significant 27 decrease in negative activation (RQ10), and personality was found to predict enjoyment of 28 the virtual reality experience (RQ11); specifically, openness to new experiences and 29 extraversion. The extent to which participants have a social experience is also influenced by

personality traits, particularly extraversion and agreeableness (RQ13). This finding
contributes identified interindividual differences that have previously been identified in this
thesis. Finally, we found that social and physical presence predict the social experience of a
concert (RQ14), and this was supported by the qualitative analysis of the open questions.
With a few exceptions, many of our findings on the effect of personality on the social
experience have replicated the results of previous research, what is novel about our
findings is that they replicate these relationships in a virtual setting.

8

## 9 4.4.1 Limitations

10 While we have utilised the responses to the open questions in our analysis and 11 interpretation of this study, the questions were not necessarily designed to capture such 12 pivotal information. As such, it is likely that they were not optimally phrased to explore the 13 topic. Asking participants to type open text responses is more demanding than rating scales 14 (Reja et al., 2003), and as a result the depth of their responses to these questions was often 15 limited. However, these insights allowed us to explore this mode of presentation of Western 16 art music concerts, which has not been done in this way before. Therefore, conducting 17 further qualitative research would yield further insights into peoples' opinions on virtual 18 reality and inform future directions of scholarship, and technological and programming 19 development in this area.

20 Other measures which were suboptimal include the use of the PANAVA to capture 21 enjoyment. While there is an intuitive mechanism whereby an increase in positive activation 22 and valence are indicative of enjoyment, this measure is not validated to measure this 23 variable. It would have been better to use a tool that has been found to reliably measure 24 enjoyment of virtual reality. To our knowledge no such scale exists; therefore, future 25 research could seek to develop this. In addition to that, the differentiation between 26 immersion and presence is often indistinct and therefore we have used the open text 27 responses and the MPS to cautiously make conclusions that cover both concepts. Future

research should attempt to clarify these key aspects of a virtual experience, and develop a
 tool that can capture both.

3 As with the research in Chapter 3, this data was collected with restrictions mandated 4 by the COVID-19 pandemic response. The effect is likely to have been less significant as 5 participants would have had to complete the study two at a time at most due to the 6 availability of Oculus Quest 2 headsets. However, wearing a mask, social distancing and the 7 enhanced cleaning protocols may have influenced the experience. It must also be 8 acknowledged that asking people to come to the York Music Psychology Group Listening Lab 9 reduces the ecological validity of the experience. However, virtual reality is meant to 10 suspend a user's awareness of their physical location, so perhaps this is not as much of a 11 limitation as with other presentations of stimuli.

12 A key variable in this study was the social experience of the concert, for which 13 participants were invited to consider "the audience that you could see in the recording and 14 to other people who watch the concert in VR, like you" (taken from the questionnaire). 15 However, the vantage point of the 360° video was directly at the front of the stage. While 16 this created an intimacy with the performers and provided a perspective that is not typically 17 available to audience members, it limited the extent to which participants could see the 18 audience in the video, unless they purposefully turned to look at them. It would have been 19 better to film the concert from three or four rows back to give a more realistic experience of 20 being in a concert audience.

Finally, the use of Western art music in this study means there are many remaining questions about how people would respond to other musical genres, or even more visually engaging performing arts, such as dance or opera, which lend themselves more naturally to virtual reality presentation.

25

## **26** 4.4.2 Conclusion

To conclude, we have found that personality predicts the enjoyment and social experience
of a virtual reality concert, in particular extraversion, agreeableness, and openness to new

experiences. Social and physical presence also predict the extent to which a user will rate
 the concert experience as being social. Many of these results are in line with previous
 research; however, we have provided a substantial original contribution to the field by
 replicating them in a virtual reality setting.

5 There is a risk that as the technology develops, researchers will bypass contributing 6 to the scholarship on virtual reality Western art music concerts, in favour of artificial 7 intelligence (AI) and computationally generated music, for example. However, this study 8 has found that concert attendees have an appetite for engaging with music events in this 9 way, which supports the need for further research. This could include presenting Western 10 art music concerts with computer generated visuals designed specifically for the piece, or 11 include more interactive elements in the presentation of the concert. Indeed, exploring 12 other genres and art forms generally would be a good next step.

In order for the technology to be more attractive it needs to be made more fiscally
accessible to create appropriate media, and more comfortable head mounted displays need
to be developed. Those seeking to harness virtual reality to develop their audience will
need to consider these limitations when programming media in this format.
- 6 Chapter 5

## 7 General Discussion

- Ŭ

### 1 5.1 General discussion and synthesis

2 The main aim of this thesis was to explore how social factors contribute to the overall 3 experience of a concert. As discussed in the general introduction, and the literature reviews 4 in each of the subsequent chapters, there is significant research on the social implications of 5 music making and consumption. Yet the very format which implies the presence of others to 6 a greater extent than other modes of music engagement: concerts, has received relatively 7 little quantitative exploration of the mechanisms that influence this relationship. Based on 8 the existing literature, four key factors were identified as either being predictors or 9 predicted by the social experience of a concert: interindividual characteristics, concert 10 features including mode of presentation and musical repertoire, emotional response to the 11 music, and enjoyment of the overall event. The theoretical model derived from this 12 summary can be seen in Figure 16.





15 *Figure 15. Theoretical Framework* 

- 1 This model was explored and tested through three studies, discussed in Chapter 2, 3, and 4
- 2 of this thesis and the key results will be summarised below, before moving into a thematic
- 3 discussion of the implications and findings.

#### **1** 5.1.1 Study 1 - Development of the Social Experience of a Concert Scales (Chapter 2)

2 As mentioned above, there is evidence that the presence of others influences the way in 3 which people interact with music, and yet there was no existing method of capturing this, or 4 any discussion of the mechanisms by which it is influential. Therefore, the first aim of the 5 project was to develop, and psychometrically validate such a tool. The first iteration of this 6 was based on items taken from the Parasocial Interaction Inventory (Schramm & Hartmann, 7 2019), specifically relating to the amount of attention paid to others, and the depth at which 8 they were processed. Further items were based on the In-group Identification Measure 9 (Leach et al., 2008), which quantified the homogeneity, centrality, solidarity, satisfaction 10 and self-stereotyping involved with making in-group/out-group judgements. Using 11 recognised statistical techniques, we reduced the number of items and validated a 14-item 12 set of five scales which have been repeatedly found to reliably capture the social experience 13 of a concert.

14 To contextualise the data collected using the newly developed tool, we explored 15 which variables, if any, could predict the social experience of a concert, including a range of 16 concert features and interindividual characteristics. The significant relationships can be seen 17 depicted in Figure 16, which is an augmented version of the theoretical framework depicted 18 in Figure 15. Of those variables that we tested, we found that attending the concert with 19 other people, observable interindividual traits such as participants age, and latent 20 characteristics such as musical training when prior knowledge of the audience members was 21 available, and the type of concert were all significantly influenced by the extent to which 22 participants considerd a concert to have been a social experience. The type of concert 23 relates to the repertoire being performed and the mode of presentation; for example, 24 concerts with participatory elements were found to be perceived as more social.

Counter to our hypotheses, the results indicated that the social experience does not predict emotional response to the musical stimuli. However, there is precedent for this finding as previous research has found that the presence of others distracts an individual from the music (Egermann et al., 2011), thus weaking the emotion induction mechanisms which are known to be predicated on musical attention (Juslin, 2008). Despite this, the overall enjoyment of a live music event was found to be predicted by the social experience,
with those participants who rated their perception of the concert as being more social also
reporting greater enjoyment of the event. While this is an interesting finding in and of itself,
it is also counter to some previous scholars' assertions that a concert experience is
synonymous with responses to the music: if one is influenced by the social experience but
the other is not then we suggest that they are separate.

7



9 Figure 16. The path diagram displays the findings of the study described in Chapter 2.10

11 The main original contribution of this chapter, and arguably the most impactful in 12 the thesis, is the psychometric validation of a new tool to capture the social experience. The 13 five-factor model, which is grounded in theory and statistically validated, could help 14 develop further understanding of the research field of music psychology, and contribute to 15 the development of new ways to present concerts within the industry.

16

# 5.1.2 Study 2 - Exploring the social experience of live and digitally presented concerts (Chapter 3)

Having explored the social experience of a live concert, the main aims of the third chapter 3 4 were to compare the social experience of different presentations of the same concert. First, 5 it was necessary to validate the use of the Social Experience of a Concert Scales to capture 6 the social experience of digital concerts. In many ways the digital concert adheres to the 7 parasocial interaction theory, on which the scale was based, to a greater extent than a live 8 concert. While interaction is discouraged in traditional live Western art music concerts, the 9 digital presentation of them introduces a further barrier to discourse and thus emphasises 10 the parasocial nature of audience perception. The fit indices of the confirmatory factor 11 analysis corroborated this assumption, and the Social Experience of a Concert Scales was 12 found to be a valid way of capturing the social experience of digital concerts. Once again, 13 the significant findings are depicted in Figure 17, and these are summarised below.

14 First, the social experience of a live and a digital on-demand version of the exact 15 same concert were compared. The results indicate that the physical presence of others 16 results in a greater social perception than virtual co-presence. The potential for required 17 action posed by the physical presence of others serves an evolutionary function (Bosch, 18 2018; Cottrell et al., 1968; Platania & Moran, 2001). The mere presence of others increased arousal in preparation for a fight/flight/freeze response (Zajonc et al., 1969); thus, the live 19 20 concert was perceived as more social. However, we also found that it is possible to influence 21 the collective experience of digital concerts through the facilitation of social interaction 22 between participants. Using streaming platforms which invited user interaction, and 23 initiating conversation, whether spoken, typed or through the use of emoticons, increased 24 the social potential of an event.

In collaboration with previous analysis on responses from the same sample,
 participants were categorised based on their previous engagement and enjoyment of digital
 concert consumption (Egermann et al., In review). Those participants who were identified as
 being Digital Concert Enthusiasts were found to have a more social experience, based on the

main effects of our model. This grouping was also found to moderate the effect of social
 facilitation on the perceived social experience.

3 With a view to replicating the findings in the first study to digital concerts, we once 4 again modelled the predictive effect of the social experience of a concert on the enjoyment 5 of the event. In this case, enjoyment was conceived as being represented by an increase in 6 positive activation and valence, and a decrease in negative activation. Overall, the social 7 experience of a concert once again predicted the enjoyment of the event, with three factors 8 significantly contributing to this effect, excluding depth of processing and self-definition. 9 How similar people perceive members of the audience to be to each other (homogeneity) 10 and to themselves (self-stereotyping) does not seem to influence their enjoyment.



11

12 Figure 17. The path diagram depicts of the findings of the results of Chapter 3.

- 14 The results of this chapter provide the most systematic and controlled comparison of live
- 15 and digital concerts, to our knowledge. The use of the same stimuli material allows direct
- 16 comparisons to be made between these two modes of presentation due to the
- 17 experimental control it affords. In addition to this, the focus on facilitating social interaction

- 1 within digital concerts is a novel approach, and provides evidence for achievable
- 2 mechanisms to moderate digital presentations of music events to enhance the social
- 3 experience. The finding that this increases participants enjoyment of the event indicates
- 4 that concert programmers should consider doing so.
- 5
- 6

5.1.3 Study 3 - Presence, immersion and personality predict enjoyment and social
 experience of a virtual reality Western art music concert (Chapter 4).

3 Technology is constantly and exponentially evolving and as it does so, it becomes more 4 accessible and affordable to an increasingly computer literate society. An example of this is 5 the commercially available head mounted displays, through which virtual reality media can 6 be consumed. Many entertainment sub-industries have embraced this, in particular 7 ludology and narratology, and yet the arts entertainment industry, including music, has 8 shown limited interest. Therefore, we took this as an opportunity to include a general 9 exploration of the overall experience of a semi-immersive Western art music concert presented in 360° via an Oculus Quest 2 head mounted display. The significant outcomes of 10 11 which can be seen in Figure 18.

12 The key features of the virtual reality concert on which participants commented 13 included the comfort, immersion, and intimacy of the experience. The codes identified in 14 their responses were thematically organised into concert features, user experience, and the 15 immersivity or perceived presence of the experience. Further to this, we explored the extent 16 to which they considered the virtual reality concert to be social and found that the 17 perspective from which the video was captured had hindered their ability to see those 18 audience members who were present at the live concert. However, when considering both 19 those audience members at the live concert, and people also watching the concert via 20 virtual reality, participants were influenced by this combined asynchronous co-presence.

21 Personality has been found to be predictive of individual's reception to virtual reality 22 by ludologists and in other similar fields of research (Srivastava et al., 2021); however, this 23 has never been applied to virtual reality presentations of music. Therefore, we explored 24 whether personality, as defined by the Big Five Personality Traits (Cobb-Clark & Schurer, 25 2012), predicts enjoyment, once again conceived of as a change in activation and valence. 26 The results indicate that extraversion and openness to new experiences significantly predict 27 enjoyment, which is in line with previous findings with other types of virtual reality stimuli. 28 Having not previously explored the effect of personality on perceived social engagement in a

concert setting, we used the Brief Big Five Personality Inventory and the Social Experience of
 a Concert Scales to explore this relationship. Extraversion and agreeableness were found to
 be the only predictors of solidarity, satisfaction or self-definition.

4 The literature review of virtual reality presentations of various media types 5 highlighted the importance of immersion and presence when considering engagement with 6 this technology. Immersion is defined as the level of engagement a user's experiences with 7 the virtual world. It is influenced by the ability of the virtual environment to provide 8 sensorial stimuli that mirror reality and is influenced by the technology and stimulus 9 material available. Presence is defined as the extent to which an individual feels they are in 10 the virtual world, and absorbed by it, forgetting their physical reality. Perfect or total 11 immersion and presence occur when the brain is unable to differentiate between virtual 12 simulation and real stimulation. The difficulty of differentiating these concepts transcends 13 expertise and they are often conflated conversationally, and in the research. As such, any 14 mention of one, in the responses of participants, was cautiously treated as representing 15 both. However, we chose to use a measure of presence to quantitatively test its predictive 16 power on the social experience of a virtual reality concert. The results indicate that social 17 presence, and physical presence predict various social experience factors, but self-presence 18 does not.





3

1

The absence of a meaningful body of literature on the virtual reality presentation of 4 5 concerts means that much of this chapter was exploratory and used findings from other 6 fields to explain the results. However, the benefit of being one of the first studies to do so, 7 is that the results offer considerable new insights on the topic. To have replicated our own 8 previous findings on the social experience of a Western art music concert in a virtual reality 9 context show that the relationship between concert factors, personality, and the social 10 experience are maintained when music is presented in this way. A key takeaway from this 11 study is that there is an appetite for concerts presented in virtual reality and programmers 12 and curators could consider ways to adapt their musical presentation to suit the technology. 13

- 14
- 15

#### 1 5.2 Thematic discussion, Implications and Future Research

The theoretical framework defined in the introduction identified two predictors of the social
experience of a concert: personal characteristics and concert features, and two outcomes:
enjoyment of the event and emotional response to the music. These will be discussed in this
section, and implications and suggestions for future research will be considered.

6

#### 7 5.2.1 The influence of concert features on the social experience of a concert

8 There are many ways in which the presentation of a concert can be manipulated, and it has 9 previously been acknowledged that those who commission Western art music concerts have 10 shown limited interest in doing so (Wald-Fuhrmann et al., 2021). Staging and dramaturgy 11 are carefully and creatively considered when producing new theatre productions, dance 12 shows, operas, and fine art exhibitions. However, these factors are rarely considered in the 13 presentation of Western art music. There are some factors which may go some way to 14 explaining this, including the practicalities of moving around a stage with cumbersome 15 instruments, or the number of performers on stage in the case of a symphony orchestra. 16 The limited contribution that concert curators have previously made include projection to 17 accompany the performance (Morris, 2000; Uhde, 2020), audience participation (Toelle & 18 Sloboda, 2021), pre-concert talks with performers or composers (Dobson, 2010; Garrido & 19 MacRitchie, 2020), and perhaps backstage tours of the more distinguished establishments 20 (Nopper & Lapierre, 2005). With audiences of Western art music often cited to be declining 21 (Tröndle, 2019), and audience development at the forefront of programming in the sector, 22 the reluctance to engage with creative presentations is counterintuitive.

Providing an explanation and solution to the so called 'classical concert crisis' is beyond the scope of this thesis. However, to contribute to the field of knowledge we have undertaken a detailed investigation into the social experience, and the ways that this can be used to enhance the concert experience. One of the key parameters on which we focused was the ways in which a concert can be presented to facilitate greater social interaction.

1 To an extent, the genre of the repertoire is important. Within Western art music 2 there are many sub-categories of music and of those that we investigate in Chapter 2, the 3 chamber orchestral concert and lecture recital were found to result in the highest social 4 experience scores. However, this cannot necessarily be attributed to the repertoire 5 performed, as these concerts both contained audience interaction and significant spoken 6 introduction from the performers. The chamber vocal concert was regarded as more social 7 than the solo recital, and the chamber instrumental was overall perceived as being more 8 social than the orchestral concert. This implies there is an optimal size of ensemble, and that 9 is the chamber ensemble range. These findings can be converted into recommendations for 10 programmers who are seeking to establish a more social experience: they should seek to 11 programme chamber ensembles who build audience interaction into their performances.

12 In addition to the concert, attending with other people unsurprisingly predicts an 13 increased social perception. If people attend with others, they are more likely to pay 14 attention to them and feel positively bonded. Many concert venues already provide multi-15 sale discounts or promotions to encourage people to attend together, but this evidence 16 further supports this marketing strategy.

17 One of the most significant barriers to concert engagement is accessibility; in terms 18 of cost, geography, and lack of a 'way in' in particular (Dobson, 2010; Dobson & Pitts, 2011; 19 Hagen, 2017; Kaptijn, 2019; Van Steen & Lievens, 2009). A logical way to reduce cost and 20 remove geographical barriers is to make concerts available online, for a reduced cost, and at 21 a time and place that is suitable for the audience. This may also encourage people to 'give it 22 a go' and become a 'way in' to concerts (Price, 2017). The theatre, sport and opera sectors 23 have gone much further in exploring digital dissemination of their outputs, compared to the 24 popular and Western art music industries. Showing sports fixtures on television and the 25 internet is common practise (Birmingham & David, 2011; Hutchins et al., 2019; Kim & Kim, 26 2020), but screening theatre productions in cinemas is also becoming more recognised (Baía 27 Reis & Ashmore, 2022; Mueser & Vlachos, 2018). It is not fair to say that there was no 28 attempt to use these channels prior to the pandemic, but only the largest companies and 29 venues were doing so; for example, the Berlin Konserthaus (Droste et al., 2018), or the Royal Opera House in London (Yoon, 2017). With these notable exceptions there was limited
 availability of digitally disseminated Western art music.

3 This thesis has found evidence that while the digital presentation of concerts does 4 not achieve the same perception of a social experience as a live concert, it is possible to 5 enhance this through facilitation of interaction between participants. It has previously been 6 found that utilising the chat functions of streaming platforms increases agency, presence, 7 and the social context of an event (Onderdijk et al., 2021). We have extended this by 8 exploring the use of the SpatialChat platform in which participants can communicate 9 through written text comments, emoticons, and conversation. This was particularly effective 10 when interaction was initiated by a facilitator, but as people become more accustomed to 11 the format this could become less necessary. All this serves to encourage venues to 12 continue the use of digital dissemination of their concerts beyond the COVID-19 pandemic, 13 during which time many turned to this mode of delivery out of necessity.

14 The final recommendation that can come from this theme of the thesis is the 15 continued exploration of the use of virtual reality for presenting Western art music concerts. 16 Any previous attempts seem to have only done so for educational purposes (Hwang et al., 17 2017; Orman et al., 2017; Serafin et al., 2017), where interaction with the performance or 18 performers is in some way possible. But many people who currently attend concerts do so 19 without this expectation of participation, and so the pressure to produce an interactive 20 concert is lessened. That is not to say that it would not be interesting to explore more 21 visually creative presentations of the concert, including augmented visuals, or the option of 22 multiple viewpoints (Antoshchuk et al., 2018; Droste et al., 2018). An interview study of 23 regular concert attendees found that many respondents indicated that they would attend 24 more virtual reality concerts if they were available (Bouckaert, 2021). Of course, the 25 production of professional level digital recordings, particularly immersive or semi-immersive 26 virtual reality 360° productions is associated with some cost; in terms of equipment, 27 production, the existing venue, music licence, and performer costs but it would be worth funding bodies considering these ventures for receipt of funding. Therefore, this could be 28 29 considered in future research, and within the industry.

#### 2 5.2.2 The influence of interindividual differences on the social experience of a concert

3 The presentation of the concert is only influential on the social experience if there are 4 people present with whom to conceive of having a social experience. Audience research 5 often seeks to categorise people; for example, attenders vs. non-attenders (Dobson, 2010; 6 Dobson & Pitts, 2011; Hagen, 2017; Kaptijn, 2019; Van Steen & Lievens, 2009), based on the 7 audience segmentation model (Audience Agency, 2022; Peterson, 1992), or some other 8 locally derived metric. Categorisation or segmentation is simply the aim of grouping 9 audience members to enable a venue or company to tailor their programming, marketing, 10 and communication to their key demographic. While we may discuss 'the Western arts 11 music audience', the reality is this is made up of multiple groups, who have many different 12 interests and expectations.

13 In this thesis, we have grouped or categorised participants in various ways. In 14 Chapter 3 this was based on previous engagement and enjoyment of digital concerts. In a 15 pre-study survey, participants rated various parameters of their digital concert engagement 16 and were allocated to one of three groups based on a latent profile analysis of their 17 responses (Egermann et al., In Review). The three groups that emerged were called Digital 18 Concert Enthusiasts, Digital Concert Traditionalists and Undecided and Unengaged Concert 19 Users. We found that their group membership predicted the extent to which they would 20 have a social experience, and moderated the extent to which a social experience could be 21 facilitated, in a digital concert setting. Further to this, in Chapter 4, we grouped people 22 based on their personality traits and found that once again, this predicted their social 23 experience of the virtual reality concert, and their enjoyment of it.

The categorisation of audiences is a well-established practise by the industry; therefore, the attempt to do so in this study is not particularly novel. However, there is no previous attempt to use this to predict the social experience of an event. Future research could explore the effect of membership in the audience segmentation categories on the social experience of concerts to draw the research closer to the industry.

1 Any form of categorisation is problematic to an extent because it discounts the 2 individual experience of those that are attributed into a category. We live in a society that is 3 increasingly open to the spectrum of human experience, and thankfully so. Two 4 interindividual differences were considered in Chapter 2 of this thesis, and these were 5 considered on a spectrum rather than in categories: age and musical training. Both were 6 found to significantly predict the social experience of a concert and while they could be used 7 to group audience members, perhaps it is also indication that future research should seek to 8 explore a broader spectrum of individual experiences, and take a more constructivist 9 approach to the study of the social experience of concerts.

10

5.2.3 The influence of the social experience of a concert on the overall enjoyment of a

12 concert

The previous sections are predicated on the assumption that increasing the potential for a social experience is something for which we should be striving. However, our findings indicate that this is not just an assumption as social experience has been consistently found to predict enjoyment of an event. Greater enjoyment intuitively results in repeated engagement.

18 Anecdotally, musical conservatives would say that they attend concerts solely for 19 the music, and the music should be played according to its traditional forms, harmonic 20 language, or any other generally accepted compositional techniques (Wilson et al., 2014). 21 They are intrinsically motivated to engage with concerts, and the presence of others should 22 be minimised and occupy as little focus and attention as possible. However, those who 23 experience this preference are not in the majority, indeed there are not enough of them to 24 sustain the Western art music industry. Therefore, this further indicates that venues, 25 curators, and artists should be exploring ways to enhance the social experience of a 26 concert.

In this thesis, we have measured enjoyment either with a single item (Chapter 2), or
as a change in activation and valence (Chapters 3 and 4). Neither is optimal for capturing

this information, a single item lacks internal validity, and the latter infers that an increase in
activation and valence is a result of enjoyment, but it is not necessarily the case. Therefore,
future research could also seek to verify these findings with a more robust measure of
concert enjoyment.

5

## 6 5.2.4 The influence of the social experience of a concert on emotional responses to7 the music

8 The study of emotional responses to music is one of the most significant subdisciplines of 9 music psychology. In many ways, this thesis was conceived as the impact of the presence of 10 others on emotional responses to music. However, it has organically transitioned into a 11 broader conceptualisation of responses to music events for two key reasons. The first is that 12 in Chapter 2 the social experience of a concert was not found to predict emotional 13 responses to music (O'Neill & Egermann, 2022). All subsequent data was collected as part of 14 the Experimental Concert Research or Digital Concert Experience projects and despite 15 numerous discussions, no satisfactory measure of emotional or aesthetic response to the 16 music was employed in the surveys, in my opinion. Had the findings from Chapter 2 17 indicated that the social experience does influence emotional responses to music perhaps 18 we would have been inclined to advocate for the use of a validated method of measuring 19 emotional responses to music. Indeed, it may have been an error not to do so as other 20 research has found that social experience does predict feelings of being moved, and awe in 21 response to digital concerts (Swarbrick et al., 2021), that social factors influence emotional 22 responses (Juslin, 2008; Scherer & Zentner, 2001), and evidence of a collective emotional 23 experience (Mackie & Smith, 2015). The theory of collective effervescence, which was 24 discussed in the general introduction of this thesis (see Section 1.2.1), and seems to result in 25 group bonding and emotional energy in individuals is further evidence of a connection 26 between these two phenomena (Cottingham, 2012). Based on the findings of this thesis the 27 social experience of a concert does not predict the intensity of valence of emotional 28 responses to music; however, further investigation is significantly theoretically indicated.

#### 1 5.3 General Limitations

2 Chapters 2, 3, and 4 include a discussion of the limitations associated with each study, and 3 these will not be repeated here. However, the main limitation of this research is the focus 4 on Western art music. In the preface, it was stated that in many ways, this remains the 5 music that is considered 'worthy' of being studied, and yet it can neither be said to 6 represent the appetite of 21st century audiences, nor the breadth of music that has been 7 produced across the world and throughout history. This thesis displays a significant lack of 8 equality, diversity, and inclusion in the types of music that are studied (all written by white, 9 western, cis-male composers), and the demographics of the audience (particularly in terms 10 of education, race and affluence). Therefore, the poignancy with which the focus on 11 Western art music is highlighted throughout does not serve to headline this genre. But 12 rather to acknowledge that the findings of this research should not be assumed to apply to 13 different concert presentations that are associated with different genres, diverse audiences, 14 and a range of time periods.

15 The findings of this thesis should be challenged and extended by future scholars, and 16 the Social Experience of a Concert Scales could be validated for use in a boarder spectrum of 17 concert settings. For example, jazz, pop, and rock gigs that are bound by very different social 18 expectations of behaviour which facilitate interaction, or in other performance arts events 19 such as theatre and dance. There is also an increasing interest in amateur performance 20 which is a much more prevalent mode of engagement with music than the traditional 21 concert halls and virtuosic performers, in part due to the community and social aspects, and 22 the scale could also be validated for use in capturing that experience. That is not to say that 23 Western art music does not have a place in the 21<sup>st</sup> century, and perhaps that findings from 24 this study, might contribute to the diversification of presentations that have emerged during 25 the COVID-19 pandemic.

26

### 1 5.4 General Conclusion

2 To conclude, this thesis set out to explore the social experience of Western art music 3 concerts and thus, to make an original contribution to the field of knowledge. Since no tool 4 for quantitatively measuring the phenomenon existed, a new tool for capturing this was 5 developed and psychometrically validated for use in live, digital, and virtual reality contexts. 6 Subsequently it was found that the social experience predicts the overall enjoyment of a 7 live, digital, and virtual reality event. In addition to this, there are concert features which 8 can facilitate a more social experience, including mode of presentation, liveness, and 9 perception of presence within a virtual environment. Finally, individual characteristics were 10 also found to influence the social experience.

11 This thesis was presented in five main chapters, which describe three studies, and 12 contextualised by a general introduction and discussion. The presence of others is generally 13 implied by the presentation of music in concerts, and the enhancement of the social 14 experience one of the ways in which Western art music could evolve, and thus survive.

15

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# 1 Appendix 1: Factor loadings from the Confirmatory Factor Analysis.

						95% (	Confidenc	e Interval
			Std.				Std. Est.	
Factor	Indicator	Estimate	Error	z-value	р	Lower	Upper	(all)
u	I was glad to be in the audience It was pleasant to be in the	0.57	0.085	6.687	< .001	0.403	0.737	0.59
Satisfaction	audience Being in the audience gave me	0.777	0.066	11.697	< .001	0.647	0.907	0.81
Sati	a good feeling The audience repeatedly	0.926	0.059	15.811	< .001	0.811	1.041	0.95
	attracted my entire attention I closely watched how the	0.636	0.092	6.907	< .001	0.455	0.816	0.62
F	audience behaved I did not really notice the	0.723	0.085	8.474	< .001	0.556	0.89	0.71
Attention	audience I rarely paid attention to the	0.698	0.058	11.957	< .001	0.584	0.812	0.69
Att	audience I had a lot in common with the	0.733	0.063	11.721	< .001	0.61	0.856	0.73
	average audience member I am similar to the average	0.672	0.082	8.173	< .001	0.511	0.833	0.69
lition	person in the audience Members of the audience has a lot in common with each	0.808	0.075	10.793	< .001	0.661	0.954	0.83
Self-Definition	other People in the audience are	0.537	0.081	6.595	< .001	0.378	0.697	0.55
Sel	similar to each other	0.615	0.082	7.523	< .001	0.454	0.775	0.61
	I felt a bond with the audience I felt solidarity with the	0.738	0.077	9.593	< .001	0.587	0.889	0.76
Solidarity	audience I felt committed to the	0.785	0.071	10.996	< .001	0.645	0.924	0.8
Sol	audience I can still remember what the	0.627	0.085	7.392	< .001	0.46	0.793	0.64
	audience looked like I have a picture of the audience is still vivid in my	0.889	0.055	16.056	< .001	0.781	0.998	0.89
0	mind I formed only a fleeting	0.793	0.067	11.833	< .001	0.662	0.925	0.79
40 <u>0</u> 2	observation	0.576	0.067	8.641	< .001	0.445	0.706	0.58

1 Appendix 2: Table showing the factor covariances of the factors

Factors			Estimate	Std. Error	z-value	р
Depth of Processing	$\leftrightarrow$	Satisfaction	0.268	0.083	3.228	.001
Depth of Processing	$\leftrightarrow$	Attention	0.642	0.077	8.314	< .001
Depth of Processing	$\leftrightarrow$	Self-Definition	0.198	0.1	1.976	.048
Depth of Processing	$\leftrightarrow$	Solidarity	0.47	0.084	5.582	< .001
Satisfaction	$\leftrightarrow$	Attention	0.145	0.092	1.576	.115
Satisfaction	$\leftrightarrow$	Self-Definition	0.46	0.089	5.147	< .001
Satisfaction	$\leftrightarrow$	Solidarity	0.392	0.087	4.504	< .001
Attention	$\leftrightarrow$	Self-Definition	-0.048	0.095	-0.504	.614
Attention	$\leftrightarrow$	Solidarity	0.33	0.091	3.626	< .001
Self-Definition	$\leftrightarrow$	Solidarity	0.492	0.094	5.226	< .001

2 extracted from the confirmatory factor analysis.

3

4

### Appendix 3: Social Experience of a Concert Scales.

[Statement regarding who is referred to by the term "the audience".] Please rate your agreement with the following statements where 1 = entirely disagree and 5 = agree entirely.

#### [Satisfaction]

I was glad to be in the audience It was pleasant to be in the audience Being in the audience gave me a good feeling

#### [Attention]

I closely watched how the audience behaved I did not really notice the audience\* I rarely paid attention to the audience\* The audience repeatedly attracted my attention

#### [Self-Definition]

I had a lot in common with the average audience member I am similar to the average person in the audience Members of the audience had a lot in common with each other People in the audience were similar to each other

#### [Solidarity]

I felt a bond with the audience I felt solidarity with the audience I felt committed to the audience

#### [Depth of Processing]

I can still remember what the audience looked like I still have a vivid picture of the audience in my mind I formed only a fleeting observation\*

\* Item to be reverse scored

## Appendix 4: English Translation of the PANAVA-KS

Adapted from Schreiber and Jenny (2020)

How do you feel at the moment?

(Pus a cross in each row at the point which best corresponds with your feelings)

	3 – very	2	1	0 - undecided	1	2	3 - very	
Satisfied								Dissatisfied
Full of energy								No energy
Stressed								Relaxed
Tired								Wide awake
Peaceful								Angry
Unhappy								Нарру
Listless								Highly motivated
Calm								Nervous
Enthusiastic								Bored
Worried								Free of Worry